

Treasurer's Monthly **INVESTMENT** NOVEMBER 2013 **REPORT**

From the Office of
SHARI L. FREIDENRICH, CPA, CCMT, CPFA, ACPFIM
Orange County Treasurer



COUNTY OF ORANGE
TREASURER'S INVESTMENT REPORT
For November 30, 2013

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OFFICE OF THE TREASURER-TAX COLLECTOR
SHARI L. FREIDENRICH, CPA, CCMT, CPFA, ACPFIM

INTERDEPARTMENTAL COMMUNICATION

Date: December 16, 2013
To: Supervisor Shawn Nelson, Chair
Supervisor Patricia Bates, Vice-Chair
Supervisor John Moorlach
Supervisor Janet Nguyen
Supervisor Todd Spitzer
From: Shari L. Freidenrich, CPA, CCMT, CPFA, ACPFIM *sf*
Subject: Treasurer's Investment Report for the Month Ended November 30, 2013

Attached, please find the Treasurer's Investment Report for the County of Orange for the month ended November 30, 2013. The County Treasurer provides this report in compliance with California Government Code Sections 53607, 53646, and 27134 and the County's Investment Policy Statement (IPS). We have included some charts and other data for your information including charts on fund compositions of the Orange County Investment Pool. This report is also publicly available on our website at ocgov.com/ocinvestments.

INVESTMENT POOL COMPOSITION

The investments contained within this report are as of November 30, 2013. The Investment Pool Statistics summary shows the total investment responsibility of the County Treasurer as delegated by the Board of Supervisors: the Orange County Investment Pool that includes the Voluntary participants' funds, the Orange County Educational Investment Pool, the John Wayne Airport Investment Pool, and various other small non-Pooled investment funds. The investment practices and policies of the Treasurer are based on compliance with State law and prudent money management. The primary goal is to invest public funds in a manner which will provide maximum security of principal invested with secondary emphasis on providing adequate liquidity to Pool Participants and lastly to achieve a market rate of return within the parameters of prudent risk management while conforming to all applicable statutes and resolutions governing the investment of public funds.

The County Treasurer established three Money Market Funds, the Orange County Money Market Fund, the Orange County Educational Money Market Fund, and the John Wayne Airport Investment Pool, which all are invested in cash-equivalent securities and provide liquidity for immediate cash needs. Standard & Poor's, on February 13, 2013, reaffirmed their highest rating of AAAM on the County and the Educational Money Market Funds. The County Treasurer also established the Extended Fund that is for cash requirements between one and five years. The Orange County Investment Pool is comprised of the Orange County Money Market Fund and portions of the Extended Fund. The Orange County Educational Investment Pool is comprised of the Orange County Educational Money Market Fund and portions of the Extended Fund.

The maximum maturity of investments for the County and Educational Money Market Funds is 13 months, with a maximum weighted average maturity (WAM) of 60 days, and they have a current WAM of 39 and 58 respectively. The lower WAM for the County Money Market Fund is due to the fact that over \$1.7 billion of property taxes will be collected in November and December and disbursed to local agencies in mid December. The maximum maturity of the Extended Fund is five years, with duration not to exceed the Merrill Lynch 1-3 Year index +25% (2.39). The duration is currently at 1.70. The investments in all of the funds are marked to market daily to determine the value of the funds. To further maintain safety, adherence to an investment strategy of only purchasing top-rated securities and diversification of instrument types and maturities is required.

ECONOMIC UPDATE

In November, the job market added 203,000 new jobs, and October's job numbers were revised downward by 4,000 to 200,000. The unemployment rate decreased to a five-year low of 7.0% from 7.3% in October. Additionally, the U.S. gross domestic product (GDP), for the third quarter 2013, increased at a 3.6% annualized rate, up from an initial estimate

of 2.8%. Both the Empire State Manufacturing Index and the Philadelphia Fed Index dropped during November to (2.21) and 6.50, down from 1.52 and 19.8 respectively. The Federal Reserve uses these indexes as regional economic gauges, and a reading above zero signals economic expansion. With respect to housing, S&P/CaseShiller reported the housing prices continue to show positive momentum as housing prices increased for the sixteenth consecutive month in September, up 13.29% from a year ago. However, the index of pending home sales dropped .6% in October, the fifth consecutive month of decline, indicating that sales may be slowing down due to higher mortgage rates. The 10-year Treasury rate increased 18 basis points in November to 2.74% from 2.56% in October.

The short-term 90-day T-bill ended the month at .06% up from .04% in October, and the rate on the two-year note was .28% at the end of November, down from .31% in October.

INVESTMENT INTEREST YIELDS AND FORECAST

The current gross interest yield year-to-date for 2013/2014 is .34% for the Orange County Investment Pool and .29% for the Orange County Educational Investment Pool. The forecasted gross yield for the fiscal year 2013/2014 for both Investment Pools is .37% based on continued low short-term interest rates.

APPORTIONMENT OF COMMINGLED POOL INTEREST EARNINGS

Each month, the County Treasurer apportions the accrued interest earnings to each pool participant. As of the first business day of the following month accrued, but unpaid, interest earnings are added to pool participants' average balances in determining a participant's relative share of the pool's monthly earnings. The actual cash distribution will generally be paid in the months following. The November 2013 interest apportionment is expected to be paid by December 31, 2013. The investment administrative fee for fiscal year 2013/2014 is still expected to be 8.2 basis points.

TEMPORARY TRANSFERS

The County Treasurer, as required by California Constitution Article XVI, Section 6, and per the Board of Supervisor's Resolution 13-016, is authorized to make temporary transfers to school districts to address their short-term cash flow needs. The loans are secured by tax receipts to be received by the County Treasurer, as the banker for the school districts. As of November 30, 2013, the outstanding principal balance of Temporary Transfers from the Orange County Educational Investment Pool is \$67 million and will be repaid by December 31, 2013.

PORTFOLIO HOLDINGS OF DEBT ISSUED BY POOL PARTICIPANTS

Under guidelines outlined in the current IPS, the County Treasurer may invest in A or above rated securities issued by municipalities. Municipal debt issued by the County of Orange is exempt from this credit rating requirement. The Investment Pools may invest no more than 5% of pool assets in any one issuer, with the exception of the County of Orange which has a 10% limit. The Investment Pools no longer own any pool participant debt. Prior to purchasing any pool participant debt, a standardized credit analysis is performed.

COMPLIANCE SUMMARY

The investment portfolios had no compliance exceptions for the month of November 2013. The Auditor-Controller Report on Compliance Monitoring for the Quarter of April through June 2013 identified no compliance deficiencies. The Auditor-Controller Report on Review of the Statement of Assets Held by the County Treasury as of March 31, 2013 stated that no material modifications needed to be made to the financial statements prepared on a modified cash basis.

CREDIT UPDATE

During November, there were two changes to the Treasurer's Approved Issuer List. General Electric Co. and General Electric Capital Corp. were removed from the Approved Issuer List, under the Issuers on Hold section, as the last holding of General Electric Capital Corp. matured on September 20, 2013. An ongoing credit analysis of all issuers owned in the Investment Pools is reviewed on a daily, monthly, quarterly, and annual basis.

I certify that this report includes all pool and non-pooled investments as of November 30, 2013 and is in conformity with all State laws and the IPS approved by the Board of Supervisors on January 8, 2013. The investments herein shown provide adequate liquidity to meet the next six months of projected cash flow requirements. I am available if you have any questions on this Investment Report at (714) 834-7625.

Enclosures

cc: Distribution List

ORANGE COUNTY TREASURER-TAX COLLECTOR

SUMMARY OF INVESTMENT DATA

INVESTMENT TRENDS

	NOVEMBER 2013	OCTOBER 2013	INCREASE (DECREASE)	NET CHANGE %	NOVEMBER 2012	INCREASE (DECREASE)	NET CHANGE %
<u>Orange County Investment Pool (OCIP)</u>							
End Of Month Market Value ^{1,2}	\$ 3,498,722,902	\$ 3,166,830,045	\$ 331,892,857	10.48%	\$ 3,354,361,755	\$ 144,361,147	4.30%
End Of Month Book Value ^{1,2}	\$ 3,497,802,437	\$ 3,166,359,402	\$ 331,443,035	10.47%	\$ 3,350,427,434	\$ 147,375,003	4.40%
Monthly Average Balance ²	\$ 3,302,258,226	\$ 2,967,210,607	\$ 335,047,619	11.29%	\$ 3,214,311,482	\$ 87,946,744	2.74%
Year-To-Date Average Balance	\$ 3,016,291,724	\$ 2,944,800,099	\$ 71,491,625	2.43%	\$ 2,979,777,065	\$ 36,514,659	1.23%
Monthly Accrued Earnings ³	\$ 827,033	\$ 829,534	\$ (2,500)	-0.30%	\$ 1,131,171	\$ (304,138)	-26.89%
Monthly Net Yield ^{2,3}	0.22%	0.25%	-0.02%	-9.90%	0.35%	-0.13%	-36.37%
Year-To-Date Net Yield ³	0.26%	0.27%	-0.01%	-3.70%	0.40%	-0.14%	-35.00%
Annual Estimated Gross Yield	0.37%	0.37%	0.00%	0.00%	0.40%	-0.03%	-7.50%
Weighted Average Maturity (WAM) ⁵	320	363	(43)	-11.85%	272	48	17.65%
<u>Orange County Educational Investment Pool (OCEIP)</u>							
End Of Month Market Value ^{1,4}	\$ 2,985,016,688	\$ 3,048,495,017	\$ (63,478,329)	-2.08%	\$ 2,636,164,331	\$ 348,852,357	13.23%
End Of Month Book Value ⁴	\$ 2,984,223,358	\$ 3,048,043,721	\$ (63,820,363)	-2.09%	\$ 2,632,968,222	\$ 351,255,136	13.34%
Monthly Average Balance ⁴	\$ 3,086,549,009	\$ 3,158,691,436	\$ (72,142,427)	-2.28%	\$ 2,534,667,085	\$ 551,881,924	21.77%
Year-To-Date Average Balance ⁴	\$ 3,200,713,195	\$ 3,229,254,242	\$ (28,541,047)	-0.88%	\$ 2,787,237,471	\$ 413,475,724	14.83%
Monthly Accrued Earnings ³	\$ 752,806	\$ 739,047	\$ 13,759	1.86%	\$ 939,600	\$ (186,794)	-19.88%
Monthly Net Yield ^{2,3}	0.21%	0.19%	0.02%	10.99%	0.37%	-0.16%	-41.96%
Year-To-Date Net Yield ³	0.21%	0.21%	0.00%	0.00%	0.36%	-0.15%	-41.67%
Annual Estimated Gross Yield	0.37%	0.37%	0.00%	0.00%	0.39%	-0.02%	-5.13%
Weighted Average Maturity (WAM)	314	312	2	0.64%	285	29	10.18%

¹ Market values provided by Bloomberg and Northern Trust.

² In November 2013, the OCIP fund balances increased primarily due to incoming secured property tax receipts that were deposited and invested in short-term maturities with lower yields. Over 65% of these funds will be paid out to County Agencies and school districts in December. In addition, OCEIP monthly yield increased from the prior month due to reinvestment at higher rates.

³ In November 2012, OCIP and OCEIP primarily held higher yielding securities, which over time have matured and were reinvested in lower yielding securities. As a result, the overall earnings and yield have dropped since November 2012.

⁴ In November 2013, the OCEIP end of month market and book values, and monthly and year-to-date average balances were higher than November 2012 due to increased General Obligation (GO) bond funds on deposit, redevelopment property tax trust fund distributions, and higher payments from the State, as the State is significantly reducing the deferred amount of required payments to school districts.

⁵ In November 2013, OCIP and OCEIP WAM was higher than November 2012, primarily due to investing in longer maturities during the second and third quarters of 2013 to capture higher interest rates offered during that period. In addition, OCIP WAM decreased in December 2013 as proceeds from the secured property tax receipts were invested in short-term maturities.

ORANGE COUNTY TREASURER-TAX COLLECTOR
INVESTMENT POOL STATISTICS
FOR THE MONTH ENDED: NOVEMBER 30, 2013

INVESTMENT STATISTICS - By Investment Pool***

DESCRIPTION	CURRENT BALANCES	Average Days to Maturity	Daily Yield as of 11/30/13	MONTHLY Gross Yield	Current NAV
<u>COMBINED POOL BALANCES</u> <u>(includes the Extended Fund)</u>					
Orange County Investment Pool (OCIP)	MARKET Value \$ 3,498,722,902	320	0.30%	0.30%	1.00
	COST (Capital) \$ 3,503,641,696				
	MONTHLY AVG Balance \$ 3,302,258,226				
	BOOK Value \$ 3,497,802,437				
Orange County Educational Investment Pool (OCEIP)	MARKET Value \$ 2,985,016,688	314	0.28%	0.30%	1.00
	COST (Capital) \$ 2,989,857,133				
	MONTHLY AVG Balance \$ 3,086,549,009				
	BOOK Value \$ 2,984,223,358				

INVESTMENT STATISTICS - Non Pooled Investments **

DESCRIPTION	CURRENT BALANCE	BOOK BALANCE BY INVESTMENT TYPE	
Specific Investment			
Funds:	MARKET Value \$ 51,012,993		
15B, 283, 505	COST (Capital) \$ 51,087,188	Repurchase Agreement	\$ 108,113
	MONTHLY AVG Balance \$ 51,014,371	John Wayne Airport Investment Pool	49,897,575
	BOOK Value \$ 50,997,834	GNMA Mortgage-Backed Securities	1,081,500
			\$ 51,087,188

MONTH END TOTALS

INVESTMENTS & CASH		FUND ACCOUNTING & SPECIFIC INVESTMENTS	
<u>COUNTY MONEY MARKET FUND (OCMMF)</u>			
County Money Market Fund	\$ 1,819,985,857	County Funds	\$ 3,529,693,567
County Cash	26,051,869	Educational Funds	3,030,146,614
<u>EXTENDED FUND</u>	3,033,655,842	Specific Investment Funds	51,087,188
<u>EDUCATIONAL MONEY MARKET FUND (OCEMMF)</u>			
Educational Money Market Fund	1,639,857,133		
Educational Cash	40,289,480		
<u>NON-POOLED INVESTMENTS</u>			
Non Pooled Investments @ Cost	51,087,188		
	\$ 6,610,927,369		\$ 6,610,927,369

KEY POOL STATISTICS

INTEREST RATE YIELD		WEIGHTED AVERAGE MATURITY (WAM)	
OCMMF - MONTHLY GROSS YIELD	0.12%	OCMMF	39
OCEMMF - MONTHLY GROSS YIELD	0.15%	OCEMMF	58
JOHN WAYNE AIRPORT - MONTHLY GROSS YIELD	0.15%	JOHN WAYNE AIRPORT WAM	56
OCIP - YTD NET YIELD****	0.26%	LGIP WAM (Standard & Poors)	49
OCEIP - YTD NET YIELD****	0.21%		
90-DAY T-BILL YIELD - MONTHLY AVERAGE	0.06%		

ORANGE COUNTY TREASURER-TAX COLLECTOR
INVESTMENT POOL STATISTICS
FOR THE MONTH ENDED: NOVEMBER 30, 2013

INVESTMENT STATISTICS - By Investment Fund*

DESCRIPTION	CURRENT BALANCES	Average Days to Maturity	Daily Yield as of 11/30/13	MONTHLY Gross Yield	Current NAV
<u>County Money Market Fund (OCMMF)</u>	MARKET Value \$ 1,819,042,131 COST (Capital) \$ 1,819,985,856 MONTHLY AVG Balance \$ 1,616,572,827 BOOK Value \$ 1,818,941,682	39	0.10%	0.12%	1.00
<u>Educational Money Market Fund (OCEMMF)</u>	MARKET Value \$ 1,638,204,004 COST (Capital) \$ 1,639,857,133 MONTHLY AVG Balance \$ 1,736,549,009 BOOK Value \$ 1,638,068,185	58	0.12%	0.15%	1.00
<u>Extended Fund</u>	MARKET Value \$ 3,026,493,454 COST (Capital) \$ 3,033,655,840 MONTHLY AVG Balance \$ 3,035,685,399 BOOK Value \$ 3,025,015,927	624	0.49%	0.49%	1.00
ALLOCATION OF EXTENDED FUND					
<u>Extended Fund</u> <u>OCIP Share</u>	MARKET Value \$ 1,679,680,771 COST (Capital) \$ 1,683,655,840 MONTHLY AVG Balance \$ 1,685,101,448 BOOK Value \$ 1,678,860,755	624	0.49%	0.49%	1.00
<u>OCEIP Share</u>	MARKET Value \$ 1,346,812,684 COST (Capital) \$ 1,350,000,000 MONTHLY AVG Balance \$ 1,350,000,000 BOOK Value \$ 1,346,155,173	624	0.49%	0.49%	1.00
<u>Modified Duration</u>	1.70				

* Book Value is computed as Cost reduced by amortization of premium and increased by the accretion of discount of the Investment Portfolio. Net Asset Value (NAV) is equal to Market Value divided by Book Value.

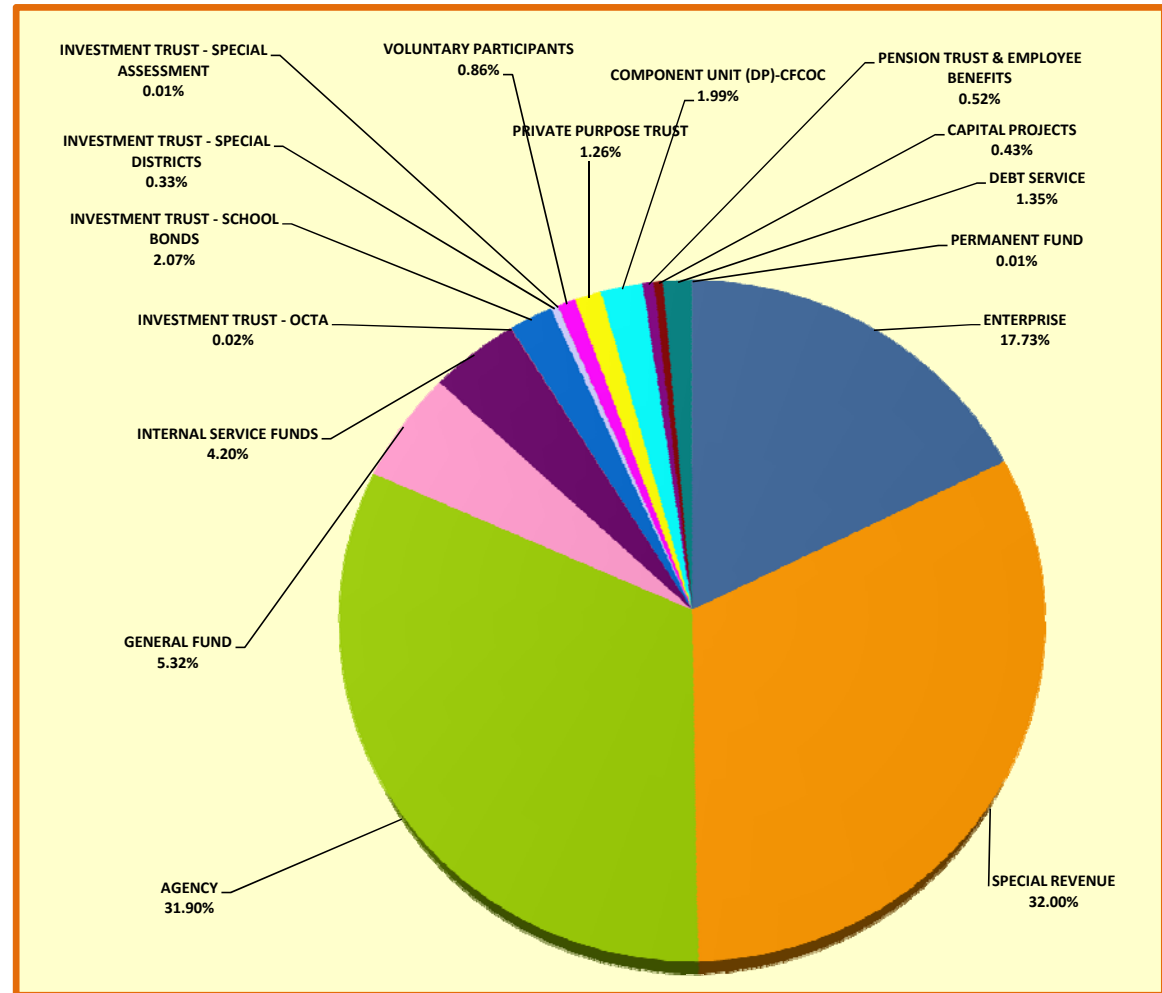
** Specific non pooled investments are reported in compliance with Government Code Section 53646 (b)(1). Detailed descriptions are included in the inventory listing in Section VII of this report.

*** The Combined Pool Balances include the County and Educational Money Market Funds and their respective portions of the Extended Fund.

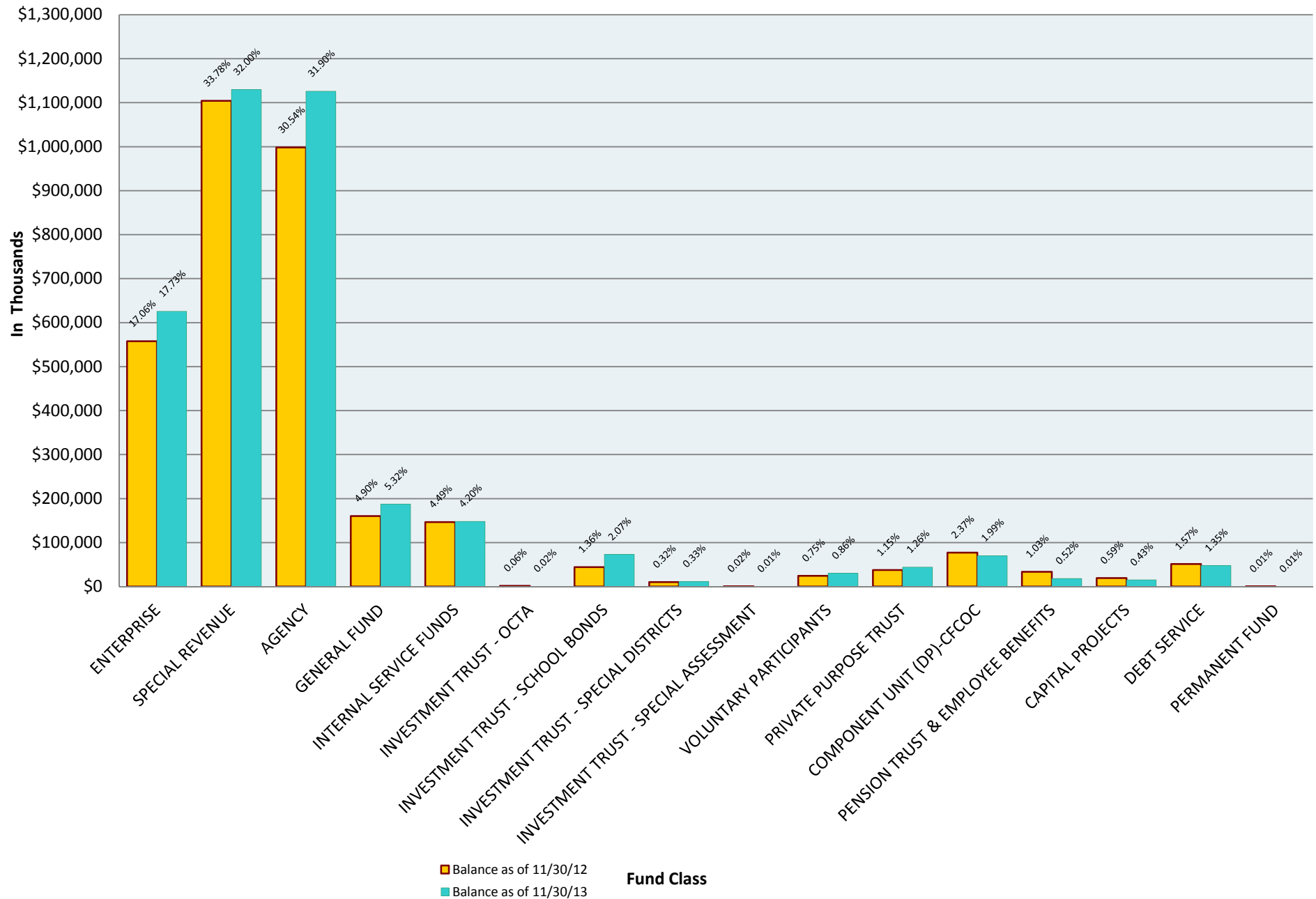
**** The Net Yield differs from the monthly average yield as it includes the Treasury administration fees.

**ORANGE COUNTY INVESTMENT POOL
FUND COMPOSITION
AS OF NOVEMBER 30, 2013**

FUND CLASS	BALANCE
ENTERPRISE	\$ 625,846,707
SPECIAL REVENUE	1,129,897,448
AGENCY	1,126,226,538
GENERAL FUND	187,672,181
INTERNAL SERVICE FUNDS	148,197,332
INVESTMENT TRUST - OCTA	885,483
INVESTMENT TRUST - SCHOOL BONDS	72,995,136
INVESTMENT TRUST - SPECIAL DISTRICTS	11,675,240
INVESTMENT TRUST - SPECIAL ASSESSMENT	421,352
VOLUNTARY PARTICIPANTS	30,389,394
PRIVATE PURPOSE TRUST	44,435,202
COMPONENT UNIT (DP)-CFCOC	70,197,370
PENSION TRUST & EMPLOYEE BENEFITS	18,549,735
CAPITAL PROJECTS	15,195,458
DEBT SERVICE	47,560,750
PERMANENT FUND	324,091
TOTAL	<u>\$ 3,530,469,417</u>



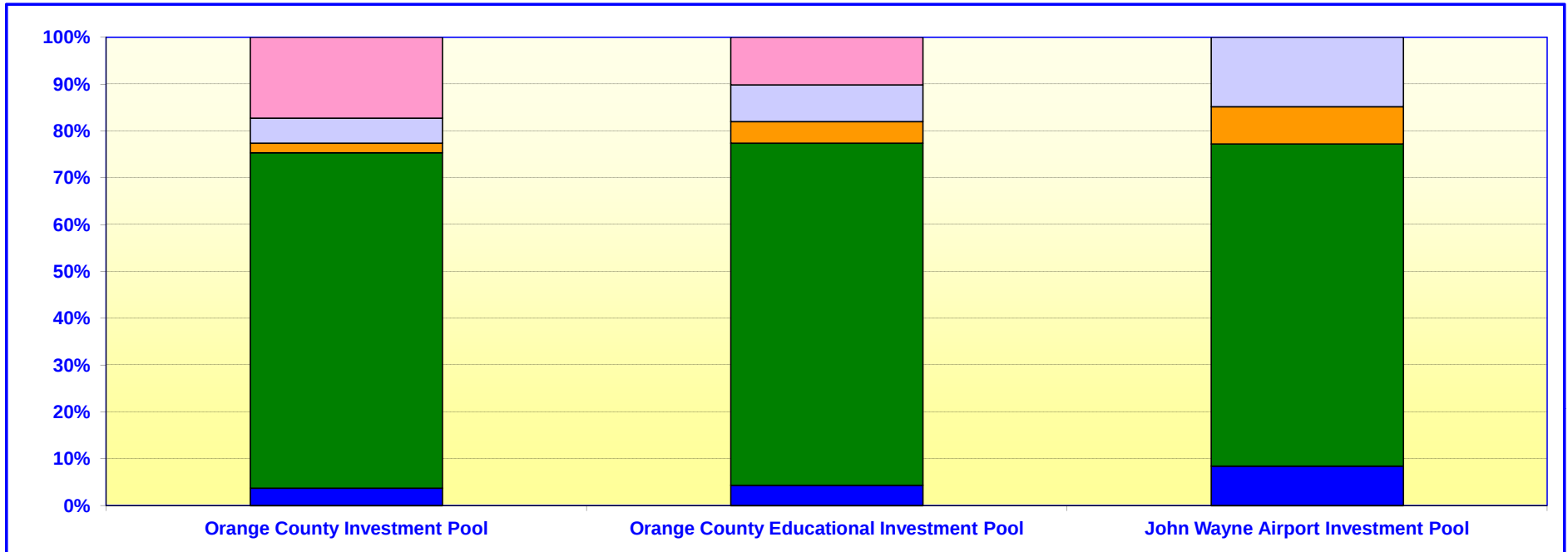
**ORANGE COUNTY INVESTMENT POOL
FUND COMPOSITION
AS OF NOVEMBER 30**



ORANGE COUNTY TREASURER - TAX COLLECTOR

BY INVESTMENT TYPE - By Percentage Holdings

November 30, 2013



Orange County Investment Pool			
	In Thousands		%
CERTIFICATES OF DEPOSIT	\$ 129,004		3.69%
U.S. GOVERNMENT AGENCIES	2,507,599		71.67%
MONEY MARKET FUNDS	71,859		2.05%
MEDIUM - TERM NOTES	187,469		5.36%
U.S. TREASURIES	602,792		17.23%
	<u>\$ 3,498,723</u>		<u>100.00%</u>

Orange County Educational Investment Pool			
	In Thousands		%
CERTIFICATES OF DEPOSIT	\$ 129,493		4.34%
U.S. GOVERNMENT AGENCIES	2,180,951		73.07%
MONEY MARKET FUNDS	137,155		4.59%
MEDIUM - TERM NOTES	235,305		7.88%
U.S. TREASURIES	302,113		10.12%
	<u>\$ 2,985,017</u>		<u>100.00%</u>

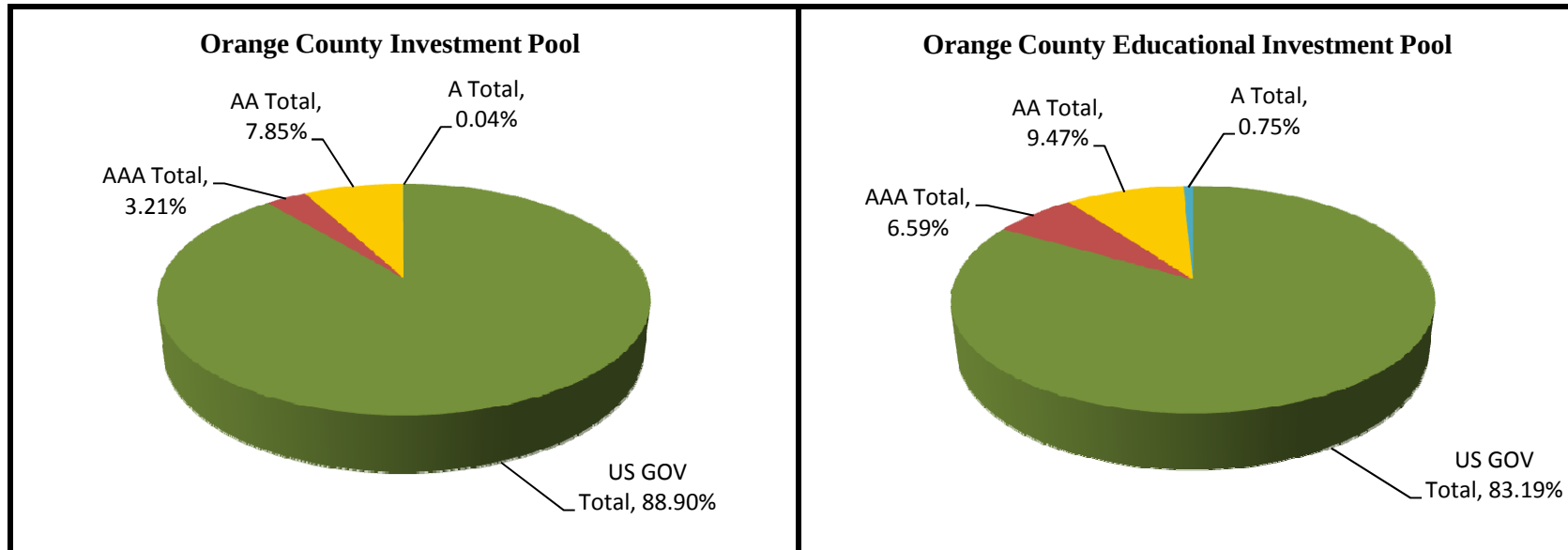
John Wayne Airport Investment Pool			
	In Thousands		%
CERTIFICATES OF DEPOSIT	\$ 4,200		8.43%
U.S. GOVERNMENT AGENCIES	34,281		68.82%
MONEY MARKET FUNDS	3,963		7.96%
MEDIUM - TERM NOTES	7,368		14.79%
	<u>\$ 49,812</u>		<u>100.00%</u>

Calculated Using Market Value at 11/30/2013

ORANGE COUNTY TREASURER - TAX COLLECTOR

CREDIT QUALITY BY MARKET VALUE

November 30, 2013



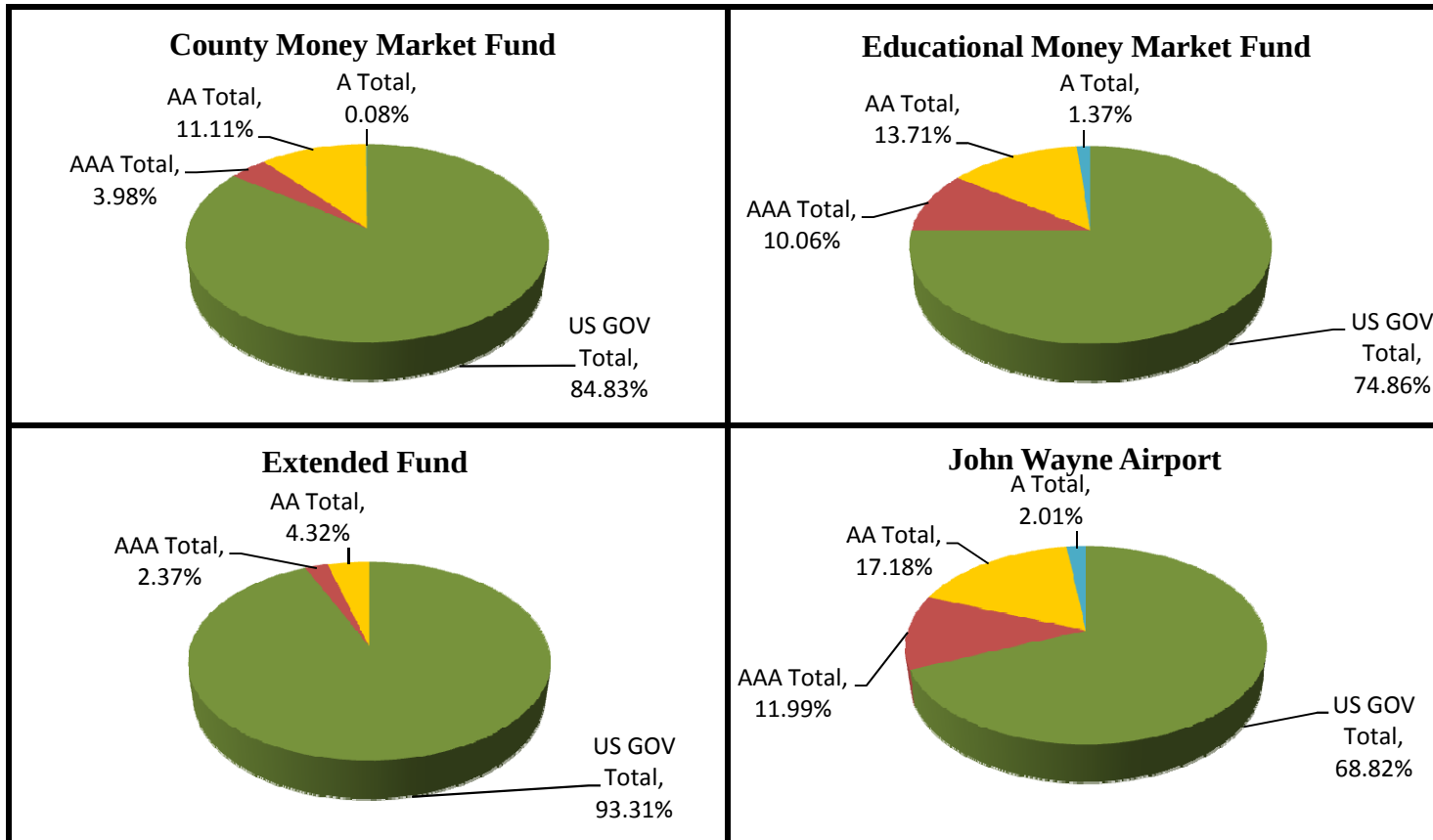
US GOV Includes Agency & Treasury Debt

AA Includes AA+, AA- & AA

A Includes A+, A- & A

A-1 Includes A-1+, F-1+, P-1, A-1 & F-1

ORANGE COUNTY TREASURER - TAX COLLECTOR
CREDIT QUALITY BY MARKET VALUE
 November 30, 2013



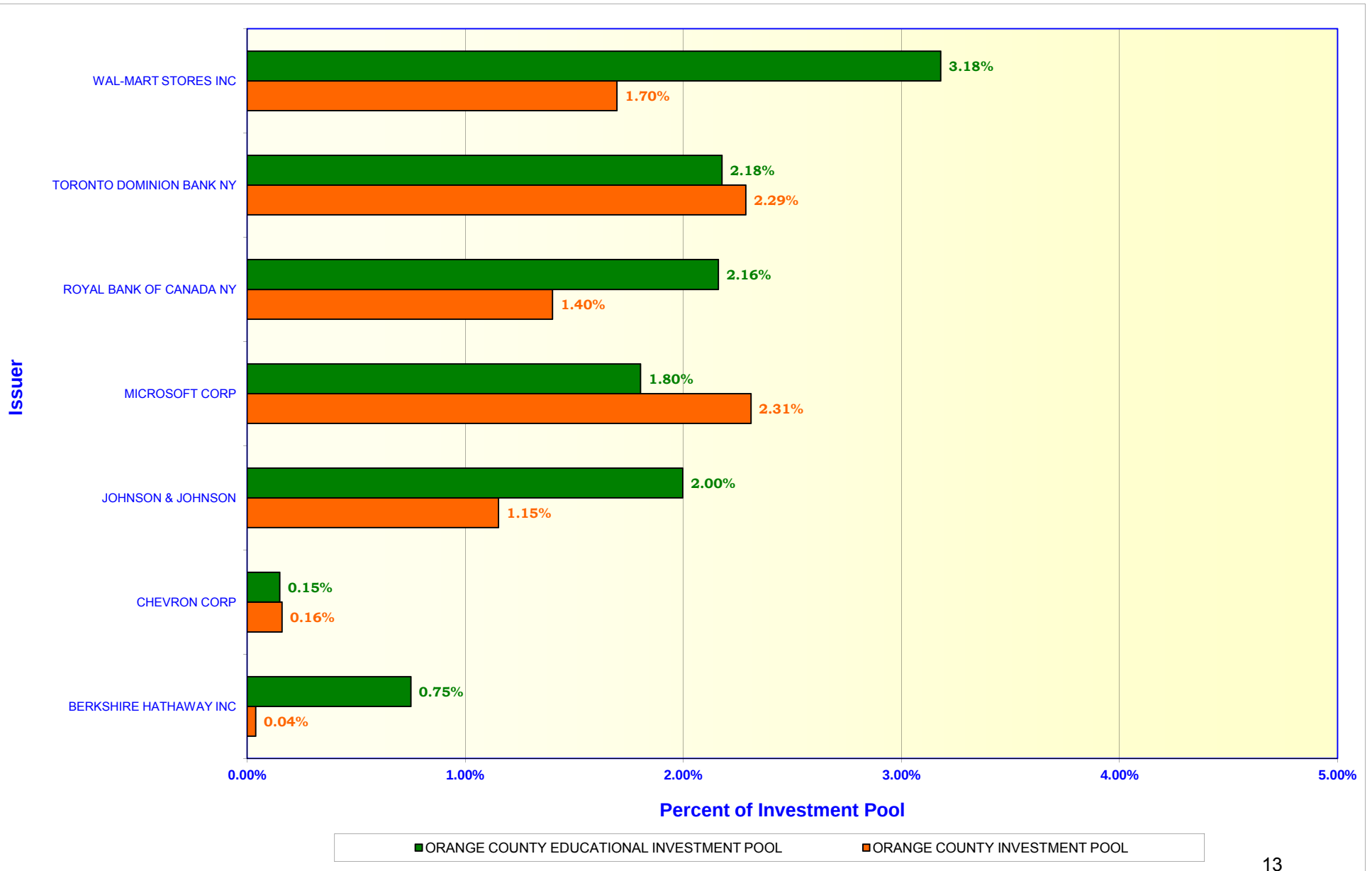
US GOV Includes Agency & Treasury Debt

AA Includes AA+, AA- & AA

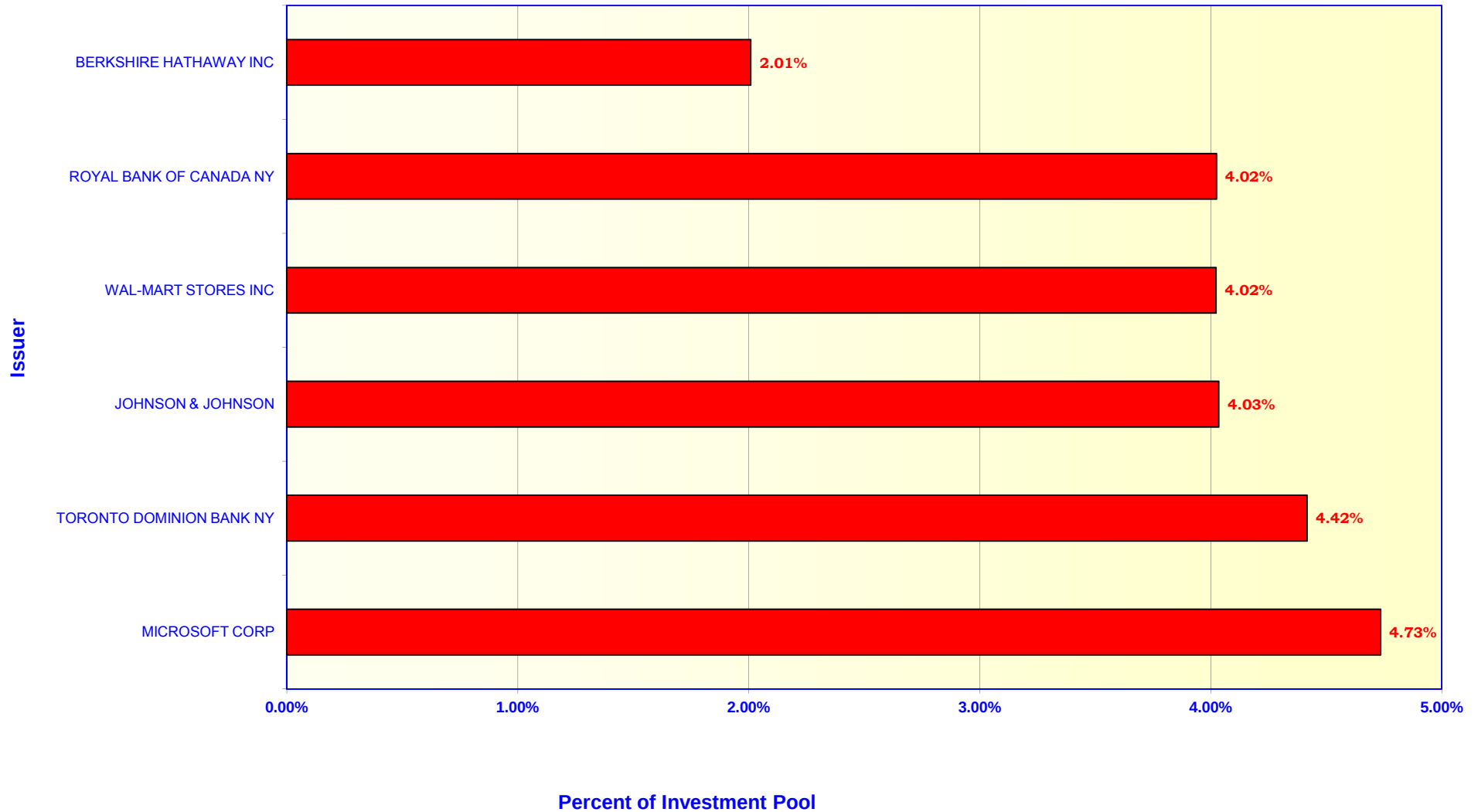
A Includes A+, A- & A

A-1 Includes A-1+, F-1+, P-1, A-1 & F-1

ORANGE COUNTY TREASURER - TAX COLLECTOR
ISSUER CONCENTRATION-By Investment Pool
November 30, 2013



ORANGE COUNTY TREASURER - TAX COLLECTOR
ISSUER CONCENTRATION - JOHN WAYNE AIRPORT INVESTMENT POOL
November 30, 2013



ORANGE COUNTY TREASURER-TAX COLLECTOR

APPROVED ISSUER LIST

November 30, 2013

ISSUER (Shared Structure)	S/T RATINGS			L/T RATINGS		
	S&P	MDY	FI	S&P	MDY	FI
U.S. TREASURY SECURITIES						
US. GOVERNMENT	A-1+	P-1	F1+ *-	AA+	Aaa	AAA *-
U.S. GOVERNMENT AGENCY SECURITIES						
FEDERAL NATIONAL MORTGAGE ASSOCIATION	A-1+	P-1	F1+ *-	AA+	Aaa	AAA *-
FEDERAL HOME LOAN MORTGAGE CORPORATION	A-1+	P-1	F1+ *-	AA+	Aaa	AAA *-
FEDERAL HOME LOAN BANKS	A-1+	P-1	F1+ *-	AA+	Aaa	AAA *-
FEDERAL FARM CREDIT BANKS	A-1+	P-1	F1+ *-	AA+	Aaa	AAA *-
COMMERCIAL PAPER / MEDIUM TERM NOTES						
BERKSHIRE HATHAWAY INC	A-1+	P-1	NR	AA	Aa2	AA-
<i>BERKSHIRE HATHAWAY FINANCE</i>	A-1+	P-1	NR	AA	Aa2	AA-
CHEVRON CORPORATION	A-1+	P-1	NR	AA	Aa1	NR
JOHNSON & JOHNSON	A-1+	P-1	F1+	AAA	Aaa	AAA
MICROSOFT CORP	A-1+	P-1	F1+	AAA	Aaa	AA+
WAL-MART STORES INC	A-1+	P-1	F1+	AA	Aa2	AA
STATE OR NATIONALLY CHARTERED BANKS						
ROYAL BANK OF CANADA NY	A-1+	P-1	F1+	AA-	Aa3	AA
TORONTO DOMINION BANK NY	A-1+	P-1	F1+	AA-	Aa1	AA-
MONEY MARKET MUTUAL FUNDS						
INVESCO GOVERNMENT & AGENCY SHORT-TERM INVESTMENTS TRUST (AIM)	AAAm		Aaa-MF		AAAmmf	
GOLDMAN SACHS FINANCIAL SQUARE GOVT FUND	AAAm		Aaa-MF		NR	
MORGAN STANLEY INSTITUTIONAL LIQUIDITY FUNDS - GOVT	AAAm		Aaa-MF		NR	
NORTHERN INSTITUTIONAL TREASURY PORTFOLIO	AAAm		NR		NR	

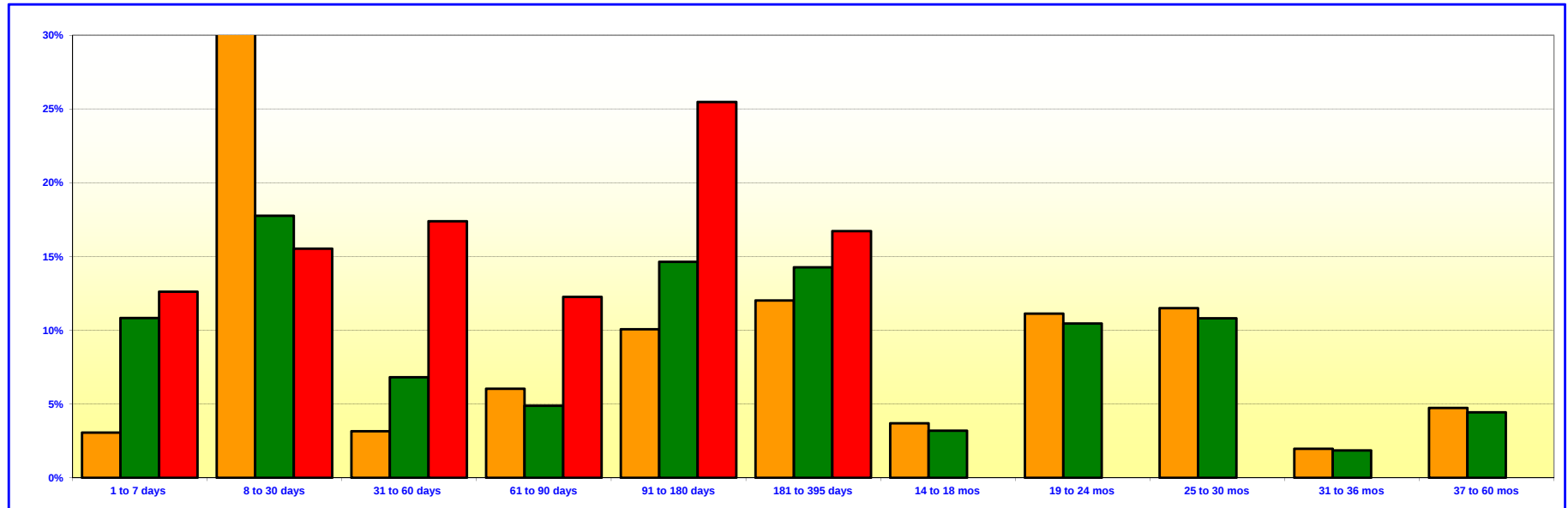
**Orange County Treasurer-Tax Collector
Changes in Approved Issuer's List
For the Month Ended November 30, 2013**

During November, there were two changes to the Treasurer's Approved Issuer List. The Credit Investment Committee approved removal of the following two issuers:

- General Electric Co.
- General Electric Capital Corp.

Both General Electric Co. and General Electric Capital Corp. have been on hold on the Approved Issuer List as the issuer was only rated by two of the three major rating agencies and the parent company, General Electric Co., was downgraded by Moody's from AA2 to AA3 in April 2012. The last holding of General Electric Capital Corp. matured on September 20, 2013. The County has no additional investments in General Electric Co. or General Electric Capital Corp.

ORANGE COUNTY TREASURER - TAX COLLECTOR
MATURITIES DISTRIBUTION
November 30, 2013



ORANGE COUNTY INVESTMENT POOL		
	In Thousands	%
1 TO 7 DAYS	\$ 106,859	3.06%
8 TO 30 DAYS	1,139,955	32.64%
31 TO 60 DAYS	110,040	3.15%
61 TO 90 DAYS	210,940	6.04%
91 TO 180 DAYS	351,349	10.06%
181 TO 395 DAYS	419,685	12.02%
14 TO 18 MONTHS	128,807	3.69%
19 TO 24 MONTHS	388,780	11.13%
25 TO 30 MONTHS	401,785	11.51%
31 TO 36 MONTHS	68,644	1.97%
37 TO 60 MONTHS	165,164	4.73%
TOTAL	\$ 3,492,008	100.00%

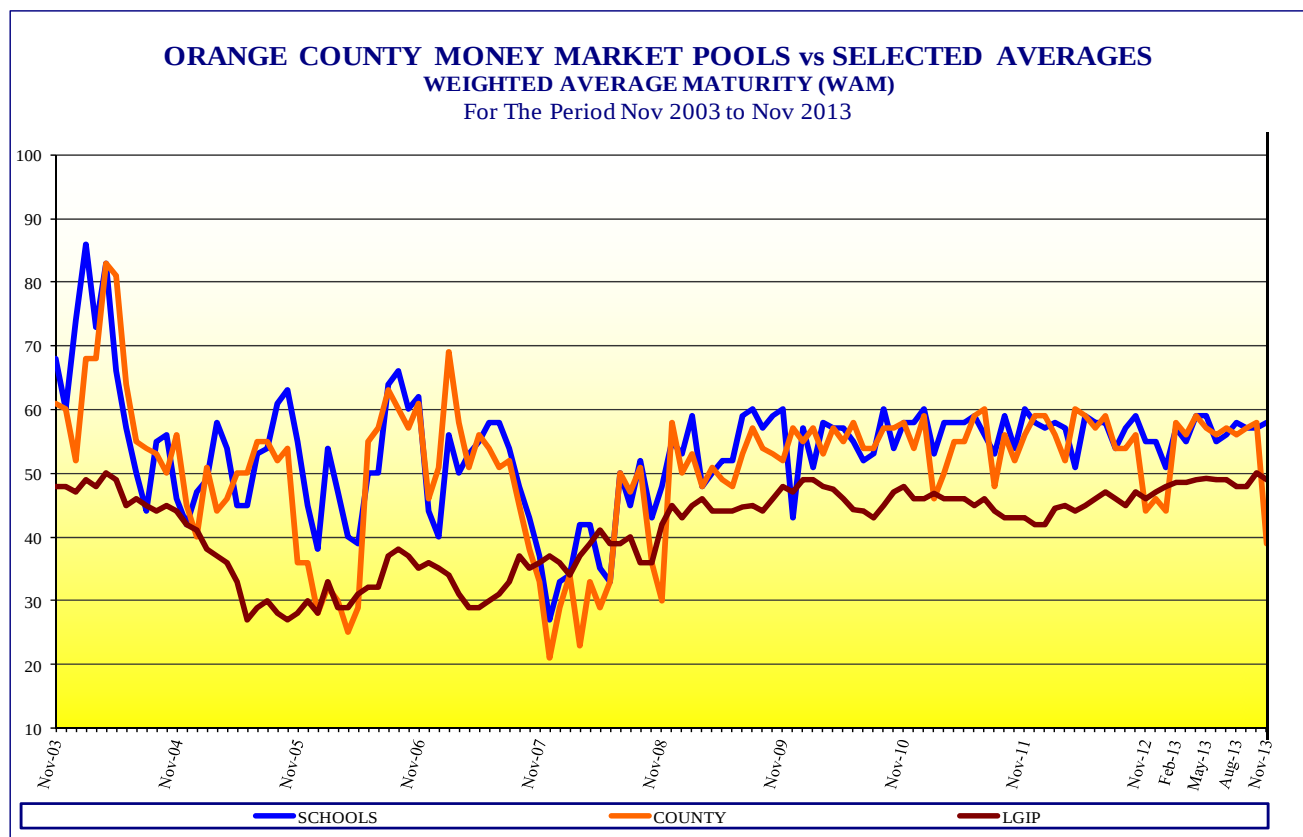
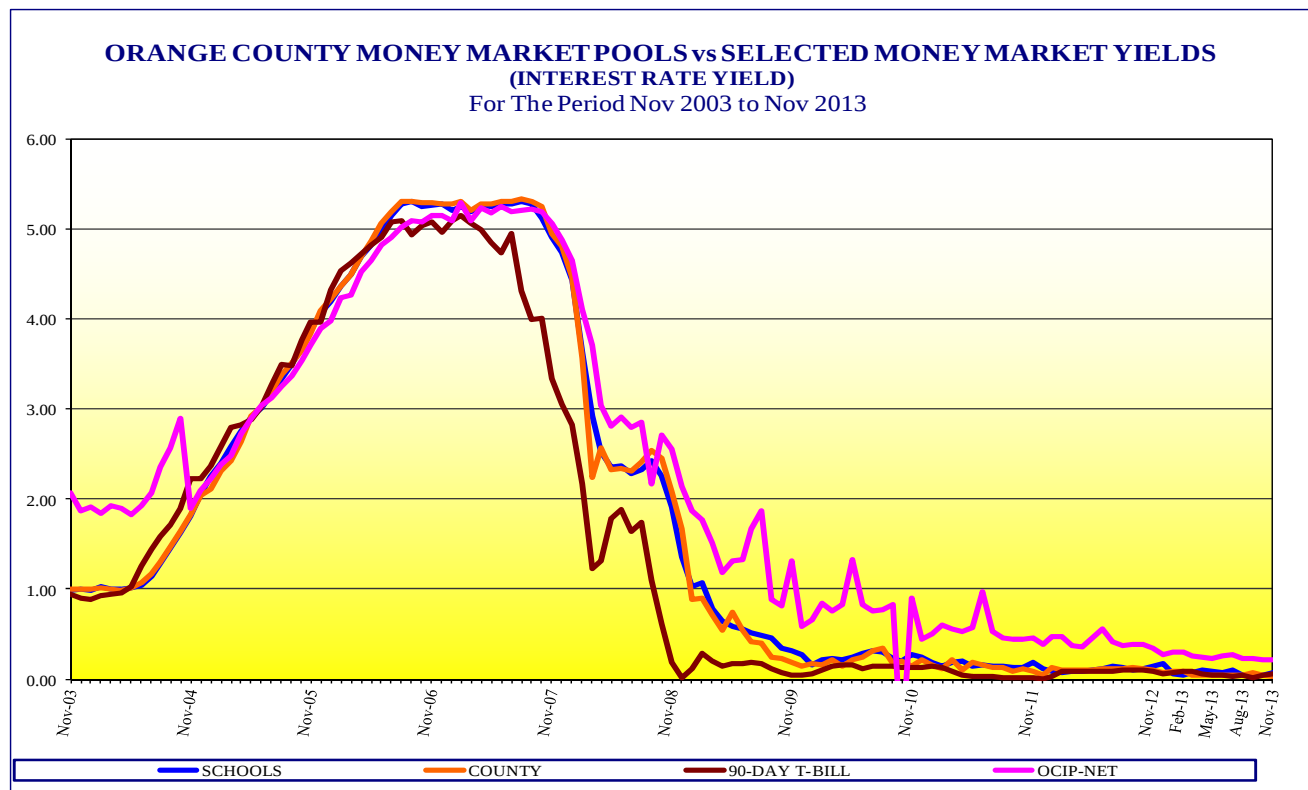
ORANGE COUNTY EDUCATIONAL INVESTMENT POOL		
	In Thousands	%
1 TO 7 DAYS	\$ 322,619	10.83%
8 TO 30 DAYS	529,290	17.77%
31 TO 60 DAYS	202,845	6.81%
61 TO 90 DAYS	145,391	4.88%
91 TO 180 DAYS	436,277	14.65%
181 TO 395 DAYS	425,532	14.28%
14 TO 18 MONTHS	95,143	3.19%
19 TO 24 MONTHS	311,734	10.47%
25 TO 30 MONTHS	322,162	10.82%
31 TO 36 MONTHS	55,041	1.85%
37 TO 60 MONTHS	132,433	4.45%
TOTAL	\$ 2,978,467	100.00%

JOHN WAYNE AIRPORT INVESTMENT POOL		
	In Thousands	%
1 TO 7 DAYS	\$ 6,269	12.62%
8 TO 30 DAYS	7,712	15.53%
31 TO 60 DAYS	8,638	17.39%
61 TO 90 DAYS	6,092	12.26%
91 TO 180 DAYS	12,656	25.48%
181 TO 395 DAYS	8,306	16.72%
TOTAL	\$ 49,673	100.00%

Maturity Limits Are In Compliance With The Orange County Treasurer's Investment Policy Statement

Floating Rate Notes are deemed to have a maturity date equal to their next interest reset date.

At 11/30/2013, Floating Rate Notes comprise 8.64%, 10.80%, and 26.37% of the Orange County Investment Pool, Orange County Educational Investment Pool, and JWA Investment Pool respectively.



• LGIP: Standard and Poor's Local Government Investment Pool

• As of November 30, 2013, LGIP – 0.05; LGIP WAM – 49; 90-Day T-Bill – 0.06; OCIP – Net – 0.22

ORANGE COUNTY TREASURER-TAX COLLECTOR

INVESTMENT POOL YIELDS

December 1, 2012 - November 30, 2013

PERIOD ENDING - MONTH / YEAR	MONTH END MARKET VALUE	EARNINGS FOR MONTH	GROSS AVERAGE YIELD FOR MONTH	MONTH END WAM
<i>Current Month - November 2013</i>				
County Pool - Money Market Fund	\$ 1,819,042,131	\$ 153,214	0.12%	39
Educational Pool - Money Market Fund	\$ 1,638,204,004	\$ 213,523	0.15%	58
Extended Fund	\$ 3,026,493,454	\$ 1,213,102	0.49%	624
<i>October 2013</i>				
County Pool - Money Market Fund	\$ 1,486,030,115	\$ 139,691	0.13%	58
Educational Pool - Money Market Fund	\$ 1,702,755,698	\$ 186,370	0.12%	57
Extended Fund	\$ 3,026,539,250	\$ 1,242,521	0.48%	633
<i>September 2013</i>				
County Pool - Money Market Fund	\$ 1,198,565,150	\$ 153,257	0.15%	57
Educational Pool - Money Market Fund	\$ 1,834,425,928	\$ 187,335	0.12%	57
Extended Fund	\$ 3,024,399,465	\$ 1,233,682	0.49%	645
<i>August 2013</i>				
County Pool - Money Market Fund	\$ 1,221,480,067	\$ 134,037	0.13%	56
Educational Pool - Money Market Fund	\$ 1,837,942,532	\$ 253,357	0.16%	58
Extended Fund	\$ 3,017,453,110	\$ 1,266,459	0.49%	643
<i>July 2013</i>				
County Pool - Money Market Fund	\$ 1,257,658,076	\$ 140,811	0.12%	57
Educational Pool - Money Market Fund	\$ 1,949,109,733	\$ 245,925	0.15%	56
Extended Fund	\$ 2,995,745,835	\$ 1,479,702	0.58%	642
<i>June 2013</i>				
County Pool - Money Market Fund	\$ 1,422,436,315	\$ 162,341	0.13%	56
Educational Pool - Money Market Fund	\$ 1,965,410,761	\$ 232,017	0.16%	55
Extended Fund	\$ 3,018,335,297	\$ 1,343,357	0.54%	655
<i>May 2013</i>				
County Pool - Money Market Fund	\$ 1,751,637,453	\$ 193,558	0.12%	57
Educational Pool - Money Market Fund	\$ 1,765,877,752	\$ 249,444	0.17%	59
Extended Fund	\$ 3,021,491,404	\$ 1,287,435	0.50%	618
<i>April 2013</i>				
County Pool - Money Market Fund	\$ 2,003,879,874	\$ 258,923	0.12%	59
Educational Pool - Money Market Fund	\$ 1,849,615,014	\$ 230,688	0.18%	59
Extended Fund	\$ 3,022,972,361	\$ 1,330,367	0.54%	578
<i>March 2013</i>				
County Pool - Money Market Fund	\$ 1,753,914,514	\$ 161,611	0.12%	56
Educational Pool - Money Market Fund	\$ 1,397,467,217	\$ 198,142	0.15%	55
Extended Fund	\$ 3,015,558,970	\$ 1,397,835	0.54%	570
<i>February 2013</i>				
County Pool - Money Market Fund	\$ 1,592,240,281	\$ 192,434	0.17%	58
Educational Pool - Money Market Fund	\$ 1,534,522,480	\$ 172,140	0.13%	57
Extended Fund	\$ 3,018,646,263	\$ 1,444,641	0.62%	530
<i>January 2013</i>				
County Pool - Money Market Fund	\$ 1,454,442,940	\$ 245,613	0.17%	44
Educational Pool - Money Market Fund	\$ 1,821,642,718	\$ 238,895	0.14%	51
Extended Fund	\$ 3,016,807,055	\$ 1,597,022	0.62%	506
<i>December 2012</i>				
County Pool - Money Market Fund	\$ 2,026,132,533	\$ 298,822	0.15%	46
Educational Pool - Money Market Fund	\$ 2,115,131,168	\$ 343,812	0.25%	55
Extended Fund	\$ 3,026,934,236	\$ 1,470,923	0.57%	495
Fiscal Year July 1, 2013 - June 30, 2014	Average Month End Market Value Balance	YTD Interest Income	YTD Gross Yield	YTD Average
Orange County Investment Pool	\$ 3,069,373,885	\$ 4,291,559	0.34%	374
Orange County Educational Investment Pool	\$ 3,137,795,025	\$ 3,951,428	0.29%	19 307

ORANGE COUNTY TREASURER-TAX COLLECTOR

CASH AVAILABILITY PROJECTION

FOR THE SIX MONTHS ENDING MAY 31, 2014

Government Code Section 53646 (b) (3), effective on January 1, 1996, requires the Treasurer-Tax Collector to include a statement in the investment report, denoting the ability of the Orange County Investment Pool (OCIP) and the Orange County Educational Investment Pool (OCEIP) to meet their expenditure requirements for the next six months.

The OCIP and OCEIP consist of funds in the treasury deposited by various entities required to do so by statute, as well as those entities voluntarily depositing monies in accordance with Government Code Section 53684.

The Treasurer-Tax Collector is required to disburse monies placed in the treasury as directed by the Auditor-Controller and the Department of Education, except for the making of legal investments, to the extent funds are transferred to one or more clearing funds in accordance with Government Code Section 29808.

The Treasurer-Tax Collector, in her projection of cash availability to disburse funds as directed by the Auditor-Controller and the Department of Education, is relying exclusively on historical activity involving deposits and disbursements and future cash flow projections. No representation is made as to an individual depositor's ability to meet their anticipated expenditures with anticipated revenues.

The Cash Availability Projection for the six months ending May 31, 2014, indicates the ability of the pools to meet projected cash flow requirements. However, there will usually be differences between projected and actual results because events and circumstances frequently do not occur as expected and those differences may be material.

ORANGE COUNTY INVESTMENT POOL				
Month	Investment Maturities	Projected Deposits	Projected Disbursements	Cumulative Available Cash
November 2013 - Ending Cash				\$ 26,051,869
December	\$ 1,363,321,026	\$ 2,011,028,530	\$ 1,868,324,283	1,532,077,142
January	133,321,059	417,690,571	936,021,714	1,147,067,059
February	218,540,434	473,847,656	321,956,366	1,517,498,782
March	285,259,228	819,183,101	548,138,058	2,073,803,052
April	110,686,107	1,862,681,668	1,702,225,625	2,344,945,202
May	77,877,922	396,224,712	659,583,676	2,159,464,161

ORANGE COUNTY EDUCATIONAL INVESTMENT POOL				
Month	Investment Maturities	Projected Deposits	Projected Disbursements	Cumulative Available Cash
November 2013 - Ending Cash				\$ 40,289,480
December	\$ 873,579,432	\$ 1,199,370,644	\$ 338,048,800	1,775,190,757
January	181,091,095	412,390,812	689,334,878	1,679,337,786
February	99,485,115	316,458,510	499,185,200	1,596,096,210
March	125,659,536	522,633,213	517,099,141	1,727,289,818
April	91,531,389	1,061,825,764	517,269,912	2,363,377,059
May	104,911,504	221,679,863	509,942,711	2,180,025,715

ORANGE COUNTY TREASURER-TAX COLLECTOR

STATEMENT OF ACCOUNTABILITY FOR THE MONTH ENDED: November 30, 2013

Treasurer's Accountability at the Beginning of the Month		<u>\$6,306,065,236</u>
Cash Receipts:		
County	\$929,851,107	
Schools	<u>502,634,161</u>	
Total Cash Receipts		<u>1,432,485,268</u>
Cash Disbursements:		
County	596,397,701	
Schools	<u>531,336,051</u>	
Total Cash Disbursements		<u>1,127,733,752</u>
Net Change in Book Value of Pooled Assets		<u>304,751,516</u>
Net Increase in Specific Investments		<u>110,617</u>
Treasurer's Accountability at the End of the Month		<u><u>\$6,610,927,369</u></u>

Assets in the Treasury at the end of the period:

O.C. Investment Pool	\$3,503,641,698
O.C. Educational Investment Pool	2,989,857,133
Non Pooled Investments - John Wayne Airport	49,897,575
Non Pooled Investments - Other	1,189,613
Cash in banks - County	25,952,888
Cash in banks - School	40,289,481
Cash in vault	<u>98,981</u>
	<u><u>\$6,610,927,369</u></u>

ORANGE COUNTY TREASURER-TAX COLLECTOR
INVESTMENT POLICY (IPS) COMPLIANCE SUMMARY
November 30, 2013

Investment Policy (IPS) Guidelines		County Money Market Fund		Extended Fund		Educational Money Market Fund		John Wayne Airport Investment Pool	
Diversification Limit	Investment Type	Market Value of Investments (1)	Percent of Portfolio	Market Value of Investments (1)	Percent of Portfolio	Market Value of Investments (1)	Percent of Portfolio	Market Value of Investments (1)	Percent of Portfolio
100%	U.S. Treasuries	\$ 463,057,068	25.45%	\$ 251,778,487	8.32%	\$ 190,069,859	11.60%	\$ -	0.00%
30%	Negotiable Certificates of Deposit	129,003,828	7.09%	-	0.00%	129,492,805	7.91%	4,199,923	8.43%
40%	Commercial Paper	-	0.00%	-	0.00%	-	0.00%	-	0.00%
100%	U.S. Government Agencies	1,080,070,273	59.38%	2,572,158,327	84.99%	1,036,321,289	63.26%	34,281,179	68.82%
20%	Money Market Funds	71,859,336	3.95%	-	0.00%	137,154,501	8.37%	3,962,702	7.96%
30%	Medium-Term Notes	75,051,626	4.13%	202,556,640	6.69%	145,165,550	8.86%	7,367,921	14.79%
30%	Municipal Debt	-	0.00%	-	0.00%	-	0.00%	-	0.00%
100%	Repurchase Agreement	-	0.00%	-	0.00%	-	0.00%	-	0.00%
		\$ 1,819,042,131	100.00%	\$ 3,026,493,454	100.00%	\$ 1,638,204,004	100.00%	\$ 49,811,725	100.00%

Investment Policy Guidelines	Compliance Category (Yes/No)	County Money Market Fund	Extended Fund	Educational Money Market Fund	John Wayne Airport Investment Pool
5%	Percentage Limits				
10%	Issuer Limit	Yes	Yes	Yes	Yes
30%	Money Market Mutual Fund Issuer	Yes	N/A	Yes	Yes
See Above	Government Agencies Issuer	Yes	Yes	Yes	Yes
	Diversification Limit	Yes	Yes	Yes	Yes
60 Days	Maturity/Duration Limits				
>Merrill 1-3 Year Index+25% (2.39)	Weighted Average Maturity	Yes/38.55	N/A	Yes/57.85	Yes/56.45
13 Months/397 days	Duration	N/A	Yes/1.70	N/A	N/A
5 Years/1826 days	Final Maturity - Money Market Fund	Yes/334	N/A	Yes/356	Yes/282
	Final Maturity - Extended Fund	N/A	Yes/1634	N/A	N/A
A-1/≥ A	Quality Limits				
≥ A-1/P-1/F2	MMF Short Term/Long Term	Yes	N/A	Yes	Yes
A-1/≥ AA (2)	Short Term Debt/No Split Ratings	Yes	N/A	Yes	Yes
	Extended Fund Short Term/Long Term	N/A	Yes	N/A	N/A
Approved Issuer List	Authorized Issuer	Yes	Yes	Yes	Yes
Broker/Dealer List	Authorized Financial Dealer/Institution	Yes	Yes	Yes	Yes
0.995 - 1.005	Net Asset Value - Money Market Fund	Yes/1.0001	N/A	Yes/1.0001	Yes/1.0001

(1) All investments are marked to market in compliance with the narrow valuation range prescribed by the IPS and market values are provided by Bloomberg Professional Services and Northern Tru

(2) Excludes US Government Debt per IPS policy approved by the Board of Supervisors on January 8, 2013

NA Not applicable

Note: Compliance exceptions, if any, are noted by red shading for the specific IPS guideline and investment pool.

**ORANGE COUNTY TREASURER-TAX COLLECTOR
INVESTMENT POLICY (IPS) AND TREASURY OVERSIGHT COMMITTEE (TOC) COMPLIANCE SUMMARY
November 30, 2013**

COMPLIANCE CATEGORY	REGULATORY/POLICY GUIDELINES	CURRENT STATUS
Annual Compliance Audit	Performance Evaluation-Cal Govt. Code 27134	Calendar Year 2012 completed.
Quarterly Review	Performance Evaluation-Cal Govt. Code 26920(a)	Quarterly review of Statement of Assets as of 3/31/13 completed.
Annual Audit	Performance Evaluation-Cal Govt. Code 26920(b)	Annual audit of Statement of Assets as of 12/31/12 completed.
Treasury Administrative Fee	Compensation Agreement-Cal Govt. Code 27013	Review of FY 11/12 fees completed. Review of FY 12/13 fees in process.
Annual Broker/Dealer Review	Authorized Financial Dealers and Qualified Institutions	Calendar Year 2012 completed.
Annual Broker/Dealer IPS Certification	Authorized Financial Dealers and Qualified Institutions	All 2013 IPS certifications received.
IPS Compliance Deficiencies	Investment/Diversification/Maturity Restrictions	FY 12/13 identified zero compliance incidents. FY 13/14 identified zero compliance incidents as of November 30, 2013.
TOC Bylaw Changes	TOC Review and BOS Annual Approval	October 31, 2012 changes approved by BOS January 8, 2013. TOC reviewed Bylaw changes at October 30, 2013 meeting.
Annual IPS Approval	TOC Review and BOS Annual Approval	BOS approved IPS changes January 8, 2013. TOC reviewed 2014 IPS changes at October 30, 2013 meeting.
TOC Annual Report	TOC Bylaws Rule 30 - Oral and Written Report	TOC 2012 Annual Report presented to BOS on March 19, 2013.
Broker/Financial Institution List	OC Gift Ban Ordinance and Form 700	TOC members were provided a list of active TTC Broker/Dealers and Financial Institutions at April 23 and October 30, 2013 meetings.
Certificates of Compliance	TOC Bylaws Rule 34 - Annual	TOC members are in compliance for 2013.
Ethics Training	TOC Bylaws Rule 34 - Every Two Years	TOC members are in compliance for 2012.
Conflict of Interest Form 700	TOC Bylaws Rule 34 / IPS - Every Year	TOC members and TTC designated employees are in compliance for 2012.

Orange County Treasurer-Tax Collector
Noncompliance Detail
For the Month Ended November 30, 2013

During November, the Orange County Investment Pool (OCIP), the Orange County Educational Investment Pool (OCEIP), and the John Wayne Airport Investment Pool were all free of noncompliance incidents. The OCIP is comprised of the Orange County Money Market Fund and portions of the Extended Fund. The OCEIP is comprised of the Orange County Educational Money Market Fund and portions of the Extended Fund.

ORANGE COUNTY TREASURER-TAX COLLECTOR

Investment Inventory with Market Value by Entity & Instrument Extended Fund, County and Educational Money Market Funds

As of 30-Nov-2013

Run Date: 03-Dec-2013 01:26 pm
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Deal No.	Settle Date	Maturity Date	CUSIP	S&P	Moodys	Fitch	Face Value	Coupon	Purchase Yield	Capital	Capital Accrual	Interest Accrual	Book Value	Market Value *	Unrealized Gain/(Loss)
Issuer / Broker															
UNITED STATES DOLLAR															
<u>County Money Mkt Fnd</u>															
FUNDS															
INVESCO STIC GOVERNMENT & AGENCY															
	12/1/13			AAAm	AAA-mf	AAAmmf	35,868.24		0.0300	35,868.24	0.00	0.00	35,868.24	35,868.24	0.00
GOLDMAN SACHS - COUNTY MMF															
	12/1/13			AAAm	AAA-mf		551,612.10		0.0060	551,612.10	0.00	0.00	551,612.10	551,612.10	0.00
MORGAN STANLEY GOVT 8302-COUNTY MMF															
	12/1/13			AAAm	Aaa		71,271,855.53		0.0400	71,271,855.53	0.00	0.00	71,269,064.01	71,271,855.53	0.00
Subtotal for FUNDS:							71,859,335.87		0.0397	71,859,335.87	0.00	0.00	71,859,335.87	71,859,335.87	0.00
CERTIFICATES OF DEPOSIT															
YANKEE CD															
TORONTO DOMINION BANK NY / TDSI															
687720	11/25/13	12/17/13	89112TUC6	A-1+	P-1	F1+	25,000,000.00	0.0800	0.0800	25,000,000.00	0.00	333.33	25,000,000.00	25,000,000.00	0.00
TORONTO DOMINION BANK NY / TD SECURITIES															
681530	10/21/13	1/15/14	89112TPC2	A-1+	P-1	F1+	5,000,000.00	0.1500	0.1500	5,000,000.00	0.00	854.17	5,000,000.00	5,000,195.50	195.50
TORONTO DOMINION BANK NY / TDSI															
668414	8/5/13	2/5/14	89112TEZ3	A-1+	P-1	F1+	10,000,000.00	0.2100	0.2100	10,000,000.00	0.00	6,883.33	10,000,000.00	10,001,320.00	1,320.00
TORONTO DOMINION BANK NY / TDSI															
685565	11/13/13	2/13/14	89112TSP0	A-1+	P-1	F1+	15,000,000.00	0.1300	0.1300	15,000,000.00	0.00	975.00	15,000,000.00	14,999,683.50	(316.50)
TORONTO DOMINION BANK NY / TDSI															
671468	8/22/13	8/22/14	89112TGU2	AA-	Aa1	AA-	15,000,000.00	0.3000	0.3000	15,000,000.00	0.00	12,625.00	15,000,000.00	15,009,940.50	9,940.50
Subtotal for YANKEE CD:							70,000,000.00	0.1614	0.1614	70,000,000.00	0.00	21,670.83	70,000,000.00	70,011,139.50	11,139.50
YANKEE CD FLOATER															
ROYAL BANK OF CANADA NY / RBC															
638823	2/19/13	2/19/14	78009NLF1	AA-	Aa3e	NR	20,000,000.00	0.3100	0.3030	20,000,000.00	0.00	2,066.67	20,000,000.00	19,997,723.40	(2,276.60)
ROYAL BANK OF CANADA NY / RBC															
645232	3/26/13	3/26/14	78009NMC7	AA-	Aa3e	N.A	20,000,000.00	0.3100	0.3000	20,000,000.00	0.00	11,366.67	20,000,000.00	19,999,346.20	(653.80)
TORONTO DOMINION BANK NY / TDSI															
660322	6/19/13	6/19/14	89112W6D4	AA-	Aa1	AA-	10,000,000.00	0.2305	0.2305	10,000,000.00	0.00	768.33	10,000,000.00	9,996,149.60	(3,850.40)
ROYAL BANK OF CANADA NY / RBC															
660956	6/24/13	6/24/14	78009NNK8	AA-	Aa3	F1+	9,000,000.00	0.2895	0.2895	9,000,000.00	0.00	434.25	9,000,000.00	8,999,468.91	(531.09)
Subtotal for YANKEE CD FLOATER:							59,000,000.00	0.2934	0.2877	59,000,000.00	0.00	14,635.92	59,000,000.00	58,992,688.11	(7,311.89)
Subtotal for CERTIFICATES OF DEPOSIT:							129,000,000.00	0.2218	0.2192	129,000,000.00	0.00	36,306.75	129,000,000.00	129,003,827.61	3,827.61
GOVERNMENT AGENCY															
DISCOUNT NOTES															

ORANGE COUNTY TREASURER-TAX COLLECTOR

Investment Inventory with Market Value by Entity & Instrument Extended Fund, County and Educational Money Market Funds

As of 30-Nov-2013

Run Date: 03-Dec-2013 01:26 pm
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Deal No.	Settle Date	Maturity Date	CUSIP	S&P	Moodys	Fitch	Face Value	Coupon	Purchase Yield	Capital	Capital Accrual	Interest Accrual	Book Value	Market Value *	Unrealized Gain/(Loss)
Issuer / Broker															
UNITED STATES DOLLAR															
<u>County Money Mkt Fnd</u>															
GOVERNMENT AGENCY															
DISCOUNT NOTES															
FHLB DISC CORP / DEUTSCHE															
687997	11/26/13	12/13/13	313385QL3	AA+	Aaa	AAA	13,400,000.00		0.0500	13,399,683.61	0.00	93.06	13,399,776.67	13,399,919.60	142.93
FREDDIE DISCOUNT / BARCLAYS CAPITAL															
682280	10/24/13	12/16/13	313397QP9	AA+	Aaa	AAA	1,000,000.00		0.0400	999,941.11	0.00	42.22	999,983.33	999,942.84	(40.49)
FREDDIE DISCOUNT / CITIGROUP GLOBAL MARKETS															
684141	11/4/13	12/16/13	313397QP9	AA+	Aaa	AAA	19,600,000.00		0.0400	19,599,085.33	0.00	588.00	19,599,673.33	19,598,879.66	(793.67)
FREDDIE DISCOUNT / BARCLAYS CAPITAL															
686108	11/15/13	12/16/13	313397QP9	AA+	Aaa	AAA	50,000,000.00		0.0550	49,997,631.94	0.00	1,222.22	49,998,854.16	49,997,142.00	(1,712.16)
FHLB DISC CORP / GOLDMAN SACHS GROUP INC															
687732	11/25/13	12/17/13	313385QQ2	AA+	Aaa	AAA	11,800,000.00		0.0500	11,799,639.44	0.00	98.33	11,799,737.77	11,799,277.25	(460.52)
FNMA DISCOUNT / DEUTSCHE															
672142	8/26/13	12/18/13	313589QR7	AA+	Aaa	AAA	20,000,000.00		0.1150	19,992,716.67	0.00	6,197.22	19,998,913.89	19,999,820.00	906.11
FNMA DISCOUNT / DEUTSCHE															
682581	10/25/13	12/18/13	313589QR7	AA+	Aaa	AAA	6,000,000.00		0.0300	5,999,730.00	0.00	185.00	5,999,915.00	5,999,946.00	31.00
FED FARM CR BK / JP MORGAN CHASE & CO															
682797	10/28/13	12/18/13	313313QR2	AA+	Aaa	AAA	35,000,000.00		0.0300	34,998,512.50	0.00	991.67	34,999,504.17	34,997,713.45	(1,790.72)
FHLB DISC CORP / BARCLAYS CAPITAL															
684395	11/5/13	12/18/13	313385QR0	AA+	Aaa	AAA	14,291,000.00		0.0350	14,290,402.56	0.00	361.24	14,290,763.80	14,290,066.37	(697.43)
FREDDIE DISCOUNT / NOMURA SECURITIES															
687992	11/26/13	12/18/13	313397QR5	AA+	Aaa	AAA	5,000,000.00		0.0450	4,999,862.50	0.00	31.25	4,999,893.75	4,999,955.00	61.25
FREDDIE DISCOUNT / NOMURA SECURITIES															
687993	11/26/13	12/18/13	313397QR5	AA+	Aaa	AAA	50,000,000.00		0.0450	49,998,625.00	0.00	312.50	49,998,937.50	49,999,550.00	612.50
FREDDIE DISCOUNT / NOMURA SECURITIES															
688259	11/27/13	12/18/13	313397QR5	AA+	Aaa	AAA	48,468,000.00		0.0450	48,466,727.72	0.00	242.34	48,466,970.06	48,467,563.79	593.73
FREDDIE DISCOUNT / BARCLAY CAPITAL															
684879	11/7/13	12/19/13	313397QS3	AA+	Aaa	AAA	15,000,000.00		0.0450	14,999,212.50	0.00	450.00	14,999,662.50	14,998,958.85	(703.65)
FHLB DISC CORP / BANK OF AMERICA															
682796	10/28/13	12/20/13	313385QT6	AA+	Aaa	AAA	44,100,000.00		0.0300	44,098,052.25	0.00	1,249.50	44,099,301.75	44,096,759.09	(2,542.66)
FHLB DISC CORP / DEUTSCHE															
684394	11/5/13	12/20/13	313385QT6	AA+	Aaa	AAA	40,100,000.00		0.0450	40,097,744.38	0.00	1,303.25	40,099,047.63	40,097,053.05	(1,994.58)
FHLB DISC CORP / MORGAN STANLEY															
685567	11/13/13	12/20/13	313385QT6	AA+	Aaa	AAA	7,000,000.00		0.0600	6,999,568.33	0.00	210.00	6,999,778.33	6,999,485.57	(292.76)
FHLB DISC CORP / DEUTSCHE															

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>County Money Mkt Fnd</u>															
GOVERNMENT AGENCY															
DISCOUNT NOTES															
685908	11/14/13	12/20/13	313385QT6	AA+	Aaa	AAA	10,792,000.00		0.0600	10,791,352.48	0.00	305.77	10,791,658.25	10,791,206.90	(451.35)
FED FARM CR BK / DAIWA CAPITAL MARKETS															
686111	11/15/13	12/20/13	313313QT8	AA+	Aaa	AAA	20,000,000.00		0.0600	19,998,833.33	0.00	533.33	19,999,366.66	19,998,530.20	(836.46)
FHLB DISC CORP / JEFFERIES & COMPANY															
686161	11/18/13	12/20/13	313385QT6	AA+	Aaa	AAA	44,600,000.00		0.0650	44,597,423.11	0.00	1,046.86	44,598,469.97	44,596,722.35	(1,747.62)
FHLB DISC CORP / RBS GREENWICH CAPITAL															
677853	9/27/13	12/27/13	313385RA6	AA+	Aaa	AAA	5,000,000.00		0.0400	4,999,494.44	0.00	361.11	4,999,855.55	4,999,930.00	74.45
FNMA DISCOUNT / BANK OF AMERICA															
678863	10/3/13	1/2/14	313589RG0	AA+	Aaa	AAA	15,560,000.00		0.0600	15,557,640.07	0.00	1,530.06	15,559,170.13	15,559,470.96	300.83
FHLB DISC CORP / JP MORGAN CHASE & CO															
678864	10/3/13	1/2/14	313385RG3	AA+	Aaa	AAA	15,000,000.00		0.0650	14,997,535.42	0.00	1,597.91	14,999,133.33	14,999,490.00	356.67
FHLB DISC CORP / JP MORGAN CHASE & CO															
685903	11/14/13	1/15/14	313385RV0	AA+	Aaa	AAA	12,700,000.00		0.0600	12,698,687.67	0.00	359.83	12,699,047.50	12,699,377.70	330.20
FHLB DISC CORP / DAIWA CAPITAL MARKETS															
685918	11/14/13	1/15/14	313385RV0	AA+	Aaa	AAA	8,000,000.00		0.0600	7,999,173.33	0.00	226.67	7,999,400.00	7,999,608.00	208.00
FNMA DISCOUNT / CITIGROUP GLOBAL MARKETS															
681785	10/22/13	1/27/14	313589SH7	AA+	Aaa	AAA	15,730,000.00		0.1300	15,724,490.13	0.00	2,272.11	15,726,762.24	15,729,024.74	2,262.50
FREDDIE DISCOUNT / JEFFERIES & COMPANY															
680542	10/16/13	2/14/14	313397TB7	AA+	Aaa	AAA	38,600,000.00		0.1500	38,580,539.17	0.00	7,398.33	38,587,937.50	38,586,178.50	(1,759.00)
FREDDIE DISCOUNT / MORGAN STANLEY															
680310	10/11/13	3/3/14	313397TU5	AA+	Aaa	AAA	5,000,000.00		0.1550	4,996,921.53	0.00	1,097.92	4,998,019.45	4,998,019.00	(0.45)
Subtotal for DISCOUNT NOTES:							571,741,000.00	0.0000	0.0603	571,679,226.52	0.00	30,307.70	571,709,534.22	571,699,590.87	(9,943.35)
GOVT AGENCY-FIX-30/360															
FED HM LN BK BD / DAIWA CAPITAL MARKETS															
682576	10/25/13	12/13/13	3133XSP93	AA+	Aaa	AAA	9,470,000.00	3.1250	0.0500	9,508,803.33	(29,102.50)	29,593.75	9,479,700.83	9,481,316.65	1,615.82
FANNIE MAE / BNP PARIBAS															
681553	10/21/13	12/18/13	31398A5W8	AA+	Aaa	AAA	32,095,000.00	0.7500	0.0801	32,128,956.51	(23,829.13)	26,745.83	32,105,127.38	32,106,714.68	1,587.29
FANNIE MAE / BNP PARIBAS															
681554	10/21/13	12/18/13	31398A5W8	AA+	Aaa	AAA	50,000,000.00	0.7500	0.0801	50,052,900.00	(37,122.81)	41,666.67	50,015,777.19	50,018,250.00	2,472.81
FANNIE MAE / JP MORGAN CHASE & CO															
682287	10/24/13	12/18/13	31398A5W8	AA+	Aaa	AAA	550,000.00	0.7500	0.0698	550,559.90	(383.64)	423.96	550,176.26	550,200.75	24.49
FANNIE MAE / BARCLAYS CAPITAL															
682288	10/24/13	12/18/13	31398A5W8	AA+	Aaa	AAA	1,004,000.00	0.7500	0.0548	1,005,045.16	(716.13)	773.92	1,004,329.03	1,004,366.46	37.43

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>County Money Mkt Fnd</u>															
GOVERNMENT AGENCY															
GOVT AGENCY-FIX-30/360															
FANNIE MAE / JEFFERIES & COMPANY															
683321	10/30/13	12/18/13	31398A5W8	AA+	Aaa	AAA	3,100,000.00	0.7500	0.0500	3,102,888.24	(1,865.32)	2,002.08	3,101,022.92	3,101,131.50	108.58
FED HM LN BK BD / BNP PARIBAS															
681784	10/22/13	1/29/14	313376UF0	AA+	Aaa	AAA	8,915,000.00	0.3750	0.0851	8,921,918.04	(2,781.48)	3,621.72	8,919,136.56	8,919,368.35	231.79
FANNIE MAE / DEUTSCHE															
681057	10/17/13	2/5/14	31398AVD1	AA+	Aaa	AAA	3,191,000.00	2.7500	0.1300	3,216,029.57	(10,197.23)	10,725.31	3,205,832.34	3,206,667.81	835.47
FED HM LN BK BD / CRED SUIS SECURITIES															
638555	2/15/13	2/14/14	313382BT9	AA+	Aaa	AAA	20,000,000.00	0.1250	0.1926	19,986,540.00	10,723.01	7,430.56	19,997,263.01	20,001,600.00	4,336.99
FREDDIE MAC / JEFFERIES & COMPANY															
655817	5/23/13	2/25/14	3137EACR8	AA+	Aaa	AAA	25,000,000.00	1.3750	0.1380	25,233,446.75	(161,352.90)	91,666.67	25,072,093.85	25,076,125.00	4,031.15
FANNIE MAE / CANTOR FITZGERALD															
656805	5/30/13	2/27/14	3135G0AP8	AA+	Aaa	AAA	15,000,000.00	1.2500	0.1433	15,123,000.00	(83,382.02)	48,958.33	15,039,617.98	15,042,150.00	2,532.02
FED HM LN BK BD / CRED SUIS SECURITIES															
645746	3/28/13	3/27/14	313382M42	AA+	Aaa	N.A	20,000,000.00	0.1250	0.1876	19,987,540.00	8,433.93	4,444.44	19,995,973.93	20,000,600.00	4,626.07
FED HM LN BK BD / CRED SUIS SECURITIES															
647984	4/11/13	4/11/14	313382RL9	AA+	Aaa	AAA	10,000,000.00	0.1250	0.1825	9,994,260.00	3,667.22	1,736.11	9,997,927.22	9,999,800.00	1,872.78
FED HM LN BK BD / CRED SUIS SECURITIES															
659829	6/17/13	6/11/14	313383L66	AA+	Aaa	AAA	17,000,000.00	0.1250	0.1723	16,992,095.00	3,662.20	9,680.55	16,995,757.20	16,997,790.00	2,032.80
FED HM LN BK BD / BNP PARIBAS															
675128	9/12/13	9/12/14	313383ZP9	AA+	Aaa	AAA	15,000,000.00	0.1700	0.2078	14,994,345.00	1,240.96	5,595.83	14,995,585.96	15,002,100.00	6,514.04
Subtotal for GOVT AGENCY-FIX-30/360:							230,325,000.00	0.7417	0.1283	230,798,327.50	(323,005.84)	285,065.73	230,475,321.66	230,508,181.20	32,859.53
GOVT AGENCY-FLOAT-ACT/360															
FED HM LN BK BD / DAIWA CAPITAL MARKETS															
682279	10/24/13	12/4/13	313379QU6	AA+	Aaa	AAA	25,000,000.00	0.1700	0.0448	25,003,701.00	(3,430.20)	4,331.65	25,000,270.80	25,003,750.00	3,479.20
FED FARM CR BK / BNP PARIBAS															
626888	12/7/12	12/6/13	3133EC6H2	AA+	Aaa	AAA	10,000,000.00	0.1800	0.1900	9,999,000.00	986.26	4,300.00	9,999,986.26	10,000,100.00	113.74
FED FARM CR BK / MORGAN STANLEY															
630260	12/27/12	12/27/13	3133ECC51	AA+	Aaa	AAA	25,000,000.00	0.1200	0.1817	24,998,738.00	1,172.10	5,416.66	24,999,910.10	25,001,500.00	1,589.90
FED FARM CR BK / MORGAN STANLEY															
630261	12/27/12	12/27/13	3133ECC69	AA+	Aaa	AAA	25,000,000.00	0.1800	0.1959	24,997,475.25	2,344.90	6,770.84	24,999,820.15	25,000,750.00	929.85
FED FARM CR BK / CASTLE OAK SECURITIES															
656026	5/24/13	2/24/14	3133ECQ72	AA+	Aaa	AAA	25,000,000.00	0.1200	0.1200	25,000,000.00	0.00	583.33	25,000,000.00	25,002,500.00	2,500.00
FED HM LN BK BD / BNP PARIBAS															

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>County Money Mkt Fnd</u>															
GOVERNMENT AGENCY															
GOVT AGENCY-FLOAT-ACT/360															
682278	10/24/13	4/15/14	313380VN4	AA+	Aaa	AAA	25,000,000.00	0.1500	0.0951	25,008,450.00	(1,856.07)	3,913.82	25,006,593.93	25,008,500.00	1,906.07
FED FARM CR BK / CASTLE OAK SECURITIES															
651834	5/1/13	5/1/14	3133ECNM2	AA+	Aaa	AAA	30,000,000.00	0.1200	0.1275	29,997,750.00	1,319.18	3,000.00	29,999,069.18	30,003,600.00	4,530.82
FED FARM CR BK / UBS FINANCIAL SERVICES															
652089	5/2/13	5/1/14	3133ECN26	AA+	Aaa	AAA	10,000,000.00	0.1282	0.1382	9,999,000.00	585.16	0.00	9,999,585.16	9,999,320.00	(265.16)
Subtotal for GOVT AGENCY-FLOAT-ACT/360:							175,000,000.00	0.1439	0.1317	175,004,114.25	1,121.33	28,316.30	175,005,235.58	175,020,020.00	14,784.42
GOVT AGENCY-FLOAT-ACT/ACT															
FED FARM CR BK / BARCLAYS CAPITAL															
645748	3/28/13	3/5/14	3133ECH49	AA+	Aaa	AAA	40,000,000.00	0.1300	0.1311	39,987,010.40	9,419.36	12,428.57	39,996,429.76	39,998,800.00	2,370.24
FED FARM CR BK / RBC															
651211	4/29/13	4/21/14	31331KH1	AA+	Aaa	AAA	7,685,000.00	0.2210	0.1271	7,691,759.57	(4,089.82)	1,892.22	7,687,669.75	7,688,919.35	1,249.60
FED FARM CR BK / JP MORGAN CHASE & CO															
652087	5/2/13	5/2/14	3133ECNH3	AA+	Aaa	AAA	20,000,000.00	0.1200	0.1300	19,998,000.00	1,167.12	1,891.30	19,999,167.12	20,000,200.00	1,032.88
Subtotal for GOVT AGENCY-FLOAT-ACT/ACT:							67,685,000.00	0.1374	0.1304	67,676,769.97	6,496.66	16,212.09	67,683,266.63	67,687,919.35	4,652.72
GOV'T AGY - CALLABLE															
FANNIE MAE / NOMURA SECURITIES															
688004	11/26/13	12/5/13	3135G0SE4	AA+	Aaa	AAA	10,150,000.00	0.4200	0.4148	10,150,801.85	(445.47)	592.08	10,150,356.38	10,150,812.00	455.62
FED HM LN BK BD / MORGAN STANLEY															
681055	10/17/13	10/30/14	3130A0A91	AA+	Aaa	AAA	25,000,000.00	0.2500	0.2500	25,000,000.00	0.00	7,638.89	25,000,000.00	25,003,750.00	3,750.00
Subtotal for GOV'T AGY - CALLABLE:							35,150,000.00	0.2991	0.2976	35,150,801.85	(445.47)	8,230.97	35,150,356.38	35,154,562.00	4,205.62
Subtotal for GOVERNMENT AGENCY:							1,079,901,000.00	0.1998	0.0985	1,080,309,240.09	(315,833.32)	368,132.79	1,080,023,714.47	1,080,070,273.42	46,558.94
MEDIUM TERM NOTES															
MEDIUM TERM NOTE															
BERKSHIRE HATHAWAY INC / CITIGROUP GLOBAL MARKETS															
641199	3/4/13	1/10/14	084664BR1	AA+	Aa2	A+	1,385,000.00	1.5000	0.2916	1,399,196.25	(12,386.92)	8,136.88	1,386,809.33	1,385,290.85	(1,518.48)
WAL-MART STORES INC / CRED SUIS SECURITIES															
675132	9/12/13	4/15/14	931142DA8	AA	Aa2	AA	14,560,000.00	1.6250	0.1818	14,684,196.80	(46,063.60)	30,232.22	14,638,133.20	14,640,662.40	2,529.20
JOHNSON & JOHNSON / BNP PARIBAS															
652740	5/7/13	5/15/14	478160AX2	AAA	Aaa	AAA	500,000.00	1.2000	0.2431	504,881.50	(2,706.05)	266.67	502,175.45	502,475.00	299.55
WAL-MART STORES INC / MORGAN STANLEY															
688003	11/26/13	5/15/14	931142CQ4	AA	Aa2	AA	4,500,000.00	3.2000	0.1799	4,563,720.00	(1,885.21)	2,000.00	4,561,834.79	4,563,720.00	1,885.21

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>County Money Mkt Fnd</u>															
MEDIUM TERM NOTES															
MEDIUM TERM NOTE															
MICROSOFT CORP / BNP PARIBAS															
661576	6/26/13	6/1/14	594918AB0	AA+	Aaa	AAA	13,000,000.00	2.9500	0.3059	13,319,150.00	(147,666.42)	0.00	13,171,483.58	13,181,870.00	10,386.42
MICROSOFT CORP / BARCLAYS CAPITAL															
661820	6/27/13	6/1/14	594918AB0	AA+	Aaa	AAA	10,271,000.00	2.9500	0.3055	10,522,434.08	(115,930.68)	0.00	10,406,503.40	10,414,691.29	8,187.89
MICROSOFT CORP / BNP PARIBAS															
662200	7/1/13	6/1/14	594918AB0	AAA	Aaa	AA+	10,000,000.00	2.9500	0.3053	10,241,890.00	(109,950.00)	0.00	10,131,940.00	10,139,900.00	7,960.00
MICROSOFT CORP / BARCLAYS CAPITAL															
662206	7/1/13	6/1/14	594918AB0	AAA	Aaa	AA+	265,000.00	2.9500	0.3052	271,410.35	(2,913.80)	0.00	268,496.55	268,707.35	210.80
MICROSOFT CORP / JEFFERIES & COMPANY															
663448	7/8/13	6/1/14	594918AB0	AAA	Aaa	AA+	349,000.00	2.9500	0.2952	357,295.16	(3,672.47)	0.00	353,622.69	353,882.51	259.82
MICROSOFT CORP / MORGAN STANLEY															
665141	7/17/13	6/1/14	594918AB0	AAA	Aaa	AA+	16,620,000.00	2.9500	0.2543	17,010,071.40	(166,463.59)	0.00	16,843,607.81	16,852,513.80	8,905.99
MICROSOFT CORP / CASTLE OAK SECURITIES															
682794	10/28/13	6/1/14	594918AB0	AA+	Aaa	AAA	2,710,000.00	2.9500	0.1737	2,754,471.10	(6,889.89)	0.00	2,747,581.21	2,747,912.90	331.69
Subtotal for MEDIUM TERM NOTE:							74,160,000.00	2.6662	0.2566	75,628,716.64	(616,528.63)	40,635.77	75,012,188.01	75,051,626.10	39,438.09
Subtotal for MEDIUM TERM NOTES:							74,160,000.00	2.6662	0.2566	75,628,716.64	(616,528.63)	40,635.77	75,012,188.01	75,051,626.10	39,438.09
TREASURIES															
TREASURY BILL															
US TREASURY N/B / DEUTSCHE															
682866	10/29/13	12/19/13	912796BQ1	AA+	Aaa	AAA	18,000,000.00		0.0440	17,998,878.00	0.00	726.00	17,998,878.00	17,999,875.08	997.08
US TREASURY N/B / DEUTSCHE															
682871	10/29/13	12/19/13	912796BQ1	AA+	Aaa	AAA	25,000,000.00		0.0470	24,998,335.42	0.00	1,077.08	24,998,335.42	24,999,826.50	1,491.08
US TREASURY N/B / BNP PARIBAS															
683336	10/30/13	12/19/13	912796BQ1	AA+	Aaa	AAA	25,000,000.00		0.0450	24,998,437.50	0.00	1,000.00	24,998,437.50	24,999,826.50	1,389.00
US TREASURY N/B / JEFFERIES & COMPANY															
683655	10/31/13	12/19/13	912796BQ1	AA+	Aaa	AAA	30,000,000.00		0.0465	29,998,101.25	0.00	1,201.25	29,998,101.25	29,999,791.80	1,690.55
US TREASURY N/B / MORGAN STANLEY															
686674	11/19/13	12/19/13	912796BQ1	AA+	Aaa	AAA	50,000,000.00		0.0455	49,998,104.17	0.00	758.33	49,998,104.17	49,999,653.00	1,548.83
US TREASURY N/B / DAIWA CAPITAL MARKETS															
686949	11/20/13	12/19/13	912796BQ1	AA+	Aaa	AAA	50,000,000.00		0.0500	49,997,986.11	0.00	763.89	49,997,986.11	49,999,653.00	1,666.89
US TREASURY N/B / JEFFERIES & COMPANY															
687178	11/21/13	12/19/13	912796BQ1	AA+	Aaa	AAA	50,000,000.00		0.0580	49,997,744.44	0.00	805.56	49,997,744.44	49,999,653.00	1,908.56

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Issuer / Broker															
UNITED STATES DOLLAR															
County Money Mkt Fnd															
TREASURIES															
Subtotal for TREASURY BILL:							248,000,000.00	0.0000	0.0490	247,987,586.89	0.00	6,332.11	247,987,586.89	247,998,278.88	10,691.99
TREASURY BOND															
US TREASURY N/B / DEUTSCHE															
681306	10/18/13	12/15/13	912828PL8	AA+	Aaa	AAA	15,000,000.00	0.7500	0.0594	15,016,406.25	(12,446.12)	13,524.59	15,003,960.13	15,004,101.60	141.47
US TREASURY N/B / BARCLAY CAPITAL															
682035	10/23/13	12/15/13	912828PL8	AA+	Aaa	AAA	25,000,000.00	0.7500	0.0215	25,026,367.19	(19,402.27)	19,979.51	25,006,964.92	25,006,836.00	(128.92)
US TREASURY N/B / JEFFERIES & COMPANY															
682285	10/24/13	12/15/13	912828PL8	AA+	Aaa	AAA	25,000,000.00	0.7500	0.0349	25,025,390.63	(18,554.69)	19,467.21	25,006,835.94	25,006,836.00	0.06
US TREASURY N/B / BARCLAYS CAPITAL															
682573	10/25/13	12/15/13	912828PL8	AA+	Aaa	AAA	50,000,000.00	0.7500	0.0210	50,050,781.25	(36,841.30)	37,909.84	50,013,939.95	50,013,672.00	(267.95)
US TREASURY N/B / UBS FINANCE (DE) LLC															
682872	10/29/13	12/15/13	912828PL8	AA+	Aaa	AAA	25,000,000.00	0.7500	0.0198	25,023,437.50	(16,456.12)	16,905.74	25,006,981.38	25,006,836.00	(145.38)
US TREASURY N/B / BARCLAYS CAPITAL															
684147	11/4/13	12/15/13	912828PL8	AA+	Aaa	AAA	25,000,000.00	0.7500	0.0523	25,019,531.25	(12,862.04)	13,831.97	25,006,669.21	25,006,836.00	166.79
US TREASURY N/B / BARCLAYS CAPITAL															
684148	11/4/13	12/15/13	912828PL8	AA+	Aaa	AAA	25,000,000.00	0.7500	0.0523	25,019,531.25	(12,862.04)	13,831.97	25,006,669.21	25,006,836.00	166.79
US TREASURY N/B / BARCLAYS CAPITAL															
684398	11/5/13	12/15/13	912828PL8	AA+	Aaa	AAA	25,000,000.00	0.7500	0.0349	25,019,531.25	(12,695.31)	13,319.67	25,006,835.94	25,006,836.00	0.06
Subtotal for TREASURY BOND:							215,000,000.00	0.7500	0.0341	215,200,976.57	(142,119.89)	148,770.50	215,058,856.68	215,058,789.60	(67.08)
Subtotal for TREASURIES:							463,000,000.00	0.3483	0.0421	463,188,563.46	(142,119.89)	155,102.61	463,046,443.57	463,057,068.48	10,624.91
Total County Money Mkt Fnd :							1,817,920,335.87	0.3319	0.0968	1,819,985,856.06	(1,074,481.84)	600,177.92	1,818,941,681.92	1,819,042,131.48	100,449.55
Net Asset Value (NAV):														1.000055	
Educational Money Mkt Fnd															
FUNDS															
GOLDMAN SACHS - EDUCATIONAL MMF															
	12/1/13			AAAm	AAA-mf		216,216.45		0.0068	216,216.45	0.00	0.00	216,216.45	216,216.45	0.00
INVESCO STIC GOVERNMENT & AGENCY															
	12/1/13			AAAm	AAA-mf	AAAmmf	650,819.56		0.0300	650,819.56	0.00	0.00	650,819.56	650,919.56	0.00
MORGAN STANLEY GOVT 8302-EDUCATIONAL MMF															
	12/1/13			AAAm	Aaa		136,287,465.38		0.0400	136,287,465.38	0.00	0.00	136,287,465.38	136,287,465.38	0.00
Subtotal for FUNDS:							137,154,501.39		0.0399	137,154,501.39	0.00	0.00	137,154,501.39	137,154,501.39	0.00
CERTIFICATES OF DEPOSIT															

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Educational Money Mkt Fnd</u>															
CERTIFICATES OF DEPOSIT															
YANKEE CD															
TORONTO DOMINION BANK NY / TDSI															
686656	11/19/13	12/10/13	89112TTM6	A-1+	P-1	F1+	25,000,000.00	0.0900	0.0900	25,000,000.00	0.00	750.00	25,000,000.00	25,000,077.50	77.50
TORONTO DOMINION BANK NY / TDSI															
682033	10/23/13	1/15/14	89112TPD0	A-1+	P-1	F1+	15,000,000.00	0.1500	0.1500	15,000,000.00	0.00	2,437.50	15,000,000.00	15,000,586.50	586.50
TORONTO DOMINION BANK NY / TDSI															
665638	7/19/13	1/17/14	89112TCQ5	A-1+	P-1	F1+	15,000,000.00	0.2200	0.2200	15,000,000.00	0.00	12,375.00	15,000,000.00	15,002,040.00	2,040.00
Subtotal for YANKEE CD:							55,000,000.00	0.1418	0.1418	55,000,000.00	0.00	15,562.50	55,000,000.00	55,002,704.00	2,704.00
YANKEE CD FLOATER															
ROYAL BANK OF CANADA NY / RBC															
638824	2/19/13	2/19/14	78009NLF1	AA-	Aa3e	NR	20,000,000.00	0.3100	0.3030	20,000,000.00	0.00	2,066.67	20,000,000.00	19,997,723.40	(2,276.60)
ROYAL BANK OF CANADA NY / RBC															
658622	6/11/13	2/21/14	78009NLH7	AA-	Aa3	N.A	4,500,000.00	0.3100	0.2324	4,502,216.34	(1,503.63)	387.50	4,500,712.71	4,499,580.20	(1,132.51)
ROYAL BANK OF CANADA NY / RBC															
674181	9/4/13	2/21/14	78009NLH7	AA+	Aaa	AAA	5,000,000.00	0.3100	0.2029	5,002,335.00	(1,208.71)	430.56	5,001,126.29	4,999,533.55	(1,592.74)
ROYAL BANK OF CANADA NY / RBC															
645233	3/26/13	3/26/14	78009NMC7	AA-	Aa3e	N.A	25,000,000.00	0.3100	0.3700	25,000,000.00	0.00	14,208.33	25,000,000.00	24,999,182.75	(817.25)
ROYAL BANK OF CANADA NY / CRED SUIS SECURITIES															
672682	8/29/13	4/17/14	78009NMK9	AA-	Aa3	AA	10,000,000.00	0.2900	0.2054	10,005,140.00	(2,091.60)	3,625.00	10,003,048.40	9,999,227.90	(3,820.50)
TORONTO DOMINION / TDSI															
659832	6/17/13	6/17/14	89112WSU7	AA-	Aa1	AA-	10,000,000.00	0.2419	0.2419	10,000,000.00	0.00	873.64	10,000,000.00	9,994,852.80	(5,147.20)
Subtotal for YANKEE CD FLOATER:							74,500,000.00	0.2982	0.2932	74,509,691.34	(4,803.94)	21,591.70	74,504,887.40	74,490,100.60	(14,786.81)
Subtotal for CERTIFICATES OF DEPOSIT:							129,500,000.00	0.2318	0.2289	129,509,691.34	(4,803.94)	37,154.20	129,504,887.40	129,492,804.60	(12,082.80)
GOVERNMENT AGENCY															
DISCOUNT NOTES															
FNMA DISCOUNT / MORGAN STANLEY															
685340	11/12/13	12/2/13	313589P20	AA+	Aaa	AAA	9,805,000.00		0.0550	9,804,700.40	0.00	284.62	9,804,985.02	9,804,960.00	(25.02)
FHLB DISC CORP / UBS FINANCIAL SERVICES															
682798	10/28/13	12/4/13	313385QB5	AA+	Aaa	AAA	4,000,000.00		0.0400	3,999,835.56	0.00	151.11	3,999,986.67	3,999,996.00	9.33
FREDDIE DISCOUNT / NOMURA SECURITIES															
685339	11/12/13	12/5/13	313397QC8	AA+	Aaa	AAA	6,660,000.00		0.0600	6,659,744.70	0.00	210.90	6,659,955.60	6,659,918.42	(37.18)
FREDDIE DISCOUNT / GOLDMAN SACHS GROUP INC															
682281	10/24/13	12/17/13	313397QQ7	AA+	Aaa	AAA	4,500,000.00		0.0450	4,499,696.25	0.00	213.75	4,499,910.00	4,499,724.38	(185.62)

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Educational Money Mkt Fnd</u>															
GOVERNMENT AGENCY															
DISCOUNT NOTES															
FREDDIE DISCOUNT / JEFFERIES & COMPANY															
684631	11/6/13	12/17/13	313397QQ7	AA+	Aaa	AAA	3,000,000.00		0.0650	2,999,777.92	0.00	135.41	2,999,913.33	2,999,816.25	(97.08)
FNMA DISCOUNT / CITIGROUP GLOBAL MARKETS															
683651	10/31/13	12/18/13	313589QR7	AA+	Aaa	AAA	2,171,000.00		0.0450	2,170,869.74	0.00	84.13	2,170,953.87	2,170,980.46	26.59
FHLB DISC CORP / GOLDMAN SACHS GROUP INC															
683320	10/30/13	12/20/13	313385QT6	AA+	Aaa	AAA	9,000,000.00		0.0500	8,999,362.50	0.00	400.00	8,999,762.50	8,999,338.59	(423.91)
FHLB DISC CORP / DEUTSCHE															
685906	11/14/13	12/20/13	313385QT6	AA+	Aaa	AAA	15,000,000.00		0.0600	14,999,100.00	0.00	425.00	14,999,525.00	14,998,897.65	(627.35)
FED FARM CR BK / NOMURA SECURITIES															
686110	11/15/13	12/20/13	313313QT8	AA+	Aaa	AAA	50,000,000.00		0.0600	49,997,083.33	0.00	1,333.33	49,998,416.66	49,996,325.50	(2,091.16)
FED FARM CR BK / DAIWA CAPITAL MARKETS															
686112	11/15/13	12/20/13	313313QT8	AA+	Aaa	AAA	30,000,000.00		0.0600	29,998,250.00	0.00	800.00	29,999,050.00	29,997,795.30	(1,254.70)
FHLB DISC CORP / RBS GREENWICH CAPITAL															
682031	10/23/13	12/27/13	313385RA6	AA+	Aaa	AAA	9,649,000.00		0.0450	9,648,216.02	0.00	470.39	9,648,686.41	9,648,864.91	178.50
FNMA DISCOUNT / GOLDMAN SACHS GROUP INC															
687994	11/26/13	1/2/14	313589RG0	AA+	Aaa	AAA	25,000,000.00		0.0450	24,998,843.75	0.00	156.25	24,999,000.00	24,999,150.00	150.00
FHLB DISC CORP / CASTLE OAK SECURITIES															
680483	10/15/13	1/10/14	313385RQ1	AA+	Aaa	AAA	15,000,000.00		0.1600	14,994,200.00	0.00	3,133.33	14,997,333.33	14,999,355.00	2,021.67
FHLB DISC CORP / DEUTSCHE															
682799	10/28/13	1/17/14	313385RX6	AA+	Aaa	AAA	2,500,000.00		0.0950	2,499,465.63	0.00	224.30	2,499,689.93	2,499,872.50	182.57
FHLB DISC CORP / BNP PARIBAS															
680664	10/16/13	1/21/14	313385SB3	AA+	Aaa	AAA	10,000,000.00		0.1400	9,996,227.78	0.00	1,788.89	9,998,016.67	9,999,440.00	1,423.33
FHLB DISC CORP / CITIGROUP GLOBAL MARKETS															
680480	10/15/13	3/4/14	313385TV8	AA+	Aaa	AAA	10,000,000.00		0.1550	9,993,972.22	0.00	2,023.61	9,995,995.83	9,995,994.50	(1.33)
FHLB DISC CORP / FTN FINANCIAL															
687728	11/25/13	3/4/14	313385TV8	AA+	Aaa	AAA	15,000,000.00		0.1000	14,995,875.00	0.00	250.00	14,996,125.00	14,993,991.75	(2,133.25)
FHLB DISC CORP / FTN FINANCIAL															
686671	11/19/13	3/21/14	313385UN4	AA+	Aaa	AAA	10,000,000.00		0.1000	9,996,611.11	0.00	333.33	9,996,944.44	9,995,254.70	(1,689.74)
FED FARM CR BK / FTN FINANCIAL															
688000	11/26/13	7/7/14	313313ZA9	AA+	Aaa	AAA	10,000,000.00		0.1200	9,992,566.67	0.00	166.67	9,992,733.34	9,980,138.80	(12,594.54)
Subtotal for DISCOUNT NOTES:							241,285,000.00	0.0000	0.0770	241,244,398.58	0.00	12,585.02	241,256,983.60	241,239,814.71	(17,168.89)
GOVT AGENCY-FIX-30/360															
FED HM LN BK BD / JEFFERIES & COMPANY															

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Educational Money Mkt Fnd</u>															
GOVERNMENT AGENCY															
GOVT AGENCY-FIX-30/360															
682578	10/25/13	12/3/13	3133796L8	AA+	Aaa	AAA	15,000,000.00	0.2900	0.0500	15,003,778.05	(3,579.21)	4,350.00	15,000,198.84	15,003,750.00	3,551.16
FED FARM CR BK / DEUTSCHE															
638556	2/15/13	12/4/13	3133EAT32	AA+	Aaa	AAA	10,000,000.00	0.2300	0.1717	10,004,670.00	(4,621.52)	11,308.33	10,000,048.48	10,000,700.00	651.52
FED HM LN BK BD / FTN FINANCIAL															
672344	8/27/13	12/5/13	313382F32	AA+	Aaa	AAA	5,000,000.00	0.1600	0.0902	5,000,925.00	(887.24)	2,088.89	5,000,037.76	5,000,000.00	(37.76)
FED FARM CR BK / BNP PARIBAS															
684144	11/4/13	12/9/13	31331K2M1	AA+	Aaa	AAA	15,000,000.00	0.4000	0.0605	15,004,950.00	(3,818.57)	4,500.00	15,001,131.43	15,004,950.00	3,818.57
FED HM LN BK BD / GOLDMAN SACHS GROUP INC															
650230	4/23/13	12/11/13	313379T58	AA+	Aaa	N.A	15,000,000.00	0.3200	0.1323	15,017,820.00	(17,038.42)	22,666.67	15,000,781.58	15,001,500.00	718.42
FED HM LN BK BD / BNP PARIBAS															
682290	10/24/13	12/11/13	313379KV0	AA+	Aaa	AAA	1,200,000.00	0.3000	0.0604	1,200,373.20	(293.80)	370.00	1,200,079.40	1,200,372.00	292.60
FED HM LN BK BD / BARCLAY CAPITAL															
685909	11/14/13	12/13/13	3133XSP93	AA+	Aaa	AAA	5,530,000.00	3.1250	0.0701	5,543,603.80	(7,974.64)	8,160.59	5,535,629.16	5,536,608.35	979.19
FED HM LN BK BD / DEUTSCHE															
687731	11/25/13	12/13/13	3133XHW57	AA+	Aaa	AAA	13,800,000.00	4.8750	0.0556	13,833,244.20	(11,081.40)	11,212.50	13,822,162.80	13,825,806.00	3,643.20
FED HM LN BK BD / DEUTSCHE															
688001	11/26/13	12/13/13	3133XSP93	AA+	Aaa	AAA	13,600,000.00	3.1250	0.0703	13,619,611.20	(5,768.00)	5,902.78	13,613,843.20	13,616,252.00	2,408.80
FANNIE MAE / BNP PARIBAS															
684142	11/4/13	12/17/13	3136FPEX1	AA+	Aaa	AAA	5,055,000.00	1.1250	0.0606	5,061,424.91	(4,034.25)	4,265.16	5,057,390.66	5,056,870.35	(520.31)
FANNIE MAE / BNP PARIBAS															
642729	3/12/13	12/18/13	31398A5W8	AA+	Aaa	AAA	15,000,000.00	0.7500	0.1680	15,066,855.00	(62,737.12)	50,937.50	15,004,117.88	15,005,475.00	1,357.12
FANNIE MAE / CITIGROUP GLOBAL MARKETS															
681552	10/21/13	12/18/13	31398A5W8	AA+	Aaa	AAA	16,896,000.00	0.7500	0.0801	16,913,875.97	(12,544.54)	14,080.00	16,901,331.43	16,902,167.04	835.61
FANNIE MAE / BNP PARIBAS															
682291	10/24/13	12/18/13	31398A5W8	AA+	Aaa	AAA	1,350,000.00	0.7500	0.0600	1,351,394.55	(955.53)	1,040.63	1,350,439.02	1,350,492.75	53.73
FANNIE MAE / BARCLAYS CAPITAL															
682793	10/28/13	12/18/13	31398A5W8	AA+	Aaa	AAA	12,980,000.00	0.7500	0.0553	12,992,499.74	(8,249.83)	8,923.75	12,984,249.91	12,984,737.70	487.79
FANNIE MAE / BNP PARIBAS															
683921	11/1/13	12/18/13	31398A5W8	AA+	Aaa	AAA	19,975,000.00	0.7500	0.0604	19,992,977.50	(11,475.00)	12,484.37	19,981,502.50	19,982,290.88	788.37
FANNIE MAE / DEUTSCHE															
687729	11/25/13	12/18/13	31398A5W8	AA+	Aaa	AAA	4,565,000.00	0.7500	0.0611	4,567,008.60	(523.98)	570.63	4,566,484.62	4,566,666.23	181.60
FANNIE MAE / BARCLAYS CAPITAL															
687998	11/26/13	12/18/13	31398A5W8	AA+	Aaa	AAA	2,139,000.00	0.7500	0.1000	2,139,849.18	(193.00)	222.81	2,139,656.18	2,139,780.74	124.55

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Educational Money Mkt Fnd</u>															
GOVERNMENT AGENCY															
GOVT AGENCY-FIX-30/360															
FED FARM CR BK / BNP PARIBAS															
683923	11/1/13	12/23/13	31331J6A6	AA+	Aaa	AAA	7,600,000.00	1.3000	0.0604	7,613,604.00	(7,848.46)	8,233.33	7,605,755.54	7,606,688.00	932.46
FREDDIE MAC / DEUTSCHE															
687995	11/26/13	12/23/13	3134G3BF6	AA+	Aaa	AAA	9,755,000.00	0.6250	0.0821	9,758,970.29	(735.24)	846.79	9,758,235.05	9,758,609.35	374.30
FED HM LN BK BD / BNP PARIBAS															
686946	11/20/13	12/24/13	313381LN3	AA+	Aaa	AAA	13,500,000.00	0.1700	0.0704	13,501,269.00	(410.56)	701.25	13,500,858.44	13,501,215.00	356.56
FED FARM CR BK / FTN FINANCIAL															
672345	8/27/13	12/26/13	3133ECBZ6	AA+	Aaa	AAA	5,000,000.00	0.1800	0.0952	5,001,375.00	(1,086.13)	2,350.00	5,000,288.87	5,000,450.00	161.13
FED HM LN BK BD / FTN FINANCIAL															
673380	9/3/13	12/27/13	313371UC8	AA+	Aaa	AAA	4,200,000.00	0.8750	0.0701	4,210,693.20	(8,254.40)	8,983.33	4,202,438.80	4,202,625.00	186.20
FED HM LN BK BD / BNP PARIBAS															
682292	10/24/13	12/27/13	313371UC8	AA+	Aaa	AAA	2,305,000.00	0.8750	0.0601	2,308,282.32	(1,927.71)	2,072.90	2,306,354.61	2,306,440.63	86.02
FED HM LN BK BD / BNP PARIBAS															
682577	10/25/13	12/27/13	313371UC8	AA+	Aaa	AAA	5,000,000.00	0.8750	0.0609	5,007,000.00	(4,064.52)	4,375.00	5,002,935.48	5,003,125.00	189.52
FED HM LN BK BD / BNP PARIBAS															
684143	11/4/13	12/27/13	313371UC8	AA+	Aaa	AAA	10,770,000.00	0.8750	0.0603	10,782,913.23	(6,578.44)	7,067.81	10,776,334.79	10,776,731.25	396.46
FED HM LN BK BD / FTN FINANCIAL															
680062	10/10/13	1/29/14	313376UF0	AA+	Aaa	AAA	7,000,000.00	0.3750	0.1400	7,004,921.00	(2,302.49)	3,718.75	7,002,618.51	7,003,430.00	811.49
FED HM LN BK BD / FTN FINANCIAL															
685338	11/6/13	1/29/14	313376UF0	AA+	Aaa	AAA	3,695,000.00	0.3750	0.0803	3,697,501.52	(753.47)	962.24	3,696,748.05	3,696,810.55	62.50
FREDDIE MAC / FTN FINANCIAL															
677854	9/27/13	1/30/14	3128X2TM7	AA+	Aaa	AAA	5,000,000.00	5.0000	0.1002	5,083,635.00	(43,517.40)	44,444.45	5,040,117.60	5,041,650.00	1,532.40
FREDDIE MAC / BARCLAYS CAPITAL															
686114	11/15/13	1/30/14	3128X2TM7	AA+	Aaa	AAA	10,000,000.00	5.0000	0.0798	10,102,440.00	(21,853.87)	22,222.22	10,080,586.13	10,083,300.00	2,713.87
FANNIE MAE / CRED SUIS SECURITIES															
638554	2/15/13	2/5/14	31398AVD1	AA+	Aaa	AAA	15,500,000.00	2.7500	0.1925	15,884,849.50	(314,477.02)	137,347.22	15,570,372.48	15,576,105.00	5,732.52
FED HM LN BK BD / FTN FINANCIAL															
687179	11/21/13	2/14/14	313382BT9	AA+	Aaa	AAA	10,000,000.00	0.1250	0.0953	10,000,630.00	(75.90)	347.22	10,000,554.10	10,000,800.00	245.90
FREDDIE MAC / JEFFERIES & COMPANY															
655814	5/23/13	2/25/14	3137EACR8	AA+	Aaa	AAA	10,000,000.00	1.3750	0.1380	10,093,378.70	(64,541.16)	36,666.67	10,028,837.54	10,030,450.00	1,612.46
FANNIE MAE / CANTOR FITZGERALD															
656804	5/30/13	2/27/14	3135G0AP8	AA+	Aaa	AAA	15,000,000.00	1.2500	0.1433	15,123,000.00	(83,382.02)	48,958.33	15,039,617.98	15,042,150.00	2,532.02
FREDDIE MAC / JEFFERIES & COMPANY															

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Educational Money Mkt Fnd</u>															
GOVERNMENT AGENCY															
GOVT AGENCY-FIX-30/360															
662453	7/1/13	3/27/14	3134G4BB3	AA+	Aaa	AAA	10,000,000.00	0.1450	0.1668	9,998,389.50	908.18	2,577.78	9,999,297.68	10,001,120.00	1,822.32
FED HM LN BK BD / MORGAN STANLEY															
681060	10/17/13	4/17/14	3130A0AG5	AA+	Aaa	AAA	15,000,000.00	0.1700	0.1850	14,998,725.00	311.67	3,116.67	14,999,036.67	15,003,300.00	4,263.33
FREDDIE MAC / RBC															
660109	6/19/13	4/23/14	3137EACB3	AA+	Aaa	AAA	7,575,000.00	2.5000	0.1609	7,724,454.07	(79,643.29)	19,989.58	7,644,810.78	7,647,000.38	2,189.60
FREDDIE MAC / FTN FINANCIAL															
687730	11/25/13	4/23/14	3137EACB3	AA+	Aaa	AAA	500,000.00	2.5000	0.1403	504,845.00	(196.42)	208.33	504,648.58	504,752.50	103.92
FREDDIE MAC / FTN FINANCIAL															
687374	11/22/13	4/29/14	3134G2CL4	AA+	Aaa	AAA	500,000.00	1.3500	0.1301	502,656.50	(152.28)	168.75	502,504.22	502,560.00	55.78
FANNIE MAE / FTN FINANCIAL															
681550	10/21/13	5/16/14	31398A6A5	AA+	Aaa	AAA	2,000,000.00	1.0000	0.1516	2,009,654.00	(1,883.71)	833.33	2,007,770.29	2,008,200.00	429.71
FED HM LN BK BD / CRED SUIS SECURITIES															
660058	6/18/13	6/11/14	313383L66	AA+	Aaa	AAA	10,000,000.00	0.1250	0.1724	9,995,360.00	2,142.55	5,659.72	9,997,502.55	9,998,700.00	1,197.45
FED HM LN BK BD / FTN FINANCIAL															
661822	6/27/13	6/12/14	313379TL3	AA+	Aaa	AAA	15,000,000.00	0.3750	0.2130	15,023,250.00	(10,378.26)	24,062.50	15,012,871.74	15,020,550.00	7,678.26
FED FARM CR BK / FTN FINANCIAL															
681305	10/18/13	8/1/14	3133EA6V5	AA+	Aaa	AAA	5,000,000.00	0.3000	0.1675	5,005,200.00	(790.11)	1,791.67	5,004,409.89	5,004,600.00	190.11
FREDDIE MAC / FTN FINANCIAL															
681555	10/21/13	8/20/14	3134G2UA8	AA+	Aaa	AAA	1,750,000.00	1.0000	0.1718	1,762,022.50	(1,608.36)	1,944.44	1,760,414.14	1,760,640.00	225.86
FANNIE MAE / FTN FINANCIAL															
687382	11/22/13	8/28/14	3135G0BY8	AA+	Aaa	AAA	4,850,000.00	0.8750	0.1568	4,876,675.00	(869.84)	1,060.94	4,875,805.16	4,875,850.50	45.34
FED HM LN BK BD / BNP PARIBAS															
675129	9/12/13	9/12/14	313383ZP9	AA+	Aaa	AAA	15,000,000.00	0.1700	0.2078	14,994,345.00	1,240.96	5,595.83	14,995,585.96	15,002,100.00	6,514.04
FED HM LN BK BD / CASTLE OAK SECURITIES															
687723	11/25/13	9/12/14	3133XLWM1	AA+	Aaa	AAA	2,035,000.00	5.2500	0.1726	2,117,275.05	(1,720.04)	1,780.63	2,115,555.01	2,116,298.25	743.24
FANNIE MAE / DEUTSCHE															
671681	8/22/13	9/16/14	31398AYY2	AA+	Aaa	AAA	10,000,000.00	3.0000	0.2096	10,297,150.00	(76,608.98)	62,500.00	10,220,541.02	10,226,600.00	6,058.98
FED HM LN BK BD / CASTLE OAK SECURITIES															
678141	9/30/13	9/16/14	3130A0ZV1	AA+	Aaa	AAA	10,000,000.00	0.1250	0.1674	9,995,930.00	717.54	2,118.06	9,996,647.54	9,996,600.00	(47.54)
FANNIE MAE / CASTLE OAK SECURITIES															
687724	11/25/13	9/16/14	31398AYY2	AA+	Aaa	AAA	1,592,000.00	3.0000	0.1723	1,628,345.36	(749.39)	796.00	1,627,595.97	1,628,074.72	478.75
FREDDIE MAC / CASTLE OAK SECURITIES															
687725	11/25/13	9/22/14	3134G2WG3	AA+	Aaa	AAA	5,265,000.00	0.7500	0.1723	5,290,061.40	(506.29)	658.13	5,289,555.11	5,289,929.78	374.67

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Educational Money Mkt Fnd</u>															
GOVERNMENT AGENCY															
GOVT AGENCY-FIX-30/360															
FED HM LN BK BD / TDSI															
687726	11/25/13	11/18/14	3130A0C81	AA+	Aaa	AAA	10,455,000.00	0.1250	0.1723	10,450,153.27	82.38	217.81	10,450,235.65	10,449,145.20	(1,090.45)
FANNIE MAE / FTN FINANCIAL															
682580	10/25/13	11/20/14	31398AZV7	AA+	Aaa	AAA	5,000,000.00	2.6250	0.1929	5,129,850.00	(12,141.82)	4,010.42	5,117,708.18	5,118,100.00	391.82
FED HM LN BK BD / CASTLE OAK SECURITIES															
687727	11/25/13	11/21/14	3130A0D23	AA+	Aaa	AAA	15,000,000.00	0.1600	0.1724	14,998,170.00	30.84	400.00	14,998,200.84	14,997,750.00	(450.84)
Subtotal for GOVT AGENCY-FIX-30/360:							452,937,000.00	1.1290	0.1225	454,791,835.31	(899,399.51)	631,872.71	453,892,435.80	453,960,870.12	68,434.32
GOVT AGENCY-FLOAT-ACT/360															
FED FARM CR BK / BNP PARIBAS															
626889	12/7/12	12/6/13	3133EC6H2	AA+	Aaa	AAA	15,000,000.00	0.1800	0.1900	14,998,500.00	1,479.40	6,450.00	14,999,979.40	15,000,150.00	170.60
FED FARM CR BK / DEUTSCHE															
685341	11/12/13	12/6/13	3133EC6J8	AA+	Aaa	AAA	50,000,000.00	0.1185	0.0600	50,001,950.00	(1,543.75)	3,127.08	50,000,406.25	50,001,000.00	593.75
FED FARM CR BK / MORGAN STANLEY															
630259	12/27/12	12/27/13	3133ECC51	AA+	Aaa	AAA	25,000,000.00	0.1200	0.1817	24,998,738.00	1,172.10	5,416.66	24,999,910.10	25,001,500.00	1,589.90
FED FARM CR BK / MORGAN STANLEY															
630262	12/27/12	12/27/13	3133ECC69	AA+	Aaa	AAA	25,000,000.00	0.1800	0.1959	24,997,475.25	2,344.90	6,770.84	24,999,820.15	25,000,750.00	929.85
FED HM LN BK BD / CASTLE OAK SECURITIES															
668675	8/6/13	2/3/14	313380AS6	AA+	Aaa	AAA	4,000,000.00	0.0478	0.0980	3,999,000.00	646.41	148.56	3,999,646.41	3,999,760.00	113.59
FED FARM CR BK / CASTLE OAK SECURITIES															
656025	5/24/13	2/24/14	3133ECQ72	AA+	Aaa	AAA	15,000,000.00	0.1200	0.1200	15,000,000.00	0.00	350.00	15,000,000.00	15,001,500.00	1,500.00
FED FARM CR BK / BNP PARIBAS															
644505	3/21/13	3/11/14	3133ECHM9	AA+	Aaa	N.A	15,000,000.00	0.1500	0.1602	14,998,500.00	1,077.46	5,062.50	14,999,577.46	15,002,850.00	3,272.54
FED FARM CR BK / RBC															
642209	3/8/13	3/27/14	31331K4R8	AA+	Aaa	AAA	7,240,000.00	0.3100	0.1847	7,253,886.32	(9,691.49)	4,052.39	7,244,194.83	7,246,588.40	2,393.57
FED FARM CR BK / UBS FINANCIAL SERVICES															
651826	5/1/13	5/1/14	3133ECN26	AA+	Aaa	AAA	20,000,000.00	0.1172	0.1252	19,998,400.00	938.08	0.00	19,999,338.08	19,998,640.00	(698.08)
FED FARM CR BK / CASTLE OAK SECURITIES															
651831	5/1/13	5/1/14	3133ECNM2	AA+	Aaa	AAA	20,000,000.00	0.1200	0.1275	19,998,500.00	879.45	2,000.00	19,999,379.45	20,002,400.00	3,020.55
FED FARM CR BK / UBS FINANCIAL SERVICES															
652085	5/2/13	5/1/14	3133ECN26	AA+	Aaa	AAA	10,000,000.00	0.1172	0.1272	9,999,000.00	585.16	0.00	9,999,585.16	9,999,320.00	(265.16)
FANNIE MAE / BNP PARIBAS															
678633	10/2/13	6/23/14	3135G0BQ5	AA+	Aaa	AAA	1,840,000.00	0.3600	0.1482	1,842,837.28	(644.84)	1,104.00	1,842,192.44	1,842,925.60	733.16

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Educational Money Mkt Fnd</u>															
GOVERNMENT AGENCY															
Subtotal for GOVT AGENCY-FLOAT-ACT/360:							208,080,000.00	0.1403	0.1337	208,086,786.85	(2,757.12)	34,482.03	208,084,029.73	208,097,384.00	13,354.27
GOVT AGENCY-FLOAT-ACT/ACT															
FED FARM CR BK / GOLDMAN SACHS															
635592	1/29/13	1/22/14	3133ECDCS	AA+	Aaa	AAA	50,000,000.00	0.1700	0.1367	50,000,000.00	0.00	7,608.70	50,000,000.00	50,008,500.00	8,500.00
FANNIE MAE / CASTLE OAK SECURITIES															
653270	5/9/13	3/4/14	3135G0AZ6	AA+	Aaa	AAA	15,000,000.00	0.2900	0.0856	15,017,850.00	(12,297.99)	10,516.48	15,005,552.01	15,006,600.00	1,047.99
FANNIE MAE / CASTLE OAK SECURITIES															
656551	5/29/13	3/4/14	3135G0AZ6	AA+	Aaa	AAA	8,000,000.00	0.2900	0.2270	8,003,320.00	(2,213.33)	5,608.79	8,001,106.67	8,003,520.00	2,413.33
FED FARM CR BK / JP MORGAN CHASE & CO															
652088	5/2/13	5/2/14	3133ECNH3	AA+	Aaa	AAA	20,000,000.00	0.1200	0.1300	19,998,000.00	1,167.12	1,891.30	19,999,167.12	20,000,200.00	1,032.88
Subtotal for GOVT AGENCY-FLOAT-ACT/ACT:							93,000,000.00	0.1889	0.1348	93,019,170.00	(13,344.20)	25,625.27	93,005,825.80	93,018,820.00	12,994.20
GOV'T AGY - CALLABLE															
FED HM LN BK BD / MORGAN STANLEY															
668906	8/7/13	8/22/14	313383TA9	AA+	Aaa	AAA	20,000,000.00	0.2000	0.2000	20,000,000.00	0.00	12,666.67	20,000,000.00	20,001,400.00	1,400.00
FED HM LN BK BD / MORGAN STANLEY															
681056	10/17/13	10/30/14	3130A0A91	AA+	Aaa	AAA	20,000,000.00	0.2500	0.2500	20,000,000.00	0.00	6,111.11	20,000,000.00	20,003,000.00	3,000.00
Subtotal for GOV'T AGY - CALLABLE:							40,000,000.00	0.2250	0.2250	40,000,000.00	0.00	18,777.78	40,000,000.00	40,004,400.00	4,400.00
Subtotal for GOVERNMENT AGENCY:							1,035,302,000.00	0.5478	0.1192	1,037,142,190.74	(915,500.83)	723,342.81	1,036,239,274.93	1,036,321,288.83	82,013.90
MEDIUM TERM NOTES															
MEDIUM TERM NOTE															
WAL-MART STORES INC / BNP PARIBAS															
647927	4/10/13	4/15/14	931142DA8	AA	Aa2	AA	3,000,000.00	1.6250	0.2720	3,041,070.00	(25,992.25)	6,229.17	3,015,077.75	3,016,620.00	1,542.25
WAL-MART STORES INC / BNP PARIBAS															
650773	4/25/13	4/15/14	931142DA8	AA	Aa2	AA	5,000,000.00	1.6250	0.2332	5,067,540.00	(41,681.83)	10,381.94	5,025,858.17	5,027,700.00	1,841.83
WAL-MART STORES INC / BNP PARIBAS															
650774	4/25/13	4/15/14	931142DA8	AA	Aa2	AA	8,670,000.00	1.6250	0.2332	8,787,114.36	(72,276.29)	18,002.29	8,714,838.07	8,718,031.80	3,193.73
WAL-MART STORES INC / BNP PARIBAS															
651215	4/29/13	4/15/14	931142DA8	AA	Aa2	AA	3,470,000.00	1.6250	0.2333	3,516,334.91	(28,390.18)	7,205.07	3,487,944.73	3,489,223.80	1,279.07
WAL-MART STORES INC / BNP PARIBAS															
652280	5/3/13	4/15/14	931142DA8	AA	Aa2	AA	12,831,000.00	1.6250	0.2132	13,002,819.92	(104,498.66)	26,642.15	12,898,321.26	12,902,083.74	3,762.48
WAL-MART STORES INC / BNP PARIBAS															
653746	5/13/13	4/15/14	931142DA8	AA	Aa2	AA	3,040,000.00	1.6250	0.2333	3,078,951.52	(23,230.12)	6,312.22	3,055,721.40	3,056,841.60	1,120.20

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Educational Money Mkt Fnd</u>															
MEDIUM TERM NOTES															
MEDIUM TERM NOTE															
WAL-MART STORES INC / BNP PARIBAS															
657043	5/31/13	4/15/14	931142DA8	AA	Aa2	AA	7,350,000.00	1.6250	0.2328	7,439,052.60	(51,049.26)	15,261.46	7,388,003.34	7,390,719.00	2,715.66
WAL-MART STORES INC / CRED SUIS SECURITIES															
675131	9/12/13	4/15/14	931142DA8	AA	Aa2	AA	14,000,000.00	1.6250	0.1818	14,119,420.00	(44,291.92)	29,069.44	14,075,128.08	14,077,560.00	2,431.92
JOHNSON & JOHNSON / BNP PARIBAS															
657331	6/3/13	5/15/14	478160AX2	AAA	Aaa	AAA	10,000,000.00	1.2000	0.2331	10,091,700.00	(47,726.90)	5,333.33	10,043,973.10	10,049,500.00	5,526.90
JOHNSON & JOHNSON / BNP PARIBAS															
657332	6/3/13	5/15/14	478160AX2	AA+	Aaa	AAA	2,955,000.00	1.2000	0.2331	2,982,097.35	(14,103.30)	1,576.00	2,967,994.05	2,969,627.25	1,633.20
JOHNSON & JOHNSON / BNP PARIBAS															
664370	7/12/13	5/15/14	478160AX2	AAA	Aaa	AAA	4,400,000.00	1.2000	0.2537	4,434,980.00	(16,046.93)	2,346.67	4,418,933.07	4,421,780.00	2,846.93
JOHNSON & JOHNSON / BNP PARIBAS															
669139	8/8/13	5/15/14	478160AX2	AAA	Aaa	AAA	10,200,000.00	1.2000	0.2123	10,277,407.80	(31,577.91)	5,440.00	10,245,829.89	10,250,490.00	4,660.11
WAL-MART STORES INC / MORGAN STANLEY															
688002	11/26/13	5/15/14	931142CQ4	AA	Aa2	AA	5,000,000.00	3.2000	0.1799	5,070,800.00	(2,094.67)	2,222.22	5,068,705.33	5,070,800.00	2,094.67
MICROSOFT CORP / BNP PARIBAS															
661578	6/26/13	6/1/14	594918AB0	AA+	Aaa	AAA	13,150,000.00	2.9500	0.3059	13,472,832.50	(149,370.26)	0.00	13,323,462.24	13,333,968.50	10,506.26
MICROSOFT CORP / BNP PARIBAS															
662270	7/1/13	6/1/14	594918AB0	AAA	Aaa	AA+	7,000,000.00	2.9500	0.3053	7,169,323.00	(76,965.00)	0.00	7,092,358.00	7,097,930.00	5,572.00
MICROSOFT CORP / MORGAN STANLEY															
665142	7/17/13	6/1/14	594918AB0	AAA	Aaa	AA+	10,000,000.00	2.9500	0.2543	10,234,700.00	(100,158.60)	0.00	10,134,541.40	10,139,900.00	5,358.60
MICROSOFT CORP / CASTLE OAK SECURITIES															
676833	9/23/13	6/1/14	594918AB0	AAA	Aaa	AA+	1,716,000.00	2.9500	0.2030	1,748,430.68	(8,892.28)	0.00	1,739,538.40	1,740,006.84	468.44
Subtotal for MEDIUM TERM NOTE:							121,782,000.00	1.9402	0.2362	123,534,574.64	(838,346.36)	136,021.96	122,696,228.28	122,752,782.53	56,554.25
MEDIUM TERM NOTE FLOATER															
BERKSHIRE HATHAWAY INC / RBC															
680475	10/15/13	1/10/14	084664BP5	AA	Aa2	A+	19,500,000.00	0.5736	0.2000	19,517,596.61	(9,506.21)	14,602.90	19,508,090.40	19,511,115.00	3,024.60
BERKSHIRE HATHAWAY INC / RBC															
688864	11/6/13	1/10/14	084664BP5	AA	Aa2	A+	2,900,000.00	0.5736	0.1645	2,902,140.87	(823.41)	1,155.17	2,901,317.46	2,901,653.00	335.54
Subtotal for MEDIUM TERM NOTE FLOATER:							22,400,000.00	0.5736	0.1954	22,419,737.48	(10,329.62)	15,758.07	22,409,407.86	22,412,768.00	3,360.14
Subtotal for MEDIUM TERM NOTES:							144,182,000.00	1.7279	0.2299	145,954,312.12	(848,675.98)	151,780.03	145,105,636.14	145,165,550.53	59,914.39
TREASURIES															

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Educational Money Mkt Fnd</u>															
TREASURIES															
TREASURY BILL															
US TREASURY N/B / GOLDMAN SACHS GROUP INC															
682867	10/29/13	12/5/13	912796BN8	AA+	Aaa	AAA	25,000,000.00		0.0420	24,998,920.83	0.00	962.50	24,998,920.83	24,999,989.50	1,068.67
US TREASURY N/B / MORGAN STANLEY															
683652	10/31/13	12/5/13	912796BN8	AA+	Aaa	AAA	15,000,000.00		0.0335	14,999,511.46	0.00	432.71	14,999,511.46	14,999,993.70	482.24
US TREASURY N/B / JEFFERIES & COMPANY															
684880	11/7/13	12/5/13	912796BN8	AA+	Aaa	AAA	30,000,000.00		0.0500	29,998,833.33	0.00	1,000.00	29,998,833.33	29,999,987.40	1,154.07
US TREASURY N/B / FTN FINANCIAL															
682868	10/29/13	12/12/13	912796AK5	AA+	Aaa	AAA	15,000,000.00		0.0400	14,999,266.67	0.00	550.00	14,999,266.67	14,999,959.35	692.68
US TREASURY N/B / RBS GREENWICH CAPITAL															
685568	11/13/13	12/12/13	912796AK5	AA+	Aaa	AAA	50,000,000.00		0.0550	49,997,784.72	0.00	1,375.00	49,997,784.72	49,999,864.50	2,079.78
US TREASURY N/B / MORGAN STANLEY															
682869	10/29/13	12/19/13	912796BQ1	AA+	Aaa	AAA	15,000,000.00		0.0435	14,999,075.63	0.00	598.12	14,999,075.63	14,999,895.90	820.27
US TREASURY N/B / MORGAN STANLEY															
682870	10/29/13	12/26/13	912796BR9	AA+	Aaa	AAA	15,000,000.00		0.0560	14,998,646.67	0.00	770.00	14,998,646.67	14,999,465.55	818.88
Subtotal for TREASURY BILL:							165,000,000.00	0.0000	0.0478	164,992,039.31	0.00	5,688.33	164,992,039.31	164,999,155.90	7,116.59
TREASURY BOND															
US TREASURY N/B / DEUTSCHE															
684149	11/4/13	12/31/13	912828JW1	AA+	Aaa	AAA	15,000,000.00	1.5000	0.0697	15,033,398.44	(15,820.31)	16,599.96	15,017,578.13	15,016,992.15	(585.98)
US TREASURY N/B / CASTLE OAK SECURITIES															
682575	10/25/13	3/31/14	912828KJ8	AA+	Aaa	AAA	10,000,000.00	1.7500	0.1127	10,071,000.00	(16,732.48)	17,884.88	10,054,267.52	10,053,710.90	(556.62)
Subtotal for TREASURY BOND:							25,000,000.00	1.6000	0.0869	25,104,398.44	(32,552.79)	34,484.84	25,071,845.65	25,070,703.05	(1,142.60)
Subtotal for TREASURIES:							190,000,000.00	0.2105	0.0530	190,096,437.75	(32,552.79)	40,173.17	190,063,884.96	190,069,858.95	5,973.99
Total Educational Money Mkt Fnd :							1,636,138,501.39	0.5417	0.1233	1,639,857,133.34	(1,801,533.54)	952,450.21	1,638,068,184.82	1,638,204,004.30	135,819.48
Net Asset Value (NAV):														1.000083	

Extended Fund

GOVERNMENT AGENCY

GOVT AGENCY-FIX-30/360

FED HM LN BK BD / CASTLE OAK SECURITIES

581531	3/28/12	12/13/13	3133XSP93	AA+	Aaa	N.A	10,870,000.00	3.1250	0.4099	11,371,867.90	(492,075.36)	158,520.83	10,879,792.54	10,882,989.65	3,197.11
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FED HM LN BK BD / BARCLAY CAPITAL

581532	3/28/12	12/13/13	3133XHW57	AA+	Aaa	N.A	1,890,000.00	4.8750	0.4082	2,033,564.40	(140,763.14)	42,997.50	1,892,801.26	1,893,534.30	733.04
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FED FARM CR BK / CASTLE OAK SECURITIES

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Extended Fund</u>															
GOVERNMENT AGENCY															
GOVT AGENCY-FIX-30/360															
548263	9/28/11	12/23/13	31331J6A6	AA+	Aaa	AAA	7,575,000.00	1.3000	0.5000	7,709,569.88	(130,892.19)	43,219.58	7,578,677.69	7,581,666.00	2,988.31
FREDDIE MAC / CANTOR FITZGERALD															
576844	3/2/12	12/23/13	3134G3BF6	AA+	Aaa	AAA	25,000,000.00	0.6250	0.3723	25,113,750.00	(109,905.91)	68,576.39	25,003,844.09	25,009,250.00	5,405.91
FREDDIE MAC / DREXEL HAMILTON LLC															
577118	3/6/12	12/23/13	3134G3BF6	AA+	Aaa	AAA	10,000,000.00	0.6250	0.3645	10,046,610.00	(45,025.12)	27,430.56	10,001,584.88	10,003,700.00	2,115.12
FREDDIE MAC / CASTLE OAK SECURITIES															
580025	3/20/12	12/23/13	3134G3BF6	AA+	Aaa	AAA	10,000,000.00	0.6250	0.4649	10,028,000.00	(27,026.86)	27,430.56	10,000,973.14	10,003,700.00	2,726.86
FED HM LN BK BD / JP MORGAN CHASE & CO															
583125	4/5/12	12/27/13	313371UC8	AA+	Aaa	AAA	15,000,000.00	0.8750	0.3855	15,126,300.00	(121,020.58)	56,145.83	15,005,279.42	15,009,375.00	4,095.58
FREDDIE MAC / UBS FINANCIAL SERVICES															
566675	1/9/12	1/9/14	3134G3JR2	AA+	Aaa	N.A	25,000,000.00	0.4500	0.4651	24,992,500.00	7,104.17	44,375.00	24,999,604.17	25,010,000.00	10,395.83
FED HM LN BK BD / JEFFERIES & COMPANY															
576840	3/2/12	2/13/14	3133783D1	AA+	Aaa	AAA	25,000,000.00	0.3000	0.3947	24,954,125.00	41,163.16	22,500.00	24,995,288.16	25,011,000.00	15,711.84
FED HM LN BK BD / CASTLE OAK SECURITIES															
584495	4/12/12	2/13/14	3133783D1	AA+	Aaa	AAA	20,540,000.00	0.3000	0.3580	20,518,227.60	19,400.82	18,486.00	20,537,628.42	20,549,037.60	11,409.18
FED HM LN BK BD / CANTOR FITZGERALD															
583124	4/5/12	3/14/14	3133XWKV0	AA+	Aaa	AAA	15,000,000.00	2.3750	0.4091	15,569,700.00	(485,752.79)	76,197.92	15,083,947.21	15,099,525.00	15,577.79
FED HM LN BK BD / JEFFERIES & COMPANY															
598647	6/27/12	3/14/14	3133XWKV0	AA+	Aaa	AAA	8,000,000.00	2.3750	0.3961	8,270,120.00	(225,027.03)	40,638.89	8,045,092.97	8,053,080.00	7,987.03
FED HM LN BK BD / JEFFERIES & COMPANY															
603538	7/25/12	3/14/14	3133XWKV0	AA+	Aaa	N.A	20,000,000.00	2.3750	0.2990	20,677,114.40	(558,705.60)	101,597.22	20,118,408.80	20,132,700.00	14,291.20
FED HM LN BK BD / BNP PARIBAS															
603539	7/25/12	3/14/14	3133XWKV0	AA+	Aaa	N.A	20,000,000.00	2.3750	0.2993	20,677,000.00	(558,611.21)	101,597.22	20,118,388.79	20,132,700.00	14,311.21
FED HM LN BK BD / BNP PARIBAS															
681787	10/22/13	3/18/14	3130A04M9	AA+	Aaa	AAA	29,350,000.00	0.0600	0.1000	29,345,157.25	1,293.61	1,907.75	29,346,450.86	29,345,597.50	(853.36)
FREDDIE MAC / BNP PARIBAS															
574304	2/17/12	3/21/14	3134G3NSS	AA+	Aaa	AAA	10,000,000.00	0.3000	0.4051	9,978,100.00	18,705.04	5,833.33	9,996,805.04	10,006,000.00	9,194.96
FREDDIE MAC / STERNE AGEE & LEACH															
575957	2/28/12	3/21/14	3134G3NSS	AA+	Aaa	AAA	10,000,000.00	0.3000	0.3950	9,980,500.00	16,613.06	5,833.33	9,997,113.06	10,006,000.00	8,886.94
FREDDIE MAC / BNP PARIBAS															
576846	3/2/12	3/21/14	3134G3NSS	AA+	Aaa	AAA	10,000,000.00	0.3000	0.4010	9,979,370.00	17,559.23	5,833.33	9,996,929.23	10,006,000.00	9,070.77
FREDDIE MAC / UBS FINANCIAL SERVICES															
577117	3/6/12	3/21/14	3134G3NSS	AA+	Aaa	N.A	10,000,000.00	0.3000	0.3861	9,982,500.00	14,880.95	5,833.33	9,997,380.95	10,006,000.00	8,619.05

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Extended Fund</u>															
GOVERNMENT AGENCY															
GOVT AGENCY-FIX-30/360															
FED FARM CR BK / JEFFERIES & COMPANY															
585001	4/16/12	3/21/14	3133EADJ1	AA+	Aaa	AAA	25,000,000.00	0.4000	0.3340	25,031,700.00	(26,682.73)	19,444.44	25,005,017.27	25,022,000.00	16,982.73
FED HM LN BK BD / BNP PARIBAS															
681786	10/22/13	3/27/14	313382M42	AA+	Aaa	AAA	40,300,000.00	0.1250	0.1002	40,304,191.20	(1,054.56)	5,457.29	40,303,136.64	40,301,209.00	(1,927.64)
FREDDIE MAC / BARCLAYS CAPITAL															
580024	3/20/12	4/28/14	3134G3SB7	AA+	Aaa	AAA	15,000,000.00	0.3750	0.5011	14,960,400.00	31,920.32	5,156.25	14,992,320.32	15,015,825.00	23,504.68
FREDDIE MAC / OPPENHEIMER & CO., INC															
598648	6/27/12	4/28/14	3134G3SB7	AA+	Aaa	AAA	5,000,000.00	0.3750	0.3970	4,997,985.00	1,566.88	1,718.75	4,999,551.88	5,005,275.00	5,723.12
FREDDIE MAC / RBC															
598646	6/27/12	4/29/14	3134G2CL4	AA+	Aaa	AAA	32,000,000.00	1.3500	0.3920	32,561,108.80	(435,664.54)	38,400.00	32,125,444.26	32,163,840.00	38,395.74
FED HM LN BK BD / STERNE AGEE & LEACH															
584829	4/13/12	5/28/14	313373JR4	AA+	Aaa	AAA	10,000,000.00	1.3750	0.3659	10,213,400.00	(164,025.10)	1,145.83	10,049,374.90	10,061,750.00	12,375.10
FED HM LN BK BD / JEFFERIES & COMPANY															
591841	5/21/12	6/13/14	3133XWE70	AA+	Aaa	N.A	10,000,000.00	2.5000	0.4253	10,425,275.50	(315,231.17)	116,666.67	10,110,044.33	10,126,950.00	16,905.67
FED HM LN BK BD / OPPENHEIMER & CO., INC															
591842	5/21/12	6/13/14	3133XWE70	AA+	Aaa	N.A	10,000,000.00	2.5000	0.4252	10,425,300.00	(315,249.33)	116,666.67	10,110,050.67	10,126,950.00	16,899.33
FED HM LN BK BD / JEFFERIES & COMPANY															
595490	6/11/12	6/13/14	3133XWE70	AA+	Aaa	AAA	10,000,000.00	2.5000	0.4019	10,418,667.20	(307,331.88)	116,666.67	10,111,335.32	10,126,950.00	15,614.68
FED HM LN BK BD / OPPENHEIMER & CO., INC															
596490	6/14/12	6/13/14	3133XWE70	AA+	Aaa	AAA	10,000,000.00	2.5000	0.4301	10,411,200.00	(301,394.16)	116,666.67	10,109,805.84	10,126,950.00	17,144.16
FED HM LN BK BD / DREXEL HAMILTON LLC															
607851	8/16/12	7/2/14	313379SK6	AA+	Aaa	AAA	10,000,000.00	0.4000	0.3413	10,010,981.20	(7,553.64)	16,555.56	10,003,427.56	10,017,300.00	13,872.44
FREDDIE MAC / CANTOR FITZGERALD															
596481	6/14/12	8/20/14	3134G2UA8	AA+	Aaa	AAA	7,605,000.00	1.0000	0.4600	7,694,100.18	(59,740.20)	21,336.25	7,634,359.98	7,651,238.40	16,878.42
FREDDIE MAC / CASTLE OAK SECURITIES															
611985	9/10/12	8/20/14	3134G2UA8	AA+	Aaa	AAA	20,000,000.00	1.0000	0.3121	20,266,500.00	(167,895.00)	56,111.11	20,098,605.00	20,121,600.00	22,995.00
FREDDIE MAC / CANTOR FITZGERALD															
606743	8/10/12	8/28/14	3134G3YW4	AA+	Aaa	AAA	5,000,000.00	0.3750	0.3549	5,002,050.00	(1,308.33)	4,843.75	5,000,741.67	5,008,250.00	7,508.33
FREDDIE MAC / RBC															
606744	8/10/12	8/28/14	3134G3YW4	AA+	Aaa	AAA	5,000,000.00	0.3750	0.3588	5,001,650.00	(1,053.05)	4,843.75	5,000,596.95	5,008,250.00	7,653.05
FREDDIE MAC / OPPENHEIMER & CO., INC															
607850	8/16/12	8/28/14	3134G3YW4	AA+	Aaa	AAA	10,000,000.00	0.3750	0.3513	10,004,800.00	(3,049.18)	9,687.50	10,001,750.82	10,016,500.00	14,749.18
FREDDIE MAC / BNP PARIBAS															

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Extended Fund</u>															
GOVERNMENT AGENCY															
GOVT AGENCY-FIX-30/360															
610978	8/17/12	8/28/14	3134G3YW4	AA+	Aaa	AAA	10,000,000.00	0.3750	0.3597	10,003,100.00	(1,967.72)	9,687.50	10,001,132.28	10,016,500.00	15,367.72
FREDDIE MAC / BNP PARIBAS															
611984	9/10/12	8/28/14	3134G3YW4	AA+	Aaa	N.A	10,000,000.00	0.3750	0.3321	10,008,400.00	(5,232.20)	9,687.50	10,003,167.80	10,016,500.00	13,332.20
FREDDIE MAC / CASTLE OAK SECURITIES															
547992	9/27/11	9/19/14	3134G2YJ5	AA+	Aaa	AAA	10,000,000.00	0.5000	0.6528	9,955,000.00	32,910.45	10,000.00	9,987,910.45	10,027,750.00	39,839.55
FED FARM CR BK / UBS FINANCIAL SERVICES															
622556	11/9/12	10/16/14	3133EA4S4	AA+	Aaa	AAA	19,300,000.00	0.2500	0.2961	19,282,823.00	9,414.08	6,031.25	19,292,237.08	19,305,404.00	13,166.92
FANNIE MAE / BNP PARIBAS															
582607	4/3/12	10/30/14	3135G0DW0	AA+	Aaa	AAA	10,000,000.00	0.6250	0.5248	10,025,580.00	(16,501.45)	5,381.94	10,009,078.55	10,041,550.00	32,471.45
FED FARM CR BK / RBC															
635326	1/28/13	11/14/14	3133ECCM4	AA+	Aaa	N.A	15,305,000.00	0.2500	0.2987	15,291,684.65	6,245.44	1,806.84	15,297,930.09	15,301,939.00	4,008.91
FED FARM CR BK / CRED SUIS SECURITIES															
624988	11/27/12	11/19/14	3133EC2X1	AA+	Aaa	N.A	25,000,000.00	0.2700	0.3172	24,976,750.00	11,886.24	2,250.00	24,988,636.24	25,023,000.00	34,363.76
FED FARM CR BK / JEFFERIES & COMPANY															
624989	11/27/12	11/19/14	3133EC2X1	AA+	Aaa	N.A	39,500,000.00	0.2700	0.3172	39,463,264.21	18,780.66	3,555.00	39,482,044.87	39,536,340.00	54,295.13
FANNIE MAE / JEFFERIES & COMPANY															
622195	11/7/12	11/20/14	31398AZV7	AA+	Aaa	AAA	25,000,000.00	2.6250	0.3450	26,155,500.00	(605,336.97)	20,052.08	25,550,163.03	25,590,500.00	40,336.97
FED FARM CR BK / RBC															
630583	12/28/12	11/26/14	3133EC5G5	AA+	Aaa	AAA	15,300,000.00	0.2500	0.2970	15,286,306.50	6,627.81	531.25	15,292,934.31	15,310,098.00	17,163.69
FED FARM CR BK / CANTOR FITZGERALD															
635328	1/28/13	11/28/14	3133ECDP6	AA+	Aaa	N.A	25,000,000.00	0.2500	0.2990	24,977,625.00	10,272.16	520.83	24,987,897.16	24,875,250.00	(112,647.16)
FED FARM CR BK / CASTLE OAK SECURITIES															
635329	1/28/13	11/28/14	3133ECDP6	AAA	Aaa	N.A	25,000,000.00	0.2500	0.2987	24,977,750.00	10,214.77	520.83	24,987,964.77	24,875,250.00	(112,714.77)
FREDDIE MAC / JEFFERIES & COMPANY															
617568	10/11/12	12/5/14	3134G3H78	AA+	Aaa	AAA	15,000,000.00	0.3500	0.3469	15,000,985.35	(521.96)	25,666.67	15,000,463.39	15,025,200.00	24,736.61
FANNIE MAE / BNP PARIBAS															
617567	10/11/12	12/19/14	3135G0FY4	AA+	Aaa	AAA	25,000,000.00	0.7500	0.3369	25,225,000.00	(117,068.53)	84,375.00	25,107,931.47	25,145,125.00	37,193.53
FANNIE MAE / MORGAN STANLEY															
618640	10/18/12	12/19/14	3135G0FY4	AA+	Aaa	AAA	24,000,000.00	0.7500	0.3462	24,209,280.00	(107,989.55)	81,000.00	24,101,290.45	24,139,320.00	38,029.55
FANNIE MAE / DEUTSCHE															
618775	10/18/12	12/19/14	3135G0FY4	AA+	Aaa	AAA	25,000,000.00	0.7500	0.3481	25,216,930.00	(111,936.99)	84,375.00	25,104,993.01	25,145,125.00	40,131.99
FREDDIE MAC / CRED SUIS SECURITIES															
576839	3/2/12	12/29/14	3137EADA4	AA+	Aaa	AAA	25,000,000.00	0.6250	0.5360	25,062,250.00	(38,500.74)	65,972.22	25,023,749.26	25,116,500.00	92,750.74

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Extended Fund</u>															
GOVERNMENT AGENCY															
GOVT AGENCY-FIX-30/360															
FED HM LN BK BD / CASTLE OAK SECURITIES															
626591	12/5/12	1/16/15	313381H24	AA+	Aaa	N.A	25,000,000.00	0.2500	0.3070	24,970,000.00	14,034.17	23,437.50	24,984,034.17	25,018,625.00	34,590.83
FED HM LN BK BD / BNP PARIBAS															
626592	12/5/12	1/16/15	313381H24	AA+	Aaa	N.A	20,000,000.00	0.2500	0.3070	19,976,000.00	11,227.33	18,750.00	19,987,227.33	20,014,900.00	27,672.67
FED HM LN BK BD / DEUTSCHE															
680477	10/15/13	2/20/15	313381YP4	AA+	Aaa	AAA	28,500,000.00	0.2500	0.2401	28,503,790.50	(359.51)	9,104.17	28,503,430.99	28,525,222.50	21,791.51
FREDDIE MAC / CANTOR FITZGERALD															
607852	8/16/12	4/17/15	3137EADD8	AA+	Aaa	AAA	5,000,000.00	0.5000	0.4450	5,007,285.00	(3,525.00)	3,055.56	5,003,760.00	5,020,350.00	16,590.00
FED FARM CR BK / UBS FINANCIAL SERVICES															
661235	6/25/13	6/23/15	3133EARQ3	AA+	Aaa	AAA	30,000,000.00	0.5000	0.4592	30,024,300.00	(5,279.67)	65,000.00	30,019,020.33	30,121,950.00	102,929.67
FED FARM CR BK / JEFFERIES & COMPANY															
643498	3/15/13	7/30/15	3133ECHOV9	AA+	Aaa	AAA	25,000,000.00	0.3500	0.3602	24,994,000.00	1,796.49	29,409.72	24,995,796.49	24,875,000.00	(120,796.49)
FED FARM CR BK / RBC															
661819	6/27/13	8/17/15	3133EADW5	AA+	Aaa	AAA	10,000,000.00	0.5500	0.5891	9,991,700.00	1,660.00	15,888.89	9,993,360.00	10,040,900.00	47,540.00
FREDDIE MAC / BNP PARIBAS															
612460	9/12/12	9/4/15	3134G3J76	AA+	Aaa	N.A	10,000,000.00	0.4500	0.4331	10,005,000.00	(2,047.57)	10,875.00	10,002,952.43	10,018,200.00	15,247.57
FREDDIE MAC / BNP PARIBAS															
613215	9/17/12	9/4/15	3134G3J76	AA+	Aaa	N.A	10,000,000.00	0.4500	0.4398	10,003,000.00	(1,220.24)	10,875.00	10,001,779.76	10,018,200.00	16,420.24
FANNIE MAE / JEFFERIES & COMPANY															
618771	10/18/12	9/28/15	3135G0NV1	AA+	Aaa	AAA	15,000,000.00	0.5000	0.4322	15,029,700.00	(11,291.60)	13,125.00	15,018,408.40	15,056,250.00	37,841.60
FANNIE MAE / JP MORGAN CHASE & CO															
618773	10/18/12	9/28/15	3135G0NV1	AA+	Aaa	AAA	10,000,000.00	0.5000	0.4370	10,018,400.00	(6,995.47)	8,750.00	10,011,404.53	10,037,500.00	26,095.47
FANNIE MAE / BNP PARIBAS															
619050	10/19/12	9/28/15	3135G0NV1	AA+	Aaa	AAA	15,000,000.00	0.5000	0.4626	15,016,350.00	(6,206.52)	13,125.00	15,010,143.48	15,056,250.00	46,106.52
FANNIE MAE / DEUTSCHE															
619537	10/23/12	10/26/15	31398A4M1	AA+	Aaa	AAA	15,000,000.00	1.6250	0.4941	15,505,912.50	(185,921.68)	23,697.92	15,319,990.82	15,372,450.00	52,459.18
FED FARM CR BK / JEFFERIES & COMPANY															
630250	12/27/12	11/16/15	3133ECBJ2	AA+	Aaa	AAA	19,575,000.00	0.4300	0.4370	19,571,085.00	1,258.53	3,507.19	19,572,343.53	19,599,077.25	26,733.72
FED FARM CR BK / JEFFERIES & COMPANY															
638821	2/19/13	11/16/15	3133ECBJ2	AA+	Aaa	AAA	10,000,000.00	0.4300	0.4443	9,996,100.00	1,114.29	1,791.67	9,997,214.29	10,012,300.00	15,085.71
FED HM LN BK BD / MORGAN STANLEY															
638345	2/14/13	11/20/15	313380L96	AA+	Aaa	AAA	10,000,000.00	0.5000	0.4541	10,012,600.00	(3,630.72)	1,527.78	10,008,969.28	10,039,500.00	30,530.72
FED HM LN BK BD / BARCLAYS CAPITAL															

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Extended Fund</u>															
GOVERNMENT AGENCY															
GOVT AGENCY-FIX-30/360															
663447	7/8/13	11/20/15	313380L96	AA+	Aaa	N.A	15,000,000.00	0.5000	0.5879	14,969,040.00	5,196.34	2,291.67	14,974,236.34	15,059,250.00	85,013.66
FED HM LN BK BD / CITIGROUP GLOBAL MARKETS															
666909	7/26/13	11/20/15	313380L96	AA+	Aaa	AAA	10,000,000.00	0.5000	0.4491	10,011,700.00	(1,753.60)	1,527.78	10,009,946.40	10,039,500.00	29,553.60
FREDDIE MAC / RBC															
632052	1/8/13	11/24/15	3134G3W55	AA+	Aaa	N.A	10,000,000.00	0.4500	0.4489	10,000,300.00	(93.53)	875.00	10,000,206.47	10,025,450.00	25,243.53
FREDDIE MAC / BNP PARIBAS															
632053	1/8/13	11/24/15	3134G3W55	AA+	Aaa	N.A	35,000,000.00	0.4500	0.4489	35,001,050.00	(327.36)	3,062.50	35,000,722.64	35,089,075.00	88,352.36
FREDDIE MAC / RBC															
642207	3/8/13	11/24/15	3134G3W55	AA+	Aaa	AAA	20,000,000.00	0.4500	0.4310	20,010,200.00	(2,748.57)	1,750.00	20,007,451.43	20,050,900.00	43,448.57
FREDDIE MAC / RBC															
642730	3/12/13	11/24/15	3134G3W55	AA+	Aaa	AAA	20,000,000.00	0.4500	0.4421	20,004,200.00	(1,119.14)	1,750.00	20,003,080.86	20,050,900.00	47,819.14
FED HM LN BK BD / JEFFERIES & COMPANY															
630251	12/27/12	12/11/15	313371NW2	AA+	Aaa	AAA	10,000,000.00	1.3750	0.4670	10,273,650.00	(85,901.41)	64,930.55	10,187,748.59	10,212,400.00	24,651.41
FED HM LN BK BD / JEFFERIES & COMPANY															
632054	1/8/13	12/11/15	313371NW2	AA+	Aaa	N.A	11,000,000.00	1.3750	0.4494	11,295,526.00	(90,650.43)	71,423.61	11,204,875.57	11,233,640.00	28,764.43
FANNIE MAE / CRED SUIS SECURITIES															
656284	5/28/13	12/21/15	3135G0S80	AA+	Aaa	AAA	25,000,000.00	0.3750	0.3921	24,989,125.00	2,156.15	41,666.67	24,991,281.15	25,019,875.00	28,593.85
FANNIE MAE / MORGAN STANLEY															
657330	6/3/13	12/21/15	3135G0S80	AA+	Aaa	AAA	25,000,000.00	0.3750	0.4678	24,941,250.00	11,391.61	41,666.67	24,952,641.61	25,019,875.00	67,233.39
FANNIE MAE / CITIGROUP GLOBAL MARKETS															
660955	6/24/13	12/21/15	3135G0S80	AA+	Aaa	AAA	15,000,000.00	0.3750	0.5928	14,919,300.00	14,124.75	24,531.25	14,933,424.75	15,011,925.00	78,500.25
FANNIE MAE / GOLDMAN SACHS GROUP INC															
666910	7/26/13	12/21/15	3135G0S80	AA+	Aaa	AAA	10,000,000.00	0.3750	0.4902	9,972,510.00	3,972.54	13,020.84	9,976,482.54	10,007,950.00	31,467.46
FANNIE MAE / GOLDMAN SACHS GROUP INC															
666911	7/26/13	12/21/15	3135G0S80	AA+	Aaa	AAA	10,000,000.00	0.3750	0.4952	9,971,320.00	4,144.51	13,020.84	9,975,464.51	10,007,950.00	32,485.49
FANNIE MAE / BNP PARIBAS															
666912	7/26/13	12/21/15	3135G0S80	AA+	Aaa	AAA	10,000,000.00	0.3750	0.4924	9,972,000.00	4,046.24	13,020.84	9,976,046.24	10,007,950.00	31,903.76
FANNIE MAE / MORGAN STANLEY															
671912	8/23/13	12/21/15	3135G0S80	AA+	Aaa	AAA	15,000,000.00	0.3750	0.5490	14,939,700.00	7,051.79	15,312.50	14,946,751.79	15,011,925.00	65,173.21
FED HM LN BK BD / BNP PARIBAS															
649011	4/17/13	3/11/16	313375RN9	AA+	Aaa	AAA	30,000,000.00	1.0000	0.4090	30,510,600.00	(109,554.02)	66,666.67	30,401,045.98	30,359,400.00	(41,645.98)
FED HM LN BK BD / CANTOR FITZGERALD															
645483	3/27/13	3/18/16	313382K85	AA+	Aaa	N.A	50,000,000.00	0.4500	0.4530	49,995,550.00	1,013.82	45,625.00	49,996,563.82	50,031,000.00	34,436.18

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Extended Fund</u>															
GOVERNMENT AGENCY															
GOVT AGENCY-FIX-30/360															
FED HM LN BK BD / CASTLE OAK SECURITIES															
672940	8/30/13	3/18/16	313382K85	AA+	Aaa	AAA	15,000,000.00	0.4500	0.7180	14,898,585.00	10,053.12	13,687.50	14,908,638.12	15,009,300.00	100,661.88
FANNIE MAE / BARCLAYS CAPITAL															
675626	9/16/13	3/30/16	3135G0VA8	AA+	Aaa	AAA	15,000,000.00	0.5000	0.7393	14,909,865.00	7,396.20	12,708.33	14,917,261.20	15,027,000.00	109,738.80
FREDDIE MAC / CRED SUIS SECURITIES															
652290	5/3/13	5/13/16	3137EADQ9	AA+	Aaa	AAA	20,000,000.00	0.5000	0.3924	20,064,700.00	(12,346.42)	5,000.00	20,052,353.58	20,018,400.00	(33,953.58)
FREDDIE MAC / JEFFERIES & COMPANY															
655818	5/23/13	5/13/16	3137EADQ9	AA+	Aaa	AAA	25,000,000.00	0.5000	0.4529	25,034,700.00	(6,096.82)	6,250.00	25,028,603.18	25,023,000.00	(5,603.18)
FREDDIE MAC / CRED SUIS SECURITIES															
656027	5/24/13	5/13/16	3137EADQ9	AA+	Aaa	AAA	25,000,000.00	0.5000	0.4628	25,027,375.00	(4,788.70)	6,250.00	25,022,586.30	25,023,000.00	413.70
FED HM LN BK BD / BARCLAYS CAPITAL															
653501	5/10/13	6/24/16	3133834R9	AA+	Aaa	AAA	15,000,000.00	0.3750	0.4321	14,973,450.00	4,747.82	24,531.25	14,978,197.82	14,973,750.00	(4,447.82)
FED HM LN BK BD / MORGAN STANLEY															
653744	5/13/13	6/24/16	3133834R9	AA+	Aaa	N.A	10,000,000.00	0.3750	0.4709	9,970,400.00	5,228.19	16,354.17	9,975,628.19	9,982,500.00	6,871.81
FED HM LN BK BD / CRED SUIS SECURITIES															
654002	5/14/13	6/24/16	3133834R9	AA+	Aaa	N.A	10,000,000.00	0.3750	0.4700	9,970,700.00	5,153.66	16,354.17	9,975,853.66	9,982,500.00	6,646.34
FANNIE MAE / JP MORGAN CHASE & CO															
654742	5/17/13	7/5/16	3135G0XP3	AA+	Aaa	N.A	15,000,000.00	0.3750	0.4861	14,948,250.00	8,900.27	22,812.50	14,957,150.27	14,956,125.00	(1,025.27)
FANNIE MAE / MORGAN STANLEY															
654811	5/20/13	7/5/16	3135G0XP3	AA+	Aaa	N.A	15,000,000.00	0.3750	0.4686	14,956,500.00	7,385.33	22,812.50	14,963,885.33	14,956,125.00	(7,760.33)
FREDDIE MAC / JP MORGAN CHASE & CO															
670678	8/16/13	10/14/16	3137EADSS	AA+	Aaa	AAA	10,000,000.00	0.8750	0.9277	9,983,640.00	1,509.49	11,423.61	9,985,149.49	10,080,550.00	95,400.51
FREDDIE MAC / CRED SUIS SECURITIES															
644965	3/25/13	3/8/17	3137EADC0	AA+	Aaa	AAA	25,000,000.00	1.0000	0.7027	25,289,250.00	(50,003.87)	57,638.89	25,239,246.13	25,191,125.00	(48,121.13)
FREDDIE MAC / BNP PARIBAS															
644964	3/25/13	6/29/17	3137EADH9	AA+	Aaa	AAA	10,000,000.00	1.0000	0.7641	10,098,700.00	(15,828.03)	42,222.22	10,082,871.97	10,032,450.00	(50,421.97)
Subtotal for GOVT AGENCY-FIX-30/360:							1,640,610,000.00	0.6804	0.4067	1,649,729,973.22	(6,203,663.16)	2,849,843.57	1,643,526,310.06	1,645,455,508.20	1,929,198.14
GOV'T AGY - CALLABLE															
FREDDIE MAC / DEUTSCHE															
573492	2/14/12	2/13/15	3134G3MR8	AA+	Aaa	AAA	15,000,000.00	0.5500	0.5500	15,000,000.00	0.00	24,750.00	15,000,000.00	15,007,200.00	7,200.00
FREDDIE MAC / BNP PARIBAS															
657329	6/3/13	3/18/15	3134G36G0	AA+	Aaa	AAA	5,000,000.00	0.3500	0.3864	4,996,750.00	3,250.00	3,548.61	5,000,000.00	5,007,675.00	7,675.00
FREDDIE MAC / DAIWA CAPITAL MARKETS															

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Extended Fund</u>															
GOVERNMENT AGENCY															
GOV'T AGY - CALLABLE															
683126	10/30/13	4/30/15	3134G4J41	AA+	Aaa	AAA	2,950,000.00	0.4000	0.3933	2,950,295.00	(50.81)	1,016.11	2,950,244.19	2,950,295.00	50.81
FREDDIE MAC / CRED SUIS SECURITIES															
683127	10/30/13	4/30/15	3134G4J41	AA+	Aaa	AAA	15,000,000.00	0.4000	0.4000	15,000,000.00	0.00	5,166.67	15,000,000.00	15,001,500.00	1,500.00
FREDDIE MAC / RBC															
683337	10/30/13	4/30/15	3134G4J41	AA+	Aaa	AAA	10,000,000.00	0.4000	0.4000	10,000,000.00	0.00	3,444.44	10,000,000.00	10,001,000.00	1,000.00
FREDDIE MAC / CRED SUIS SECURITIES															
660323	6/19/13	6/19/15	3134G4A40	AA+	Aaa	AAA	15,000,000.00	0.4000	0.4010	14,999,700.00	270.00	27,000.00	14,999,970.00	15,001,500.00	1,530.00
FREDDIE MAC / CRED SUIS SECURITIES															
660324	6/19/13	6/19/15	3134G4A40	AA+	Aaa	AAA	35,000,000.00	0.4000	0.4000	35,000,000.00	0.00	63,000.00	35,000,000.00	35,003,500.00	3,500.00
FREDDIE MAC / BNP PARIBAS															
661584	6/26/13	6/26/15	3134G4B23	AA+	Aaa	AAA	25,000,000.00	0.4500	0.4500	25,000,000.00	0.00	48,437.50	25,000,000.00	25,005,000.00	5,000.00
FREDDIE MAC / BNP PARIBAS															
661585	6/26/13	6/26/15	3134G4B23	AA+	Aaa	AAA	25,000,000.00	0.4500	0.4500	25,000,000.00	0.00	48,437.50	25,000,000.00	25,005,000.00	5,000.00
FREDDIE MAC / UBS FINANCIAL SERVICES															
671413	8/21/13	8/21/15	3134G4EL8	AA+	Aaa	AAA	25,000,000.00	0.4500	0.4500	25,000,000.00	0.00	31,250.00	25,000,000.00	25,025,000.00	25,000.00
FREDDIE MAC / CASTLE OAK SECURITIES															
673654	9/4/13	9/4/15	3134G4FN3	AA+	Aaa	AAA	25,000,000.00	0.5500	0.5500	25,000,000.00	0.00	33,229.17	25,000,000.00	25,025,500.00	25,500.00
FREDDIE MAC / CRED SUIS SECURITIES															
646980	4/4/13	9/18/15	3134G36F2	AA+	Aaa	AAA	50,000,000.00	0.4200	0.4241	49,995,000.00	5,000.00	42,583.33	50,000,000.00	50,090,750.00	90,750.00
FANNIE MAE / CRED SUIS SECURITIES															
614800	9/25/12	9/25/15	3135G0PB3	AA+	Aaa	N.A	31,800,000.00	0.5000	0.5135	31,787,280.00	12,720.00	29,150.00	31,800,000.00	31,807,632.00	7,632.00
FREDDIE MAC / UBS FINANCIAL SERVICES															
614801	9/25/12	9/25/15	3134G3L24	AA+	Aaa	N.A	10,000,000.00	0.5000	0.5000	10,000,000.00	0.00	9,166.67	10,000,000.00	10,033,250.00	33,250.00
FREDDIE MAC / CASTLE OAK SECURITIES															
614802	9/25/12	9/25/15	3134G3K25	AA+	Aaa	N.A	5,000,000.00	0.5000	0.5135	4,998,000.00	2,000.00	4,583.33	5,000,000.00	5,001,250.00	1,250.00
FREDDIE MAC / CANTOR FITZGERALD															
670151	8/14/13	9/25/15	3134G3L24	AA+	Aaa	AAA	25,000,000.00	0.5000	0.4928	25,003,750.00	(3,750.00)	22,916.67	25,000,000.00	25,083,125.00	83,125.00
FANNIE MAE / CRED SUIS SECURITIES															
645486	3/27/13	11/6/15	3135G0RS4	AA+	Aaa	AAA	20,000,000.00	0.5000	0.4200	20,041,440.00	(17,463.49)	6,944.44	20,023,976.51	19,970,000.00	(53,976.51)
FED HM LN BK BD / CASTLE OAK SECURITIES															
687734	11/25/13	1/8/16	313381MG7	AA+	Aaa	AAA	50,000,000.00	0.5000	0.4876	50,013,000.00	(1,813.95)	4,166.67	50,011,186.05	50,013,500.00	2,313.95
FED HM LN BK BD / CASTLE OAK SECURITIES															
687741	11/25/13	1/8/16	313381MG7	AA+	Aaa	AAA	30,000,000.00	0.5000	0.4876	30,007,800.00	(1,088.37)	2,500.00	30,006,711.63	30,008,100.00	1,388.37

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Extended Fund</u>															
GOVERNMENT AGENCY															
GOV'T AGY - CALLABLE															
FANNIE MAE / CASTLE OAK SECURITIES															
634551	1/23/13	1/15/16	3135G0TR4	AA+	Aaa	N.A	25,000,000.00	0.6500	0.4976	25,112,500.00	(48,665.73)	61,388.89	25,063,834.27	25,060,000.00	(3,834.27)
FANNIE MAE / RBC															
637074	2/6/13	1/29/16	3135G0TZ6	AA+	Aaa	AAA	18,050,000.00	0.4500	0.5245	18,010,290.00	33,185.41	27,526.25	18,043,475.41	18,056,498.00	13,022.59
FANNIE MAE / CRED SUIS SECURITIES															
637830	2/11/13	1/29/16	3135G0TZ6	AA+	Aaa	AAA	40,800,000.00	0.4500	0.5095	40,728,600.00	59,500.00	62,220.00	40,788,100.00	40,814,688.00	26,588.00
FANNIE MAE / GOLDMAN SACHS GROUP INC															
676590	9/20/13	1/29/16	3135G0TT0	AA+	Aaa	AAA	10,000,000.00	0.5000	0.5855	9,980,000.00	11,007.75	9,861.11	9,991,007.75	9,985,000.00	(6,007.75)
FREDDIE MAC / BNP PARIBAS															
601195	7/11/12	3/14/16	3134G3RK8	AA+	Aaa	N.A	20,000,000.00	1.0000	0.7974	20,146,400.00	(121,393.03)	42,777.78	20,025,006.97	20,044,600.00	19,593.03
FANNIE MAE / JEFFERIES & COMPANY															
685157	11/8/13	3/28/16	3136FTSE5	AA+	Aaa	AAA	20,000,000.00	0.8850	0.7822	20,048,540.00	(7,974.43)	11,308.33	20,040,565.57	20,048,600.00	8,034.43
FREDDIE MAC / JEFFERIES & COMPANY															
616638	10/4/12	4/4/16	3134G3L40	AA+	Aaa	AAA	25,000,000.00	0.6000	0.6087	24,992,500.00	7,500.00	23,750.00	25,000,000.00	25,009,000.00	9,000.00
FANNIE MAE / CASTLE OAK SECURITIES															
653743	5/13/13	4/18/16	3135G0WC3	AA+	Aaa	N.A	15,000,000.00	0.5700	0.5460	15,010,440.00	(10,440.00)	10,212.50	15,000,000.00	15,003,450.00	3,450.00
FANNIE MAE / CASTLE OAK SECURITIES															
654003	5/14/13	4/18/16	3135G0WC3	AA+	Aaa	N.A	33,000,000.00	0.5700	0.5462	33,022,803.00	(22,803.00)	22,467.50	33,000,000.00	33,007,590.00	7,590.00
FANNIE MAE / JEFFERIES & COMPANY															
617566	10/11/12	6/27/16	3136G0K26	AA+	Aaa	AAA	22,250,000.00	0.6200	0.6200	22,250,000.00	0.00	59,011.94	22,250,000.00	22,257,342.50	7,342.50
FED HM LN BK BD / RBC															
659073	6/12/13	7/29/16	313381VK8	AA+	Aaa	AAA	16,435,000.00	0.5750	0.8507	16,295,302.50	139,697.50	32,025.43	16,435,000.00	16,346,251.00	(88,749.00)
FANNIE MAE / CANTOR FITZGERALD															
671412	8/21/13	8/21/17	3136G1SV2	AA+	Aaa	AAA	25,000,000.00	2.0000	1.6465	25,340,750.00	(94,652.78)	138,888.89	25,246,097.22	25,333,250.00	87,152.78
FANNIE MAE / RBC															
633778	1/17/13	9/20/17	3135G0PP2	AA+	Aaa	N.A	50,000,000.00	1.0000	0.9441	50,127,500.00	(127,500.00)	98,611.11	50,000,000.00	49,682,750.00	(317,250.00)
FANNIE MAE / RBC															
637076	2/6/13	9/20/17	3135G0PP2	AA+	Aaa	AAA	22,597,000.00	1.0000	1.0133	22,583,441.80	13,558.20	44,566.31	22,597,000.00	22,453,622.04	(143,377.97)
Subtotal for GOV'T AGY - CALLABLE:							762,882,000.00	0.6151	0.5955	763,442,082.30	(169,906.73)	1,055,907.15	763,272,175.57	763,143,418.54	(128,757.04)
GOV'T AGY - STEP UP															
FANNIE MAE / BNP PARIBAS															
572409	2/8/12	2/7/17	3136FTL31	AA+	Aaa	AAA	15,000,000.00	0.7500	0.7500	15,000,000.00	0.00	35,625.00	15,000,000.00	14,985,000.00	(15,000.00)
FANNIE MAE / RBC															

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Extended Fund</u>															
GOVERNMENT AGENCY															
GOV'T AGY - STEP UP															
592169	5/23/12	5/23/17	3136G0GW5	AA+	Aaa	AAA	50,000,000.00	0.8500	0.7893	50,148,500.00	(113,025.00)	9,444.44	50,035,475.00	50,206,900.00	171,425.00
FANNIE MAE / JEFFERIES & COMPANY															
648221	4/11/13	4/11/18	3136G1JT7	AA+	Aaa	AAA	50,000,000.00	0.7500	0.7541	49,990,000.00	10,000.00	52,083.33	50,000,000.00	49,373,500.00	(626,500.00)
FED HM LN BK BD / CANTOR FITZGERALD															
655520	5/22/13	5/22/18	313382Z63	AA+	Aaa	N.A	50,000,000.00	0.5000	0.5000	50,000,000.00	0.00	6,250.00	50,000,000.00	48,994,000.00	(1,006,000.00)
Subtotal for GOV'T AGY - STEP UP:							165,000,000.00	0.7045	0.6874	165,138,500.00	(103,025.00)	103,402.77	165,035,475.00	163,559,400.00	(1,476,075.00)
Subtotal for GOVERNMENT AGENCY:							2,568,492,000.00	0.6625	0.4808	2,578,310,555.52	(6,476,594.89)	4,009,153.49	2,571,833,960.63	2,572,158,326.74	324,366.11
MEDIUM TERM NOTES															
MEDIUM TERM NOTE															
MICROSOFT CORP / WELLS FARGO BANK															
455034	5/13/10	6/1/14	594918AB0	AAA	Aaa	AA+	10,000,000.00	2.9500	2.1560	10,306,300.00	(268,485.19)	0.00	10,037,814.81	10,139,900.00	102,085.19
MICROSOFT CORP / WELLS FARGO BANK															
458212	6/1/10	6/1/14	594918AB0	AAA	Aaa	AA+	15,000,000.00	2.9500	2.0000	15,545,182.04	(477,034.28)	0.00	15,068,147.76	15,209,850.00	141,702.24
MICROSOFT CORP / CRED SUIS SECURITIES															
588559	5/3/12	6/1/14	594918AB0	AA+	Aaa	AAA	15,339,000.00	2.9500	0.4581	16,128,498.33	(599,512.10)	0.00	15,528,986.23	15,553,592.61	24,606.38
WAL-MART STORES INC / BNP PARIBAS															
662547	7/2/13	7/8/15	931142CT8	AA	Aa2	AA	19,341,000.00	2.2500	0.6693	19,952,369.01	(125,473.81)	172,860.19	19,826,895.20	19,929,159.81	102,264.61
MICROSOFT CORP / BNP PARIBAS															
667181	7/30/13	9/25/15	594918AG9	AAA	Aaa	AA+	7,347,000.00	1.6250	0.4890	7,525,495.37	(27,868.31)	21,887.94	7,497,627.06	7,519,434.09	21,807.03
WAL-MART STORES INC / BNP PARIBAS															
661817	6/27/13	10/25/15	931142CX9	AA	Aa2	AA	12,000,000.00	1.5000	0.7540	12,206,136.00	(37,881.79)	18,000.00	12,168,254.21	12,251,280.00	83,025.79
WAL-MART STORES INC / BNP PARIBAS															
661818	6/27/13	10/25/15	931142CX9	AA	Aa2	AA	22,450,000.00	1.5000	0.7540	22,835,646.10	(70,870.52)	33,675.00	22,764,775.58	22,920,103.00	155,327.42
WAL-MART STORES INC / CRED SUIS SECURITIES															
668434	8/5/13	10/25/15	931142CX9	AA	Aa2	AA	10,000,000.00	1.5000	0.5425	10,211,200.00	(30,624.00)	15,000.00	10,180,576.00	10,209,400.00	28,824.00
WAL-MART STORES INC / MORGAN STANLEY															
647978	4/11/13	4/11/16	931142DE0	AA	Aa2	AA	7,000,000.00	0.6000	0.6239	6,995,030.00	1,058.43	5,833.33	6,996,088.43	7,012,460.00	16,371.57
JOHNSON & JOHNSON / UBS FINANCIAL SERVICES															
647696	4/9/13	5/15/16	478160AY0	AAA	Aaa	AAA	10,000,000.00	2.1500	0.5218	10,500,000.00	(103,942.65)	9,555.56	10,396,057.35	10,383,600.00	(12,457.35)
JOHNSON & JOHNSON / BNP PARIBAS															
652282	5/3/13	5/15/16	478160AY0	AAA	Aaa	AAA	16,600,000.00	2.1500	0.4861	17,430,664.00	(158,221.71)	15,862.22	17,272,442.29	17,236,776.00	(35,666.29)
JOHNSON & JOHNSON / BNP PARIBAS															

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Extended Fund</u>															
MEDIUM TERM NOTES															
MEDIUM TERM NOTE															
653503	5/10/13	5/15/16	478160AY0	AAA	Aaa	AAA	10,000,000.00	2.1500	0.5204	10,486,680.00	(90,159.15)	9,555.56	10,396,520.85	10,383,600.00	(12,920.85)
JOHNSON & JOHNSON / BNP PARIBAS															
654001	5/14/13	5/15/16	478160AY0	AAA	Aaa	AAA	10,000,000.00	2.1500	0.5212	10,484,670.00	(88,325.62)	9,555.56	10,396,344.38	10,383,600.00	(12,744.38)
JOHNSON & JOHNSON / BNP PARIBAS															
654750	5/17/13	5/15/16	478160AY0	AAA	Aaa	AAA	10,000,000.00	2.1500	0.5665	10,469,500.00	(84,492.58)	9,555.56	10,385,007.42	10,383,600.00	(1,407.42)
JOHNSON & JOHNSON / BNP PARIBAS															
655819	5/23/13	5/15/16	478160AY0	AAA	Aaa	AAA	2,497,000.00	2.1500	0.5725	2,613,135.47	(20,367.04)	2,386.02	2,592,768.43	2,592,784.92	16.49
JOHNSON & JOHNSON / BNP PARIBAS															
657328	6/3/13	5/15/16	478160AY0	AAA	Aaa	AAA	10,000,000.00	2.1500	0.6532	10,436,600.00	(73,177.78)	9,555.56	10,363,422.22	10,383,600.00	20,177.78
CHEVRON CORP / BARCLAYS CAPITAL															
660960	6/24/13	6/24/16	166764AC4	AA	Aa1	AA	5,000,000.00	0.8890	0.8890	5,000,000.00	0.00	19,385.14	5,000,000.00	5,031,950.00	31,950.00
CHEVRON CORP / RBC															
661236	6/25/13	6/24/16	166764AC4	AA	Aa1	AA	5,000,000.00	0.8890	0.8318	5,008,450.00	(1,221.69)	19,261.67	5,007,228.31	5,031,950.00	24,721.69
Subtotal for MEDIUM TERM NOTE:							197,574,000.00	2.0386	0.7938	204,135,556.32	(2,256,599.79)	371,929.31	201,878,956.53	202,556,640.43	677,683.90
Subtotal for MEDIUM TERM NOTES:							197,574,000.00	2.0386	0.7938	204,135,556.32	(2,256,599.79)	371,929.31	201,878,956.53	202,556,640.43	677,683.90
TREASURIES															
TREASURY BOND															
US TREASURY N/B / BNP PARIBAS															
565531	1/3/12	12/31/13	912828RW2	AA+	Aaa	AAA	25,000,000.00	0.1250	0.2903	24,917,968.75	78,650.84	13,149.30	24,996,619.59	25,000,976.50	4,356.91
US TREASURY N/B / UBS FINANCIAL SERVICES															
573778	2/15/12	1/31/14	912828SB7	AA+	Aaa	AAA	25,000,000.00	0.2500	0.2840	24,983,398.44	15,187.18	20,889.95	24,998,585.62	25,007,812.50	9,226.88
US TREASURY N/B / BARCLAY CAPITAL															
611983	9/10/12	8/31/14	912828TL4	AA+	Aaa	AAA	15,000,000.00	0.2500	0.2719	14,993,554.69	4,001.46	9,530.39	14,997,556.15	15,011,718.75	14,162.60
US TREASURY N/B / JEFFERIES & COMPANY															
607916	8/17/12	2/15/15	912828SE1	AA+	Aaa	AAA	10,000,000.00	0.2500	0.3634	9,971,875.00	14,525.08	7,336.96	9,986,400.08	10,006,835.90	20,435.82
US TREASURY N/B / DEUTSCHE															
676837	9/23/13	2/15/15	912828SE1	AA+	Aaa	AAA	12,000,000.00	0.2500	0.2051	12,007,500.00	(1,014.71)	5,625.00	12,006,485.29	12,008,203.08	1,717.79
US TREASURY N/B / RBS GREENWICH CAPITAL															
682574	10/25/13	5/15/15	912828SU5	AA+	Aaa	AAA	15,350,000.00	0.2500	0.2425	15,351,798.83	(117.38)	1,696.13	15,351,681.45	15,359,893.54	8,212.09
US TREASURY N/B / GREENWICH															
683656	10/31/13	5/31/15	912828NF3				50,000,000.00	2.1250	0.2522	51,482,421.88	(79,644.85)	86,707.08	51,402,777.03	51,427,734.50	24,957.47
US TREASURY N/B / CRED SUIS SECURITIES															

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Extended Fund</u>															
TREASURIES															
TREASURY BOND															
656550	5/29/13	9/15/15	912828TP5	AA+	Aaa	AAA	13,000,000.00	0.2500	0.3491	12,970,546.88	6,529.54	6,912.98	12,977,076.42	12,998,476.53	21,400.11
US TREASURY N/B / BARCLAYS CAPITAL															
662139	6/28/13	9/15/15	912828TP5	AA+	Aaa	AAA	15,000,000.00	0.2500	0.4416	14,936,718.75	12,202.56	7,976.52	14,948,921.31	14,998,242.15	49,320.84
US TREASURY N/B / DEUTSCHE															
675627	9/16/13	9/15/15	912828TP5	AA+	Aaa	AAA	20,000,000.00	0.2500	0.4092	19,936,718.75	6,597.22	10,497.24	19,943,315.97	19,997,656.20	54,340.23
US TREASURY N/B / CITIGROUP GLOBAL MARKETS															
667931	8/1/13	12/15/15	912828UC2	AA+	Aaa	AAA	10,000,000.00	0.2500	0.4522	9,952,343.75	6,713.70	8,333.34	9,959,057.45	9,992,187.50	33,130.05
US TREASURY N/B / BARCLAYS CAPITAL															
673705	9/5/13	12/15/15	912828UC2	AA+	Aaa	AAA	25,000,000.00	0.2500	0.5891	24,808,593.75	20,038.92	14,856.56	24,828,632.67	24,980,468.75	151,836.08
US TREASURY N/B / BARCLAYS CAPITAL															
675628	9/16/13	12/15/15	912828UC2	AA+	Aaa	AAA	15,000,000.00	0.2500	0.5602	14,896,289.06	9,612.23	7,786.88	14,905,901.29	14,988,281.25	82,379.96
Subtotal for TREASURY BOND:							250,350,000.00	0.6120	0.3510	251,209,728.53	93,281.79	201,298.33	251,303,010.32	251,778,487.15	475,476.83
Subtotal for TREASURIES:							250,350,000.00	0.6120	0.3510	251,209,728.53	93,281.79	201,298.33	251,303,010.32	251,778,487.15	475,476.83
Total Extended Fund :							3,016,416,000.00	0.7485	0.4906	3,033,655,840.37	(8,639,912.89)	4,582,381.13	3,025,015,927.48	3,026,493,454.31	1,477,526.83
Net Asset Value (NAV):														1.000488	
Total Investments:							6,470,474,837.26	0.5792	0.2871	6,493,498,829.77	(11,515,928.27)	6,135,009.26	6,482,025,794.22	6,483,739,590.08	1,713,795.86

Report Parameters

Deals [Settlement Date] <= 30-Nov-2013
Deals [Entity Name] include list County Money Mkt Fnd, Educational Money Mkt Fnd, Extended Fund
Market values provided by Bloomberg & Northern Trust.

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Issuer / Broker															
UNITED STATES DOLLAR															
15B-Housing 99A & 99B															
GOVERNMENT AGENCY															
MBS PASSTHROUGH															
GINNIE MAE /															
383458	11/20/13	9/20/29	36210RYF1	AA+	Aaa	AAA	108,112.54	6.2500	6.2500	108,112.54	0.00	206.46	108,112.54	119,767.07	11,654.53
Subtotal for MBS PASSTHROUGH:							108,112.54	6.2500	6.2500	108,112.54	0.00	206.46	108,112.54	119,767.07	11,654.53
Subtotal for GOVERNMENT AGENCY:							108,112.54	6.2500	6.2500	108,112.54	0.00	206.46	108,112.54	119,767.07	11,654.53
Total 15B-Housing 99A & 99B :							108,112.54	6.2500	6.2500	108,112.54	0.00	206.46	108,112.54	119,767.07	11,654.53
Net Asset Value (NAV):														1.107800	
283-John Wayne Airport															
FUNDS															
GOLDMAN SACHS - JWA MMF															
	12/1/13			AAAm	AAA-mf		226.62		0.0100	226.62	0.00	0.00	226.62	226.62	0.00
INVESCO STIC GOVERNMENT & AGENCY															
	12/1/13			AAAm	AAA-mf	AAAmmf	711.34		0.0300	711.34	0.00	0.00	711.34	711.34	0.00
MORGAN STANLEY GOVT 8302-JWA MMF															
	12/1/13			AAAm	Aaa		3,961,764.23		0.0400	3,961,764.23	0.00	0.00	3,961,764.23	3,961,764.23	0.00
Subtotal for FUNDS:							3,962,702.19		0.0400	3,962,702.19	0.00	0.00	3,962,702.19	3,962,702.19	0.00
CERTIFICATES OF DEPOSIT															
YANKEE CD															
TORONTO DOMINION BANK NY / TD SECURITIES															
681529	10/21/13	1/15/14	89112TPC2	A-1+	P-1	F1+	1,200,000.00	0.1500	0.1500	1,200,000.00	0.00	205.00	1,200,000.00	1,200,046.92	46.92
TORONTO DOMINION BANK NY / TDSI															
677356	9/25/13	1/27/14	89112TLM4	A-1+	P-1	F1+	1,000,000.00	0.1500	0.1500	1,000,000.00	0.00	279.17	1,000,000.00	1,000,049.10	49.10
Subtotal for YANKEE CD:							2,200,000.00	0.1500	0.1500	2,200,000.00	0.00	484.17	2,200,000.00	2,200,096.02	96.02
YANKEE CD FLOATER															
ROYAL BANK OF CANADA NY / RBC															
638822	2/19/13	2/19/14	78009NLF1	AA-	Aa3e	NR	1,000,000.00	0.3100	0.3030	1,000,000.00	0.00	103.33	1,000,000.00	999,886.17	(113.83)
ROYAL BANK OF CANADA NY / RBC															
660957	6/24/13	6/24/14	78009NNK8	AA-	Aa3	F1+	1,000,000.00	0.2895	0.2895	1,000,000.00	0.00	48.25	1,000,000.00	999,940.99	(59.01)
Subtotal for YANKEE CD FLOATER:							2,000,000.00	0.2998	0.2963	2,000,000.00	0.00	151.58	2,000,000.00	1,999,827.16	(172.84)
Subtotal for CERTIFICATES OF DEPOSIT:							4,200,000.00	0.2213	0.2197	4,200,000.00	0.00	635.75	4,200,000.00	4,199,923.18	(76.82)
GOVERNMENT AGENCY															

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>283-John Wayne Airport</u>															
GOVERNMENT AGENCY															
DISCOUNT NOTES															
FREDDIE DISCOUNT / JEFFERIES & COMPANY															
686664	11/19/13	12/2/13	313397P28	AA+	Aaa	AAA	300,000.00		0.0800	299,991.33	0.00	8.00	299,999.33	300,000.00	0.67
FHLB DISC CORP / DEUTSCHE															
688261	11/27/13	12/4/13	313385QB5	AA+	Aaa	AAA	600,000.00		0.0600	599,993.00	0.00	4.00	599,997.00	599,999.40	2.40
FNMA DISCOUNT / CITIGROUP GLOBAL MARKETS															
688262	11/27/13	12/4/13	313589QB2	AA+	Aaa	AAA	400,000.00		0.0600	399,995.33	0.00	2.67	399,998.00	399,999.60	1.60
FREDDIE DISCOUNT / JP MORGAN CHASE & CO															
687721	11/25/13	12/5/13	313397QC8	AA+	Aaa	AAA	306,000.00		0.0600	305,994.90	0.00	3.06	305,997.96	305,996.25	(1.71)
FREDDIE DISCOUNT / NOMURA SECURITIES															
685142	11/8/13	12/6/13	313397QD6	AA+	Aaa	AAA	200,000.00		0.0700	199,989.11	0.00	8.95	199,998.06	199,996.73	(1.33)
FHLB DISC CORP / CITIGROUP GLOBAL MARKETS															
688260	11/27/13	12/6/13	313385QD1	AA+	Aaa	AAA	200,000.00		0.0600	199,997.00	0.00	1.33	199,998.33	199,999.60	1.27
FHLB DISC CORP / BARCLAYS CAPITAL															
688263	11/27/13	12/11/13	313385QJ8	AA+	Aaa	AAA	295,000.00		0.0700	294,991.97	0.00	2.29	294,994.26	294,998.53	4.27
FNMA DISCOUNT / GOLDMAN SACHS GROUP INC															
685907	11/14/13	12/18/13	313589QR7	AA+	Aaa	AAA	300,000.00		0.1000	299,971.67	0.00	14.17	299,985.84	299,997.30	11.46
FNMA DISCOUNT / DEUTSCHE															
686673	11/19/13	1/2/14	313589RG0	AA+	Aaa	AAA	500,000.00		0.1200	499,926.67	0.00	20.00	499,946.67	499,983.00	36.33
Subtotal for DISCOUNT NOTES:							3,101,000.00	0.0000	0.0771	3,100,850.98	0.00	64.47	3,100,915.45	3,100,970.41	54.96
GOVT AGENCY-FIX-30/360															
FED HM LN BK BD / FTN FINANCIAL															
683654	10/31/13	12/6/13	313379KF5	AA+	Aaa	AAA	300,000.00	0.3000	0.1505	300,042.30	(36.26)	75.00	300,006.04	300,060.00	53.96
FANNIE MAE / CRED SUIS SECURITIES															
658623	6/11/13	12/11/13	31398AUJ9	AA+	Aaa	AAA	625,000.00	2.8750	0.1601	633,468.75	(7,998.26)	8,485.25	625,470.49	625,584.38	113.89
FED HM LN BK BD / BARCLAYS CAPITAL															
685158	11/8/13	12/11/13	313379T58	AA+	Aaa	AAA	200,000.00	0.3200	0.0701	200,045.80	(31.92)	40.89	200,013.88	200,020.00	6.12
FED HM LN BK BD / DEUTSCHE															
666099	7/23/13	12/13/13	3133XHW57	AA+	Aaa	AAA	605,000.00	4.8750	0.1626	616,069.69	(10,120.86)	10,486.67	605,948.83	606,131.35	182.52
FED HM LN BK BD / TDSI															
682865	10/29/13	12/13/13	3133XSNM6	AA+	Aaa	AAA	250,000.00	4.0000	0.1000	251,190.35	(865.71)	888.89	250,324.64	250,597.50	272.86
FED HM LN BK BD / MORGAN STANLEY															
688264	11/27/13	12/13/13	3133XSP93	AA+	Aaa	AAA	375,000.00	3.1250	0.0640	375,510.00	(127.50)	130.21	375,382.50	375,448.13	65.63
FED HM LN BK BD / CRED SUIS SECURITIES															

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>283-John Wayne Airport</u>															
GOVERNMENT AGENCY															
GOVT AGENCY-FIX-30/360															
659570	6/14/13	12/18/13	3133X2X26	AA+	Aaa	AAA	400,000.00	4.8000	0.1602	409,480.00	(8,604.13)	3,893.33	400,875.87	402,336.00	1,460.13
FANNIE MAE / MORGAN STANLEY															
684633	11/6/13	12/18/13	31398A5W8	AA+	Aaa	AAA	522,000.00	0.7500	0.0726	522,412.38	(245.46)	271.88	522,166.92	522,190.53	23.61
FED HM LN BK BD / GOLDMAN SACHS GROUP INC															
685904	11/14/13	12/18/13	313379UD9	AA+	Aaa	AAA	1,000,000.00	0.3200	0.1049	1,000,203.00	(101.50)	151.11	1,000,101.50	1,000,200.00	98.50
FANNIE MAE / BARCLAYS CAPITAL															
687996	11/26/13	12/18/13	31398A5W8	AA+	Aaa	AAA	900,000.00	0.7500	0.1000	900,357.30	(81.20)	93.75	900,276.10	900,328.50	52.40
FED FARM CR BK / CRED SUIS SECURITIES															
659831	6/17/13	12/23/13	3133EAKT4	AA+	Aaa	AAA	300,000.00	0.3000	0.1627	300,212.70	(187.54)	395.00	300,025.16	300,105.00	79.84
FREDDIE MAC / BNP PARIBAS															
686947	11/20/13	12/23/13	3134G3BF6	AA+	Aaa	AAA	1,000,000.00	0.6250	0.0760	1,000,503.00	(167.67)	190.97	1,000,335.33	1,000,370.00	34.67
FED FARM CR BK / BARCLAYS CAPITAL															
688265	11/27/13	12/23/13	31331J6A6	AA+	Aaa	AAA	600,000.00	1.3000	0.0796	600,528.60	(81.32)	86.67	600,447.28	600,528.00	80.72
FED HM LN BK BD / BNP PARIBAS															
676825	9/23/13	12/27/13	313371UC8	AA+	Aaa	AAA	340,000.00	0.8750	0.0622	340,720.80	(521.43)	561.95	340,199.37	340,212.50	13.13
FREDDIE MAC / BNP PARIBAS															
676824	9/23/13	1/7/14	3137EABX6	AA+	Aaa	AAA	228,000.00	2.5000	0.0712	229,598.28	(1,045.03)	1,076.67	228,553.25	228,589.38	36.13
FREDDIE MAC / BNP PARIBAS															
686109	11/15/13	1/7/14	3137EABX6	AA+	Aaa	AAA	50,000.00	2.5000	0.0907	50,173.80	(53.48)	55.56	50,120.32	50,129.25	8.93
FREDDIE MAC / BNP PARIBAS															
686106	11/15/13	1/15/14	3134A4UM4	AA+	Aaa	AAA	900,000.00	4.5000	0.0901	906,609.60	(1,762.56)	1,800.00	904,847.04	904,653.00	(194.04)
FED HM LN BK BD / BARCLAYS CAPITAL															
679317	10/7/13	1/29/14	313376UF0	AA+	Aaa	AAA	1,000,000.00	0.3750	0.0778	1,000,920.00	(443.57)	562.50	1,000,476.43	1,000,490.00	13.57
FED HM LN BK BD / BNP PARIBAS															
686107	11/15/13	1/29/14	313376UF0	AA+	Aaa	AAA	360,000.00	0.3750	0.0900	360,209.88	(45.38)	60.00	360,164.50	360,176.40	11.90
FREDDIE MAC / BARCLAYS CAPITAL															
686113	11/15/13	1/30/14	3128X2TM7	AA+	Aaa	AAA	2,000,000.00	5.0000	0.0798	2,020,488.00	(4,370.77)	4,444.44	2,016,117.23	2,016,660.00	542.77
FANNIE MAE / BARCLAYS CAPITAL															
681058	10/17/13	2/5/14	31398AVD1	AA+	Aaa	AAA	892,000.00	2.7500	0.1335	898,987.04	(2,846.57)	2,998.11	896,140.47	896,379.72	239.25
FANNIE MAE / DEUTSCHE															
681059	10/17/13	2/5/14	31398AVD1	AA+	Aaa	AAA	500,000.00	2.7500	0.1300	503,921.90	(1,597.81)	1,680.56	502,324.09	502,455.00	130.91
FED HM LN BK BD / FTN FINANCIAL															
686672	11/19/13	2/7/14	3133823V3	AA+	Aaa	AAA	200,000.00	0.1250	0.1106	200,005.00	(0.77)	8.33	200,004.23	199,974.00	(30.23)

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>283-John Wayne Airport</u>															
GOVERNMENT AGENCY															
GOVT AGENCY-FIX-30/360															
FANNIE MAE / NOMURA SECURITIES															
687383	11/22/13	2/27/14	3135G0AP8	AA+	Aaa	AAA	500,000.00	1.2500	0.1257	501,479.00	(140.12)	156.25	501,338.88	501,405.00	66.12
FED HM LN BK BD / CRED SUIS SECURITIES															
664365	7/12/13	3/14/14	313382JL8	AA+	Aaa	N.A	150,000.00	0.1250	0.1697	149,955.00	25.85	40.10	149,980.85	150,013.50	32.65
FED HM LN BK BD / FTN FINANCIAL															
687733	11/25/13	3/14/14	3133XWKV0	AA+	Aaa	AAA	1,000,000.00	2.3750	0.1211	1,006,820.00	(375.41)	395.83	1,006,444.59	1,006,635.00	190.41
FANNIE MAE / CRED SUIS SECURITIES															
663446	7/8/13	3/21/14	31398A3R1	AA+	Aaa	AAA	430,000.00	1.3500	0.1726	433,553.95	(2,008.75)	1,128.75	431,545.20	431,763.00	217.80
FANNIE MAE / CRED SUIS SECURITIES															
664366	7/12/13	3/21/14	31398A3R1	AA+	Aaa	AAA	100,000.00	1.3500	0.1700	100,815.30	(455.13)	262.50	100,360.17	100,410.00	49.83
FED FARM CR BK / CRED SUIS SECURITIES															
662834	7/3/13	4/17/14	31331GTJ8	AA+	Aaa	AAA	350,000.00	2.6250	0.1826	356,735.40	(3,510.00)	1,122.92	353,225.40	353,358.25	132.85
FREDDIE MAC / FTN FINANCIAL															
687722	11/25/13	4/23/14	3137EACB3	AA+	Aaa	AAA	500,000.00	2.5000	0.1403	504,845.00	(196.42)	208.33	504,648.58	504,752.50	103.92
FREDDIE MAC / CRED SUIS SECURITIES															
664367	7/12/13	4/28/14	3134G3SB7	AAA	Aaa	N.A	150,000.00	0.3750	0.1775	150,235.05	(114.24)	51.56	150,120.81	150,158.25	37.44
FED FARM CR BK / FTN FINANCIAL															
683922	11/1/13	6/5/14	31331XC26	AA+	Aaa	AAA	325,000.00	5.2500	0.2019	334,741.23	(1,365.59)	1,421.88	333,375.64	334,191.00	815.36
FREDDIE MAC / JP MORGAN CHASE & CO															
665866	7/22/13	8/20/14	3134G2UA8	AA+	Aaa	AAA	250,000.00	1.0000	0.1928	252,171.50	(721.97)	701.39	251,449.53	251,520.00	70.47
FANNIE MAE / DEUTSCHE															
669676	8/12/13	9/8/14	31398A3G5	AA+	Aaa	AAA	405,000.00	1.5000	0.2013	410,630.72	(1,590.02)	1,400.63	409,040.70	409,155.30	114.60
Subtotal for GOVT AGENCY-FIX-30/360:							17,707,000.00	2.2478	0.1147	17,823,640.32	(51,788.50)	45,327.88	17,771,851.82	17,776,980.43	5,128.61
GOVT AGENCY-FLOAT-ACT/360															
FED HM LN BK BD / GOLDMAN SACHS GROUP INC															
664899	7/16/13	1/16/14	313383QX2	AA+	Aaa	N.A	1,000,000.00	0.1000	0.1120	999,950.00	37.50	41.67	999,987.50	999,990.00	2.50
FED HM LN BK BD / CASTLE OAK SECURITIES															
668674	8/6/13	2/3/14	313380AS6	AA+	Aaa	AAA	1,000,000.00	0.0478	0.0980	999,750.00	161.60	37.14	999,911.60	999,940.00	28.40
FED FARM CR BK / BNP PARIBAS															
644506	3/21/13	3/11/14	3133ECHM9	AA+	Aaa	N.A	2,000,000.00	0.1500	0.1602	1,999,800.00	143.66	675.00	1,999,943.66	2,000,380.00	436.34
FED FARM CR BK / DEUTSCHE															
667933	8/1/13	6/13/14	31331K2T6	AA+	Aaa	AAA	2,000,000.00	0.3400	0.0975	2,004,220.00	(1,629.24)	1,492.22	2,002,590.76	2,003,120.00	529.24
FANNIE MAE / BARCLAYS CAPITAL															

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Deal No.	Settle Date	Maturity Date	CUSIP	S&P	Moodys	Fitch	Face Value	Coupon	Purchase Yield	Capital	Capital Accrual	Interest Accrual	Book Value	Market Value *	Unrealized Gain/(Loss)
Issuer / Broker															
UNITED STATES DOLLAR															
<u>283-John Wayne Airport</u>															
GOVERNMENT AGENCY															
GOVT AGENCY-FLOAT-ACT/360															
670153	8/14/13	6/23/14	3135G0BQ5	AA+	Aaa	AAA	700,000.00	0.3600	0.1509	701,262.80	(439.76)	483.00	700,823.04	701,113.00	289.96
Subtotal for GOVT AGENCY-FLOAT-ACT/360:							6,700,000.00	0.2059	0.1241	6,704,982.80	(1,726.24)	2,729.03	6,703,256.56	6,704,543.00	1,286.44
GOVT AGENCY-FLOAT-ACT/ACT															
FED FARM CR BK / GOLDMAN SACHS															
635593	1/29/13	1/22/14	3133ECD5	AA+	Aaa	AAA	1,400,000.00	0.1700	0.1367	1,400,000.00	0.00	213.04	1,400,000.00	1,400,238.00	238.00
FANNIE MAE / BARCLAYS CAPITAL															
645750	3/28/13	3/4/14	3135G0AZ6	AA+	Aaa	AAA	2,000,000.00	0.2900	0.1055	2,002,339.68	(1,701.59)	1,402.20	2,000,638.09	2,000,880.00	241.91
FED FARM CR BK / MORGAN STANLEY															
670154	8/14/13	3/24/14	3133EARP5	AA+	Aaa	AAA	2,000,000.00	0.2110	0.0916	2,001,350.00	(662.84)	788.35	2,000,687.16	2,000,580.00	(107.16)
Subtotal for GOVT AGENCY-FLOAT-ACT/ACT:							5,400,000.00	0.2296	0.1085	5,403,689.68	(2,364.43)	2,403.59	5,401,325.25	5,401,698.00	372.75
GOVT AGENCY-ZERO COUPON															
FANNIE MAE / CASTLE OAK SECURITIES															
673656	9/4/13	7/5/14	313586QR3	AA+	Aaa	AAA	1,305,000.00		0.1832	1,303,003.35	577.10	0.00	1,303,580.45	1,296,987.30	(6,593.15)
Subtotal for GOVT AGENCY-ZERO COUPON:							1,305,000.00	0.0000	0.1832	1,303,003.35	577.10	0.00	1,303,580.45	1,296,987.30	(6,593.15)
Subtotal for GOVERNMENT AGENCY:							34,213,000.00	1.2399	0.1148	34,336,167.13	(55,302.07)	50,524.97	34,280,929.53	34,281,179.14	249.61
MEDIUM TERM NOTES															
MEDIUM TERM NOTE															
JOHNSON & JOHNSON / BNP PARIBAS															
652739	5/7/13	5/15/14	478160AX2	AAA	Aaa	AAA	2,000,000.00	1.2000	0.2431	2,019,526.00	(10,824.20)	1,066.67	2,008,701.80	2,009,900.00	1,198.20
WAL-MART STORES INC / MORGAN STANLEY															
664660	7/15/13	5/15/14	931142CQ4	AA	Aa2	AA	326,000.00	3.2000	0.2630	333,964.18	(3,610.43)	463.64	330,353.75	330,616.16	262.41
WAL-MART STORES INC / CASTLE OAK SECURITIES															
682579	10/25/13	5/15/14	931142CQ4	AA	Aa2	AA	1,000,000.00	3.2000	0.1731	1,016,800.00	(3,024.00)	1,422.22	1,013,776.00	1,014,160.00	384.00
WAL-MART STORES INC / CASTLE OAK SECURITIES															
684634	11/6/13	5/15/14	931142CQ4	AA	Aa2	AA	150,000.00	3.2000	0.2012	152,359.05	(312.04)	213.33	152,047.01	152,124.00	76.99
WAL-MART STORES INC / MORGAN STANLEY															
687999	11/26/13	5/15/14	931142CQ4	AA	Aa2	AA	500,000.00	3.2000	0.1799	507,080.00	(209.47)	222.22	506,870.53	507,080.00	209.47
MICROSOFT CORP / BNP PARIBAS															
660061	6/18/13	6/1/14	594918AB0	AA+	Aaa	AAA	300,000.00	2.9500	0.2755	307,629.00	(3,625.44)	0.00	304,003.56	304,197.00	193.44
MICROSOFT CORP / BARCLAYS CAPITAL															

ORANGE COUNTY TREASURER-TAX COLLECTOR

Investment Inventory with Market Value by Entity & Instrument John Wayne Airport Investment Pool/Non-Pooled Investments As of 30-Nov-2013

Run Date: 03-Dec-2013 01:33 pm
Print Date: 03-Dec-2013
As at date: 03-Dec-2013

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Deal No.	Settle Date	Maturity Date	CUSIP	S&P	Moodys	Fitch	Face Value	Coupon	Purchase Yield	Capital	Capital Accrual	Interest Accrual	Book Value	Market Value *	Unrealized Gain/(Loss)
Issuer / Broker															
UNITED STATES DOLLAR															
283-John Wayne Airport															
MEDIUM TERM NOTES															
MEDIUM TERM NOTE															
662337	7/1/13	6/1/14	594918AB0	AAA	Aaa	AA+	800,000.00	2.9500	0.3052	819,352.00	(8,796.36)	0.00	810,555.64	811,192.00	636.36
MICROSOFT CORP / JP MORGAN CHASE & CO															
679318	10/7/13	6/1/14	594918AB0	AAA	Aaa	AA+	221,000.00	2.9500	0.2671	224,847.61	(887.91)	0.00	223,959.70	224,091.79	132.09
MICROSOFT CORP / CASTLE OAK SECURITIES															
682792	10/28/13	6/1/14	594918AB0	AA+	Aaa	AAA	1,000,000.00	2.9500	0.1737	1,016,410.00	(2,542.39)	0.00	1,013,867.61	1,013,990.00	122.39
Subtotal for MEDIUM TERM NOTE:							6,297,000.00	2.4726	0.2263	6,397,967.84	(33,832.24)	3,388.08	6,364,135.60	6,367,350.95	3,215.35
MEDIUM TERM NOTE FLOATER															
BERKSHIRE HATHAWAY INC / RBC															
688865	11/6/13	1/10/14	084664BP5	AA	Aa2	A+	1,000,000.00	0.5736	0.1645	1,000,738.23	(283.93)	398.33	1,000,454.30	1,000,570.00	115.70
Subtotal for MEDIUM TERM NOTE FLOATER:							1,000,000.00	0.5736	0.1645	1,000,738.23	(283.93)	398.33	1,000,454.30	1,000,570.00	115.70
Subtotal for MEDIUM TERM NOTES:							7,297,000.00	2.2124	0.2178	7,398,706.07	(34,116.17)	3,786.41	7,364,589.90	7,367,920.95	3,331.05
Total 283-John Wayne Airport :							49,672,702.19	1.1977	0.1328	49,897,575.39	(89,418.24)	54,947.13	49,808,221.62	49,811,725.46	3,503.84
Net Asset Value (NAV):														1.000070	
505-Foothill Rnch 87-4 DS															
REPURCHASE AGREEMENTS															
BAYERISCHE LANDESBK-REPO															
BAYERISCHE LANDESBK GIRO /															
26091	7/24/97	8/15/19		AA+	Aaa	AAA	1,081,500.00	6.2000	6.2000	1,081,500.00	0.00	19,743.38	1,081,500.00	1,081,500.00	0.00
Subtotal for BAYERISCHE LANDESBK-REPO:							1,081,500.00	6.2000	6.2000	1,081,500.00	0.00	19,743.38	1,081,500.00	1,081,500.00	0.00
Subtotal for REPURCHASE AGREEMENTS:							1,081,500.00	6.2000	6.2000	1,081,500.00	0.00	19,743.38	1,081,500.00	1,081,500.00	0.00
Total 505-Foothill Rnch 87-4 DS :							1,081,500.00	6.2000	6.2000	1,081,500.00	0.00	19,743.38	1,081,500.00	1,081,500.00	0.00
Net Asset Value (NAV):														1.000000	
Total Investments:							50,862,314.73	1.3148	0.1430	51,087,187.93	(89,418.24)	74,896.97	50,997,834.16	51,012,992.53	15,158.37

Report Parameters

Deals [Settlement Date] <= 30-Nov-2013
Deals [Entity Name] include list 15B-Housing 99A & 99B, 283-John Wayne Airport, 505-Foothill Rnch 87-4 DS
Market values provided by Bloomberg & Northern Trust.

COUNTY OF ORANGE
CEO / PUBLIC FINANCE DEPARTMENT
INVENTORY OF INVESTMENTS WITH TRUSTEES
November 30, 2013

	TRUSTEE	INVESTMENT TYPE	PURCHASE DATE	MATURITY DATE	DESCRIPTION	CUSIP #	INTEREST RATE	BOOK VALUE	MARKET VALUE	ACCRUED INTEREST
CERTIFICATES OF PARTICIPATION										
1991 CIVIC CENTER PARKING	U.S. BANK	MONEY MARKET			FEDERATED US TREASURY CASH SS	60934N674	0.00%	\$ 2,605,000.00	\$ 2,605,000.00	\$ 2.23
1991 CIVIC CENTER PARKING	U.S. BANK	US TR STRPD BOND	11/15/96	11/15/18	U.S. TREASURY STRIPPED	912803AP8	0.00%	10,458.00	65,334.50	-
1991 CIVIC CENTER PARKING	U.S. BANK	US TR STRPD BOND	06/01/95	11/15/18	U.S. TREASURY STRIPPED	912833KT6	0.00%	43,923.12	273,999.18	-
1991 CIVIC CENTER PARKING	U.S. BANK	US TREASURY BOND	06/01/95	11/15/18	US TREASURY BOND	912810EB0	9.00%	2,558,237.10	3,080,252.89	8,433.75
REDEVELOPMENT AGENCIES										
2003 SANTA ANA HEIGHTS	U.S. BANK	MONEY MARKET			FIRST AMERICAN PRIME OBLIGATION FUND CL D	31846V708	0.02%	2,995,689.53	2,995,689.53	39.45
COMMUNITY FACILITIES DISTRICTS										
1994C/2004A SOCPFA	U.S. BANK	MONEY MARKET			FIRST AMERICAN PRIME OBLIGATION FUND CL D	31846V708	0.01%	13,256,757.30	13,256,757.30	174.60
1999 SOCPFA SERIES A	U.S. BANK	MONEY MARKET			FIRST AMERICAN GOVT OBLIGATION FUND CL D	31846V401	0.01%	3,610,344.05	3,610,344.05	17.87
1999 SOCPFA SERIES B	U.S. BANK	MONEY MARKET			FIRST AMERICAN GOVT OBLIGATION FUND CL D	31846V401	0.01%	241,852.16	241,852.16	1.20
2005 SOCPFA SERIES A	U.S. BANK	MONEY MARKET			FIRST AMERICAN GOVT OBLIGATION FUND CL D	31846V401	0.01%	12.74	12.74	-
ASSESSMENT DISTRICTS										
IRVINE COAST	U.S. BANK	MONEY MARKET			FIRST AMERICAN GOVT OBLIGATION FUND CL D	31846V401	0.01%	4,135,319.52	4,135,319.52	22.02
IRVINE COAST	U.S. BANK	MONEY MARKET			INVESCO STIT TREASURY PORTFOLIO	825252570	0.02%	620,529.79	620,529.79	10.20
NEWPORT COAST - 2003B	U.S. BANK	MONEY MARKET			FIRST AMERICAN GOVT OBLIGATION FUND CL D	31846V401	0.01%	477,026.05	477,026.05	2.16
NEWPORT COAST - Group 1	U.S. BANK	MONEY MARKET			FIRST AMERICAN GOVT OBLIGATION FUND CL D	31846V401	0.01%	1,087,755.39	1,087,755.39	5.39
NEWPORT COAST - Group 2	U.S. BANK	MONEY MARKET			FIRST AMERICAN GOVT OBLIGATION FUND CL D	31846V401	0.01%	695,678.29	695,678.29	3.44
NEWPORT COAST - Group 3	U.S. BANK	MONEY MARKET			FIRST AMERICAN GOVT OBLIGATION FUND CL D	31846V401	0.01%	886,246.91	886,246.91	4.39
NEWPORT COAST - Group 4	U.S. BANK	MONEY MARKET			FIRST AMERICAN TREAS OBLIGATION FUND CL D	31846V302	0.00%	451,121.42	451,121.42	-
1999 REASSESSMENT BONDS (RAD 99-1R)	U.S. BANK	MONEY MARKET			FIRST AMERICAN PRIME OBLIGATION FUND CL D	31846V708	0.02%	114,641.50	114,641.50	-
1999 REASSESSMENT BONDS (RAD 99-1R)	U.S. BANK	MONEY MARKET			FIRST AMERICAN GOVT OBLIGATION FUND CL D	31846V401	0.01%	812,116.15	812,116.15	4.02
2001 REASSESSMENT BONDS (RAD 01-1R)	U.S. BANK	MONEY MARKET			FIRST AMERICAN PRIME OBLIGATION FUND CL D	31846V708	0.02%	16,288.05	16,288.05	0.21
2001 REASSESSMENT BONDS (RAD 01-1R)	U.S. BANK	MONEY MARKET			FIRST AMERICAN GOVT OBLIGATION FUND CL D	31846V401	0.01%	428,338.63	428,338.63	2.12
REVENUE BONDS										
2012 JUVENILE JUSTICE CENTER	U.S. BANK	MONEY MARKET			US BANK MMKT 5 - CT	9AMMF05B2	0.03%	4,313,536.83	4,313,536.83	95.09
1997 SOLID WASTE MANAGEMENT	U.S. BANK	MONEY MARKET			FIRST AMERICAN GOVT OBLIGATION FUND CL D	31846V401	0.01%	14,829,479.17	14,829,479.17	36.21
2005 LEASE REVENUE REFUNDING BONDS	BNY MELLON	MONEY MARKET			WELLS FARGO ADVANTAGE TREASURY PLUS		0.01%	10,559,394.11	10,559,394.11	79.96
2005 LEASE REVENUE REFUNDING BONDS	BNY MELLON	INVEST AGREEMENT	11/22/05	01/17/17	NATIXIS FUNDING CORP.		5.005%	14,906,098.44	14,906,098.44	317,131.25
2006 LEASE REVENUE BONDS (COGEN)	U.S. BANK	MONEY MARKET			GOLDMAN SACHS FIN SQ MMKT	38143H209	0.01%	2,196,636.34	2,196,636.34	9.91
PENSION OBLIGATION BONDS (POBs)										
1996A TAXABLE REFUNDING POBs	BNY MELLON	MONEY MARKET			FEDERATED AUTOMATED GOVT CASH RESERVES		0.01%	2,280.52	2,280.52	-
1996A TAXABLE REFUNDING POBs	BNY MELLON	GOVT SECURITY	06/22/00	09/01/16	FED NATL MTG ASSN DISC NTS	31364KQG8	0.00%	30,470,780.77	63,282,103.70	1,362,512.46
1997A TAXABLE REFUNDING POBs	BNY MELLON	MONEY MARKET			FEDERATED AUTOMATED GOVT CASH RESERVES		0.01%	2,324.93	2,324.93	-
1997A TAXABLE REFUNDING POBs	BNY MELLON	GOVT SECURITY	06/22/00	09/01/21	FED NATL MTG ASSN DISC NTS	31364KQH6	0.00%	42,335,324.12	76,672,191.19	1,396,420.11
2013-14 PREPAID POBs	U.S. BANK	MONEY MARKET			FIRST AMERICAN GOVT OBLIGATION FUND CL Y	31846V203	0.01%	23.51	23.51	-

COUNTY OF ORANGE
CEO / PUBLIC FINANCE DEPARTMENT
INVENTORY OF INVESTMENTS WITH TRUSTEES
November 30, 2013

	TRUSTEE	INVESTMENT TYPE	PURCHASE DATE	MATURITY DATE	DESCRIPTION	CUSIP #	INTEREST RATE	BOOK VALUE	MARKET VALUE	ACCRUED INTEREST
RECOVERY BONDS										
2005A REFUNDING RECOVERY BONDS	BNY MELLON	MONEY MARKET			WELLS FARGO ADVANTAGE TREASURY PLUS		0.01%	2,252.33	2,252.33	0.84
2005A REFUNDING RECOVERY BONDS	BNY MELLON	INVEST AGREEMENT	10/25/05	06/01/15	NATIXIS FUNDING CORP.		0.10%	9,214,256.41	9,214,256.41	49.48
TEETER PLAN										
OBLIGATION NOTES	U.S. BANK	MONEY MARKET			FIRST AMERICAN GOVT OBLIGATION FUND CL Y	31846V203	0.01%	44,135.96	44,135.96	0.03
TOTAL OF INVESTMENTS WITH TRUSTEES								\$ 163,923,859.14	\$ 231,879,017.49	\$ 3,085,058.39

COUNTY OF ORANGE
CEO / PUBLIC FINANCE DEPARTMENT
INVENTORY OF INVESTMENTS WITH TRUSTEES
SUMMARY BY INVESTMENT TYPE
November 30, 2013

DESCRIPTION	PURCHASE DATE	MATURITY DATE	CUSIP #	INTEREST RATE	BOOK VALUE	MARKET VALUE	ACCRUED INTEREST
MONEY MARKET FUNDS							
FEDERATED AUTOMATED GOVT CASH RESERVES				0.01%	\$ 4,605.45	\$ 4,605.45	-
FEDERATED US TREASURY CASH SS				0.00%	2,605,000.00	2,605,000.00	2.23
FIRST AMERICAN GOVT OBLIGATION FUND CL D				0.01%	27,204,169.06	27,204,169.06	98.82
FIRST AMERICAN GOVT OBLIGATION FUND CL Y				0.01%	44,159.47	44,159.47	0.03
FIRST AMERICAN PRIME OBLIGATION FUND CL D				0.02%	16,383,376.38	16,383,376.38	214.26
FIRST AMERICAN TREAS OBLIGATION FUND CL D				0.00%	451,121.42	451,121.42	-
GOLDMAN SACHS FIN SQ MMKT				0.01%	2,196,636.34	2,196,636.34	9.91
INVESCO STIT TREASURY PORTFOLIO				0.02%	620,529.79	620,529.79	10.20
US BANK MMKT 5 -CT				0.03%	4,313,536.83	4,313,536.83	95.09
WELLS FARGO ADVANTAGE TREASURY PLUS				0.01%	10,561,646.44	10,561,646.44	80.80
SUB-TOTAL MONEY MARKET FUNDS					<u>64,384,781.18</u>	<u>64,384,781.18</u>	<u>511.34</u>
INVESTMENT AGREEMENTS							
NATIXIS FUNDING CORP.	10/25/05	06/01/15		0.10%	9,214,256.41	9,214,256.41	49.48
NATIXIS FUNDING CORP.	11/22/05	01/17/17		5.005%	14,906,098.44	14,906,098.44	317,131.25
SUB-TOTAL INVESTMENT AGREEMENTS					<u>24,120,354.85</u>	<u>24,120,354.85</u>	<u>317,180.73</u>
US TREASURIES							
U.S. TREASURY BOND	06/01/95	11/15/18	912810EB0	9.00%	2,558,237.10	3,080,252.89	8,433.75
U.S. TREASURY STRIPPED	11/15/96	11/15/18	912803AP8	0.00%	10,458.00	65,334.50	-
U.S. TREASURY STRIPPED	06/01/95	11/15/18	912833KT6	0.00%	43,923.12	273,999.18	-
SUB-TOTAL US TREASURIES					<u>2,612,618.22</u>	<u>3,419,586.57</u>	<u>8,433.75</u>
GOVERNMENT SECURITIES							
FED NATL MTG ASSN DISC NTS	06/22/00	09/01/16	31364KQG8	0.00%	30,470,780.77	63,282,103.70	1,362,512.46
FED NATL MTG ASSN DISC NTS	06/22/00	09/01/21	31364KQH6	0.00%	42,335,324.12	76,672,191.19	1,396,420.11
SUB-TOTAL US GOVERNMENT SECURITIES					<u>72,806,104.89</u>	<u>139,954,294.89</u>	<u>2,758,932.57</u>
TOTAL OF INVESTMENTS WITH TRUSTEES					<u>\$ 163,923,859.14</u>	<u>\$ 231,879,017.49</u>	<u>\$ 3,085,058.39</u>

JOHN WAYNE AIRPORT
INVESTMENT WITH TRUSTEE
FOR THE MONTH ENDED **NOVEMBER 2013**

Prepared by: **Katherine Buranday**
Date: **12/9/2013**

Trust Officer: Linda Verstuyft
Phone No. (213) 533-8747

Type of Investment / Interest Rate	Maturity	Market	Reserve Fund Cost	Market	Principal Acct. Cost	Market	Interest Acct. Cost	Market	Cost of Issuance Acct. Cost
<u>2009A AIRPORT REVENUE BONDS</u>									
Principal Cash	N/A				0.000.00		0.000.00		
US Bk Mmkt 5 - Ct (Note 1) Interest Rate: 0.03%		0.00	0.00	622,942.06	622,942.06	1,330,543.85	1,330,543.85	0.00	0.00
9AMMF05B2									
First American Gov't Obligation Fund - Y (Note 2) Interest Rate: 0.01%		53,502.27	53,502.27						
31846V203									
U.S. Treasury Note - Rate: 0.25% - Yield: 0.200% Dated: 10/31/2013 Par Value: \$4,326,000.00 Premium: \$3,054.07 Accrued Int: \$891.30	3/31/2015	4,328,552.34	4,329,054.07						
CUSIP: 912828UT5									
		\$4,382,054.61	\$4,382,556.34	\$622,942.06	\$622,942.06	\$1,330,543.85	\$1,330,543.85	\$0.00	\$0.00
<u>2009B AIRPORT REVENUE BONDS</u>									
US Bk Mmkt 5 - Ct (Note 1) Interest Rate: 0.03%		0.00	0.00	2,291,760.12	2,291,760.12	3,131,304.76	3,131,304.76	0.00	0.00
9AMMF05B2									
First American Gov't Obligation Fund - Y (Note 2) Interest Rate: 0.01%		110,284.81	110,284.81						
31846V203									
U.S. Treasury Note - Rate: 0.25% - Yield: 0.160% Dated: 5/30/2013 Par Value: \$9,399,000.00 Premium: \$11,284.25 Accrued Int: \$3,937.55	9/30/2014	9,407,083.14	9,410,284.25						
CUSIP: 912828TQ3									
		\$9,517,367.95	\$9,520,569.06	\$2,291,760.12	\$2,291,760.12	\$3,131,304.76	\$3,131,304.76	\$0.00	\$0.00
<u>WELLS FARGO BANK</u>									
Cash - Market Rate and Checking Account (Interest rate is variable)	N/A	\$5,271,750.38	\$5,271,750.38						

(Note 1): US Bank money market deposit account - Interest rate is variable.
(Note 2): 1st American Government Obligation Fund - Y - Interest rate is variable.

Orange County Treasurer-Tax Collector
Temporary Transfers to School Districts**
Fiscal Years 2011/2012 through 2013/2014

Temporary Transfer-from OCEIP	Transfer Date	Maturity	Int Rate*	Original Amount		Principal Paydown	Principal Outstanding
Anaheim Union High	4/30/2012	10/31/2012	n/a	\$ 55,000,000	Educational Money Market Fund	\$ 55,000,000	\$ -
Anaheim Union High	10/10/2012	1/31/2013	n/a	15,000,000	Educational Money Market Fund	15,000,000	-
Anaheim Union High	2/28/2013	8/31/2013	n/a	47,000,000	Educational Money Market Fund	47,000,000	-
Anaheim City	4/29/2013	8/31/2013	n/a	14,700,000	Educational Money Market Fund	14,700,000	-
Anaheim City	9/9/2013	12/31/2013	0.32%	12,000,000	Educational Money Market Fund		12,000,000
Brea Olinda	4/30/2012	10/31/2012	n/a	3,000,000	Educational Money Market Fund	3,000,000	-
Capistrano Unified	4/29/2013	7/31/2013	n/a	15,000,000	Educational Money Market Fund	15,000,000	-
Capistrano Unified	8/9/2013	12/31/2013	0.32%	55,000,000	Educational Money Market Fund		55,000,000
Fullerton Joint Union High	4/30/2012	10/31/2012	n/a	15,000,000	Educational Money Market Fund	15,000,000	-
Fullerton Joint Union High	4/29/2013	8/31/2013	n/a	15,000,000	Educational Money Market Fund	15,000,000	-
La Habra City	4/30/2012	10/31/2012	n/a	4,000,000	Educational Money Market Fund	4,000,000	-
La Habra City	4/10/2013	8/31/2013	n/a	4,000,000	Educational Money Market Fund	4,000,000	-
Ocean View	4/29/2013	8/31/2013	n/a	3,000,000	Educational Money Market Fund	3,000,000	-
Placentia Yorba-Linda	10/10/2012	1/31/2013	n/a	20,000,000	Educational Money Market Fund	20,000,000	-
Placentia Yorba-Linda	2/28/2013	8/31/2013	n/a	40,000,000	Educational Money Market Fund	40,000,000	-
Santa Ana Unified	4/30/2012	10/31/2012	n/a	70,000,000	Educational Money Market Fund	70,000,000	-
Santa Ana Unified	4/15/2013	8/31/2013	n/a	45,000,000	Educational Money Market Fund	45,000,000	-
Total Temporary Transfers				\$ 432,700,000		\$ 365,700,000	\$ 67,000,000

* Int Rate is the Educational Investment Pool monthly gross apportionment rate of return for October plus 5 basis points.

** Temporary Transfers are authorized by California Constitution Article XVI, and OC Board of Supervisors Resolutions 11-195 and 13-016.
These transactions are reported in the County's books as Due to/From other Governmental Entities within the School Fund #650.

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Fund: County Money Mkt Fnd												
651834	FED FARM CR BK	/CASTLE OAK SECURITIES	AGN FLOAT1				0.1200 / 0.1275					
				5/1/2014	11/1/2013	INT		0.00	0.00	0.00	(9,200.00)	9,200.00
652089	FED FARM CR BK	/UBS FINANCIAL SERVICES	AGN FLOAT1				0.1282 / 0.1382					
				5/1/2014	11/1/2013	INT		0.00	0.00	0.00	(944.21)	944.21
652087	FED FARM CR BK	/JP MORGAN CHASE & CO	AGN FLOAT3				0.1200 / 0.1300					
				5/2/2014	11/2/2013	INT		0.00	0.00	0.00	(5,500.00)	5,500.00
652087	FED FARM CR BK	/JP MORGAN CHASE & CO	AGN FLOAT3				0.1200 / 0.1300					
				5/2/2014	11/4/2013	INT		0.00	0.00	0.00	(6.85)	6.85
684141	FREDDIE DISCOUNT	/CITIGROUP GLOBAL MARKETS	AGDN				0.0400 / 0.0400					
				12/16/2013	11/4/2013	PURC		19,600,000.00	19,599,085.33	0.00	0.00	(19,599,085.33)
684147	US TREASURY N/B	/BARCLAYS CAPITAL	TRBD				0.7500 / 0.0523					
				12/15/2013	11/4/2013	PURC		25,000,000.00	25,019,531.25	72,745.90	0.00	(25,092,277.15)
684148	US TREASURY N/B	/BARCLAYS CAPITAL	TRBD				0.7500 / 0.0523					
				12/15/2013	11/4/2013	PURC		25,000,000.00	25,019,531.25	72,745.90	0.00	(25,092,277.15)
684394	FHLB DISC CORP	/DEUTSCHE	AGDN				0.0450 / 0.0450					
				12/20/2013	11/5/2013	PURC		40,100,000.00	40,097,744.38	0.00	0.00	(40,097,744.38)
684395	FHLB DISC CORP	/BARCLAYS CAPITAL	AGDN				0.0350 / 0.0350					
				12/18/2013	11/5/2013	PURC		14,291,000.00	14,290,402.56	0.00	0.00	(14,290,402.56)
684398	US TREASURY N/B	/BARCLAYS CAPITAL	TRBD				0.7500 / 0.0349					
				12/15/2013	11/5/2013	PURC		25,000,000.00	25,019,531.25	73,258.20	0.00	(25,092,789.45)
627304	FED FARM CR BK	/JP MORGAN CHASE & CO	AGN FLOAT1				0.1630 / 0.1781					
				12/6/2013	11/6/2013	INT		0.00	0.00	0.00	(5,351.81)	5,351.81
627304	FED FARM CR BK	/JP MORGAN CHASE & CO	AGN FLOAT1				0.1630 / 0.1781					
				12/6/2013	11/7/2013	SALE		(50,000,000.00)	(49,993,405.56)	0.00	(8,759.02)	50,002,164.58
640702	FED HM LN BK BD	/MORGAN STANLEY	AGNF1				0.2900 / 0.1774					

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Fund: County Money Mkt Fnd												
676835	FED HM LN BK BD	/GOLDMAN SACHS GROUP INC	AGNF1	11/14/2013	11/7/2013	SALE	0.1000 / 0.0399	(10,000,000.00)	(10,016,377.78)	0.00	1,943.97	10,014,433.81
676836	FED HM LN BK BD	/GOLDMAN SACHS GROUP INC	AGNF1	11/20/2013	11/7/2013	SALE	0.1000 / 0.0399	(50,000,000.00)	(50,023,172.22)	0.00	(2,512.11)	50,025,684.33
684879	FREDDIE DISCOUNT	/BARCLAY CAPITAL	AGDN	11/20/2013	11/7/2013	SALE	0.0450 / 0.0450	(10,000,000.00)	(10,004,634.44)	0.00	(502.43)	10,005,136.87
666408	FANNIE MAE	/RBC	AGN FLOAT1	12/19/2013	11/7/2013	PURC	0.1648 / 0.0798	15,000,000.00	14,999,212.50	0.00	0.00	(14,999,212.50)
666668	FED HM LN BK BD	/CASTLE OAK SECURITIES	AGN FLOAT1	11/8/2013	11/8/2013	INT		0.00	0.00	0.00	(3,084.93)	3,084.93
				11/8/2013	11/8/2013	MAT		(25,000,000.00)	(25,008,030.89)	0.00	8,030.89	25,000,000.00
							0.0800 / 0.0800					
685565	TORONTO DOMINION BANK NY	/TDSI	YCD	11/25/2013	11/8/2013	SALE	0.1300 / 0.1300	(30,000,000.00)	(30,000,000.00)	0.00	(700.00)	30,000,700.00
685567	FHLB DISC CORP	/MORGAN STANLEY	AGDN	2/13/2014	11/13/2013	PURC	0.0600 / 0.0600	15,000,000.00	15,000,000.00	0.00	0.00	(15,000,000.00)
685898	TORONTO DOMINION BANK NY	/	YCD	12/20/2013	11/13/2013	PURC	0.1300 / 0.1200	7,000,000.00	6,999,568.33	0.00	0.00	(6,999,568.33)
685903	FHLB DISC CORP	/JP MORGAN CHASE & CO	AGDN	11/19/2013	11/14/2013	SALE	0.0600 / 0.0600	(5,000,000.00)	(5,000,006.93)	0.00	(1,011.11)	5,001,018.04
685908	FHLB DISC CORP	/DEUTSCHE	AGDN	1/15/2014	11/14/2013	PURC	0.0600 / 0.0600	12,700,000.00	12,698,687.67	0.00	0.00	(12,698,687.67)
685918	FHLB DISC CORP	/DAIWA CAPITAL MARKETS	AGDN	12/20/2013	11/14/2013	PURC	0.0600 / 0.0600	10,792,000.00	10,791,352.48	0.00	0.00	(10,791,352.48)
				1/15/2014	11/14/2013	PURC		8,000,000.00	7,999,173.33	0.00	0.00	(7,999,173.33)

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Fund: County Money Mkt Fnd												
652740	JOHNSON & JOHNSON	/BNP PARIBAS	MTN				1.2000 / 0.2431					
				5/15/2014	11/15/2013	INT		0.00	0.00	0.00	(3,000.00)	3,000.00
686104	FREDDIE MAC	/RBC	CALLGOVAGY				0.3500 / 0.3500					
				11/26/2014	11/15/2013	PURC		10,000,000.00	10,016,430.56	0.00	0.00	(10,016,430.56)
686108	FREDDIE DISCOUNT	/BARCLAYS CAPITAL	AGDN				0.0550 / 0.0550					
				12/16/2013	11/15/2013	PURC		50,000,000.00	49,997,631.95	0.00	0.00	(49,997,631.94)
686111	FED FARM CR BK	/DAIWA CAPITAL MARKETS	AGDN				0.0600 / 0.0600					
				12/20/2013	11/15/2013	PURC		20,000,000.00	19,998,833.32	0.00	0.00	(19,998,833.33)
626890	FREDDIE MAC	/BNP PARIBAS	AGN FLOAT1				0.4600 / 0.1947					
				11/18/2013	11/18/2013	INT		0.00	0.00	0.00	(8,795.00)	8,795.00
				11/18/2013	11/18/2013	MAT		(9,000,000.00)	(9,024,685.00)	0.00	24,685.00	9,000,000.00
630589	FED FARM CR BK	/CASTLE OAK SECURITIES	AGN FLOAT1				0.1990 / 0.1839					
				11/18/2013	11/18/2013	INT		0.00	0.00	0.00	(1,995.19)	1,995.19
				11/18/2013	11/18/2013	MAT		(14,000,000.00)	(14,002,663.89)	0.00	2,663.89	14,000,000.00
686161	FHLB DISC CORP	/JEFFERIES & COMPANY	AGDN				0.0650 / 0.0650					
				12/20/2013	11/18/2013	PURC		44,600,000.00	44,597,423.10	0.00	0.00	(44,597,423.11)
638823	ROYAL BANK OF CANADA NY	/RBC	YCDF				0.3800 / 0.3800					
				2/19/2014	11/19/2013	INT		0.00	0.00	0.00	(15,488.86)	15,488.86
660322	TORONTO DOMINION BANK NY	/TDSI	YCDF				0.2416 / 0.2416					
				6/19/2014	11/19/2013	INT		0.00	0.00	0.00	(1,792.36)	1,792.36
676360	TORONTO DOMINION BANK NY	/TDSI	YCD				0.1300 / 0.1300					
				11/19/2013	11/19/2013	INT		0.00	0.00	0.00	(3,304.17)	3,304.17
				11/19/2013	11/19/2013	MAT		(20,000,000.00)	(20,000,000.00)	0.00	5,000,000.00	15,000,000.00
686674	US TREASURY N/B	/MORGAN STANLEY	TBILL				0.0455 / 0.0455					
				12/19/2013	11/19/2013	PURC		50,000,000.00	49,998,104.15	0.00	0.00	(49,998,104.17)

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Fund: County Money Mkt Fnd												
686949	US TREASURY N/B	/DAIWA CAPITAL MARKETS	TBILL				0.0500 / 0.0500					
				12/19/2013	11/20/2013	PURC		50,000,000.00	49,997,986.10	0.00	0.00	(49,997,986.11)
682034	FHLB DISC CORP	/BARCLAYS CAPITAL	AGDN				0.0250 / 0.0250					
				12/11/2013	11/21/2013	SALE		(25,000,000.00)	(24,999,149.31)	0.00	(309.02)	24,999,458.33
682620	FREDDIE DISCOUNT	/JP MORGAN CHASE & CO	AGDN				0.0300 / 0.0300					
				12/9/2013	11/21/2013	SALE		(23,000,000.00)	(22,999,195.00)	0.00	(345.00)	22,999,540.00
687178	US TREASURY N/B	/JEFFERIES & COMPANY	TBILL				0.0580 / 0.0580					
				12/19/2013	11/21/2013	PURC		50,000,000.00	49,997,744.45	0.00	0.00	(49,997,744.44)
656026	FED FARM CR BK	/CASTLE OAK SECURITIES	AGN FLOATI				0.1200 / 0.1200					
				2/24/2014	11/24/2013	INT		0.00	0.00	0.00	(7,666.67)	7,666.67
660956	ROYAL BANK OF CANADA NY	/RBC	YCDF				0.3030 / 0.3030					
				6/24/2014	11/25/2013	INT		0.00	0.00	0.00	(2,240.00)	2,240.00
678142	FED FARM CR BK	/MORGAN STANLEY	AGNF1				5.0500 / 0.0409					
				11/25/2013	11/25/2013	INT		0.00	0.00	0.00	(25,250.00)	25,250.00
				11/25/2013	11/25/2013	MAT		(1,000,000.00)	(1,025,184.72)	0.00	25,184.72	1,000,000.00
687720	TORONTO DOMINION BANK NY	/TDSI	YCD				0.0800 / 0.0800					
				12/17/2013	11/25/2013	PURC		25,000,000.00	25,000,000.00	0.00	0.00	(25,000,000.00)
687732	FHLB DISC CORP	/GOLDMAN SACHS GROUP INC	AGDN				0.0500 / 0.0500					
				12/17/2013	11/25/2013	PURC		11,800,000.00	11,799,639.44	0.00	0.00	(11,799,639.44)
686104	FREDDIE MAC	/RBC	CALLGOVAGY				0.3500 / 0.3500					
				11/26/2014	11/26/2013	EPAY PRI		(10,000,000.00)	(10,016,430.56)	0.00	0.00	10,016,430.56
				11/26/2014	11/26/2013	INT		0.00	0.00	0.00	(17,500.00)	17,500.00
687992	FREDDIE DISCOUNT	/NOMURA SECURITIES	AGDN				0.0450 / 0.0450					
				12/18/2013	11/26/2013	PURC		5,000,000.00	4,999,862.50	0.00	0.00	(4,999,862.50)
687993	FREDDIE DISCOUNT	/NOMURA SECURITIES	AGDN				0.0450 / 0.0450					

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Fund:		County Money Mkt Fnd										
687997	FHLB DISC CORP	/DEUTSCHE	AGDN	12/18/2013	11/26/2013	PURC	0.0500 / 0.0500	50,000,000.00	49,998,625.00	0.00	0.00	(49,998,625.00)
688003	WAL-MART STORES INC	/MORGAN STANLEY	MTN	12/13/2013	11/26/2013	PURC	3.2000 / 0.1799	13,400,000.00	13,399,683.61	0.00	0.00	(13,399,683.61)
688004	FANNIE MAE	/NOMURA SECURITIES	CALLGOVAGY	5/15/2014	11/26/2013	PURC	0.4200 / 0.4148	4,500,000.00	4,563,720.00	4,400.00	0.00	(4,568,120.00)
672610	FED HM LN BK BD	/BARCLAYS CAPITAL	AGNF1	6/5/2015	11/26/2013	PURC	0.3750 / 0.0699	10,150,000.00	10,171,051.10	0.00	0.00	(10,171,051.10)
673374	FED HM LN BK BD	/BNP PARIBAS	AGNF1	11/27/2013	11/27/2013	INT		0.00	0.00	0.00	(3,918.75)	3,918.75
				11/27/2013	11/27/2013	MAT		(2,090,000.00)	(2,093,548.65)	0.00	3,548.65	2,090,000.00
							0.3750 / 0.0774					
682286	FED HM LN BK BD	/DEUTSCHE	AGNF1	11/27/2013	11/27/2013	INT		0.00	0.00	0.00	(4,387.50)	4,387.50
				11/27/2013	11/27/2013	MAT		(2,340,000.00)	(2,343,959.28)	0.00	3,959.28	2,340,000.00
							0.3750 / 0.0558					
688259	FREDDIE DISCOUNT	/NOMURA SECURITIES	AGDN	11/27/2013	11/27/2013	INT		0.00	0.00	0.00	(9,375.00)	9,375.00
				11/27/2013	11/27/2013	MAT		(5,000,000.00)	(5,009,111.25)	0.00	9,111.25	5,000,000.00
							0.0450 / 0.0450					
				12/18/2013	11/27/2013	PURC		48,468,000.00	48,466,727.72	0.00	0.00	(48,466,727.72)
Sub Total:								<u>368,971,000.00</u>	<u>368,977,727.85</u>	<u>223,150.00</u>	<u>4,936,187.66</u>	<u>(374,137,065.54)</u>
Fund:		Extended Fund										
645486	FANNIE MAE	/CRED SUIS SECURITIES	CALLGOVAGY				0.5000 / 0.4200					
551227	FED HM LN BK BD	/MORGAN STANLEY	AGNF1	11/6/2015	11/6/2013	INT		0.00	0.00	0.00	(50,000.00)	50,000.00
							0.3750 / 0.4990					
685157	FANNIE MAE	/JEFFERIES & COMPANY	CALLGOVAGY	11/27/2013	11/8/2013	SALE	0.8850 / 0.7822	(40,000,000.00)	(39,895,600.00)	0.00	19,858,598.33	20,037,001.67
652290	FREDDIE MAC	/CRED SUIS SECURITIES	AGNF1	3/28/2016	11/8/2013	PURC	0.5000 / 0.3924	20,000,000.00	20,068,206.66	0.00	0.00	(20,068,206.67)
655818	FREDDIE MAC	/JEFFERIES & COMPANY	AGNF1	5/13/2016	11/13/2013	INT	0.5000 / 0.4529	0.00	0.00	0.00	(50,000.00)	50,000.00

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Fund:		Extended Fund										
656027	FREDDIE MAC	/CRED SUIS SECURITIES	AGNF1	5/13/2016	11/13/2013	INT	0.5000 / 0.4628	0.00	0.00	0.00	(62,500.00)	62,500.00
635326	FED FARM CR BK	/RBC	AGNF1	5/13/2016	11/13/2013	INT	0.2500 / 0.2987	0.00	0.00	0.00	(62,500.00)	62,500.00
647696	JOHNSON & JOHNSON	/UBS FINANCIAL SERVICES	MTN	11/14/2014	11/14/2013	INT	2.1500 / 0.5218	0.00	0.00	0.00	(19,131.25)	19,131.25
652282	JOHNSON & JOHNSON	/BNP PARIBAS	MTN	5/15/2016	11/15/2013	INT	2.1500 / 0.4861	0.00	0.00	0.00	(107,500.00)	107,500.00
653503	JOHNSON & JOHNSON	/BNP PARIBAS	MTN	5/15/2016	11/15/2013	INT	2.1500 / 0.5204	0.00	0.00	0.00	(178,450.00)	178,450.00
654001	JOHNSON & JOHNSON	/BNP PARIBAS	MTN	5/15/2016	11/15/2013	INT	2.1500 / 0.5212	0.00	0.00	0.00	(107,500.00)	107,500.00
654750	JOHNSON & JOHNSON	/BNP PARIBAS	MTN	5/15/2016	11/15/2013	INT	2.1500 / 0.5665	0.00	0.00	0.00	(107,500.00)	107,500.00
655819	JOHNSON & JOHNSON	/BNP PARIBAS	MTN	5/15/2016	11/15/2013	INT	2.1500 / 0.5725	0.00	0.00	0.00	(107,500.00)	107,500.00
657328	JOHNSON & JOHNSON	/BNP PARIBAS	MTN	5/15/2016	11/15/2013	INT	2.1500 / 0.6532	0.00	0.00	0.00	(26,842.75)	26,842.75
682574	US TREASURY N/B	/RBS GREENWICH CAPITAL	TRBD	5/15/2016	11/15/2013	INT	0.2500 / 0.2425	0.00	0.00	0.00	(107,500.00)	107,500.00
630250	FED FARM CR BK	/JEFFERIES & COMPANY	AGNF1	5/15/2015	11/15/2013	INT	0.4300 / 0.4370	0.00	0.00	0.00	(19,187.50)	19,187.50
638821	FED FARM CR BK	/JEFFERIES & COMPANY	AGNF1	11/16/2015	11/16/2013	INT	0.4300 / 0.4443	0.00	0.00	0.00	(42,086.25)	42,086.25
				11/16/2015	11/16/2013	INT		0.00	0.00	0.00	(21,500.00)	21,500.00

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Fund:		Extended Fund										
624988	FED FARM CR BK	/CRED SUIS SECURITIES	AGNF1				0.2700 / 0.3172					
				11/19/2014	11/19/2013	INT		0.00	0.00	0.00	(33,750.00)	33,750.00
624989	FED FARM CR BK	/JEFFERIES & COMPANY	AGNF1				0.2700 / 0.3172					
				11/19/2014	11/19/2013	INT		0.00	0.00	0.00	(53,325.00)	53,325.00
622195	FANNIE MAE	/JEFFERIES & COMPANY	AGNF1				2.6250 / 0.3450					
				11/20/2014	11/20/2013	INT		0.00	0.00	0.00	(328,125.00)	328,125.00
638345	FED HM LN BK BD	/MORGAN STANLEY	AGNF1				0.5000 / 0.4541					
				11/20/2015	11/20/2013	INT		0.00	0.00	0.00	(25,000.00)	25,000.00
663447	FED HM LN BK BD	/BARCLAYS CAPITAL	AGNF1				0.5000 / 0.5879					
				11/20/2015	11/20/2013	INT		0.00	0.00	0.00	(37,500.00)	37,500.00
666909	FED HM LN BK BD	/CITIGROUP GLOBAL MARKETS	AGNF1				0.5000 / 0.4491					
				11/20/2015	11/20/2013	INT		0.00	0.00	0.00	(25,000.00)	25,000.00
655520	FED HM LN BK BD	/CANTOR FITZGERALD	STEPGOVAGY				0.5000 / 0.5000					
				5/22/2018	11/22/2013	INT		0.00	0.00	0.00	(125,000.00)	125,000.00
592169	FANNIE MAE	/RBC	STEPGOVAGY				0.8500 / 0.7893					
				5/23/2017	11/23/2013	INT		0.00	0.00	0.00	(212,500.00)	212,500.00
632052	FREDDIE MAC	/RBC	AGNF1				0.4500 / 0.4489					
				11/24/2015	11/24/2013	INT		0.00	0.00	0.00	(22,500.00)	22,500.00
632053	FREDDIE MAC	/BNP PARIBAS	AGNF1				0.4500 / 0.4489					
				11/24/2015	11/24/2013	INT		0.00	0.00	0.00	(78,750.00)	78,750.00
642207	FREDDIE MAC	/RBC	AGNF1				0.4500 / 0.4310					
				11/24/2015	11/24/2013	INT		0.00	0.00	0.00	(45,000.00)	45,000.00
642730	FREDDIE MAC	/RBC	AGNF1				0.4500 / 0.4421					
				11/24/2015	11/24/2013	INT		0.00	0.00	0.00	(45,000.00)	45,000.00
551227	FED HM LN BK BD	/MORGAN STANLEY	AGNF1				0.3750 / 0.4990					
				11/27/2013	11/25/2013	SALE		(40,000,000.00)	(39,895,600.00)	0.00	19,858,144.67	20,037,455.33
581532	FED HM LN BK BD	/BARCLAY CAPITAL	AGNF1				4.8750 / 0.4082					
				12/13/2013	11/25/2013	SALE		(29,120,000.00)	(31,746,005.20)	0.00	3,853,267.85	27,892,737.35

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Fund:		Extended Fund										
587739	FED HM LN BK BD	/STERNE AGEE & LEACH	AGNF1				4.8750 / 0.3219					
				12/13/2013	11/25/2013	SALE		(7,770,000.00)	(8,485,089.29)	0.00	525,979.14	7,959,110.15
687734	FED HM LN BK BD	/CASTLE OAK SECURITIES	CALLGOVAGY				0.5000 / 0.4876					
				1/8/2016	11/25/2013	PURC		50,000,000.00	50,108,138.90	0.00	0.00	(50,108,138.89)
687741	FED HM LN BK BD	/CASTLE OAK SECURITIES	CALLGOVAGY				0.5000 / 0.4876					
				1/8/2016	11/25/2013	PURC		30,000,000.00	30,064,883.34	0.00	0.00	(30,064,883.33)
687742	FANNIE MAE	/	CALLGOVAGY				0.4200 / 0.4138					
				6/5/2015	11/25/2013	PURC		25,000,000.00	25,051,933.33	0.00	0.00	(25,051,933.33)
630583	FED FARM CR BK	/RBC	AGNF1				0.2500 / 0.2970					
				11/26/2014	11/26/2013	INT		0.00	0.00	0.00	(19,125.00)	19,125.00
584829	FED HM LN BK BD	/STERNE AGEE & LEACH	AGNF1				1.3750 / 0.3659					
				5/28/2014	11/28/2013	INT		0.00	0.00	0.00	(68,750.00)	68,750.00
635328	FED FARM CR BK	/CANTOR FITZGERALD	AGNF1				0.2500 / 0.2990					
				11/28/2014	11/28/2013	INT		0.00	0.00	0.00	(31,250.00)	31,250.00
635329	FED FARM CR BK	/CASTLE OAK SECURITIES	AGNF1				0.2500 / 0.2987					
				11/28/2014	11/28/2013	INT		0.00	0.00	0.00	(31,250.00)	31,250.00
Sub Total:								<u>8,110,000.00</u>	<u>5,270,867.74</u>	<u>0.00</u>	<u>41,844,467.24</u>	<u>(47,115,334.97)</u>

Fund:		Educational Money Mkt Fnd										
645745	FED HM LN BK BD	/CRED SUI SECURITIES	AGNF1				0.1250 / 0.1876					
				3/27/2014	11/1/2013	SALE		(15,000,000.00)	(14,990,707.08)	0.00	(12,638.75)	15,003,345.83
651826	FED FARM CR BK	/UBS FINANCIAL SERVICES	AGN FLOAT1				0.1282 / 0.1362					
				5/1/2014	11/1/2013	INT		0.00	0.00	0.00	(1,888.42)	1,888.42
651831	FED FARM CR BK	/CASTLE OAK SECURITIES	AGN FLOAT1				0.1200 / 0.1275					
				5/1/2014	11/1/2013	INT		0.00	0.00	0.00	(6,133.33)	6,133.33
652085	FED FARM CR BK	/UBS FINANCIAL SERVICES	AGN FLOAT1				0.1282 / 0.1382					
				5/1/2014	11/1/2013	INT		0.00	0.00	0.00	(944.21)	944.21

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Fund: Educational Money Mkt Fnd												
683920	FREDDIE MAC	/BNP PARIBAS	AGNF1				0.3750 / 0.0509					
				11/27/2013	11/1/2013	PURC		37,000,000.00	37,068,012.18	0.00	0.00	(37,068,012.17)
683921	FANNIE MAE	/BNP PARIBAS	AGNF1				0.7500 / 0.0604					
				12/18/2013	11/1/2013	PURC		19,975,000.00	20,048,324.91	0.00	0.00	(20,048,324.90)
683923	FED FARM CR BK	/BNP PARIBAS	AGNF1				1.3000 / 0.0604					
				12/23/2013	11/1/2013	PURC		7,600,000.00	7,648,732.89	0.00	0.00	(7,648,732.89)
688643	U.S. TREAS MMF - NT - EDU MMF	/	NTSWEEPS				0.0100 / 0.0100					
				11/4/2013	11/1/2013	PURC		7,648,732.89	7,648,732.89	0.00	0.00	(7,648,732.89)
652088	FED FARM CR BK	/JP MORGAN CHASE & CO	AGN FLOAT3				0.1200 / 0.1300					
				5/2/2014	11/2/2013	INT		0.00	0.00	0.00	(5,500.00)	5,500.00
668675	FED HM LN BK BD	/CASTLE OAK SECURITIES	AGN FLOAT1				0.0760 / 0.1263					
				2/3/2014	11/3/2013	INT		0.00	0.00	0.00	(776.89)	776.89
652088	FED FARM CR BK	/JP MORGAN CHASE & CO	AGN FLOAT3				0.1200 / 0.1300					
				5/2/2014	11/4/2013	INT		0.00	0.00	0.00	(6.85)	6.85
684142	FANNIE MAE	/BNP PARIBAS	AGNF1				1.1250 / 0.0606					
				12/17/2013	11/4/2013	PURC		5,055,000.00	5,083,066.63	0.00	0.00	(5,083,066.63)
684143	FED HM LN BK BD	/BNP PARIBAS	AGNF1				0.8750 / 0.0603					
				12/27/2013	11/4/2013	PURC		10,770,000.00	10,816,158.13	0.00	0.00	(10,816,158.13)
684144	FED FARM CR BK	/BNP PARIBAS	AGNF1				0.4000 / 0.0605					
				12/9/2013	11/4/2013	PURC		15,000,000.00	15,029,116.67	0.00	0.00	(15,029,116.67)
684149	US TREASURY N/B	/DEUTSCHE	TRBD				1.5000 / 0.0697					
				12/31/2013	11/4/2013	INT		0.00	0.00	0.00	(424.31)	424.31
				12/31/2013	11/4/2013	PURC		15,000,000.00	15,033,398.43	78,073.78	0.00	(15,111,472.21)
688643	U.S. TREAS MMF - NT - EDU MMF	/	NTSWEEPS				0.0100 / 0.0100					
				11/4/2013	11/4/2013	INT		0.00	0.00	0.00	(6.30)	6.30
				11/4/2013	11/4/2013	MAT		(7,648,732.89)	(7,648,732.89)	0.00	0.00	7,648,732.89
684393	US TREASURY N/B	/UBS FINANCIAL SERVICES	TRBD				0.5000 / 0.0541					
				11/15/2013	11/5/2013	PURC		50,000,000.00	50,006,050.00	118,206.52	0.00	(50,124,256.52)
671135	FREDDIE DISCOUNT	/FTN FINANCIAL	AGDN				0.0700 / 0.0700					
				11/6/2013	11/6/2013	MAT		(25,000,000.00)	(24,996,208.33)	0.00	(3,791.67)	25,000,000.00

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Fund: Educational Money Mkt Fnd												
681783	FED HM LN BK BD	/MORGAN STANLEY	AGNF1				0.1000 / 0.0453					
				11/6/2013	11/6/2013	INT		0.00	0.00	0.00	(2,500.00)	2,500.00
				11/6/2013	11/6/2013	MAT		(5,000,000.00)	(5,002,405.56)	0.00	2,405.56	5,000,000.00
684631	FREDDIE DISCOUNT	/JEFFERIES & COMPANY	AGDN				0.0650 / 0.0650					
				12/17/2013	11/6/2013	PURC		3,000,000.00	2,999,777.92	0.00	0.00	(2,999,777.92)
685337	BERKSHIRE HATHAWAY INC	/RBC	MTN A360				0.5736 / 0.1645					
				1/10/2014	11/6/2013	PURC		2,900,000.00	2,903,388.45	0.00	0.00	(2,903,388.45)
685338	FED HM LN BK BD	/FTN FINANCIAL	AGNF1				0.3750 / 0.0803					
				1/29/2014	11/6/2013	PURC		3,695,000.00	3,701,235.01	0.00	0.00	(3,701,235.01)
678634	US TREASURY N/B	/BARCLAYS CAPITAL	TBILL				0.0405 / 0.0405					
				11/7/2013	11/7/2013	MAT		(25,000,000.00)	(24,998,987.50)	0.00	(1,012.50)	25,000,000.00
684880	US TREASURY N/B	/JEFFERIES & COMPANY	TBILL				0.0500 / 0.0500					
				12/5/2013	11/7/2013	PURC		30,000,000.00	29,998,833.33	0.00	0.00	(29,998,833.33)
684882	FED HM LN BK BD	/BNP PARIBAS	AGNF1				0.3000 / 0.0503					
				11/22/2013	11/7/2013	PURC		2,500,000.00	2,503,697.50	0.00	0.00	(2,503,697.50)
679579	FHLB DISC CORP	/DEUTSCHE	AGDN				0.1200 / 0.1200					
				11/8/2013	11/8/2013	MAT		(10,000,000.00)	(9,998,966.67)	0.00	(1,033.33)	10,000,000.00
680479	FHLB DISC CORP	/JP MORGAN CHASE & CO	AGDN				0.1300 / 0.1300					
				1/3/2014	11/8/2013	SALE		(5,200,000.00)	(5,198,497.78)	0.00	(1,170.58)	5,199,668.36
681556	FED HM LN BK BD	/MORGAN STANLEY	AGN FLOAT4				0.1637 / 0.0687					
				11/8/2013	11/8/2013	INT		0.00	0.00	0.00	(3,122.92)	3,122.92
				11/8/2013	11/8/2013	MAT		(7,500,000.00)	(7,502,825.96)	0.00	2,825.96	7,500,000.00
685339	FREDDIE DISCOUNT	/NOMURA SECURITIES	AGDN				0.0600 / 0.0600					
				12/5/2013	11/12/2013	PURC		6,660,000.00	6,659,744.70	0.00	0.00	(6,659,744.70)
685340	FNMA DISCOUNT	/MORGAN STANLEY	AGDN				0.0550 / 0.0550					
				12/2/2013	11/12/2013	PURC		9,805,000.00	9,804,700.40	0.00	0.00	(9,804,700.40)
685341	FED FARM CR BK	/DEUTSCHE	AGN FLOAT1				0.1185 / 0.0600					
				12/6/2013	11/12/2013	PURC		50,000,000.00	50,002,937.50	0.00	0.00	(50,002,937.50)

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Fund: Educational Money Mkt Fnd												
685568	US TREASURY N/B	/RBS GREENWICH CAPITAL	TBILL				0.0550 / 0.0550					
				12/12/2013	11/13/2013	PURC		50,000,000.00	49,997,784.70	0.00	0.00	(49,997,784.72)
640703	FED HM LN BK BD	/MORGAN STANLEY	AGNF1				0.2900 / 0.1774					
				11/14/2013	11/14/2013	INT		0.00	0.00	0.00	(21,793.50)	21,793.50
				11/14/2013	11/14/2013	MAT		(15,030,000.00)	(15,054,615.80)	0.00	24,615.80	15,030,000.00
685905	US TREASURY N/B	/BARCLAY CAPITAL	TBILL				0.0510 / 0.0510					
				11/29/2013	11/14/2013	PURC		40,000,000.00	39,999,150.00	0.00	0.00	(39,999,150.00)
685906	FHLB DISC CORP	/DEUTSCHE	AGDN				0.0600 / 0.0600					
				12/20/2013	11/14/2013	PURC		15,000,000.00	14,999,100.00	0.00	0.00	(14,999,100.00)
685909	FED HM LN BK BD	/BARCLAY CAPITAL	AGNF1				3.1250 / 0.0701					
				12/13/2013	11/14/2013	PURC		5,530,000.00	5,616,089.04	0.00	0.00	(5,616,089.04)
657331	JOHNSON & JOHNSON	/BNP PARIBAS	MTN				1.2000 / 0.2331					
				5/15/2014	11/15/2013	INT		0.00	0.00	0.00	(60,000.00)	60,000.00
657332	JOHNSON & JOHNSON	/BNP PARIBAS	MTN				1.2000 / 0.2331					
				5/15/2014	11/15/2013	INT		0.00	0.00	0.00	(17,730.00)	17,730.00
664370	JOHNSON & JOHNSON	/BNP PARIBAS	MTN				1.2000 / 0.2537					
				5/15/2014	11/15/2013	INT		0.00	0.00	0.00	(26,400.00)	26,400.00
669139	JOHNSON & JOHNSON	/BNP PARIBAS	MTN				1.2000 / 0.2123					
				5/15/2014	11/15/2013	INT		0.00	0.00	0.00	(61,200.00)	61,200.00
673366	FREDDIE MAC	/DEUTSCHE	AGNF1				4.8750 / 0.0717					
				11/15/2013	11/15/2013	INT		0.00	0.00	0.00	(151,563.75)	151,563.75
				11/15/2013	11/15/2013	MAT		(6,218,000.00)	(6,368,637.27)	0.00	150,637.27	6,218,000.00
673379	FNMA DISCOUNT	/MORGAN STANLEY	AGDN				0.0700 / 0.0700					
				11/15/2013	11/15/2013	MAT		(4,390,000.00)	(4,389,376.86)	0.00	(623.14)	4,390,000.00
677856	US TREASURY N/B	/GOLDMAN SACHS GROUP INC	TRBD				0.5000 / 0.0407					
				11/15/2013	11/15/2013	INT		0.00	0.00	0.00	(37,500.00)	37,500.00
				11/15/2013	11/15/2013	MAT		(15,000,000.00)	(15,009,165.00)	0.00	9,165.00	15,000,000.00

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Fund: Educational Money Mkt Fnd												
684393	US TREASURY N/B	/UBS FINANCIAL SERVICES	TRBD				0.5000 / 0.0541					
				11/15/2013	11/15/2013	INT		0.00	0.00	0.00	(125,000.00)	125,000.00
				11/15/2013	11/15/2013	MAT		(50,000,000.00)	(50,006,050.00)	0.00	6,050.00	50,000,000.00
686105	FREDDIE MAC	/RBC	CALLGOVAGY				0.3500 / 0.3500					
				11/26/2014	11/15/2013	PURC		10,000,000.00	10,016,430.56	0.00	0.00	(10,016,430.56)
686110	FED FARM CR BK	/NOMURA SECURITIES	AGDN				0.0600 / 0.0600					
				12/20/2013	11/15/2013	PURC		50,000,000.00	49,997,083.35	0.00	0.00	(49,997,083.33)
686112	FED FARM CR BK	/DAIWA CAPITAL MARKETS	AGDN				0.0600 / 0.0600					
				12/20/2013	11/15/2013	PURC		30,000,000.00	29,998,250.01	0.00	0.00	(29,998,250.00)
686114	FREDDIE MAC	/BARCLAYS CAPITAL	AGNF1				5.0000 / 0.0798					
				1/30/2014	11/15/2013	PURC		10,000,000.00	10,248,273.33	0.00	0.00	(10,248,273.33)
681550	FANNIE MAE	/FTN FINANCIAL	AGNF1				1.0000 / 0.1516					
				5/16/2014	11/16/2013	INT		0.00	0.00	0.00	(10,000.00)	10,000.00
626891	FREDDIE MAC	/BNP PARIBAS	AGN FLOAT1				0.4600 / 0.1947					
				11/18/2013	11/18/2013	INT		0.00	0.00	0.00	(9,645.18)	9,645.18
				11/18/2013	11/18/2013	MAT		(9,870,000.00)	(9,897,071.22)	0.00	27,071.22	9,870,000.00
657044	FED FARM CR BK	/JEFFERIES & COMPANY	AGN FLOAT1				0.2599 / 0.1200					
				11/18/2013	11/18/2013	INT		0.00	0.00	0.00	(9,962.83)	9,962.83
				11/18/2013	11/18/2013	MAT		(7,500,000.00)	(7,505,684.58)	0.00	5,684.58	7,500,000.00
659832	TORONTO DOMINION	/TDSI	YCDF				0.2425 / 0.2425					
				6/17/2014	11/18/2013	INT		0.00	0.00	0.00	(1,988.89)	1,988.89
679578	FHLB DISC CORP	/GOLDMAN SACHS GROUP INC	AGDN				0.0750 / 0.0750					
				11/18/2013	11/18/2013	MAT		(10,000,000.00)	(9,999,145.83)	0.00	(854.17)	10,000,000.00
681551	FANNIE MAE	/BNP PARIBAS	AGNF1				0.8000 / 0.0602					
				11/18/2013	11/18/2013	INT		0.00	0.00	0.00	(64,600.00)	64,600.00
				11/18/2013	11/18/2013	MAT		(16,150,000.00)	(16,213,840.95)	0.00	63,840.95	16,150,000.00
686159	FANNIE MAE	/NOMURA SECURITIES	CALLGOVAGY				0.6500 / 0.6437					

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Fund: Educational Money Mkt Fnd												
638824	ROYAL BANK OF CANADA NY	/RBC	YCDF	3/28/2016	11/18/2013	PURC	0.3800 / 0.3800	41,120,000.00	41,185,522.46	0.00	0.00	(41,185,522.44)
686656	TORONTO DOMINION BANK NY	/TDSI	YCD	2/19/2014	11/19/2013	INT	0.0900 / 0.0900	0.00	0.00	0.00	(15,488.86)	15,488.86
686671	FHLB DISC CORP	/FTN FINANCIAL	AGDN	12/10/2013	11/19/2013	PURC	0.1000 / 0.1000	25,000,000.00	25,000,000.00	0.00	0.00	(25,000,000.00)
656343	FED HM LN BK BD	/CRED SUIS SECURITIES	AGNF1	3/21/2014	11/19/2013	PURC	0.1250 / 0.1623	10,000,000.00	9,996,611.11	0.00	0.00	(9,996,611.11)
677109	FED HM LN BK BD	/GOLDMAN SACHS GROUP INC	AGNF1	5/20/2014	11/20/2013	INT	0.1000 / 0.0401	0.00	0.00	0.00	(6,250.00)	6,250.00
682580	FANNIE MAE	/FTN FINANCIAL	AGNF1	11/20/2013	11/20/2013	INT		0.00	0.00	0.00	(15,833.33)	15,833.33
				11/20/2013	11/20/2013	MAT		(30,000,000.00)	(30,013,926.67)	0.00	13,926.67	30,000,000.00
							2.6250 / 0.1929					
686946	FED HM LN BK BD	/BNP PARIBAS	AGNF1	11/20/2014	11/20/2013	INT	0.1700 / 0.0704	0.00	0.00	0.00	(65,625.00)	65,625.00
658622	ROYAL BANK OF CANADA NY	/RBC	YCDF	12/24/2013	11/20/2013	PURC	0.3090 / 0.2384	13,500,000.00	13,510,576.49	0.00	0.00	(13,510,576.50)
674181	ROYAL BANK OF CANADA NY	/RBC	YCDF	2/21/2014	11/21/2013	INT	0.3007 / 0.2006	0.00	0.00	0.00	(3,484.99)	3,484.99
687179	FED HM LN BK BD	/FTN FINANCIAL	AGNF1	2/21/2014	11/21/2013	INT	0.1250 / 0.0953	0.00	0.00	0.00	(3,872.23)	3,872.23
683319	FHLB DISC CORP	/DAIWA CAPITAL MARKETS	AGDN	2/14/2014	11/21/2013	PURC	0.0450 / 0.0450	10,000,000.00	10,003,998.06	0.00	0.00	(10,003,998.06)
684882	FED HM LN BK BD	/BNP PARIBAS	AGNF1	11/22/2013	11/22/2013	MAT	0.3000 / 0.0503	(15,000,000.00)	(14,999,568.75)	0.00	(431.25)	15,000,000.00
687374	FREDDIE MAC	/FTN FINANCIAL	AGNF1	11/22/2013	11/22/2013	INT		0.00	0.00	0.00	(3,750.00)	3,750.00
				11/22/2013	11/22/2013	MAT		(2,500,000.00)	(2,503,697.50)	0.00	3,697.50	2,500,000.00
							1.3500 / 0.1301					

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Fund: Educational Money Mkt Fnd												
				4/29/2014	11/22/2013	PURC		500,000.00	503,087.75	0.00	0.00	(503,087.75)
687382	FANNIE MAE	/FTN FINANCIAL	AGNF1				0.8750 / 0.1568					
				8/28/2014	11/22/2013	PURC		4,850,000.00	4,886,577.08	0.00	0.00	(4,886,577.08)
656025	FED FARM CR BK	/CASTLE OAK SECURITIES	AGN FLOAT1				0.1200 / 0.1200					
				2/24/2014	11/24/2013	INT		0.00	0.00	0.00	(4,600.00)	4,600.00
666667	FED HM LN BK BD	/CASTLE OAK SECURITIES	AGN FLOAT1				0.0800 / 0.0800					
				11/25/2013	11/25/2013	INT		0.00	0.00	0.00	(1,033.34)	1,033.34
				11/25/2013	11/25/2013	MAT		(20,000,000.00)	(20,000,000.00)	0.00	0.00	20,000,000.00
683647	FREDDIE DISCOUNT	/CITIGROUP GLOBAL MARKETS	AGDN				0.0300 / 0.0300					
				11/25/2013	11/25/2013	MAT		(10,000,000.00)	(9,999,791.67)	0.00	(208.33)	10,000,000.00
687723	FED HM LN BK BD	/CASTLE OAK SECURITIES	AGNF1				5.2500 / 0.1726					
				9/12/2014	11/25/2013	PURC		2,035,000.00	2,138,939.32	0.00	0.00	(2,138,939.32)
687724	FANNIE MAE	/CASTLE OAK SECURITIES	AGNF1				3.0000 / 0.1723					
				9/16/2014	11/25/2013	PURC		1,592,000.00	1,637,499.36	0.00	0.00	(1,637,499.36)
687725	FREDDIE MAC	/CASTLE OAK SECURITIES	AGNF1				0.7500 / 0.1723					
				9/22/2014	11/25/2013	PURC		5,265,000.00	5,296,971.71	0.00	0.00	(5,296,971.71)
687726	FED HM LN BK BD	/TDSI	AGNF1				0.1250 / 0.1723					
				11/18/2014	11/25/2013	PURC		10,455,000.00	10,450,407.38	0.00	0.00	(10,450,407.38)
687727	FED HM LN BK BD	/CASTLE OAK SECURITIES	AGNF1				0.1600 / 0.1724					
				11/21/2014	11/25/2013	PURC		15,000,000.00	14,998,436.67	0.00	0.00	(14,998,436.67)
687728	FHLB DISC CORP	/FTN FINANCIAL	AGDN				0.1000 / 0.1000					
				3/4/2014	11/25/2013	PURC		15,000,000.00	14,995,875.00	0.00	0.00	(14,995,875.00)
687729	FANNIE MAE	/DEUTSCHE	AGNF1				0.7500 / 0.0611					
				12/18/2013	11/25/2013	PURC		4,565,000.00	4,581,939.95	0.00	0.00	(4,581,939.95)
687730	FREDDIE MAC	/FTN FINANCIAL	AGNF1				2.5000 / 0.1403					
				4/23/2014	11/25/2013	PURC		500,000.00	505,956.11	0.00	0.00	(505,956.11)
687731	FED HM LN BK BD	/DEUTSCHE	AGNF1				4.8750 / 0.0556					
				12/13/2013	11/25/2013	PURC		13,800,000.00	14,135,981.70	0.00	0.00	(14,135,981.70)
685905	US TREASURY N/B	/BARCLAY CAPITAL	TBILL				0.0510 / 0.0510					

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Fund: Educational Money Mkt Fnd												
686105	FREDDIE MAC	/RBC	CALLGOVAGY	11/29/2013	11/26/2013	SALE	0.3500 / 0.3500	(40,000,000.00)	(39,999,150.00)	0.00	(716.67)	39,999,866.67
				11/26/2014	11/26/2013	EPAY PRI		(10,000,000.00)	(10,016,430.56)	0.00	0.00	10,016,430.56
687994	FNMA DISCOUNT	/GOLDMAN SACHS GROUP INC	AGDN	11/26/2014	11/26/2013	INT	0.0450 / 0.0450	0.00	0.00	0.00	(17,500.00)	17,500.00
687995	FREDDIE MAC	/DEUTSCHE	AGNF1	1/2/2014	11/26/2013	PURC	0.6250 / 0.0821	25,000,000.00	24,998,843.75	0.00	0.00	(24,998,843.75)
687998	FANNIE MAE	/BARCLAYS CAPITAL	AGNF1	12/23/2013	11/26/2013	PURC	0.7500 / 0.1000	9,755,000.00	9,784,882.01	0.00	0.00	(9,784,882.01)
688000	FED FARM CR BK	/FTN FINANCIAL	AGDN	12/18/2013	11/26/2013	PURC	0.1200 / 0.1201	2,139,000.00	2,146,890.06	0.00	0.00	(2,146,890.06)
688001	FED HM LN BK BD	/DEUTSCHE	AGNF1	7/7/2014	11/26/2013	PURC	3.1250 / 0.0703	10,000,000.00	9,992,566.67	0.00	0.00	(9,992,566.67)
688002	WAL-MART STORES INC	/MORGAN STANLEY	MTN	12/13/2013	11/26/2013	PURC	3.2000 / 0.1799	13,600,000.00	13,812,041.76	0.00	0.00	(13,812,041.76)
628832	FED HM LN BK BD	/CASTLE OAK SECURITIES	AGNF1	5/15/2014	11/26/2013	PURC	0.3750 / 0.1775	5,000,000.00	5,070,800.00	4,888.89	0.00	(5,075,688.89)
				11/27/2013	11/27/2013	INT		0.00	0.00	0.00	(28,125.00)	28,125.00
656343	FED HM LN BK BD	/CRED SUIS SECURITIES	AGNF1	11/27/2013	11/27/2013	MAT	0.1250 / 0.1623	(15,000,000.00)	(15,031,136.25)	0.00	31,136.25	15,000,000.00
666406	FREDDIE MAC	/JEFFERIES & COMPANY	AGNF1	5/20/2014	11/27/2013	SALE	0.3750 / 0.0750	(10,000,000.00)	(9,996,682.50)	0.00	(3,360.56)	10,000,043.06
				11/27/2013	11/27/2013	INT		0.00	0.00	0.00	(58,125.00)	58,125.00
677617	FHLB DISC CORP	/CITIGROUP GLOBAL MARKETS	AGDN	11/27/2013	11/27/2013	MAT	0.0320 / 0.0320	(31,000,000.00)	(31,049,974.48)	0.00	49,974.48	31,000,000.00
679577	FHLB DISC CORP	/GOLDMAN SACHS GROUP INC	AGDN	11/27/2013	11/27/2013	MAT	0.1000 / 0.1000	(9,300,000.00)	(9,299,487.47)	0.00	(512.53)	9,300,000.00

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Fund: Educational Money Mkt Fnd												
682284	FHLB DISC CORP	/GOLDMAN SACHS GROUP INC	AGDN	11/27/2013	11/27/2013	MAT	0.0450 / 0.0450	(50,000,000.00)	(49,993,055.56)	0.00	(6,944.44)	50,000,000.00
682289	FREDDIE MAC	/BNP PARIBAS	AGNF1	11/27/2013	11/27/2013	MAT	0.3750 / 0.0505	(2,000,000.00)	(1,999,915.00)	0.00	(85.00)	2,000,000.00
				11/27/2013	11/27/2013	INT		0.00	0.00	0.00	(3,067.50)	3,067.50
682293	FED HM LN BK BD	/GOLDMAN SACHS GROUP INC	AGNF1	11/27/2013	11/27/2013	MAT	0.3750 / 0.0452	(1,636,000.00)	(1,638,989.39)	0.00	2,989.39	1,636,000.00
				11/27/2013	11/27/2013	INT		0.00	0.00	0.00	(11,062.50)	11,062.50
682295	FREDDIE MAC	/GOLDMAN SACHS GROUP INC	AGNF1	11/27/2013	11/27/2013	MAT	0.3750 / 0.0452	(5,900,000.00)	(5,910,810.28)	0.00	10,810.28	5,900,000.00
				11/27/2013	11/27/2013	INT		0.00	0.00	0.00	(17,718.75)	17,718.75
682873	FREDDIE MAC	/BARCLAYS CAPITAL	AGNF1	11/27/2013	11/27/2013	MAT	0.3750 / 0.0306	(9,450,000.00)	(9,467,314.76)	0.00	17,314.76	9,450,000.00
				11/27/2013	11/27/2013	INT		0.00	0.00	0.00	(46,875.00)	46,875.00
682874	FREDDIE MAC	/BARCLAYS CAPITAL	AGNF1	11/27/2013	11/27/2013	MAT	0.3750 / 0.0306	(25,000,000.00)	(25,046,258.33)	0.00	46,258.33	25,000,000.00
				11/27/2013	11/27/2013	INT		0.00	0.00	0.00	(46,875.00)	46,875.00
683318	FHLB DISC CORP	/DAIWA CAPITAL MARKETS	AGDN	11/27/2013	11/27/2013	MAT	0.0450 / 0.0450	(25,000,000.00)	(25,046,258.33)	0.00	46,258.33	25,000,000.00
683920	FREDDIE MAC	/BNP PARIBAS	AGNF1	11/27/2013	11/27/2013	MAT	0.3750 / 0.0509	(20,000,000.00)	(19,999,300.00)	0.00	(700.00)	20,000,000.00
				11/27/2013	11/27/2013	INT		0.00	0.00	0.00	(69,375.00)	69,375.00
686159	FANNIE MAE	/NOMURA SECURITIES	CALLGOVAGY	11/27/2013	11/27/2013	MAT	0.6500 / 0.6437	(37,000,000.00)	(37,068,012.17)	0.00	68,012.17	37,000,000.00
				3/28/2016	11/28/2013	EPAY PRI		(41,120,000.00)	(41,185,522.44)	0.00	0.00	41,185,522.44
				3/28/2016	11/28/2013	INT		0.00	0.00	0.00	(66,820.00)	66,820.00
Sub Total:								<u>81,402,000.00</u>	<u>82,416,271.54</u>	<u>201,169.19</u>	<u>(555,577.30)</u>	<u>(82,061,863.39)</u>

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Fund: 283-John Wayne Airport												
683323	FED FARM CR BK	/BNP PARIBAS	AGNF1				4.6250 / 0.0787					
				11/1/2013	11/1/2013	INT		0.00	0.00	0.00	(4,625.00)	4,625.00
				11/1/2013	11/1/2013	MAT		(200,000.00)	(204,624.11)	0.00	4,624.11	200,000.00
683922	FED FARM CR BK	/FTN FINANCIAL	AGNF1				5.2500 / 0.2019					
				6/5/2014	11/1/2013	PURC		325,000.00	341,661.02	0.00	0.00	(341,661.02)
668674	FED HM LN BK BD	/CASTLE OAK SECURITIES	AGN FLOAT1				0.0760 / 0.1263					
				2/3/2014	11/3/2013	INT		0.00	0.00	0.00	(194.22)	194.22
652744	FREDDIE MAC	/MORGAN STANLEY	AGN FLOAT1				0.1682 / 0.1277					
				11/4/2013	11/4/2013	INT		0.00	0.00	0.00	(37.65)	37.65
				11/4/2013	11/4/2013	MAT		(300,000.00)	(300,064.21)	0.00	64.21	300,000.00
684145	FREDDIE MAC	/MORGAN STANLEY	AGNF1				4.8750 / 0.0626					
				11/15/2013	11/4/2013	PURC		372,000.00	381,060.22	0.00	0.00	(381,060.22)
684146	FREDDIE MAC	/MORGAN STANLEY	AGNF1				0.3750 / 0.0618					
				11/27/2013	11/4/2013	PURC		750,000.00	751,376.56	0.00	0.00	(751,376.56)
620943	FED FARM CR BK	/JP MORGAN CHASE & CO	AGNF1				4.8000 / 0.2434					
				11/5/2013	11/5/2013	INT		0.00	0.00	0.00	(9,600.00)	9,600.00
				11/5/2013	11/5/2013	MAT		(400,000.00)	(427,778.67)	0.00	27,778.67	400,000.00
678907	FHLB DISC CORP	/JEFFERIES & COMPANY	AGDN				0.1000 / 0.1000					
				2/21/2014	11/6/2013	SALE		(1,500,000.00)	(1,499,416.67)	0.00	1,199,474.63	299,942.04
684633	FANNIE MAE	/MORGAN STANLEY	AGNF1				0.7500 / 0.0726					
				12/18/2013	11/6/2013	PURC		522,000.00	523,913.13	0.00	0.00	(523,913.13)
684634	WAL-MART STORES INC	/CASTLE OAK SECURITIES	MTN				3.2000 / 0.2012					
				5/15/2014	11/6/2013	PURC		150,000.00	152,359.05	2,280.00	0.00	(154,639.05)
684637	BERKSHIRE HATHAWAY INC	/RBC	MTN A360				0.5736 / 0.1645					
				1/10/2014	11/6/2013	PURC		1,000,000.00	1,001,168.43	0.00	0.00	(1,001,168.43)
684881	FED HM LN BK BD	/BNP PARIBAS	AGNF1				0.3000 / 0.0503					
				11/22/2013	11/7/2013	PURC		1,000,000.00	1,001,479.00	0.00	0.00	(1,001,479.00)
666967	FED HM LN BK BD	/MORGAN STANLEY	AGNF1				0.1250 / 0.1002					

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Fund: 283-John Wayne Airport												
683326	FED HM LN BK BD	/BNP PARIBAS	AGNF1	11/8/2013	11/8/2013	INT		0.00	0.00	0.00	(437.50)	437.50
				11/8/2013	11/8/2013	MAT		(700,000.00)	(700,238.61)	0.00	238.61	700,000.00
							0.2900 / 0.0737					
685142	FREDDIE DISCOUNT	/NOMURA SECURITIES	AGDN	11/8/2013	11/8/2013	INT		0.00	0.00	0.00	(14.50)	14.50
				11/8/2013	11/8/2013	MAT		(10,000.00)	(10,014.32)	0.00	14.32	10,000.00
							0.0700 / 0.0700					
685143	FREDDIE DISCOUNT	/FTN FINANCIAL	AGDN	12/6/2013	11/8/2013	PURC		200,000.00	199,989.11	0.00	0.00	(199,989.11)
							0.0700 / 0.0700					
685156	FHLB DISC CORP	/FTN FINANCIAL	AGDN	11/15/2013	11/8/2013	PURC		200,000.00	199,997.28	0.00	0.00	(199,997.28)
							0.0700 / 0.0700					
685158	FED HM LN BK BD	/BARCLAYS CAPITAL	AGNF1	11/22/2013	11/8/2013	PURC		200,000.00	199,994.56	0.00	0.00	(199,994.56)
							0.3200 / 0.0701					
683327	FED HM LN BK BD	/BNP PARIBAS	AGNF1	12/11/2013	11/8/2013	PURC		200,000.00	200,307.13	0.00	0.00	(200,307.13)
							0.2900 / 0.0714					
685904	FED HM LN BK BD	/GOLDMAN SACHS GROUP INC	AGNF1	11/14/2013	11/14/2013	INT		0.00	0.00	0.00	(1,160.00)	1,160.00
				11/14/2013	11/14/2013	MAT		(800,000.00)	(801,136.18)	0.00	1,136.18	800,000.00
							0.3200 / 0.1049					
685907	FNMA DISCOUNT	/GOLDMAN SACHS GROUP INC	AGDN	12/18/2013	11/14/2013	PURC		1,000,000.00	1,001,500.78	0.00	0.00	(1,001,500.78)
							0.1000 / 0.1000					
652739	JOHNSON & JOHNSON	/BNP PARIBAS	MTN	12/18/2013	11/14/2013	PURC		300,000.00	299,971.67	0.00	0.00	(299,971.67)
							1.2000 / 0.2431					
658559	FREDDIE MAC	/CRED SUIS SECURITIES	AGNF1	5/15/2014	11/15/2013	INT		0.00	0.00	0.00	(12,000.00)	12,000.00
							4.8750 / 0.1501					
661568	FREDDIE MAC	/CRED SUIS SECURITIES	AGNF1	11/15/2013	11/15/2013	INT		0.00	0.00	0.00	(3,900.00)	3,900.00
				11/15/2013	11/15/2013	MAT		(160,000.00)	(163,792.07)	0.00	3,792.07	160,000.00
							4.8750 / 0.1500					
				11/15/2013	11/15/2013	INT		0.00	0.00	0.00	(34,125.00)	34,125.00
				11/15/2013	11/15/2013	MAT		(1,400,000.00)	(1,433,276.72)	0.00	33,276.72	1,400,000.00

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Fund: 283-John Wayne Airport												
664660	WAL-MART STORES INC	/MORGAN STANLEY	MTN				3.2000 / 0.2630					
				5/15/2014	11/15/2013	INT		0.00	0.00	0.00	(5,216.00)	5,216.00
676831	FREDDIE MAC	/BNP PARIBAS	AGNF1				4.8750 / 0.0515					
				11/15/2013	11/15/2013	INT		0.00	0.00	0.00	(6,654.38)	6,654.38
				11/15/2013	11/15/2013	MAT		(273,000.00)	(279,633.17)	0.00	6,633.17	273,000.00
676832	FREDDIE MAC	/DEUTSCHE	AGNF1				4.8750 / 0.0734					
				11/15/2013	11/15/2013	INT		0.00	0.00	0.00	(62,107.50)	62,107.50
				11/15/2013	11/15/2013	MAT		(2,548,000.00)	(2,609,825.52)	0.00	61,825.52	2,548,000.00
680476	FREDDIE MAC	/DEUTSCHE	AGNF1				4.8750 / 0.1502					
				11/15/2013	11/15/2013	INT		0.00	0.00	0.00	(49,042.50)	49,042.50
				11/15/2013	11/15/2013	MAT		(2,012,000.00)	(2,060,775.91)	0.00	48,775.91	2,012,000.00
682579	WAL-MART STORES INC	/CASTLE OAK SECURITIES	MTN				3.2000 / 0.1731					
				5/15/2014	11/15/2013	INT		0.00	0.00	0.00	(16,000.00)	16,000.00
683325	FREDDIE MAC	/BNP PARIBAS	AGNF1				4.8750 / 0.0708					
				11/15/2013	11/15/2013	INT		0.00	0.00	0.00	(195.00)	195.00
				11/15/2013	11/15/2013	MAT		(8,000.00)	(8,194.74)	0.00	194.74	8,000.00
684145	FREDDIE MAC	/MORGAN STANLEY	AGNF1				4.8750 / 0.0626					
				11/15/2013	11/15/2013	INT		0.00	0.00	0.00	(9,067.50)	9,067.50
				11/15/2013	11/15/2013	MAT		(372,000.00)	(381,060.22)	0.00	9,060.22	372,000.00
684634	WAL-MART STORES INC	/CASTLE OAK SECURITIES	MTN				3.2000 / 0.2012					
				5/15/2014	11/15/2013	INT		0.00	0.00	0.00	(2,400.00)	2,400.00
685143	FREDDIE DISCOUNT	/FTN FINANCIAL	AGDN				0.0700 / 0.0700					
				11/15/2013	11/15/2013	MAT		(200,000.00)	(199,997.28)	0.00	(2.72)	200,000.00
686106	FREDDIE MAC	/BNP PARIBAS	AGNF1				4.5000 / 0.0901					
				1/15/2014	11/15/2013	PURC		900,000.00	920,109.60	0.00	0.00	(920,109.60)
686107	FED HM LN BK BD	/BNP PARIBAS	AGNF1				0.3750 / 0.0900					
				1/29/2014	11/15/2013	PURC		360,000.00	360,607.38	0.00	0.00	(360,607.38)
686109	FREDDIE MAC	/BNP PARIBAS	AGNF1				2.5000 / 0.0907					
				1/7/2014	11/15/2013	PURC		50,000.00	50,618.24	0.00	0.00	(50,618.24)
686113	FREDDIE MAC	/BARCLAYS CAPITAL	AGNF1				5.0000 / 0.0798					
				1/30/2014	11/15/2013	PURC		2,000,000.00	2,049,654.67	0.00	0.00	(2,049,654.67)

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Deal	Issuer	Broker	Inst Type	Maturity Date	Trans Date	Trans Type	Coupon/ Yield(%)	Par Value	Cost	Purchased Interest	(Interest) Amort / (Accret) (Gain) / Loss	Settlement
Fund: 283-John Wayne Airport												
664899	FED HM LN BK BD	/GOLDMAN SACHS GROUP INC	AGN FLOATI				0.1119 / 0.1219					
				1/16/2014	11/16/2013	INT		0.00	0.00	0.00	(80.94)	80.94
630590	FED FARM CR BK	/CASTLE OAK SECURITIES	AGN FLOATI				0.1990 / 0.1839					
				11/18/2013	11/18/2013	INT		0.00	0.00	0.00	(142.52)	142.52
				11/18/2013	11/18/2013	MAT		(1,000,000.00)	(1,000,190.28)	0.00	190.28	1,000,000.00
682805	FED FARM CR BK	/DAIWA CAPITAL MARKETS	AGN FLOATI				0.1655 / 0.1363					
				11/18/2013	11/18/2013	INT		0.00	0.00	0.00	(14.25)	14.25
				11/18/2013	11/18/2013	MAT		(100,000.00)	(100,006.30)	0.00	6.30	100,000.00
686160	FANNIE MAE	/NOMURA SECURITIES	CALLGOVAGY				0.6500 / 0.6437					
				3/28/2016	11/18/2013	PURC		1,000,000.00	1,001,593.44	0.00	0.00	(1,001,593.44)
638822	ROYAL BANK OF CANADA NY	/RBC	YCDF				0.3800 / 0.3800					
				2/19/2014	11/19/2013	INT		0.00	0.00	0.00	(774.44)	774.44
683328	FANNIE MAE	/BNP PARIBAS	AGNF1				0.8000 / 0.0703					
				11/19/2013	11/19/2013	INT		0.00	0.00	0.00	(400.00)	400.00
				11/19/2013	11/19/2013	MAT		(100,000.00)	(100,396.08)	0.00	396.08	100,000.00
683342	FREDDIE MAC	/BNP PARIBAS	AGNF1				4.5800 / 0.0708					
				11/19/2013	11/19/2013	INT		0.00	0.00	0.00	(15,869.70)	15,869.70
				11/19/2013	11/19/2013	MAT		(693,000.00)	(708,841.83)	0.00	15,841.83	693,000.00
686664	FREDDIE DISCOUNT	/JEFFERIES & COMPANY	AGDN				0.0800 / 0.0800					
				12/2/2013	11/19/2013	PURC		300,000.00	299,991.33	0.00	0.00	(299,991.33)
686672	FED HM LN BK BD	/FTN FINANCIAL	AGNF1				0.1250 / 0.1106					
				2/7/2014	11/19/2013	PURC		200,000.00	200,075.83	0.00	0.00	(200,075.83)
686673	FNMA DISCOUNT	/DEUTSCHE	AGDN				0.1200 / 0.1200					
				1/2/2014	11/19/2013	PURC		500,000.00	499,926.67	0.00	0.00	(499,926.67)
686675	FED HM LN BK BD	/JP MORGAN CHASE & CO	CALLGOVAGY				0.8000 / 0.7964					
				6/24/2016	11/19/2013	PURC		2,000,000.00	2,006,499.11	0.00	0.00	(2,006,499.11)
686947	FREDDIE MAC	/BNP PARIBAS	AGNF1				0.6250 / 0.0760					
				12/23/2013	11/20/2013	PURC		1,000,000.00	1,003,055.08	0.00	0.00	(1,003,055.08)

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Fund: 283-John Wayne Airport												
686948	FED HM LN BK BD	/MORGAN STANLEY	AGNF1				4.8750 / 0.0900					
				11/27/2013	11/20/2013	PURC		200,000.00	204,871.42	0.00	0.00	(204,871.42)
684881	FED HM LN BK BD	/BNP PARIBAS	AGNF1				0.3000 / 0.0503					
				11/22/2013	11/22/2013	INT		0.00	0.00	0.00	(1,500.00)	1,500.00
				11/22/2013	11/22/2013	MAT		(1,000,000.00)	(1,001,479.00)	0.00	1,479.00	1,000,000.00
685156	FHLB DISC CORP	/FTN FINANCIAL	AGDN				0.0700 / 0.0700					
				11/22/2013	11/22/2013	MAT		(200,000.00)	(199,994.56)	0.00	(5.44)	200,000.00
687381	FREDDIE MAC	/FTN FINANCIAL	AGNF1				1.3500 / 0.1301					
				4/29/2014	11/22/2013	PURC		500,000.00	503,087.75	0.00	0.00	(503,087.75)
687383	FANNIE MAE	/NOMURA SECURITIES	AGNF1				1.2500 / 0.1257					
				2/27/2014	11/22/2013	PURC		500,000.00	502,954.69	0.00	0.00	(502,954.69)
686675	FED HM LN BK BD	/JP MORGAN CHASE & CO	CALLGOVAGY				0.8000 / 0.7964					
				6/24/2016	11/24/2013	EPAY PRI		(2,000,000.00)	(2,006,499.11)	0.00	0.00	2,006,499.11
				6/24/2016	11/24/2013	INT		0.00	0.00	0.00	(6,533.33)	6,533.33
660957	ROYAL BANK OF CANADA NY	/RBC	YCDF				0.3030 / 0.3030					
				6/24/2014	11/25/2013	INT		0.00	0.00	0.00	(248.89)	248.89
678143	FED FARM CR BK	/MORGAN STANLEY	AGNF1				5.0500 / 0.0409					
				11/25/2013	11/25/2013	INT		0.00	0.00	0.00	(50,500.00)	50,500.00
				11/25/2013	11/25/2013	MAT		(2,000,000.00)	(2,050,369.44)	0.00	50,369.44	2,000,000.00
678907	FHLB DISC CORP	/JEFFERIES & COMPANY	AGDN				0.1000 / 0.1000					
				2/21/2014	11/25/2013	SALE		(1,500,000.00)	(1,499,416.67)	0.00	799,433.78	699,982.89
683322	FED FARM CR BK	/BNP PARIBAS	AGNF1				5.0500 / 0.0702					
				11/25/2013	11/25/2013	INT		0.00	0.00	0.00	(378.75)	378.75
				11/25/2013	11/25/2013	MAT		(15,000.00)	(15,377.98)	0.00	377.98	15,000.00
687721	FREDDIE DISCOUNT	/JP MORGAN CHASE & CO	AGDN				0.0600 / 0.0600					
				12/5/2013	11/25/2013	PURC		306,000.00	305,994.90	0.00	0.00	(305,994.90)
687722	FREDDIE MAC	/FTN FINANCIAL	AGNF1				2.5000 / 0.1403					
				4/23/2014	11/25/2013	PURC		500,000.00	505,956.11	0.00	0.00	(505,956.11)
687733	FED HM LN BK BD	/FTN FINANCIAL	AGNF1				2.3750 / 0.1211					
				3/14/2014	11/25/2013	PURC		1,000,000.00	1,011,504.03	0.00	0.00	(1,011,504.03)
687381	FREDDIE MAC	/FTN FINANCIAL	AGNF1				1.3500 / 0.1301					

Deals [Transaction Type] = SE

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Deal	Issuer	Broker	Inst Type	Maturity Date	Trans Date	Trans Type	Coupon/ Yield(%)	Par Value	Cost	Purchased Interest	(Interest) Amort / (Accret) (Gain) / Loss	Settlement
Fund: 283-John Wayne Airport												
687996	FANNIE MAE	/BARCLAYS CAPITAL	AGNF1	4/29/2014	11/26/2013	SALE	0.7500 / 0.1000	(500,000.00)	(503,087.75)	0.00	(41.89)	503,129.64
687999	WAL-MART STORES INC	/MORGAN STANLEY	MTN	12/18/2013	11/26/2013	PURC	3.2000 / 0.1799	900,000.00	903,319.80	0.00	0.00	(903,319.80)
688005	FANNIE MAE	/	CALLGOVAGY	5/15/2014	11/26/2013	PURC	0.6500 / 0.6486	500,000.00	507,080.00	488.89	0.00	(507,568.89)
671682	FED HM LN BK BD	/BNP PARIBAS	AGNF1	3/28/2016	11/26/2013	PURC	0.3750 / 0.1043	1,000,000.00	1,001,622.22	0.00	0.00	(1,001,622.22)
683324	FED HM LN BK BD	/BNP PARIBAS	AGNF1	11/27/2013	11/27/2013	INT		0.00	0.00	0.00	(1,828.13)	1,828.13
				11/27/2013	11/27/2013	MAT		(975,000.00)	(976,553.58)	0.00	1,553.58	975,000.00
				11/27/2013	11/27/2013	INT		0.00	0.00	0.00	(2,250.00)	2,250.00
				11/27/2013	11/27/2013	MAT		(450,000.00)	(452,225.25)	0.00	2,225.25	450,000.00
683329	FED HM LN BK BD	/BNP PARIBAS	AGNF1	11/29/2013	11/27/2013	SALE	1.2000 / 0.0712	(300,000.00)	(301,782.10)	0.00	(16.89)	301,798.99
684146	FREDDIE MAC	/MORGAN STANLEY	AGNF1	11/27/2013	11/27/2013	INT	0.3750 / 0.0618	0.00	0.00	0.00	(1,406.25)	1,406.25
686948	FED HM LN BK BD	/MORGAN STANLEY	AGNF1	11/27/2013	11/27/2013	MAT		(750,000.00)	(751,376.56)	0.00	1,376.56	750,000.00
				11/27/2013	11/27/2013	INT		0.00	0.00	0.00	(4,875.00)	4,875.00
				11/27/2013	11/27/2013	MAT		(200,000.00)	(204,871.42)	0.00	4,871.42	200,000.00
							0.0600 / 0.0600					
688260	FHLB DISC CORP	/CITIGROUP GLOBAL MARKETS	AGDN	12/6/2013	11/27/2013	PURC	0.0600 / 0.0600	200,000.00	199,997.00	0.00	0.00	(199,997.00)
688261	FHLB DISC CORP	/DEUTSCHE	AGDN	12/4/2013	11/27/2013	PURC	0.0600 / 0.0600	600,000.00	599,993.00	0.00	0.00	(599,993.00)
688262	FNMA DISCOUNT	/CITIGROUP GLOBAL MARKETS	AGDN	12/4/2013	11/27/2013	PURC	0.0700 / 0.0700	400,000.00	399,995.33	0.00	0.00	(399,995.33)
688263	FHLB DISC CORP	/BARCLAYS CAPITAL	AGDN	12/11/2013	11/27/2013	PURC		295,000.00	294,991.97	0.00	0.00	(294,991.97)

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Transaction Date From 01-Nov-2013 To 30-Nov-2013

Deal	Issuer	Broker	Inst Type	Maturity Date	Trans Date	Trans Type	Coupon/ Yield(%)	Par Value	Cost	Purchased Interest	(Interest) Amort / (Accret) (Gain) / Loss	Settlement
Fund: 283-John Wayne Airport												
688264	FED HM LN BK BD	/MORGAN STANLEY	AGNF1				3.1250 / 0.0640					
				12/13/2013	11/27/2013	PURC		375,000.00	380,848.54	0.00	0.00	(380,848.54)
688265	FED FARM CR BK	/BARCLAYS CAPITAL	AGNF1				1.3000 / 0.0796					
				12/23/2013	11/27/2013	PURC		600,000.00	603,865.27	0.00	0.00	(603,865.27)
Sub Total:								(261,000.00)	(379,304.99)	2,768.89	1,971,364.69	(1,594,828.59)
Fund: 15B-Housing 99A & 99B												
383458	GINNIE MAE	/	MBS				6.2500 / 1,139.4274					
				9/20/2029	11/20/2013	INT		0.00	0.00	0.00	(39.64)	39.64
				9/20/2029	11/20/2013	SALE		(134,943.41)	(135,060.55)	0.00	0.00	446.57
				9/20/2029	11/20/2013	INT		0.00	0.00	0.00	(565.41)	565.41
Sub Total:								(134,943.41)	(135,060.55)	0.00	(605.05)	1,051.62

Grand Total: 458,087,056.59 456,150,501.58 427,088.08 48,195,837.24 (504,908,040.87)

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MONEY MARKET MUTUAL FUNDS TRANSACTIONS REPORT

For 01-Nov-2013 to 30-Nov-2013

Cashflow Date	Transaction Description	D.O. No.	Instrument	Amount	Deposit Slip Code	Counterparty	Deal No
AIM#51102-692		INVESCO STIC GOVERNMENT & AGENCY					
11/7/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	55,040,783.00	4890	INVESCO STIC PRIME PORTFOLIO	684889
11/8/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	29,700,288.00	5151	INVESCO STIC PRIME PORTFOLIO	685150
11/12/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(85,000,000.00)	5332	INVESCO STIC PRIME PORTFOLIO	685331
11/13/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	20,000,000.00	5576	INVESCO STIC PRIME PORTFOLIO	685575
11/14/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(20,000,000.00)	5911	INVESCO STIC PRIME PORTFOLIO	685910
Sub Total Amount Per Account:				(258,929.00)			
AIM#51103-694		INVESCO STIC GOVERNMENT & AGENCY					
11/1/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(56,785,901.00)	3911	INVESCO STIC PRIME PORTFOLIO	683910
11/4/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(54,542,741.00)	4136	INVESCO STIC PRIME PORTFOLIO	684135
11/5/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(12,381,957.00)	4382	INVESCO STIC PRIME PORTFOLIO	684381
11/5/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	300,000.00	4390	INVESCO STIC PRIME PORTFOLIO	684389
Sub Total Amount Per Account:				(123,410,599.00)			
MORGAN 283		MORGAN STANLEY GOVT 8302-JWA MMF					
11/1/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(137,036.02)	3913	MORGAN STANLEY INST LIQUIDITY FUN	683912
11/4/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(832,204.91)	4138	MORGAN STANLEY INST LIQUIDITY FUN	684137
11/5/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	409,600.00	4384	MORGAN STANLEY INST LIQUIDITY FUN	684383
11/6/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(1,379,778.51)	4622	MORGAN STANLEY INST LIQUIDITY FUN	684621
11/7/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(1,001,479.00)	4884	MORGAN STANLEY INST LIQUIDITY FUN	684883
11/8/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(89,836.08)	5153	MORGAN STANLEY INST LIQUIDITY FUN	685152
11/14/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(500,312.45)	5913	MORGAN STANLEY INST LIQUIDITY FUN	685912
11/15/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	3,792,717.99	5956	MORGAN STANLEY INST LIQUIDITY FUN	685955
11/18/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	98,644.27	6154	MORGAN STANLEY INST LIQUIDITY FUN	686153
11/19/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(2,196,448.80)	6666	MORGAN STANLEY INST LIQUIDITY FUN	686665
11/20/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(1,207,926.50)	6941	MORGAN STANLEY INST LIQUIDITY FUN	686940
11/22/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	195,457.56	7376	MORGAN STANLEY INST LIQUIDITY FUN	687375
11/25/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	2,949,188.82	7714	MORGAN STANLEY INST LIQUIDITY FUN	687713
11/26/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	93,863.17	7780	MORGAN STANLEY INST LIQUIDITY FUN	687779
11/27/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	207,467.26	8252	MORGAN STANLEY INST LIQUIDITY FUN	688251
Sub Total Amount Per Account:				401,916.80			
MORGAN 692		MORGAN STANLEY GOVT 8302-COUNTY MMF					
11/1/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	15,596,820.00	3915	MORGAN STANLEY INST LIQUIDITY FUN	683914
11/4/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(42,376,388.00)	4140	MORGAN STANLEY INST LIQUIDITY FUN	684139
11/5/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(34,528,569.00)	4388	MORGAN STANLEY INST LIQUIDITY FUN	684387
11/6/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	37,659,806.00	4626	MORGAN STANLEY INST LIQUIDITY FUN	684625
11/7/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	65,000,000.00	4888	MORGAN STANLEY INST LIQUIDITY FUN	684887

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For 01-Nov-2013 to 30-Nov-2013

Cashflow Date	Transaction Description	D.O. No.	Instrument	Amount	Deposit Slip Code	Counterparty	Deal No
11/12/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(57,515,314.00)	5336	MORGAN STANLEY INST LIQUIDITY FUN	685335
11/13/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	50,986,163.00	5580	MORGAN STANLEY INST LIQUIDITY FUN	685579
11/14/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(68,725,085.00)	5917	MORGAN STANLEY INST LIQUIDITY FUN	685916
11/15/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(45,663,330.00)	5960	MORGAN STANLEY INST LIQUIDITY FUN	685959
11/18/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	3,926,523.00	6158	MORGAN STANLEY INST LIQUIDITY FUN	686157
11/19/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	22,971,209.00	6670	MORGAN STANLEY INST LIQUIDITY FUN	686669
11/20/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(12,187,897.00)	6945	MORGAN STANLEY INST LIQUIDITY FUN	686944
11/21/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	24,905,221.00	7011	MORGAN STANLEY INST LIQUIDITY FUN	687010
11/22/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(13,494,538.00)	7380	MORGAN STANLEY INST LIQUIDITY FUN	687379
11/25/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(6,482,579.00)	7718	MORGAN STANLEY INST LIQUIDITY FUN	687717
11/26/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(37,987,518.00)	7784	MORGAN STANLEY INST LIQUIDITY FUN	687783
11/27/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	67,440,308.00	8256	MORGAN STANLEY INST LIQUIDITY FUN	688255
11/29/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(10,000,000.00)	8301	MORGAN STANLEY INST LIQUIDITY FUN	688300
Sub Total Amount Per Account:				(40,475,168.00)			
MORGAN 694		MORGAN STANLEY GOVT 8302-EDUCATIONAL MMF					
11/5/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(36,000,000.00)	4386	MORGAN STANLEY INST LIQUIDITY FUN	684385
11/5/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(600,000.00)	4392	MORGAN STANLEY INST LIQUIDITY FUN	684391
11/6/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	28,792,586.00	4624	MORGAN STANLEY INST LIQUIDITY FUN	684623
11/7/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(43,605,187.00)	4886	MORGAN STANLEY INST LIQUIDITY FUN	684885
11/8/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(13,256,511.00)	5155	MORGAN STANLEY INST LIQUIDITY FUN	685154
11/12/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	65,856,879.00	5334	MORGAN STANLEY INST LIQUIDITY FUN	685333
11/13/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(1,184,970.00)	5578	MORGAN STANLEY INST LIQUIDITY FUN	685577
11/14/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(31,928,948.00)	5915	MORGAN STANLEY INST LIQUIDITY FUN	685914
11/15/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(36,162,366.00)	5958	MORGAN STANLEY INST LIQUIDITY FUN	685957
11/18/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(4,698,056.00)	6156	MORGAN STANLEY INST LIQUIDITY FUN	686155
11/19/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(40,922,893.00)	6668	MORGAN STANLEY INST LIQUIDITY FUN	686667
11/20/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	13,051,107.00	6943	MORGAN STANLEY INST LIQUIDITY FUN	686942
11/21/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(15,113,038.00)	7009	MORGAN STANLEY INST LIQUIDITY FUN	687008
11/22/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	631,410.00	7378	MORGAN STANLEY INST LIQUIDITY FUN	687377
11/25/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	78,646,954.00	7716	MORGAN STANLEY INST LIQUIDITY FUN	687715
11/26/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(19,234,961.00)	7782	MORGAN STANLEY INST LIQUIDITY FUN	687781
11/27/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	33,163,467.00	8254	MORGAN STANLEY INST LIQUIDITY FUN	688253
Sub Total Amount Per Account:				(22,564,527.00)			
Total Amount :				(186,307,306.20)			



O R A N G E C O U N T Y
AUDITOR-CONTROLLER
I N T E R N A L A U D I T

**CONTINUOUS COMPLIANCE
AUDITING OF THE
TREASURY INVESTMENT
PORTFOLIO
FOR THE QUARTER
ENDED JUNE 2013**



**Job Number 1120
Report Date: November 5, 2013**



O R A N G E C O U N T Y
AUDITOR-CONTROLLER
I N T E R N A L A U D I T

Jan E. Grimes
Orange County Auditor-Controller



Nancy N. Ishida, CPA	Audit Manager
Richard Son	Senior Auditor
Lydia Fung	Intern

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Santa Ana, CA 92701

Orange County Web Site
<http://ocgov.com/gov/>



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ORANGE COUNTY AUDITOR-CONTROLLER

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PHILLIP T. DAIGNEAU
DIRECTOR
INFORMATION TECHNOLOGY

FRANK DAVIES, CPA
DIRECTOR
PROPERTY TAX

VICTORIA ROSS, CPA
DIRECTOR
CENTRAL ACCOUNTING OPERATIONS

November 5, 2013

Job No. 1120

TO: Members, Treasury Oversight Committee

SUBJECT: Continuous Compliance Auditing of the Treasury Investment Portfolio
For the Quarter Ended June 2013

We have completed the continuous compliance auditing of the Treasury investment portfolio for the quarter ended June 2013. The purpose of our engagement was to determine whether the Orange County Money Market Fund, Educational Money Market Fund, John Wayne Airport Investment Pool, and Extended Fund managed by the Orange County Treasurer's Office were in compliance with certain provisions specified in the Investment Policy Statement (IPS) and that portfolio non-compliance including technical incidents were properly reported in the Treasurer's Monthly Investment Reports for April, May, and June 2013.

We are continuing our portfolio continuous compliance auditing at the request of the Treasury Oversight Committee. Our continuous auditing is designed to provide assurance to the Board of Supervisors, the County Executive Officer, Treasury Oversight Committee, and other stakeholders that the Treasury investment portfolio is in compliance with specific provisions of the IPS and that non-compliance deficiencies or technical incidents and required disclosures are properly reported.

Our compliance procedures included obtaining a daily electronic download of the investment portfolio from the Treasurer's Quantum system, judgmentally selecting 10 business days for testing for each month, importing the selected downloads into computer-assisted audit technique software ACL for purposes of performing calculations, and validating that investment purchases were in compliance with the IPS by reviewing and testing supporting documentation. In conducting our procedures, we examined the following days in April: 1, 3, 5, 10, 12, 16, 19, 24, 26, and 30; May: 1, 3, 6, 9, 15, 17, 21, 24, 28, and 31; and June: 3, 6, 11, 14, 17, 19, 21, 25, 27, and 28.

Based on our continuous compliance auditing for the selected dates, **no compliance deficiencies were identified**. When applicable, our report also includes information regarding Treasury policy pertaining to the reporting of technical incidents in the monthly investment report. The Treasurer defines a technical incident as an event, other than the purchase of a security, which



causes an IPS limit to be exceeded. The most common cause of a technical incident is when the total investment pool holdings decrease causing the relative percentage of holdings to increase. The following lists the procedures performed and results achieved from the compliance continuous auditing procedures:

1. **Authorized Investments (IPS Section VI, VII, and VIII):** We judgmentally selected a sample of 30 investments representing each pool. The selected sample consists of investments purchased on the 30 business days selected for review from April through June 2013. For the sample selected, we independently confirmed that the investments conform to authorized investment requirements in accordance with the IPS. We also confirmed that the Treasurer used issuers and brokers who were on the Treasurer's authorized lists.

Results: We found no exceptions.

2. **Diversification Compliance (IPS Section VIII.1):** We performed calculations to determine that the Money Market and Extended Fund portfolios met the diversification limits for investment types in accordance with the IPS.

Results: We found no exceptions.

3. **Weighted Average Maturity (WAM) Limitations (IPS Section VIII.3):** We performed calculations to determine that WAM did not exceed 60 days for the Money Market Funds in accordance with the IPS.

Results: We found no exceptions.

4. **Maximum Maturity Limitations (IPS Section VIII.3):** We confirmed that the maximum maturity of any portfolio instrument purchased did not exceed 13 months (397 days) for the Money Market Fund and 5 years (1,826 days) for the Extended Fund in accordance with the IPS.

Results: We found no exceptions.

5. **Investment Type Maximum Maturity Limitations (IPS Section VIII.1):** We confirmed that the maximum maturity of commercial paper, banker's acceptances, or repurchase agreements purchased did not exceed 270 days for commercial paper, 180 days for banker's acceptances, or 1 year (365 days) for repurchase agreements in accordance with the IPS.

Results: We found no exceptions.



6. **Issuer Limitations (IPS Section VIII.2):** We performed calculations to determine that the Money Market and Extended Fund portfolios did not exceed the investment limits for issuers in accordance with the IPS.

Results: We found no exceptions.

7. **Financial Reporting (IPS Section XXI):** We confirmed that the April 30, 2013, May 31, 2013, and June 30, 2013 Treasurer's Monthly Investment Reports included all statements of portfolio non-compliance including technical incidents in accordance with the IPS.

Results:

Review of "Investment Policy Compliance Summary"

The Treasurer's Monthly Investment Report includes an "Investment Policy Compliance Summary" to disclose non-compliance issues that occurred during the month. The Treasurer's "Investment Policy Compliance Summary" for April, May, and June reported no compliance issues.

Technical Incidents

Although the Treasurer's IPS does not require technical incidents that occurred during the month to be reported in the Treasurer's Monthly Investment Report, it is Treasury policy to report the details of technical incidents outstanding at month end in the "Investment Policy Compliance Summary." In our sample selection, we did not observe any technical incidents.

Please let me know if you have any questions regarding our continuous auditing procedures.

Nancy N. Ishida, CPA
Audit Manager

cc: Members, Board of Supervisors
Members, Audit Oversight Committee
Shari L. Freidenrich, Treasurer-Tax Collector
Jan E. Grimes, Auditor-Controller
Michael B. Giancola, County Executive Officer
Denise Steckler, Chief Deputy Auditor-Controller
Paul C. Gorman, Chief Assistant Treasurer-Tax Collector
Ginika Ezinwa, Accounting/Compliance Manager, Treasurer-Tax Collector
Clerk of the Board of Supervisors



*Continuous Compliance Auditing of the Treasury Investment Portfolio For the Quarter
Ended June 2013*

Foreperson, Grand Jury
Peter Hughes, Director, Internal Audit Department



ORANGE COUNTY
AUDITOR-CONTROLLER
INTERNAL AUDIT

**REPORT ON REVIEW
OF THE
STATEMENT OF ASSETS
HELD BY THE
COUNTY TREASURY**

**AS OF
MARCH 31, 2013**



**Job Number 1117
Report Date: November 13, 2013**



O R A N G E C O U N T Y
AUDITOR-CONTROLLER
I N T E R N A L A U D I T

Jan E. Grimes
Orange County Auditor-Controller



Nancy N. Ishida, CPA	Audit Manager
Richard Son	Senior Auditor
Jessie Zhu	Staff Auditor

Hall of Finance and Records
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Santa Ana, CA 92701

Orange County Web Site
<http://ocgov.com/gov/>

**Report on Review of the Statement of Assets
Held by the County Treasury**

As of March 31, 2013

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JAN E. GRIMES, CPA
AUDITOR-CONTROLLER

**ORANGE COUNTY
AUDITOR-CONTROLLER**

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DIRECTOR
INFORMATION TECHNOLOGY

FRANK DAVIES, CPA
DIRECTOR
PROPERTY TAX

VICTORIA ROSS, CPA
DIRECTOR
CENTRAL ACCOUNTING OPERATIONS

Transmittal Letter

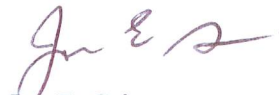
Job No. 1117

November 13, 2013

TO: Members, Board of Supervisors
Chair Shawn Nelson, 4th District Supervisor
Vice Chair Patricia C. Bates, 5th District Supervisor
Supervisor Janet Nguyen, 1st District
Supervisor John M. W. Moorlach, 2nd District
Supervisor Todd Spitzer, 3rd District

SUBJECT: Report on Review of the Statement of Assets Held by the
County Treasury as of March 31, 2013

We have completed our Report on Review of the Statement of Assets held by the County Treasury as of March 31, 2013. Enclosed is a copy of the report.


Jan E. Grimes
Auditor-Controller

Enclosure

Other recipients of this report:

Members, Audit Oversight Committee
Shari L. Freidenrich, Treasurer-Tax Collector
Michael B. Giancola, County Executive Officer
Frank Kim, Chief Financial Officer
Denise Steckler, Chief Deputy Auditor-Controller
Paul C. Gorman, Chief Assistant Treasurer-Tax Collector
Members, Treasury Oversight Committee
Victoria Ross, Director, Central Accounting Operations Auditor-Controller
Susan Novak, Clerk of the Board of Supervisors
Foreperson, Grand Jury
Peter Hughes, Director, Internal Audit Department



JAN E. GRIMES, CPA
AUDITOR-CONTROLLER

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DIRECTOR
PROPERTY TAX

VICTORIA ROSS, CPA
DIRECTOR
CENTRAL ACCOUNTING OPERATIONS

INDEPENDENT AUDITOR'S REPORT

Job No. 1117

November 13, 2013

Members, Board of Supervisors
Hall of Administration Building
333 W. Santa Ana Blvd., 5th Floor
Santa Ana, CA 92701

Pursuant to Government Code §26920(a)(1) and (3), and §26922, we have reviewed the accompanying Statement of Assets Held by the County Treasury as of March 31, 2013 (financial statement). All information included in this financial statement is the representation of the management of the Treasurer's Office.

Our review was conducted in accordance with *Government Auditing Standards* issued by the Comptroller General of the United States. A review is substantially less in scope than an examination, the objective of which is an expression of an opinion on the subject matter, and accordingly, no such opinion is expressed. A review consists principally of inquiries of department personnel and analytical procedures applied to financial data. The Treasurer prepared a statement showing the amount and type of assets held in the County Treasury as of March 31, 2013. Our review included counting cash in the County Treasury and confirming cash and investments held on behalf of the Treasurer's Office.

Based on our review, with the exception of the matter described in the following paragraph, we are not aware of any material modifications that should be made to the accompanying financial statement prepared on the cash basis, as modified, which is a comprehensive basis of accounting other than United States generally accepted accounting principles. Accordingly, it is the Treasurer's policy to not recognize trades until the settlement date.

This Statement of Assets was prepared by the Treasurer for the purpose of meeting the requirements of Government Code §26920(a), which does not require the preparation of financial statement notes for this review. Management has elected to omit all disclosures ordinarily included in a financial statement prepared on the cash basis as modified. If the omitted disclosures were included in the financial statement they might influence the user's conclusions about the Treasury's assets. Accordingly, this financial statement is not designed for those who are not informed about such matters.



The Treasurer's Office has its own separate accounting staff and accounting information systems that maintain the Treasury financial records. Treasury staff is responsible for the recordation and reconciliation of the Treasury's cash and investments and also reconciles the Treasury financial systems.

This report is intended for the information of the Board of Supervisors and the management of the County of Orange Auditor-Controller. However, this report is a matter of public record and its distribution is not limited.

A handwritten signature in blue ink, reading "Nancy N. Ishida", is positioned above the printed name.

Nancy N. Ishida, CPA
Audit Manager

Attachment

Other recipients of this report:

Members, Audit Oversight Committee
Shari L. Freidenrich, Treasurer-Tax Collector
Michael B. Giancola, County Executive Officer
Frank Kim, Chief Financial Officer
Jan E. Grimes, Auditor-Controller
Denise Steckler, Chief Deputy Auditor-Controller
Paul C. Gorman, Chief Assistant Treasurer-Tax Collector
Members, Treasury Oversight Committee
Victoria Ross, Director, Central Accounting Operations Auditor-Controller
Susan Novak, Clerk of the Board of Supervisors
Foreperson, Grand Jury
Peter Hughes, Director, Internal Audit Department



Review of the Statement of Assets Held by the County Treasury as of March 31, 2013
Job No. 1117



OFFICE OF THE
TREASURER-TAX COLLECTOR

HALL OF FINANCE AND RECORDS
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Treasurer@ttc.ocgov.com

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CHIEF ASSISTANT
TREASURER-TAX COLLECTOR
TREASURY

JENNIFER BURKHART, CFA
ASSISTANT TREASURER-TAX COLLECTOR
TAX COLLECTION

ROBIN RUSSELL
ASSISTANT TREASURER-TAX COLLECTOR
ADMINISTRATION

November 12, 2013

STATEMENT OF ASSETS HELD BY THE COUNTY TREASURY
AS OF MARCH 31, 2013

ASSETS

	County Pool	Educational Pool	Non-Pooled	Total
CASH				
Cash on Hand	\$ 105,146	\$ -	\$ -	\$ 105,146
Demand Accounts	49,775,702	51,853,551	3,507,793	105,137,046
Total Cash	\$ 49,880,848	\$ 51,853,551	\$ 3,507,793	\$ 105,242,192
INVESTMENTS, stated at fair value				
<i>Orange County Investment Pool:</i>				
Money Market Fund	1,753,914,484			1,753,914,484
Extended Fund	1,667,350,860			1,667,350,860
<i>Orange County Educational Investment Pool:</i>				
Money Market Fund		1,397,467,217		1,397,467,217
Extended Fund		1,348,208,110		1,348,208,110
<i>Non-Pooled</i>			47,961,777	47,961,777
Total Investments	\$ 3,421,265,344	\$ 2,745,675,327	\$ 47,961,777	\$ 6,214,902,448
TOTAL ASSETS	\$ 3,471,146,192	\$ 2,797,528,878	\$ 51,469,570	\$ 6,320,144,640

Shari L. Freidenrich, CPA, CCMT, CPFA, ACPFIM
Treasurer-Tax Collector

Mission: Ensure safe and timely receipt, deposit, collection and investment of public funds.

MONTHLY TREASURER'S INVESTMENT REPORT

Distribution List

Orange County Elected Officials

Honorable Webster J. Guillory, Assessor
Honorable Sandra Hutchens, Sheriff-Coroner
Honorable Anthony J. Rackauckas, District Attorney

Treasury Oversight Committee

William "Andy" Dunn
Mike Giancola
Jan Grimes
Al Mijares
Laura Parisi

County of Orange Departments

Assessor
Auditor-Controller
Child Support Services
Clerk of the Board
Clerk-Recorder
County Counsel
County Executive Office
District Attorney
Health Care Agency
Human Resources
Internal Audit
John Wayne Airport
OC Community Resources
OC Dana Point Harbor
OC Public Works
OC Waste & Recycling
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Performance Audit
Probation
Public Administrator/Public Guardian
Public Defender
Registrar of Voters
Sheriff-Coroner
Social Services Agency

County Agencies

Children & Families Commission
Civic Center Commission
Law Library
Orange County Employees Retirement System
Orange County Cemetery District
Orange County Fire Authority
Orange County Transportation Authority
Transportation Corridor Agencies

State of California

Mark Campbell, CDIAC
Superior Court

Orange County School Districts

Orange County Department of Education
Anaheim City School District
Anaheim Union High School District
Brea-Olinda Unified School District
Buena Park School District
Capistrano Unified School District
Centralia School District
Cypress School District
Fountain Valley School District
Fullerton School District
Fullerton Joint Union High School District
Garden Grove Unified School District
Huntington Beach City School District
Huntington Beach Union High School District
Irvine Unified School District
Laguna Beach Unified School District
La Habra City School District
Los Alamitos Unified School District
Lowell Joint School District
Magnolia School District
Newport-Mesa Unified School District
Ocean View School District
Orange Unified School District
Placentia-Yorba Linda Unified School District
Saddleback Valley Unified School District
Santa Ana Unified School District
Savanna School District
Tustin Unified School District
Westminster School District

Orange County Community College Districts (CCD)

Coast Community CCD
North Orange County CCD
Rancho-Santiago CCD
South Orange County CCD

Orange County Regional Occupational Programs (ROP)

Capistrano-Laguna Beach ROP
Coastline ROP
North Orange County ROP

Voluntary Pool Participants (date approved)

Serrano Water District (6-22-99)
City of Villa Park (10-2-01)
City of Tustin (5-21-02)
Mesa Water District (8-9-02)
Orange County Water District (3-30-04)
Municipal Water District of OC (7-27-04)
Orange County Vector Control District (11-14-06)
Buena Park Library District (2-9-10)
Local Agency Formation Commission (10-5-10)
Villa Park Community Services Foundation (4-5-11)