

Treasurer's Monthly **INVESTMENT** SEPTEMBER 2013 **REPORT**

From the Office of
SHARI L. FREIDENRICH, CPA, CCMT, CPFA, ACPFIM
Orange County Treasurer



COUNTY OF ORANGE
TREASURER'S INVESTMENT REPORT
For September 30, 2013

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OFFICE OF THE TREASURER-TAX COLLECTOR
SHARI L. FREIDENRICH, CPA, CCMT, CPFA, ACPFIM

INTERDEPARTMENTAL COMMUNICATION

Date: October 15, 2013
To: Supervisor Shawn Nelson, Chair
Supervisor Patricia Bates, Vice-Chair
Supervisor John Moorlach
Supervisor Janet Nguyen
Supervisor Todd Spitzer
From: Shari L. Freidenrich, CPA, CCMT, CPFA, ACPFIM *SHF*
Subject: Treasurer's Investment Report for the Month Ended September 30, 2013

Attached, please find the Treasurer's Investment Report for the County of Orange for the month ended September 30, 2013. The County Treasurer provides this report in compliance with California Government Code Sections 53607, 53646, and 27134 and the County's Investment Policy Statement (IPS). We have included some charts and other data for your information including charts added last month on fund compositions of the Orange County Investment Pool. This report is also publicly available on our website at ocgov.com/ocinvestments.

INVESTMENT POOL COMPOSITION

The investments contained within this report are as of September 30, 2013. The Investment Pool Statistics summary shows the total investment responsibility of the County Treasurer as delegated by the Board of Supervisors: the Orange County Investment Pool that includes the Voluntary participants' funds, the Orange County Educational Investment Pool, the John Wayne Airport Investment Pool, and various other small non-Pooled investment funds. The investment practices and policies of the Treasurer are based on compliance with State law and prudent money management. The primary goal is to invest public funds in a manner which will provide maximum security of principal invested with secondary emphasis on providing adequate liquidity to Pool Participants and lastly to achieve a market rate of return within the parameters of prudent risk management while conforming to all applicable statutes and resolutions governing the investment of public funds.

The County Treasurer established three Money Market Funds, the Orange County Money Market Fund, the Orange County Educational Money Market Fund, and the John Wayne Airport Investment Pool, which all are invested in cash-equivalent securities and provide liquidity for immediate cash needs. Standard & Poor's, on February 13, 2013, reaffirmed their highest rating of AAAM on the County and the Educational Money Market Funds. The County Treasurer also established the Extended Fund that is for cash requirements between one and five years. The Orange County Investment Pool is comprised of the Orange County Money Market Fund and portions of the Extended Fund. The Orange County Educational Investment Pool is comprised of the Orange County Educational Money Market Fund and portions of the Extended Fund.

The maximum maturity of investments for the County and Educational Money Market Funds is 13 months, with a maximum weighted average maturity (WAM) of 60 days, and they both have a current WAM of 57. The maximum maturity of the Extended Fund is five years, with duration not to exceed the Merrill Lynch 1-3 Year index +25% (2.38). The duration is currently at 1.75. The investments in all of the funds are marked to market daily to determine the value of the funds. To further maintain safety, adherence to an investment strategy of only purchasing top-rated securities and diversification of instrument types and maturities is required.

ECONOMIC UPDATE

Due to the U.S. Government partial shutdown on October 1, the Bureau of Labor Statistics has not published the employment data for September at this time. However, according to a private report from ADP Research Institute (ADP), companies added 166,000 jobs in September, while ADP's August job numbers were revised downward by 17,000 to 159,000. Manufacturing data indicated a continued increase in economic activity as both the Empire State Manufacturing Index and the Philadelphia Fed Index remained positive at 6.3 and 22.3, respectively. The Federal Reserve uses these indexes as regional economic gauges, and a reading above zero signals economic expansion. With respect to housing, S&P/CaseShiller reported that housing prices continue to show positive momentum as prices increased for the fourteenth consecutive month in July, up 12.4% from a year ago. At the Federal Open Market Committee (FOMC) meeting on September 17-18, the Federal Reserve voted to continue to purchase \$40 billion per month in agency mortgage-backed

securities and \$45 billion per month in longer-term Treasury securities. The 10-year Treasury rate decreased 17 basis points in September to 2.61%.

The short-term 90-day T-bill ended the month at 0.01% down from 0.02% in August, and the rate on the two-year note was 0.32% at the end of September, down from 0.40% in August.

INVESTMENT INTEREST YIELDS AND FORECAST

The current gross interest yield year-to-date for 2013/2014 is .36% for the Orange County Investment Pool and .30% for the Orange County Educational Investment Pool. The forecasted gross yield for the fiscal year 2013/2014 for both Investment Pools is 0.37% based on continued low short-term interest rates.

APPORTIONMENT OF COMMINGLED POOL INTEREST EARNINGS

Each month, the County Treasurer apportions the accrued interest earnings to each pool participant. As of the first business day of the following month accrued, but unpaid, interest earnings are added to pool participants' average balances in determining a participant's relative share of the pool's monthly earnings. The actual cash distribution will generally be paid in the months following. The September 2013 interest apportionment is expected to be paid by October 31, 2013. The investment administrative fee for fiscal year 2013/2014 is still expected to be 8.2 basis points.

TEMPORARY TRANSFERS

During September, the County Treasurer received one request from an Orange County school district to make a Temporary Transfer as required by California Constitution Article XVI, Section 6, and per the Board of Supervisor's Resolution 13-016. The Resolution authorizes the County Treasurer to make temporary transfers to school districts to address their short-term cash flow needs. The loans are secured by tax receipts to be received by the County Treasurer, as the banker for the school districts. As of September 30, 2013, the outstanding principal balance of Temporary Transfers from the Orange County Educational Investment Pool is \$67 million and will be repaid by December 31, 2013.

PORTFOLIO HOLDINGS OF DEBT ISSUED BY POOL PARTICIPANTS

Under guidelines outlined in the current IPS, the County Treasurer may invest in A or above rated securities issued by municipalities. Municipal debt issued by the County of Orange is exempt from this credit rating requirement. The Investment Pools may invest no more than 5% of pool assets in any one issuer, with the exception of the County of Orange which has a 10% limit. The Investment Pools currently do not own any pool participant debt. Prior to purchasing any pool participant debt, a standardized credit analysis is performed.

COMPLIANCE SUMMARY

The investment portfolios had no compliance exceptions for the month of September 2013. In September, the Auditor-Controller Internal Audit unit issued their Report on Audit of the Statement of Assets Held by the County Treasury as of December 31, 2012 and related Independent Auditor's Report. They opined that the Statement of Assets Held by the County Treasury presents fairly, in all material respects, the amount and type of assets in the County Treasury as of December 31, 2012, on the basis of accounting described in the notes to the financial statement. In addition, the Independent Auditor's Report on Internal Control over Financial Reporting and on Compliance did not identify any deficiencies in internal control over financial reporting that they consider to be material weaknesses, and the results of their tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Accounting Standards*.

CREDIT UPDATE

The County Treasurer removed one name from the Approved Issuer list. NetJets Inc. had been on hold pending a legal opinion on whether the County could purchase private placement debt. In September, this issue was removed as the County is not a Qualified Institutional Buyer (QIB). SEC rulings regarding QIBs indicate that local agencies such as the County are not eligible investors in these private placement securities because local agencies are not QIBs. The County does not have holdings in NetJets Inc. An ongoing credit analysis of all issuers owned in the Investment Pools is reviewed on a daily, monthly, quarterly, and annual basis.

I certify that this report includes all pool and non-pooled investments as of September 30, 2013 and is in conformity with all State laws and the IPS approved by the Board of Supervisors on January 8, 2013. The investments herein shown provide adequate liquidity to meet the next six months of projected cash flow requirements. I am available if you have any questions on this Investment Report at (714) 834-7625.

Enclosures

cc: Distribution List

ORANGE COUNTY TREASURER-TAX COLLECTOR

SUMMARY OF INVESTMENT DATA

INVESTMENT TRENDS

	SEPTEMBER 2013	AUGUST 2013	INCREASE (DECREASE)	NET CHANGE %	SEPTEMBER 2012	INCREASE (DECREASE)	NET CHANGE %
<u>Orange County Investment Pool (OCIP)</u>							
End Of Month Market Value ¹	\$ 2,877,037,498	\$ 2,895,609,608	\$ (18,572,110)	-0.64%	\$ 2,892,837,359	\$ (15,799,861)	-0.55%
End Of Month Book Value	\$ 2,876,671,951	\$ 2,898,384,082	\$ (21,712,131)	-0.75%	\$ 2,888,063,592	\$ (11,391,641)	-0.39%
Monthly Average Balance	\$ 2,887,736,584	\$ 2,885,550,385	\$ 2,186,199	0.08%	\$ 2,899,142,278	\$ (11,405,694)	-0.39%
Year-To-Date Average Balance	\$ 2,937,329,930	\$ 2,962,126,603	\$ (24,796,673)	-0.84%	\$ 2,938,226,057	\$ (896,127)	-0.03%
Monthly Accrued Earnings ²	\$ 837,733	\$ 836,080	\$ 1,653	0.20%	\$ 1,181,913	\$ (344,180)	-29.12%
Monthly Net Yield ²	0.27%	0.26%	0.01%	4.21%	0.41%	-0.14%	-33.89%
Year-To-Date Net Yield ²	0.27%	0.27%	0.00%	1.30%	0.42%	-0.15%	-34.88%
Annual Estimated Gross Yield ⁵	0.37%	0.37%	0.00%	0.00%	0.40%	-0.03%	-7.50%
Weighted Average Maturity (WAM) ⁶	400	396	4	1.01%	334	66	19.76%
<u>Orange County Educational Investment Pool (OCEIP)</u>							
End Of Month Market Value ^{1,4}	\$ 3,180,353,045	\$ 3,181,266,101	\$ (913,056)	-0.03%	\$ 2,831,139,648	\$ 349,213,397	12.33%
End Of Month Book Value ⁴	\$ 3,179,958,862	\$ 3,183,482,984	\$ (3,524,122)	-0.11%	\$ 2,827,227,392	\$ 352,731,470	12.48%
Monthly Average Balance ⁴	\$ 3,216,481,274	\$ 3,225,075,423	\$ (8,594,149)	-0.27%	\$ 2,968,331,221	\$ 248,150,053	8.36%
Year-To-Date Average Balance ⁴	\$ 3,252,775,177	\$ 3,270,922,128	\$ (18,146,951)	-0.55%	\$ 2,874,194,439	\$ 378,580,738	13.17%
Monthly Accrued Earnings ^{2,3}	\$ 736,542	\$ 817,775	\$ (81,233)	-9.93%	\$ 1,023,733	\$ (287,191)	-28.05%
Monthly Net Yield ^{2,3}	0.20%	0.22%	-0.02%	-10.63%	0.34%	-0.14%	-41.83%
Year-To-Date Net Yield ²	0.22%	0.23%	-0.01%	-5.43%	0.37%	-0.15%	-41.21%
Annual Estimated Gross Yield ⁵	0.37%	0.37%	0.00%	0.00%	0.39%	-0.02%	-5.13%
Weighted Average Maturity (WAM)	306	305	1	0.33%	288	18	6.25%

¹ Market values provided by Bloomberg and Northern Trust.

² In September 2012, OCIP and OCEIP held higher yielding securities, which over time have matured and were reinvested in lower yielding securities. As a result, the overall earnings and yield have dropped since September 2012.

³ In September 2013, OCEIP monthly accrued earnings and monthly net yield were lower primarily from the reduction of interest earnings due to the payoff of \$168.7 million of Temporary Transfers in August 2013.

⁴ In September 2013, the OCEIP end of month market and book values, and monthly and year-to-date average balances were higher than September 2012 due to increased General Obligation (GO) bond funds on deposit, redevelopment property tax trust fund distributions, and higher payments from the State, as the State is significantly reducing the deferred amount of required payments to school districts.

⁵ Annual Estimated Gross Yield for September 2012 is reported at the actual annual gross yield for FY 12/13.

⁶ In September 2013, OCIP WAM was higher than September 2012 primarily due to investing in securities with longer maturities.

ORANGE COUNTY TREASURER-TAX COLLECTOR
INVESTMENT POOL STATISTICS
FOR THE MONTH AND QUARTER ENDED: SEPTEMBER 30, 2013

INVESTMENT STATISTICS - By Investment Pool***						
DESCRIPTION	CURRENT BALANCES	Average Days to Maturity	Daily Yield as of 9/30/13	MONTHLY Gross Yield	QUARTER Gross Yield	Current NAV
<u>COMBINED POOL BALANCES</u> <u>(includes the Extended Fund)</u>						
Orange County Investment Pool (OCIP)	MARKET Value \$ 2,877,037,498	400	0.35%	0.35%	0.36%	1.00
	COST (Capital) \$ 2,882,551,435					
	MONTHLY AVG Balance \$ 2,887,736,584					
	QUARTERLY AVG Balance \$ 2,937,329,930					
	BOOK Value \$ 2,876,671,951					
Orange County Educational Investment Pool (OCEIP)	MARKET Value \$ 3,180,353,045	306	0.28%	0.28%	0.30%	1.00
	COST (Capital) \$ 3,186,010,618					
	MONTHLY AVG Balance \$ 3,216,481,274					
	QUARTERLY AVG Balance \$ 3,252,775,177					
	BOOK Value \$ 3,179,958,862					
INVESTMENT STATISTICS - Non Pooled Investments **						
DESCRIPTION	CURRENT BALANCE	BOOK BALANCE BY INVESTMENT TYPE				
Specific Investment						
Funds:	MARKET Value \$ 50,953,061					
15B, 283, 505	COST (Capital) \$ 51,057,377	Repurchase Agreement				\$ 1,081,500
	MONTHLY AVG Balance \$ 51,155,727	John Wayne Airport Investment Pool				49,866,873
	QUARTERLY AVG Balance \$ 51,140,150	GNMA Mortgage-Backed Securities				109,003
	BOOK Value \$ 50,933,323					
		\$ 51,057,377				
MONTH END TOTALS						
INVESTMENTS & CASH		FUND ACCOUNTING & SPECIFIC INVESTMENTS				
<u>COUNTY MONEY MARKET FUND (OCMMF)</u>						
County Money Market Fund	\$ 1,198,999,895	County Funds				\$ 2,877,294,899
County Cash *****	(5,256,538)	Educational Funds				3,191,315,668
<u>EXTENDED FUND</u>	3,033,551,541	Specific Investment Funds				51,057,377
<u>EDUCATIONAL MONEY MARKET FUND (OCEMMF)</u>						
Educational Money Market Fund	1,836,010,618					
Educational Cash	5,305,050					
<u>NON-POOLED INVESTMENTS</u>						
Non Pooled Investments @ Cost	51,057,377					
	\$ 6,119,667,943	\$ 6,119,667,943				
KEY POOL STATISTICS						
INTEREST RATE YIELD		WEIGHTED AVERAGE MATURITY (WAM)				
OCMMF - MONTHLY GROSS YIELD	0.15%	OCMMF				57
OCEMMF - MONTHLY GROSS YIELD	0.12%	OCEMMF				57
JOHN WAYNE AIRPORT - MONTHLY GROSS YIELD	0.14%	JOHN WAYNE AIRPORT WAM				55
OCIP - YTD NET YIELD****	0.27%	LGIP WAM (Standard & Poors)				48
OCEIP - YTD NET YIELD****	0.22%					
90-DAY T-BILL YIELD - MONTHLY AVERAGE	0.01%					

ORANGE COUNTY TREASURER-TAX COLLECTOR
INVESTMENT POOL STATISTICS
FOR THE MONTH AND QUARTER ENDED: SEPTEMBER 30, 2013

INVESTMENT STATISTICS - By Investment Fund*

DESCRIPTION	CURRENT BALANCES		Average Days to Maturity	Daily Yield as of 9/30/13	MONTHLY Gross Yield	QUARTER Gross Yield	Current NAV
<u>County Money Market Fund (OCMMF)</u>	MARKET Value	\$ 1,198,565,150	57	0.13%	0.15%	0.14%	1.00
	COST (Capital)	\$ 1,198,999,895					
	MONTHLY AVG Balance	\$ 1,205,188,977					
	QUARTERLY AVG Balance	\$ 1,256,991,406					
	BOOK Value	\$ 1,198,415,802					
<u>Educational Money Market Fund (OCEMMF)</u>	MARKET Value	\$ 1,834,425,928	57	0.11%	0.12%	0.14%	1.00
	COST (Capital)	\$ 1,836,010,618					
	MONTHLY AVG Balance	\$ 1,866,481,274					
	QUARTERLY AVG Balance	\$ 1,902,775,177					
	BOOK Value	\$ 1,834,205,110					
<u>Extended Fund</u>	MARKET Value	\$ 3,024,399,465	645	0.51%	0.49%	0.52%	1.00
	COST (Capital)	\$ 3,033,551,540					
	MONTHLY AVG Balance	\$ 3,032,547,607					
	QUARTERLY AVG Balance	\$ 3,030,338,524					
	BOOK Value	\$ 3,024,009,901					
ALLOCATION OF EXTENDED FUND							
<u>Extended Fund</u> <i>OCIP Share</i>	MARKET Value	\$ 1,678,472,348	645	0.51%	0.49%	0.52%	1.00
	COST (Capital)	\$ 1,683,551,540					
	MONTHLY AVG Balance	\$ 1,682,547,607					
	QUARTERLY AVG Balance	\$ 1,680,338,524					
	BOOK Value	\$ 1,678,256,149					
<i>OCEIP Share</i>	MARKET Value	\$ 1,345,927,117	645	0.51%	0.49%	0.52%	1.00
	COST (Capital)	\$ 1,350,000,000					
	MONTHLY AVG Balance	\$ 1,350,000,000					
	QUARTERLY AVG Balance	\$ 1,350,000,000					
	BOOK Value	\$ 1,345,753,752					
<i>Modified Duration</i>		1.75					

* Book Value is computed as Cost reduced by amortization of premium and increased by the accretion

of discount of the Investment Portfolio. Net Asset Value (NAV) is equal to Market Value divided by Book Value.

** Specific non pooled investments are reported in compliance with Government Code Section 53646 (b)(1). Detailed descriptions are included in the inventory listing in Section VII of this report.

*** The Combined Pool Balances include the County and Educational Money Market Funds and their respective portions of the Extended Fund.

**** The Net Yield differs from the monthly average yield as it includes the Treasury administration fees.

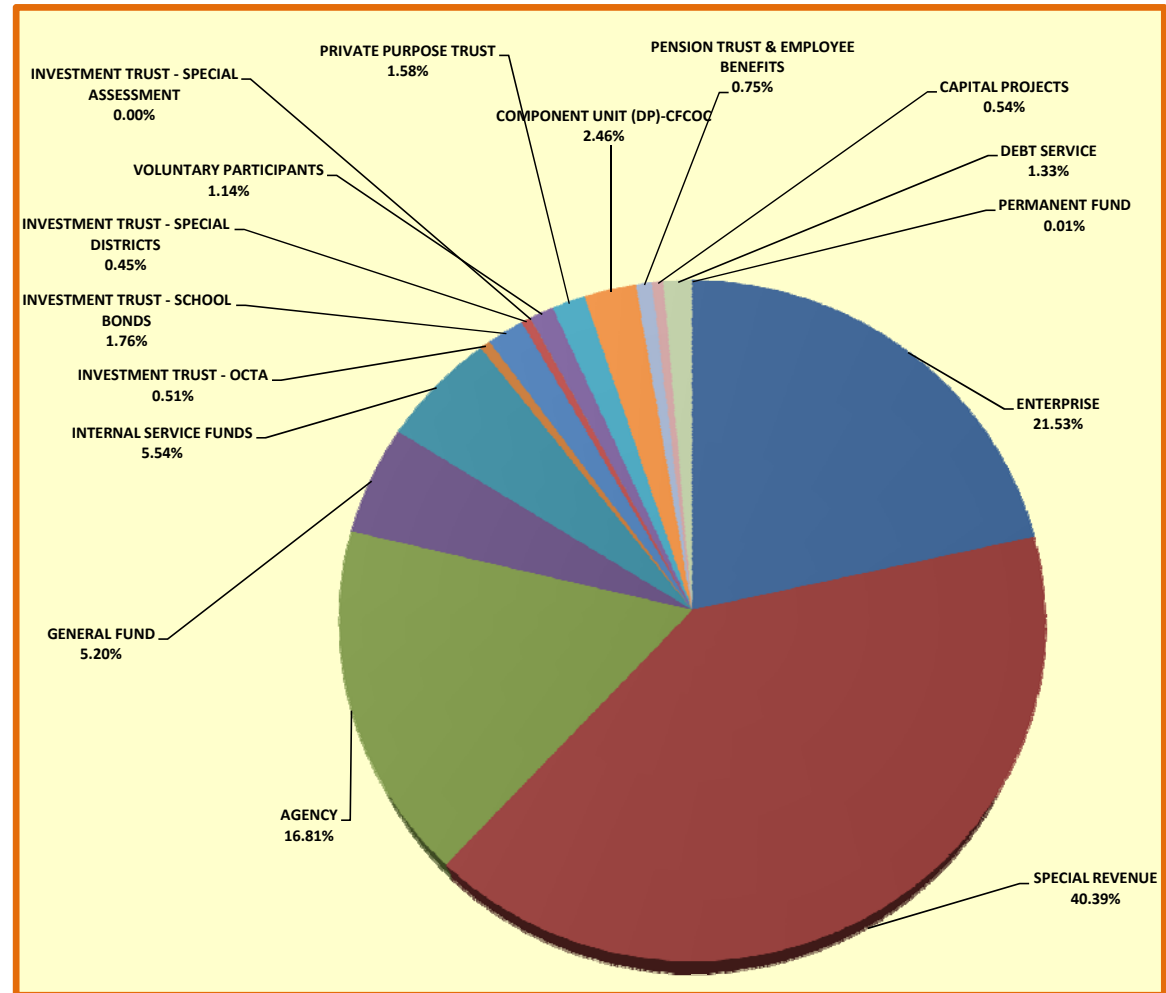
***** The negative accounting book balance is a timing difference due to cash received by the County from the State on 9/30, the last business day, but not booked until October 1. The cash bank balance on September 30 was \$4,344,861.

Orange County Investment Pool

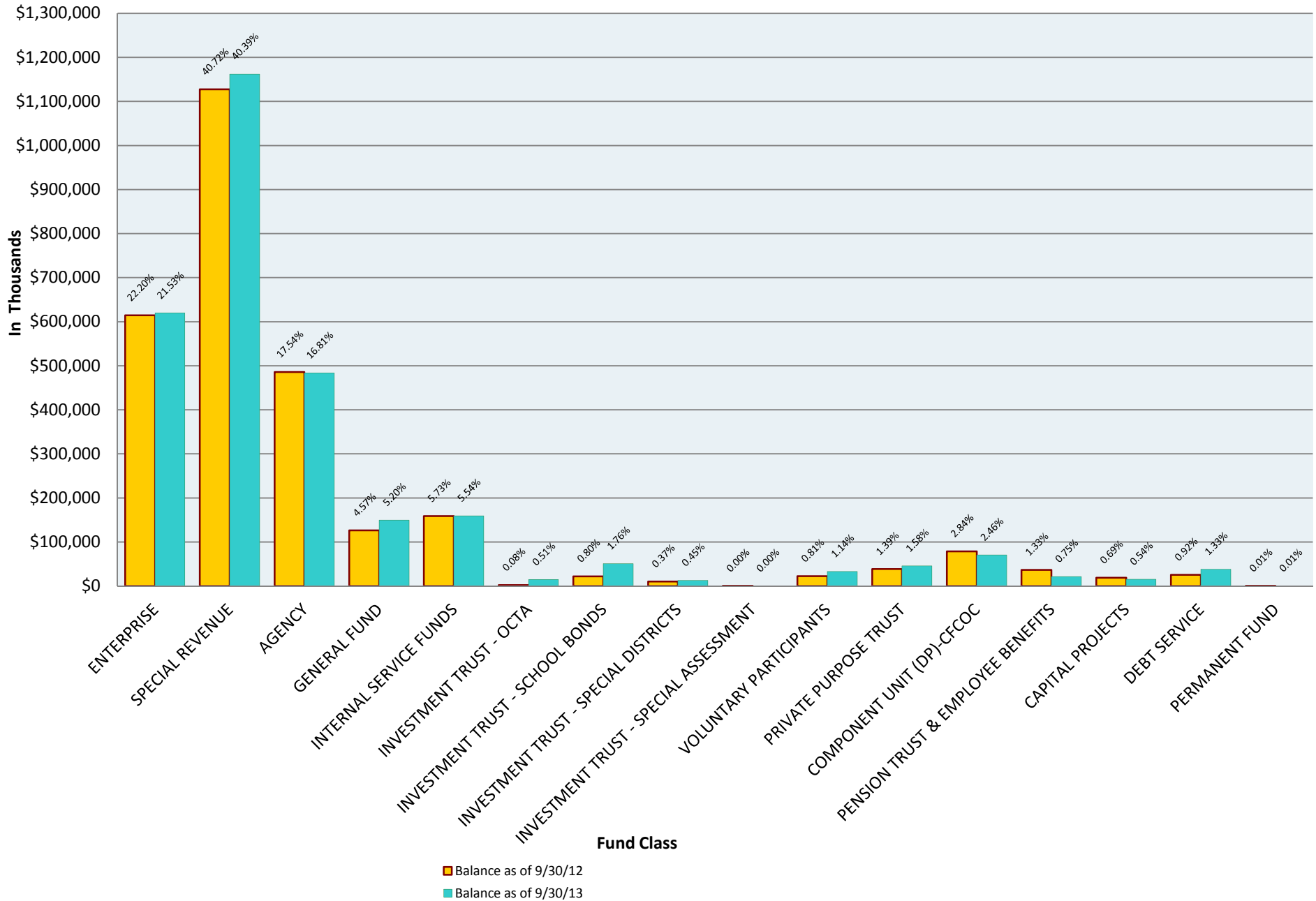
Fund Composition

As of September 30, 2013

FUND CLASS	BALANCE
ENTERPRISE	\$ 619,558,447
SPECIAL REVENUE	1,162,163,655
AGENCY	483,679,179
GENERAL FUND	149,576,251
INTERNAL SERVICE FUNDS	159,440,623
INVESTMENT TRUST - OCTA	14,605,879
INVESTMENT TRUST - SCHOOL BONDS	50,587,550
INVESTMENT TRUST - SPECIAL DISTRICTS	13,012,039
INVESTMENT TRUST - SPECIAL ASSESSMENT	95,624
VOLUNTARY PARTICIPANTS	32,863,639
PRIVATE PURPOSE TRUST	45,524,761
COMPONENT UNIT (DP)-CFCOC	70,717,429
PENSION TRUST & EMPLOYEE BENEFITS	21,613,115
CAPITAL PROJECTS	15,541,233
DEBT SERVICE	38,235,169
PERMANENT FUND	323,951
TOTAL	\$ 2,877,538,544



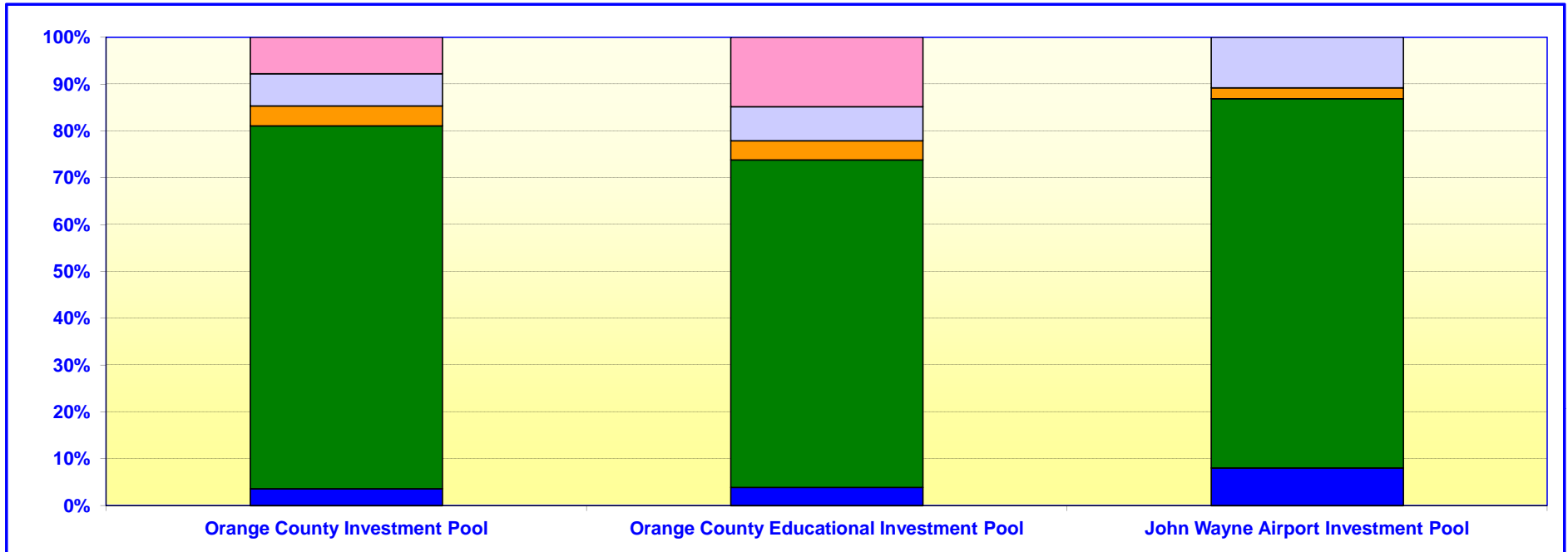
**Orange County Investment Pool
Fund Composition
As of September 30**



ORANGE COUNTY TREASURER - TAX COLLECTOR

BY INVESTMENT TYPE - By Percentage Holdings

September 30, 2013



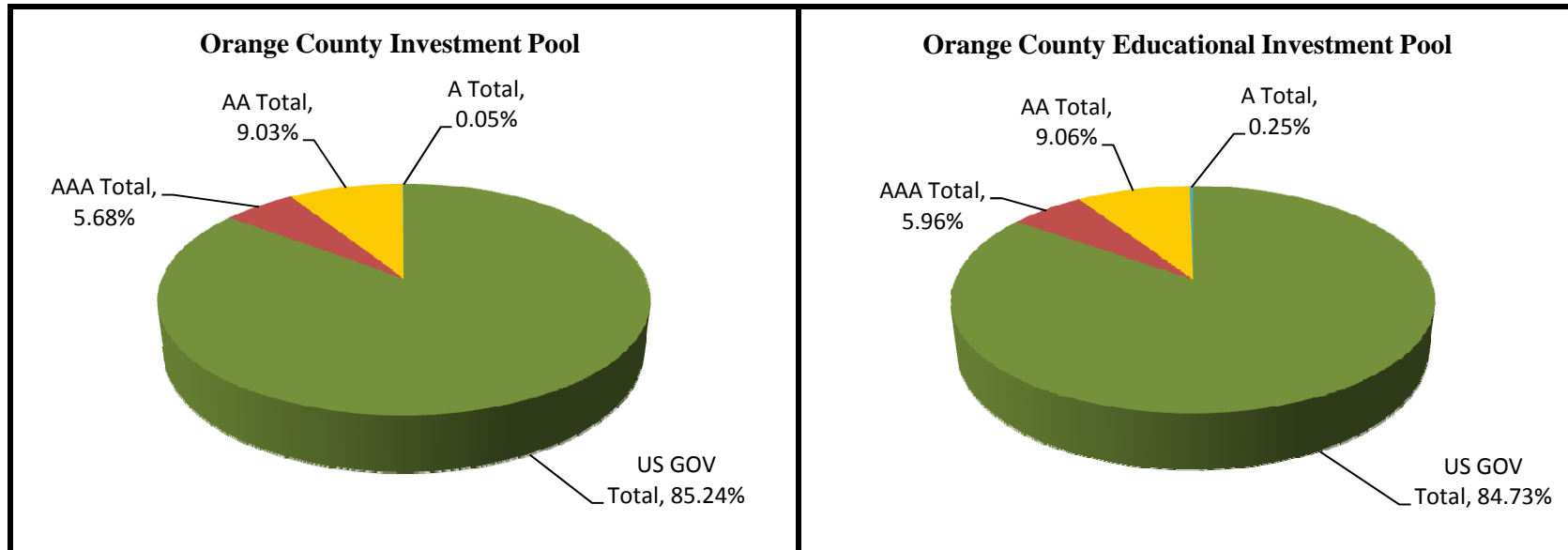
Orange County Investment Pool			
	In Thousands		%
CERTIFICATES OF DEPOSIT	\$ 103,996		3.62%
U.S. GOVERNMENT AGENCIES	2,228,972		77.47%
MONEY MARKET FUNDS	123,278		4.28%
MEDIUM - TERM NOTES	197,303		6.86%
U.S. TREASURIES	223,488		7.77%
	<u>\$ 2,877,037</u>		<u>100.00%</u>

Orange County Educational Investment Pool			
	In Thousands		%
CERTIFICATES OF DEPOSIT	\$ 124,490		3.91%
U.S. GOVERNMENT AGENCIES	2,224,339		69.94%
MONEY MARKET FUNDS	130,072		4.09%
MEDIUM - TERM NOTES	231,077		7.27%
U.S. TREASURIES	470,375		14.79%
	<u>\$ 3,180,353</u>		<u>100.00%</u>

John Wayne Airport Investment Pool			
	In Thousands		%
CERTIFICATES OF DEPOSIT	\$ 4,000		8.04%
U.S. GOVERNMENT AGENCIES	39,234		78.86%
MONEY MARKET FUNDS	1,149		2.31%
MEDIUM - TERM NOTES	5,368		10.79%
	<u>\$ 49,751</u>		<u>100.00%</u>

Calculated Using Market Value at 09/30/2013

ORANGE COUNTY TREASURER - TAX COLLECTOR
CREDIT QUALITY BY MARKET VALUE
September 30, 2013



US GOV Includes Agency & Treasury Debt

AA Includes AA+, AA- & AA

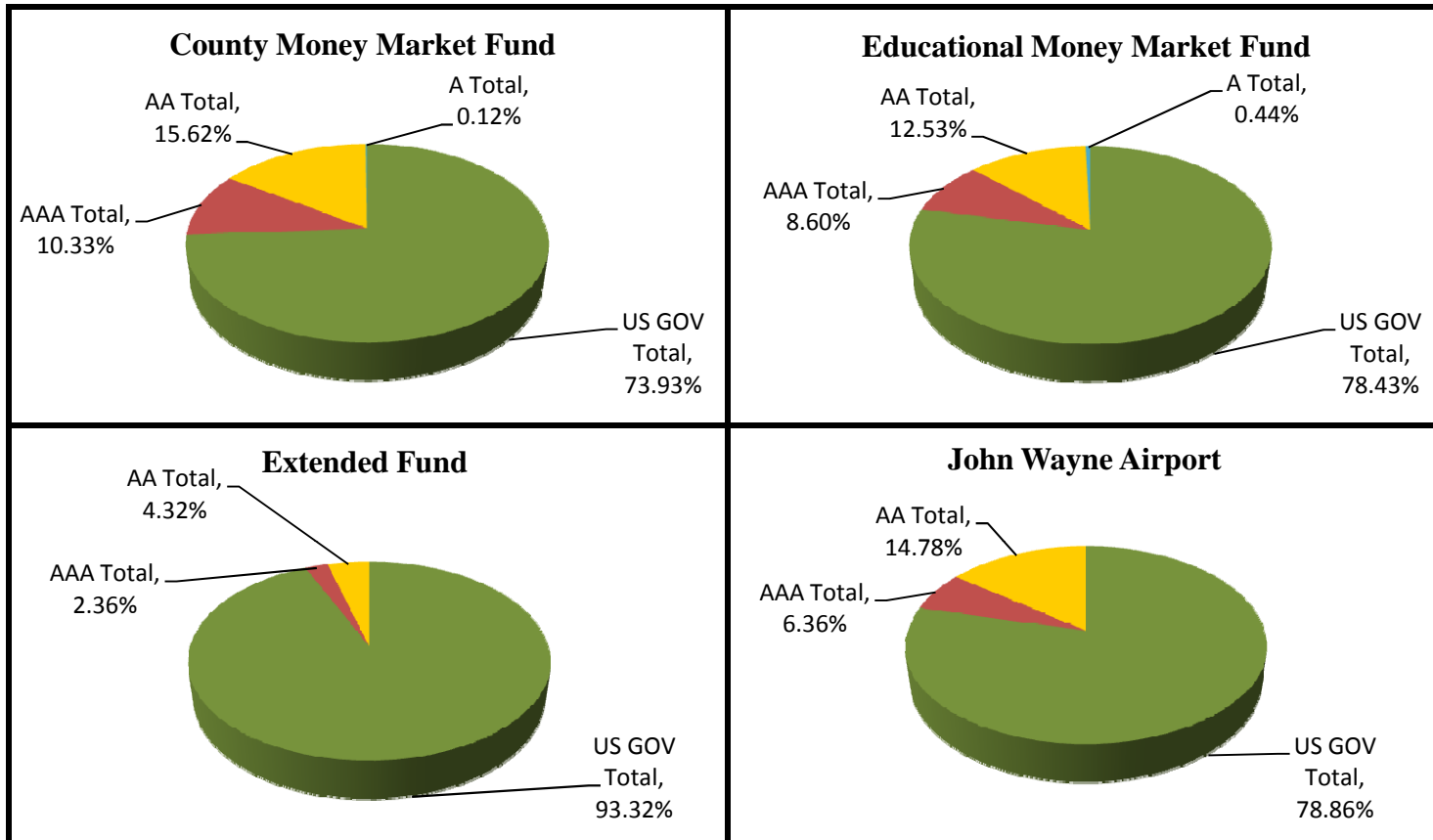
A Includes A+,A- & A

A-1 Includes A-1+, F-1+, P-1, A-1 & F-1

ORANGE COUNTY TREASURER - TAX COLLECTOR

CREDIT QUALITY BY MARKET VALUE

September 30, 2013



US GOV Includes Agency & Treasury Debt

AA Includes AA+, AA- & AA

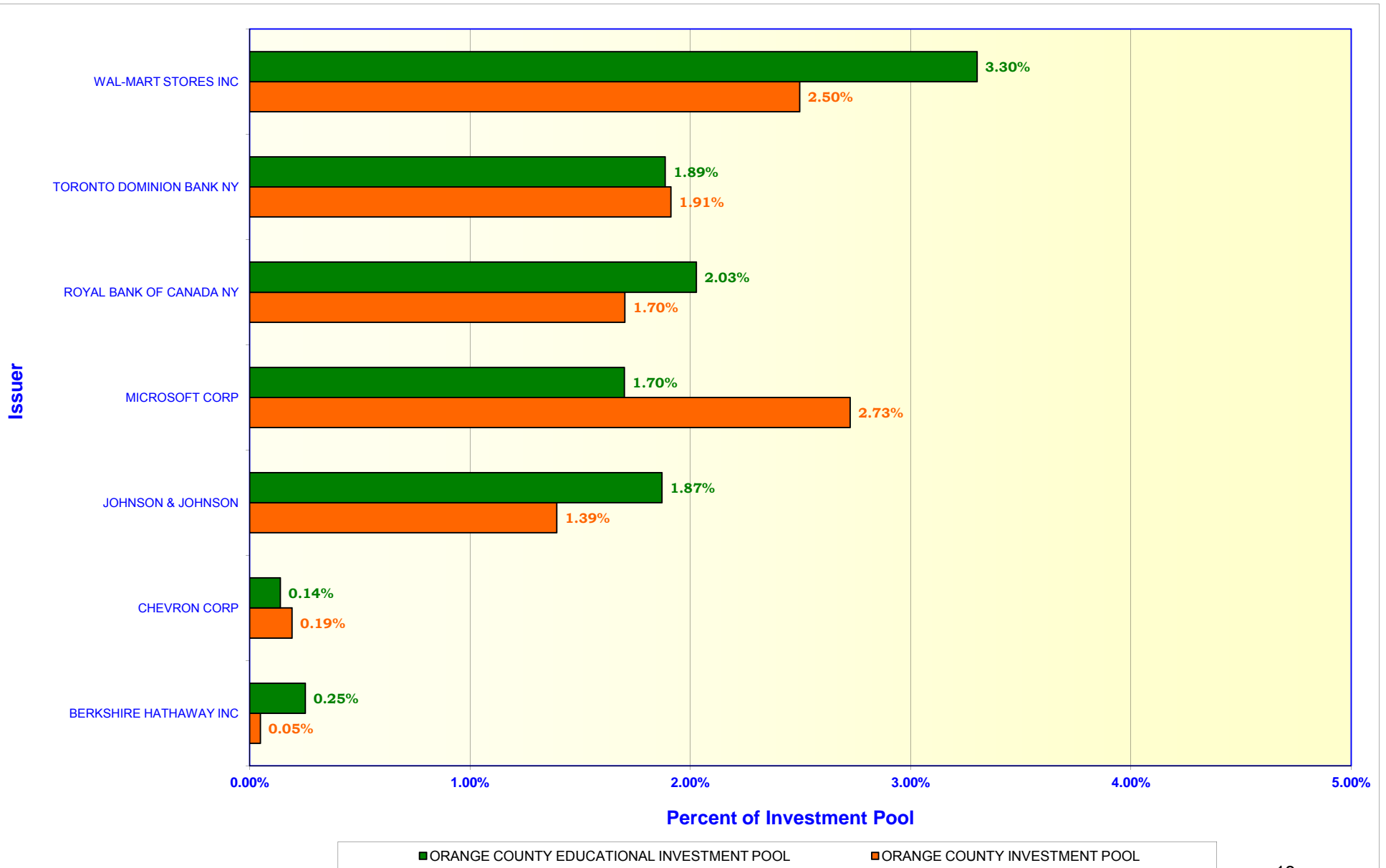
A Includes A+, A- & A

A-1 Includes A-1+, F-1+, P-1, A-1 & F-1

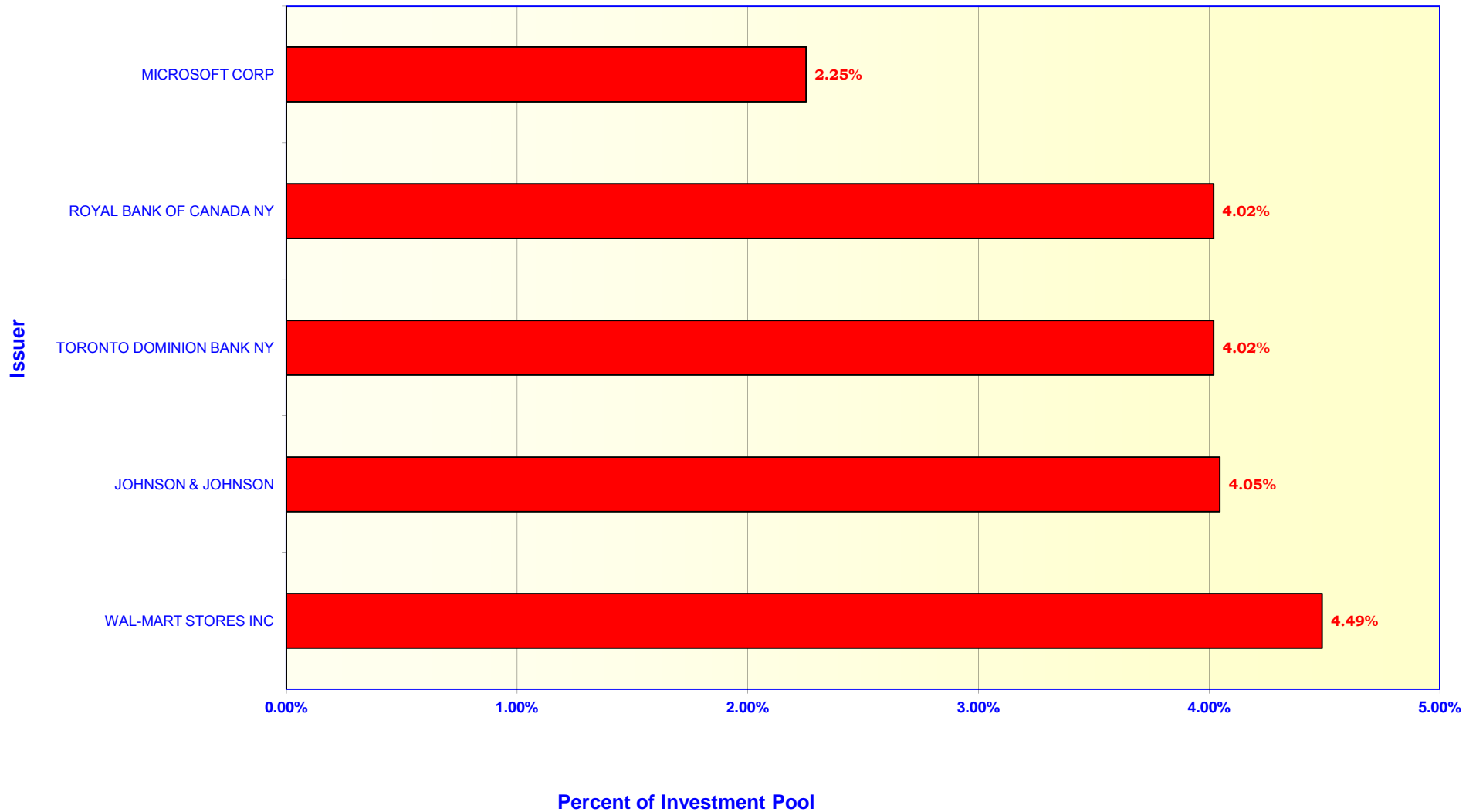
ORANGE COUNTY TREASURER - TAX COLLECTOR

ISSUER CONCENTRATION-By Investment Pool

September 30, 2013



ORANGE COUNTY TREASURER - TAX COLLECTOR
ISSUER CONCENTRATION - JOHN WAYNE AIRPORT INVESTMENT POOL
September 30, 2013



ORANGE COUNTY TREASURER-TAX COLLECTOR

APPROVED ISSUER LIST

September 30, 2013

ISSUER (Shared Structure)	S/T RATINGS			L/T RATINGS		
	S&P	MDY	FI	S&P	MDY	FI
U.S. TREASURY SECURITIES						
US. GOVERNMENT	A-1+	P-1	F1+	AA+	Aaa	AAA
U.S. GOVERNMENT AGENCY SECURITIES						
FEDERAL NATIONAL MORTGAGE ASSOCIATION	A-1+	P-1	F1+	AA+	Aaa	AAA
FEDERAL HOME LOAN MORTGAGE CORPORATION	A-1+	P-1	F1+	AA+	Aaa	AAA
FEDERAL HOME LOAN BANKS	A-1+	P-1	F1+	AA+	Aaa	AAA
FEDERAL FARM CREDIT BANKS	A-1+	P-1	F1+	AA+	Aaa	AAA
COMMERCIAL PAPER / MEDIUM TERM NOTES						
BERKSHIRE HATHAWAY INC	A-1+	P-1	NR	AA	Aa2	AA-
<i>BERKSHIRE HATHAWAY FINANCE</i>	A-1+	P-1	NR	AA	Aa2	AA-
CHEVRON CORPORATION	A-1+	P-1	NR	AA	Aa1	NR
JOHNSON & JOHNSON	A-1+	P-1	F1+	AAA	Aaa	AAA
MICROSOFT CORP	A-1+	P-1	F1+	AAA	Aaa	AA+
WAL-MART STORES INC	A-1+	P-1	F1+	AA	Aa2	AA
STATE OR NATIONALLY CHARTERED BANKS						
ROYAL BANK OF CANADA NY	A-1+	P-1	F1+	AA-	Aa3	AA
TORONTO DOMINION BANK NY	A-1+	P-1	F1+	AA-	Aa1	AA-
MONEY MARKET MUTUAL FUNDS						
INVESCO GOVERNMENT & AGENCY SHORT-TERM INVESTMENTS TRUST (AIM)	AAAm		Aaa-MF		AAAmmf	
GOLDMAN SACHS FINANCIAL SQUARE GOVT FUND	AAAm		Aaa-MF		NR	
MORGAN STANLEY INSTITUTIONAL LIQUIDITY FUNDS - GOVT	AAAm		Aaa-MF		NR	
NORTHERN INSTITUTIONAL TREASURY PORTFOLIO	AAAm		NR		NR	
ISSUERS ON HOLD *						
GENERAL ELECTRIC CO	A-1+	P-1	NR	AA+	Aa3	NR
<i>GENERAL ELECTRIC CAPITAL CORP</i>	A-1+	P-1	NR	AA+	A1	NR

* Issuers whose debt is held by the Investment Pools, but are no longer on the Approved Issuer List. The Credit Investment Committee reviewed the issuer as per the investment policy and made the decision not to sell at this time.

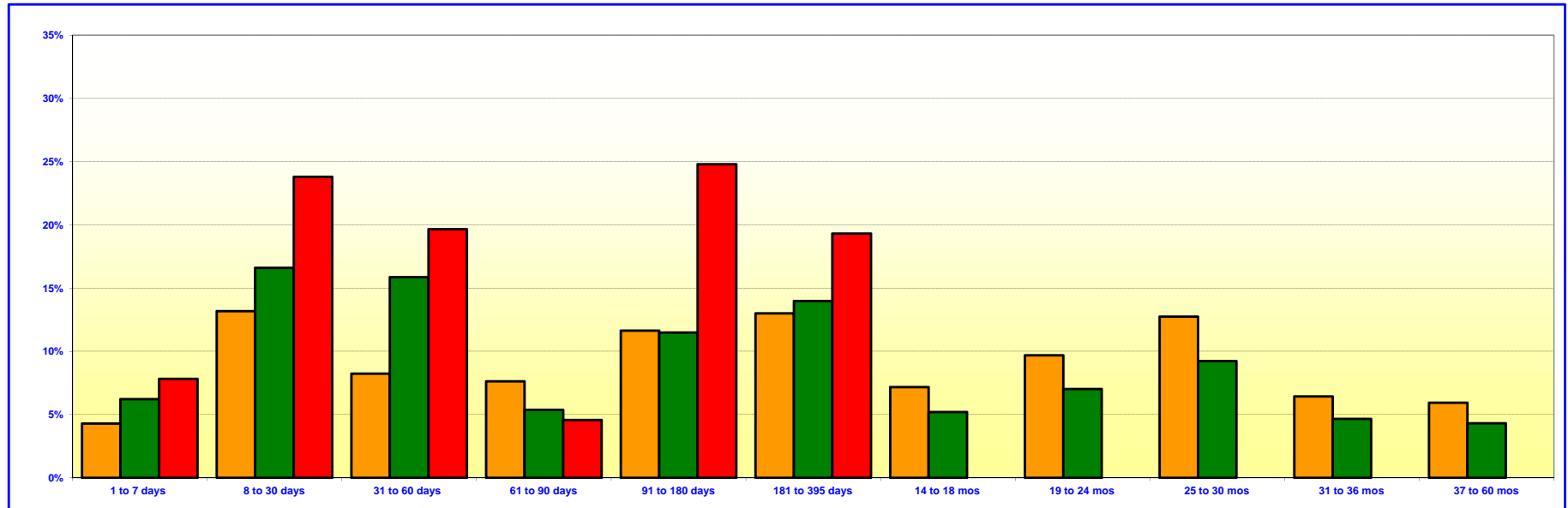
**Orange County Treasurer-Tax Collector
Changes in Approved Issuer's List
For the Month Ended September 30, 2013**

During September, there was one change to the Treasurer's Approved Issuer List. The Credit Investment Committee approved removing the following issuer:

NetJets Inc.

NetJets Inc. is a private placement (4(2) 144A paper), that can only be purchased by a Qualified Institutional Buyer (QIB). The SEC ruling, confirmed by County Counsel, indicates that the local agencies are not QIBs. The County does not have holdings in NetJets Inc.

ORANGE COUNTY TREASURER - TAX COLLECTOR
MATURITIES DISTRIBUTION
September 30, 2013



ORANGE COUNTY INVESTMENT POOL		
	In Thousands	%
1 TO 7 DAYS	\$ 123,278	4.29%
8 TO 30 DAYS	378,282	13.18%
31 TO 60 DAYS	236,515	8.24%
61 TO 90 DAYS	219,008	7.63%
91 TO 180 DAYS	334,319	11.65%
181 TO 395 DAYS	373,949	13.02%
14 TO 18 MONTHS	205,955	7.17%
19 TO 24 MONTHS	278,315	9.70%
25 TO 30 MONTHS	365,661	12.74%
31 TO 36 MONTHS	184,686	6.43%
37 TO 60 MONTHS	170,709	5.95%
TOTAL	\$ 2,870,677	100.00%

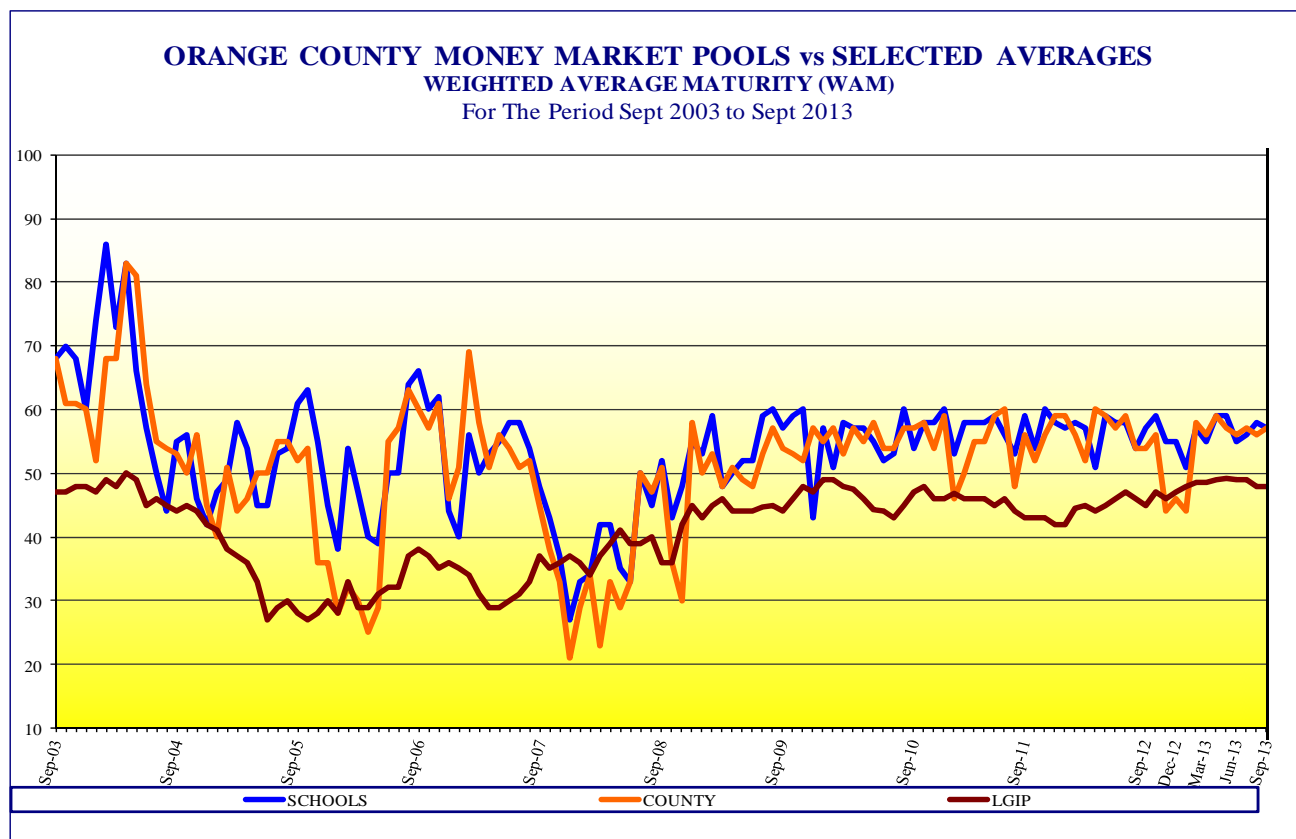
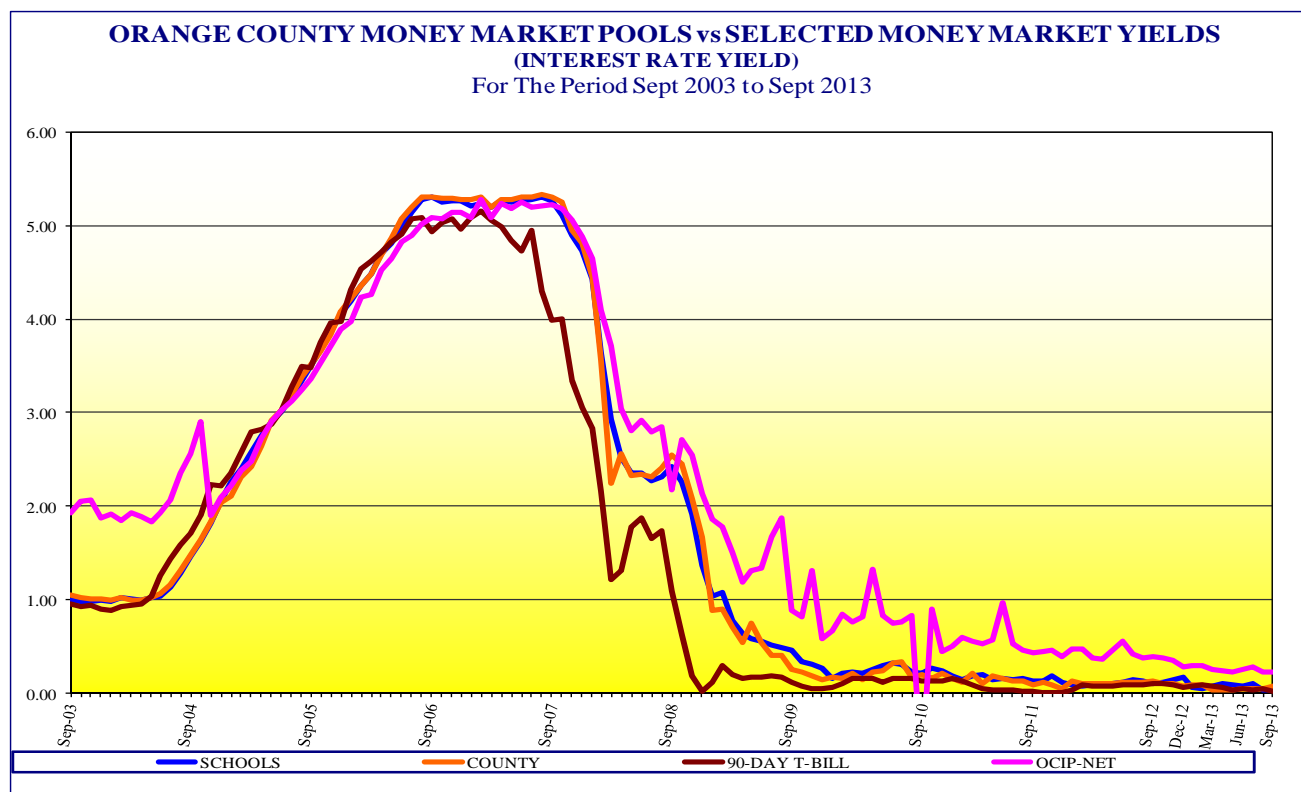
ORANGE COUNTY EDUCATIONAL INVESTMENT POOL		
	In Thousands	%
1 TO 7 DAYS	\$ 197,226	6.21%
8 TO 30 DAYS	526,823	16.60%
31 TO 60 DAYS	503,848	15.88%
61 TO 90 DAYS	170,527	5.37%
91 TO 180 DAYS	364,846	11.50%
181 TO 395 DAYS	443,902	13.99%
14 TO 18 MONTHS	165,150	5.20%
19 TO 24 MONTHS	223,174	7.03%
25 TO 30 MONTHS	293,214	9.24%
31 TO 36 MONTHS	148,095	4.67%
37 TO 60 MONTHS	136,888	4.31%
TOTAL	\$ 3,173,693	100.00%

JOHN WAYNE AIRPORT INVESTMENT POOL		
	In Thousands	%
1 TO 7 DAYS	\$ 3,886	7.83%
8 TO 30 DAYS	11,817	23.81%
31 TO 60 DAYS	9,756	19.66%
61 TO 90 DAYS	2,270	4.57%
91 TO 180 DAYS	12,308	24.81%
181 TO 395 DAYS	9,586	19.32%
TOTAL	\$ 49,623	100.00%

Maturity Limits Are In Compliance With The Orange County Treasurer's Investment Policy Statement

Floating Rate Notes are deemed to have a maturity date equal to their next interest reset date.

At 09/30/2013, Floating Rate Notes comprise 13.92%, 11.61%, and 31.61% of the Orange County Investment Pool, Orange County Educational Investment Pool, and JWA Investment Pool respectively.



- LGIP: Standard and Poor's Local Government Investment Pool
- As of September 30, 2013, LGIP – 0.05; LGIP WAM-48; 90-Day T-Bill – 0.01; OCIP – Net – 0.23

ORANGE COUNTY TREASURER-TAX COLLECTOR

INVESTMENT POOL YIELDS

October 1, 2012 - September 30, 2013

PERIOD ENDING - MONTH / YEAR	MONTH END MARKET VALUE	EARNINGS FOR MONTH	GROSS AVERAGE YIELD FOR MONTH	MONTH END WAM
<i>Current Month - September 2013</i>				
County Pool - Money Market Fund	\$ 1,198,565,150	\$ 153,257	0.15%	57
Educational Pool - Money Market Fund	\$ 1,834,425,928	\$ 187,335	0.12%	57
Extended Fund	\$ 3,024,399,465	\$ 1,233,682	0.49%	645
<i>August 2013</i>				
County Pool - Money Market Fund	\$ 1,221,480,067	\$ 134,037	0.13%	56
Educational Pool - Money Market Fund	\$ 1,837,942,532	\$ 253,357	0.16%	58
Extended Fund	\$ 3,017,453,110	\$ 1,266,459	0.49%	643
<i>July 2013</i>				
County Pool - Money Market Fund	\$ 1,257,658,076	\$ 140,811	0.12%	57
Educational Pool - Money Market Fund	\$ 1,949,109,733	\$ 245,925	0.15%	56
Extended Fund	\$ 2,995,745,835	\$ 1,479,702	0.58%	642
<i>June 2013</i>				
County Pool - Money Market Fund	\$ 1,422,436,315	\$ 162,341	0.13%	56
Educational Pool - Money Market Fund	\$ 1,965,410,761	\$ 232,017	0.16%	55
Extended Fund	\$ 3,018,335,297	\$ 1,343,357	0.54%	655
<i>May 2013</i>				
County Pool - Money Market Fund	\$ 1,751,637,453	\$ 193,558	0.12%	57
Educational Pool - Money Market Fund	\$ 1,765,877,752	\$ 249,444	0.17%	59
Extended Fund	\$ 3,021,491,404	\$ 1,287,435	0.50%	618
<i>April 2013</i>				
County Pool - Money Market Fund	\$ 2,003,879,874	\$ 258,923	0.12%	59
Educational Pool - Money Market Fund	\$ 1,849,615,014	\$ 230,688	0.18%	59
Extended Fund	\$ 3,022,972,361	\$ 1,330,367	0.54%	578
<i>March 2013</i>				
County Pool - Money Market Fund	\$ 1,753,914,514	\$ 161,611	0.12%	56
Educational Pool - Money Market Fund	\$ 1,397,467,217	\$ 198,142	0.15%	55
Extended Fund	\$ 3,015,558,970	\$ 1,397,835	0.54%	570
<i>February 2013</i>				
County Pool - Money Market Fund	\$ 1,592,240,281	\$ 192,434	0.17%	58
Educational Pool - Money Market Fund	\$ 1,534,522,480	\$ 172,140	0.13%	57
Extended Fund	\$ 3,018,646,263	\$ 1,444,641	0.62%	530
<i>January 2013</i>				
County Pool - Money Market Fund	\$ 1,454,442,940	\$ 245,613	0.17%	44
Educational Pool - Money Market Fund	\$ 1,821,642,718	\$ 238,895	0.14%	51
Extended Fund	\$ 3,016,807,055	\$ 1,597,022	0.62%	506
<i>December 2012</i>				
County Pool - Money Market Fund	\$ 2,026,132,533	\$ 298,822	0.15%	46
Educational Pool - Money Market Fund	\$ 2,115,131,168	\$ 343,812	0.25%	55
Extended Fund	\$ 3,026,934,236	\$ 1,470,923	0.57%	495
<i>November 2012</i>				
County Pool - Money Market Fund	\$ 1,694,693,385	\$ 236,191	0.19%	44
Educational Pool - Money Market Fund	\$ 1,285,929,260	\$ 213,533	0.22%	55
Extended Fund	\$ 3,009,903,441	\$ 1,621,046	0.65%	505
<i>October 2012</i>				
County Pool - Money Market Fund	\$ 1,239,130,934	\$ 196,530	0.19%	56
Educational Pool - Money Market Fund	\$ 1,235,518,813	\$ 237,143	0.20%	59
Extended Fund	\$ 3,013,746,862	\$ 1,750,758	0.68%	530
<i>Fiscal Year July 1, 2013 - June 30, 2014</i>	Average Month End Market Value Balance	YTD Interest Income	YTD Gross Yield	YTD Average
Orange County Investment Pool	\$ 2,893,772,160	\$ 2,634,992	0.36%	395
Orange County Educational Investment Pool	\$ 3,218,487,806	\$ 2,459,575	0.30%	19 302

ORANGE COUNTY TREASURER-TAX COLLECTOR

CASH AVAILABILITY PROJECTION

FOR THE SIX MONTHS ENDING MARCH 31, 2014

Government Code Section 53646 (b) (3), effective on January 1, 1996, requires the Treasurer-Tax Collector to include a statement in the investment report, denoting the ability of the Orange County Investment Pool (OCIP) and the Orange County Educational Investment Pool (OCEIP) to meet their expenditure requirements for the next six months.

The OCIP and OCEIP consist of funds in the treasury deposited by various entities required to do so by statute, as well as those entities voluntarily depositing monies in accordance with Government Code Section 53684.

The Treasurer-Tax Collector is required to disburse monies placed in the treasury as directed by the Auditor-Controller and the Department of Education, except for the making of legal investments, to the extent funds are transferred to one or more clearing funds in accordance with Government Code Section 29808.

The Treasurer-Tax Collector, in her projection of cash availability to disburse funds as directed by the Auditor-Controller and the Department of Education, is relying exclusively on historical activity involving deposits and disbursements and future cash flow projections. No representation is made as to an individual depositor's ability to meet their anticipated expenditures with anticipated revenues.

The Cash Availability Projection for the six months ending March 31, 2014, indicates the ability of the pools to meet projected cash flow requirements. However, there will usually be differences between projected and actual results because events and circumstances frequently do not occur as expected and those differences may be material.

ORANGE COUNTY INVESTMENT POOL				
Month	Investment Maturities	Projected Deposits	Projected Disbursements	Cumulative Available Cash
September 2013 - Ending Cash*				\$ (5,256,538)
October	\$ 622,178,990	\$ 481,901,848	\$ 397,954,237	700,870,064
November	215,887,944	1,088,518,806	462,588,979	1,542,687,835
December	299,942,965	2,008,638,840	1,904,808,181	1,946,461,459
January	52,172,272	417,690,571	944,039,015	1,472,285,286
February	161,632,659	473,847,656	321,956,366	1,785,809,236
March	210,504,235	799,183,101	530,547,182	2,264,949,389

ORANGE COUNTY EDUCATIONAL INVESTMENT POOL				
Month	Investment Maturities	Projected Deposits	Projected Disbursements	Cumulative Available Cash
September 2013 - Ending Cash*				\$ 5,305,050
October	\$ 942,540,496	\$ 441,706,955	\$ 537,202,396	852,350,104
November	203,857,062	337,519,674	487,457,138	906,269,703
December	119,891,417	1,208,504,223	338,048,800	1,896,616,543
January	70,171,277	420,408,114	689,334,878	1,697,861,056
February	89,442,606	316,458,510	499,185,200	1,604,576,972
March	95,503,169	510,042,337	517,099,141	1,693,023,336

* The negative accounting book balance is a timing difference due to cash received by the County from the State on 9/30, the last business day, but not booked until October 1. The cash bank balance on September 30 was \$4,344,861.

ORANGE COUNTY TREASURER-TAX COLLECTOR
STATEMENT OF ACCOUNTABILITY
FOR THE MONTH AND QUARTER ENDED: SEPTEMBER 30, 2013

	<u>Month</u>	<u>Quarter</u>
Treasurer's Accountability at the Beginning of the Period:	\$6,165,052,783	\$6,490,055,539
Cash Receipts:		
County	318,286,225	1,212,573,486
Schools	484,864,092	1,192,574,480
Total Cash Receipts	803,150,317	2,405,147,966
Cash Disbursements:		
County	358,706,158	1,447,838,137
Schools	489,765,889	1,327,720,952
Total Cash Disbursements	848,472,047	2,775,559,089
Net Change in Book Value of Pooled Assets	(45,321,730)	(370,411,123)
Net (Decrease) Increase in Specific Investments	(63,110)	23,527
Treasurer's Accountability at the End of the Period:	<u>\$6,119,667,943</u>	<u>\$6,119,667,943</u>
Assets in the Treasury at the end of the period:		
O.C. Investment Pool		\$2,882,551,437
O.C. Educational Investment Pool		3,186,010,618
Non Pooled Investments-John Wayne Airport		49,866,873
Non Pooled Investments-other		1,190,503
Cash in banks-County*		(5,289,812)
Cash in banks-Schools		5,305,050
Cash in vault		33,274
		<u>\$6,119,667,943</u>

* The negative accounting book balance is a timing difference due to cash received by the County from the State on 9/30, but not booked until October 1. The cash bank balance on September 30 was \$4,344,861.

ORANGE COUNTY TREASURER-TAX COLLECTOR
INVESTMENT POLICY (IPS) COMPLIANCE SUMMARY
September 30, 2013

Investment Policy (IPS) Guidelines		County Money Market Fund		Extended Fund		Educational Money Market Fund		John Wayne Airport Investment Pool	
Diversification Limit	Investment Type	Market Value of Investments (1)	Percent of Portfolio	Market Value of Investments (1)	Percent of Portfolio	Market Value of Investments (1)	Percent of Portfolio	Market Value of Investments (1)	Percent of Portfolio
100%	U.S. Treasuries	\$ 105,002,756	8.76%	\$ 213,495,957	7.06%	\$ 375,364,344	20.46%	\$ -	0.00%
30%	Negotiable Certificates of Deposit	103,996,268	8.68%	-	0.00%	124,490,455	6.79%	3,999,747	8.04%
40%	Commercial Paper	-	0.00%	-	0.00%	-	0.00%	-	0.00%
100%	U.S. Government Agencies	781,192,811	65.18%	2,608,718,887	86.26%	1,063,399,102	57.97%	39,234,696	78.86%
20%	Money Market Funds	123,277,739	10.28%	-	0.00%	130,072,137	7.09%	1,149,202	2.31%
30%	Medium-Term Notes	85,095,576	7.10%	202,184,621	6.68%	141,099,890	7.69%	5,367,739	10.79%
30%	Municipal Debt	-	0.00%	-	0.00%	-	0.00%	-	0.00%
100%	Repurchase Agreement	-	0.00%	-	0.00%	-	0.00%	-	0.00%
		\$ 1,198,565,150	100.00%	\$ 3,024,399,465	100.00%	\$ 1,834,425,928	100.00%	\$ 49,751,384	100.00%

Investment Policy Guidelines	Compliance Category (Yes/No)	County Money Market Fund	Extended Fund	Educational Money Market Fund	John Wayne Airport Investment Pool
5%	Percentage Limits				
10%	Issuer Limit	Yes	Yes	Yes	Yes
30%	Money Market Mutual Fund Issuer	Yes	N/A	Yes	Yes
See Above	Government Agencies Issuer	Yes	Yes	Yes	Yes
	Diversification Limit	Yes	Yes	Yes	Yes
60 Days	Maturity/Duration Limits				
>Merrill 1-3 Year Index+25% (2.38)	Weighted Average Maturity	Yes/56.52	N/A	Yes/56.51	Yes/55.23
13 Months/397 days	Duration	N/A	Yes/1.75	N/A	N/A
5 Years/1826 days	Final Maturity - Money Market Fund	Yes/347	N/A	Yes/365	Yes/343
	Final Maturity - Extended Fund	N/A	Yes/1695	N/A	N/A
A-1/≥ A	Quality Limits				
≥ A-1/P-1/F2	MMF Short Term/Long Term	Yes	N/A	Yes	Yes
A-1/≥ AA (2)	Short Term Debt/No Split Ratings	Yes	N/A	Yes	Yes
	Extended Fund Short Term/Long Term	N/A	Yes	N/A	N/A
Approved Issuer List	Authorized Issuer	Yes	Yes	Yes	Yes
Broker/Dealer List	Authorized Financial Dealer/Institution	Yes	Yes	Yes	Yes
0.995 - 1.005	Net Asset Value - Money Market Fund	Yes/1.0001	N/A	Yes/1.0001	Yes/1.0002

(1) All investments are marked to market in compliance with the narrow valuation range prescribed by the IPS and market values are provided by Bloomberg Professional Services and Northern Tru

(2) Excludes US Government Debt per IPS policy approved by the Board of Supervisors on January 8, 2013

NA Not applicable

Note: Compliance exceptions, if any, are noted by red shading for the specific IPS guideline and investment pool.

**ORANGE COUNTY TREASURER-TAX COLLECTOR
INVESTMENT POLICY (IPS) AND TREASURY OVERSIGHT COMMITTEE (TOC) COMPLIANCE SUMMARY
SEPTEMBER 30, 2013**

COMPLIANCE CATEGORY	REGULATORY/POLICY GUIDELINES	CURRENT STATUS
Annual Compliance Audit	Performance Evaluation-Cal Govt. Code 27134	Calendar Year 2011 is complete. Calendar year 2012 in process.
Quarterly Review	Performance Evaluation-Cal Govt. Code 26920(a)	Quarterly review of Statement of Assets as of 9/30/12 completed. Quarter ended 3/31/13 in process.
Annual Audit	Performance Evaluation-Cal Govt. Code 26920(b)	Annual audit of Statement of Assets as of 12/31/12 completed
Treasury Administrative Fee	Compensation Agreement-Cal Govt. Code 27013	Review of FY 11/12 fees completed.
Annual Broker/Dealer Review	Authorized Financial Dealers and Qualified Institutions	Calendar Year 2012 completed and all 2013 IPS certifications received.
IPS Compliance Deficiencies	Investment/Diversification/Maturity Restrictions	FY 12/13 identified zero compliance incidents. FY 13/14 identified zero compliance incidents as of September 30, 2013
TOC Bylaw Changes	TOC Review and BOS Annual Approval	TOC reviewed Bylaw changes at October 31, 2012 meeting. BOS approved TOC Bylaw changes January 8, 2013.
Annual IPS Approval	TOC Review and BOS Annual Approval	TOC reviewed IPS changes at October 31, 2012 meeting. BOS approved IPS changes January 8, 2013.
TOC Annual Report	TOC Bylaws Rule 30 - Oral and Written Report	TOC 2012 Annual Report presented to BOS on March 19, 2013.
Broker/Financial Institution List	OC Gift Ban Ordinance and Form 700	TOC members were provided a list of active TTC Broker/Dealers and Financial Institutions at April 23, 2013 meeting.
Certificates of Compliance	TOC Bylaws Rule 34 - Annual	TOC members are in compliance for 2013.
Ethics Training	TOC Bylaws Rule 34 - Every Two Years	TOC members are in compliance for 2012.
Conflict of Interest Form 700	TOC Bylaws Rule 34 / IPS - Every Year	TOC members and TTC designated employees are in compliance for 2012.

Orange County Treasurer-Tax Collector
Noncompliance Detail
For the Month Ended September 30, 2013

During September, the Orange County Investment Pool (OCIP), the Orange County Educational Investment Pool (OCEIP), and the John Wayne Airport Investment Pool were all free of noncompliance incidents. The OCIP is comprised of the Orange County Money Market Fund and portions of the Extended Fund. The OCEIP is comprised of the Orange County Educational Money Market Fund and portions of the Extended Fund.

ORANGE COUNTY TREASURER-TAX COLLECTOR

Investment Inventory with Market Value by Entity & Instrument Extended Fund, County and Educational Money Market Funds

As of 30-Sep-2013

Run Date: 04-Oct-2013 04:05 pm
Print Date: 04-Oct-2013
As at date: 04-Oct-2013

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Deal No.	Settle Date	Maturity Date	CUSIP	S&P	Moodys	Fitch	Face Value	Coupon	Purchase Yield	Capital	Capital Accrual	Interest Accrual	Book Value	Market Value *	Unrealized Gain/(Loss)
Issuer / Broker															
UNITED STATES DOLLAR															
<u>County Money Mkt Fnd</u>															
FUNDS															
GOLDMAN SACHS - COUNTY MMF															
	10/1/13			AAAm	AAA-mf		551,606.61		0.0100	551,606.61	0.00	0.00	551,606.61	551,606.61	0.00
INVESCO STIC GOVERNMENT & AGENCY															
	10/1/13			AAAm	AAA-mf	AAAmmf	8,294,392.32		0.0200	8,294,392.32	0.00	0.00	8,294,392.32	8,294,392.32	0.00
MORGAN STANLEY GOVT 8302-COUNTY MMF															
	10/1/13			AAAm	Aaa		114,431,739.60		0.0400	114,431,739.60	0.00	0.00	114,431,739.60	114,431,739.60	0.00
Subtotal for FUNDS:							123,277,738.53		0.0385	123,277,738.53	0.00	0.00	123,277,738.53	123,277,738.53	0.00
CERTIFICATES OF DEPOSIT															
YANKEE CD															
TORONTO DOMINION BANK NY / TDSI															
676360	9/19/13	11/19/13	89112TKQ6	A-1+	P-1	F1+	20,000,000.00	0.1300	0.1300	20,000,000.00	0.00	866.67	20,000,000.00	20,001,110.00	1,110.00
TORONTO DOMINION BANK NY / TDSI															
668414	8/5/13	2/5/14	89112TEZ3	A-1+	P-1	F1+	10,000,000.00	0.2100	0.2100	10,000,000.00	0.00	3,325.00	10,000,000.00	10,001,775.00	1,775.00
TORONTO DOMINION BANK NY / TDSI															
671468	8/22/13	8/22/14	89112TGU2	AA-	Aa1	AA-	15,000,000.00	0.3000	0.3000	15,000,000.00	0.00	5,000.00	15,000,000.00	15,005,409.00	5,409.00
Subtotal for YANKEE CD:							45,000,000.00	0.2044	0.2044	45,000,000.00	0.00	9,191.67	45,000,000.00	45,008,294.00	8,294.00
YANKEE CD FLOATER															
ROYAL BANK OF CANADA NY / RBC															
638823	2/19/13	2/19/14	78009NLF1	AA-	Aa3e	NR	20,000,000.00	0.3000	0.3306	20,000,000.00	0.00	7,166.67	20,000,000.00	19,996,058.00	(3,942.00)
ROYAL BANK OF CANADA NY / RBC															
645232	3/26/13	3/26/14	78009NMC7	AA-	Aa3e	N.A	20,000,000.00	0.2800	0.3000	20,000,000.00	0.00	833.33	20,000,000.00	19,999,013.40	(986.60)
TORONTO DOMINION BANK NY / TDSI															
660322	6/19/13	6/19/14	89112W6D4	AA-	Aa1	AA-	10,000,000.00	0.2305	0.2305	10,000,000.00	0.00	768.33	10,000,000.00	9,993,565.30	(6,434.70)
ROYAL BANK OF CANADA NY / RBC															
660956	6/24/13	6/24/14	78009NNK8	AA-	Aa3	F1+	9,000,000.00	0.2895	0.2895	9,000,000.00	0.00	506.63	9,000,000.00	8,999,337.15	(662.85)
Subtotal for YANKEE CD FLOATER:							59,000,000.00	0.2798	0.2970	59,000,000.00	0.00	9,274.96	59,000,000.00	58,987,973.85	(12,026.15)
Subtotal for CERTIFICATES OF DEPOSIT:							104,000,000.00	0.2472	0.2569	104,000,000.00	0.00	18,466.63	104,000,000.00	103,996,267.85	(3,732.15)
GOVERNMENT AGENCY															
DISCOUNT NOTES															
FNMA DISCOUNT / MORGAN STANLEY															
650493	4/24/13	10/3/13	313589NH2	AA+	Aaa	AAA	21,000,000.00		0.1050	20,988,852.50	0.00	9,800.00	20,998,652.50	20,998,218.15	(434.35)
FREDDIE DISCOUNT / CRED SUIS SECURITIES															
650239	4/23/13	10/21/13	313397NF4	AA+	Aaa	AAA	30,000,000.00		0.1000	29,984,916.67	0.00	13,416.66	29,998,333.33	29,999,670.00	1,336.67

ORANGE COUNTY TREASURER-TAX COLLECTOR

Investment Inventory with Market Value by Entity & Instrument Extended Fund, County and Educational Money Market Funds

As of 30-Sep-2013

Run Date: 04-Oct-2013 04:05 pm
Print Date: 04-Oct-2013
As at date: 04-Oct-2013

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User: uphan

Deal No.	Settle Date	Maturity Date	CUSIP	S&P	Moody's	Fitch	Face Value	Coupon	Purchase Yield	Capital	Capital Accrual	Interest Accrual	Book Value	Market Value *	Unrealized Gain/(Loss)
Issuer / Broker															
UNITED STATES DOLLAR															
<u>County Money Mkt Fnd</u>															
GOVERNMENT AGENCY															
DISCOUNT NOTES															
FNMA DISCOUNT / DEUTSCHE															
650490	4/24/13	10/23/13	313589NH2	AA+	Aaa	AAA	25,000,000.00		0.0950	24,987,993.06	0.00	10,555.55	24,998,548.61	24,997,878.75	(669.86)
FNMA DISCOUNT / BNP PARIBAS															
650491	4/24/13	10/23/13	313589NH2	AA+	Aaa	AAA	25,000,000.00		0.0950	24,987,993.06	0.00	10,555.55	24,998,548.61	24,997,878.75	(669.86)
FNMA DISCOUNT / BNP PARIBAS															
639435	2/22/13	10/25/13	313589NKS	AA+	Aaa	AAA	20,000,000.00		0.1600	19,978,222.22	0.00	19,644.45	19,997,866.67	19,998,148.80	282.13
FNMA DISCOUNT / DEUTSCHE															
672142	8/26/13	12/18/13	313589QR7	AA+	Aaa	AAA	20,000,000.00		0.1150	19,992,716.67	0.00	2,300.00	19,995,016.67	19,999,140.00	4,123.33
FHLB DISC CORP / RBS GREENWICH CAPITAL															
677853	9/27/13	12/27/13	313385RA6	AA+	Aaa	AAA	25,000,000.00		0.0400	24,997,472.22	0.00	111.11	24,997,583.33	24,992,904.00	(4,679.33)
Subtotal for DISCOUNT NOTES:							166,000,000.00	0.0000	0.0991	165,918,166.40	0.00	66,383.32	165,984,549.72	165,983,838.45	(711.27)
GOVT AGENCY-FIX-30/360															
FED HM LN BK BD / BNP PARIBAS															
672608	8/28/13	10/18/13	3133XSAE8	AA+	Aaa	AAA	2,000,000.00	3.6250	0.0601	2,009,896.00	(6,531.36)	6,645.83	2,003,364.64	2,003,600.00	235.36
FED HM LN BK BD / BARCLAYS CAPITAL															
672609	8/28/13	10/18/13	3133XSAE8	AA+	Aaa	AAA	2,700,000.00	3.6250	0.0601	2,713,359.60	(8,817.34)	8,971.88	2,704,542.26	2,704,860.00	317.74
FANNIE MAE / RBC															
666906	7/26/13	10/22/13	31398A4S8	AA+	Aaa	AAA	25,000,000.00	1.0500	0.0767	25,058,000.00	(43,837.21)	47,395.83	25,014,162.79	25,013,625.00	(537.79)
FED HM LN BK BD / BNP PARIBAS															
673703	9/5/13	10/25/13	313382VT7	AA+	Aaa	AAA	25,000,000.00	0.1000	0.0453	25,001,900.00	(988.00)	1,805.55	25,000,912.00	25,000,250.00	(662.00)
FREDDIE MAC / DEUTSCHE															
676834	9/23/13	10/28/13	3137EACL1	AA+	Aaa	AAA	2,414,000.00	0.8750	0.0601	2,415,911.89	(437.00)	469.39	2,415,474.89	2,415,375.98	(98.91)
FREDDIE MAC / BNP PARIBAS															
673373	9/3/13	10/30/13	3137EACK5	AA+	Aaa	AAA	5,625,000.00	0.3750	0.0686	5,627,728.13	(1,340.13)	1,640.63	5,626,388.00	5,626,518.75	130.75
FREDDIE MAC / BARCLAYS CAPITAL															
675622	9/16/13	10/30/13	3137EACK5	AA+	Aaa	AAA	1,572,000.00	0.3750	0.0354	1,572,652.38	(222.40)	245.63	1,572,429.98	1,572,424.44	(5.54)
FED HM LN BK BD / MORGAN STANLEY															
640702	2/28/13	11/14/13	313379FB0	AA+	Aaa	AAA	10,000,000.00	0.2900	0.1774	10,008,000.00	(6,656.25)	11,036.11	10,001,343.75	10,002,500.00	1,156.25
FED HM LN BK BD / GOLDMAN SACHS GROUP INC															
676835	9/23/13	11/20/13	3133833Y5	AA+	Aaa	AAA	50,000,000.00	0.1000	0.0399	50,004,700.00	(659.65)	1,111.11	50,004,040.35	50,001,350.00	(2,690.35)
FED HM LN BK BD / GOLDMAN SACHS GROUP INC															
676836	9/23/13	11/20/13	3133833Y5	AA+	Aaa	AAA	10,000,000.00	0.1000	0.0399	10,000,940.00	(131.93)	222.22	10,000,808.07	10,000,270.00	(538.07)
FED FARM CR BK / MORGAN STANLEY															

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>County Money Mkt Fnd</u>															
GOVERNMENT AGENCY															
GOVT AGENCY-FIX-30/360															
678142	9/30/13	11/25/13	31331XBS0	AA+	Aaa	AAA	1,000,000.00	5.0500	0.0409	1,007,650.00	(139.09)	140.28	1,007,510.91	1,007,650.00	139.09
FED HM LN BK BD / BARCLAYS CAPITAL															
672610	8/28/13	11/27/13	3133762C8	AA+	Aaa	AAA	2,090,000.00	0.3750	0.0699	2,091,567.50	(581.21)	718.44	2,090,986.29	2,091,086.80	100.51
FED HM LN BK BD / BNP PARIBAS															
673374	9/3/13	11/27/13	3133762C8	AA+	Aaa	AAA	2,340,000.00	0.3750	0.0774	2,341,619.28	(539.76)	682.50	2,341,079.52	2,341,216.80	137.28
FED HM LN BK BD / CRED SUIS SECURITIES															
638555	2/15/13	2/14/14	313382BT9	AA+	Aaa	AAA	20,000,000.00	0.1250	0.1926	19,986,540.00	8,473.43	3,263.89	19,995,013.43	20,000,600.00	5,586.57
FREDDIE MAC / JEFFERIES & COMPANY															
655817	5/23/13	2/25/14	3137EACR8	AA+	Aaa	AAA	25,000,000.00	1.3750	0.1380	25,233,446.75	(109,857.29)	34,375.00	25,123,589.46	25,131,375.00	7,785.54
FANNIE MAE / CANTOR FITZGERALD															
656805	5/30/13	2/27/14	3135G0AP8	AA+	Aaa	AAA	15,000,000.00	1.2500	0.1433	15,123,000.00	(55,741.57)	17,708.33	15,067,258.43	15,072,600.00	5,341.57
FED HM LN BK BD / CRED SUIS SECURITIES															
645746	3/28/13	3/27/14	313382M42	AA+	Aaa	N.A	20,000,000.00	0.1250	0.1876	19,987,540.00	6,351.48	277.78	19,993,891.48	20,004,400.00	10,508.52
FED HM LN BK BD / CRED SUIS SECURITIES															
647984	4/11/13	4/11/14	313382RL9	AA+	Aaa	AAA	10,000,000.00	0.1250	0.1825	9,994,260.00	2,710.56	5,902.78	9,996,970.56	10,001,800.00	4,829.44
FED HM LN BK BD / CRED SUIS SECURITIES															
659829	6/17/13	6/11/14	313383L66	AA+	Aaa	AAA	17,000,000.00	0.1250	0.1723	16,992,095.00	2,322.37	6,138.89	16,994,417.37	16,998,980.00	4,562.63
FED HM LN BK BD / BNP PARIBAS															
675128	9/12/13	9/12/14	313383ZP9	AA+	Aaa	AAA	15,000,000.00	0.1700	0.2078	14,994,345.00	298.46	1,345.83	14,994,643.46	15,001,500.00	6,856.54
Subtotal for GOVT AGENCY-FIX-30/360:							261,741,000.00	0.4977	0.1128	262,165,151.53	(216,323.89)	150,097.90	261,948,827.64	261,991,982.77	43,155.13
GOVT AGENCY-FLOAT-ACT/360															
FED FARM CR BK / DEUTSCHE															
619324	10/22/12	10/22/13	3133EA5J3	AA+	Aaa	AAA	20,000,000.00	0.0900	0.1437	19,998,000.00	1,884.93	4,338.89	19,999,884.93	20,000,800.00	915.07
FANNIE MAE / RBC															
666408	7/24/13	11/8/13	3135G0FQ1	AA+	Aaa	AAA	25,000,000.00	0.1519	0.0669	25,006,200.00	(3,998.13)	2,426.18	25,002,201.87	25,001,625.00	(576.87)
FREDDIE MAC / BNP PARIBAS															
626890	12/7/12	11/18/13	3134G3AG5	AA+	Aaa	AAA	9,000,000.00	0.3600	0.1767	9,022,500.00	(19,378.61)	4,180.00	9,003,121.39	9,003,195.00	73.61
FED FARM CR BK / CASTLE OAK SECURITIES															
630589	12/28/12	11/18/13	31331KLJ7	AA+	Aaa	AAA	14,000,000.00	0.1693	0.1541	14,001,890.00	(1,610.86)	855.65	14,000,279.14	14,002,800.00	2,520.86
FED HM LN BK BD / CASTLE OAK SECURITIES															
666668	7/25/13	11/25/13	313383T43	AA+	Aaa	AAA	30,000,000.00	0.0690	0.0690	30,000,000.00	0.00	345.00	30,000,000.00	30,000,900.00	900.00
FED FARM CR BK / BNP PARIBAS															
626888	12/7/12	12/6/13	3133EC6H2	AA+	Aaa	AAA	10,000,000.00	0.1800	0.1900	9,999,000.00	818.68	1,250.00	9,999,818.68	10,002,000.00	2,181.32

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>County Money Mkt Fnd</u>															
GOVERNMENT AGENCY															
GOVT AGENCY-FLOAT-ACT/360															
FED FARM CR BK / JP MORGAN CHASE & CO															
627304	12/10/12	12/6/13	3133EC6J8	AA+	Aaa	AAA	50,000,000.00	0.1317	0.1468	49,992,500.00	6,128.81	4,572.92	49,998,628.81	50,007,000.00	8,371.19
FED FARM CR BK / MORGAN STANLEY															
630260	12/27/12	12/27/13	3133ECC51	AA+	Aaa	AAA	25,000,000.00	0.0900	0.1817	24,998,738.00	961.19	305.56	24,999,699.19	25,003,500.00	3,800.81
FED FARM CR BK / MORGAN STANLEY															
630261	12/27/12	12/27/13	3133ECC69	AA+	Aaa	AAA	25,000,000.00	0.1100	0.1959	24,997,475.25	1,922.96	305.56	24,999,398.21	25,002,750.00	3,351.79
FED FARM CR BK / CASTLE OAK SECURITIES															
656026	5/24/13	2/24/14	3133ECQ72	AA+	Aaa	AAA	25,000,000.00	0.1200	0.1200	25,000,000.00	0.00	3,166.67	25,000,000.00	25,003,000.00	3,000.00
FED FARM CR BK / CASTLE OAK SECURITIES															
651834	5/1/13	5/1/14	3133ECNM2	AA+	Aaa	AAA	30,000,000.00	0.1200	0.1275	29,997,750.00	943.15	6,100.00	29,998,693.15	30,002,100.00	3,406.85
FED FARM CR BK / UBS FINANCIAL SERVICES															
652089	5/2/13	5/1/14	3133ECN26	AA+	Aaa	AAA	10,000,000.00	0.1282	0.1382	9,999,000.00	417.58	0.00	9,999,417.58	10,001,350.00	1,932.42
Subtotal for GOVT AGENCY-FLOAT-ACT/360:							273,000,000.00	0.1265	0.1365	273,013,053.25	(11,910.30)	27,846.43	273,001,142.95	273,031,020.00	29,877.05
GOVT AGENCY-FLOAT-ACT/ACT															
FED FARM CR BK / BARCLAYS CAPITAL															
645748	3/28/13	3/5/14	3133ECH49	AA+	Aaa	AAA	40,000,000.00	0.0600	0.1311	39,987,010.40	7,102.50	1,714.29	39,994,112.90	39,997,200.00	3,087.10
FED FARM CR BK / RBC															
651211	4/29/13	4/21/14	31331KHX1	AA+	Aaa	AAA	7,685,000.00	0.1800	0.1271	7,691,759.57	(2,934.83)	2,706.46	7,688,824.74	7,689,995.25	1,170.51
FED FARM CR BK / JP MORGAN CHASE & CO															
652087	5/2/13	5/2/14	3133ECNH3	AA+	Aaa	AAA	20,000,000.00	0.0800	0.1300	19,998,000.00	832.88	2,608.70	19,998,832.88	19,999,400.00	567.12
Subtotal for GOVT AGENCY-FLOAT-ACT/ACT:							67,685,000.00	0.0795	0.1304	67,676,769.97	5,000.55	7,029.45	67,681,770.52	67,686,595.25	4,824.73
GOV'T AGY - CALLABLE															
FED FARM CR BK / BANK OF AMERICA															
677353	9/25/13	9/2/14	3133EA3A4	AA+	Aaa	AAA	12,500,000.00	0.2800	0.2747	12,500,562.50	(482.14)	583.33	12,500,080.36	12,499,375.00	(705.36)
Subtotal for GOV'T AGY - CALLABLE:							12,500,000.00	0.2800	0.2747	12,500,562.50	(482.14)	583.33	12,500,080.36	12,499,375.00	(705.36)
Subtotal for GOVERNMENT AGENCY:							780,926,000.00	0.2224	0.1223	781,273,703.65	(223,715.78)	251,940.43	781,116,371.19	781,192,811.47	76,440.28
MEDIUM TERM NOTES															
MEDIUM TERM NOTE															
WAL-MART STORES INC / GOLDMAN SACHS															
619995	10/25/12	10/25/13	931142CW1	AA	Aa2	AA	15,000,000.00	0.7500	0.2531	15,074,400.00	(69,440.00)	48,750.00	15,004,960.00	15,015,150.00	10,190.00
WAL-MART STORES INC / GOLDMAN SACHS GROUP INC															

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>County Money Mkt Fnd</u>															
MEDIUM TERM NOTES															
MEDIUM TERM NOTE															
631802	1/7/13	10/25/13	931142CW1	AA	Aa2	AA	1,150,000.00	0.7500	0.2329	1,154,749.50	(4,353.71)	3,737.50	1,150,395.79	1,151,161.50	765.71
WAL-MART STORES INC / GOLDMAN SACHS GROUP INC															
641203	3/4/13	10/25/13	931142CW1	AA	Aa2	AA	900,000.00	0.7500	0.2474	902,898.00	(2,596.91)	2,925.00	900,301.09	900,909.00	607.91
BERKSHIRE HATHAWAY INC / CITIGROUP GLOBAL MARKETS															
641199	3/4/13	1/10/14	084664BR1	AA+	Aa2	A+	1,385,000.00	1.5000	0.2916	1,399,196.25	(9,603.35)	4,674.38	1,389,592.90	1,389,875.20	282.30
WAL-MART STORES INC / CRED SUIS SECURITIES															
675132	9/12/13	4/15/14	931142DA8	AA	Aa2	AA	14,560,000.00	1.6250	0.1818	14,684,196.80	(11,078.59)	12,487.22	14,673,118.21	14,684,196.80	11,078.59
JOHNSON & JOHNSON / BNP PARIBAS															
652740	5/7/13	5/15/14	478160AX2	AAA	Aaa	AAA	500,000.00	1.2000	0.2431	504,881.50	(1,910.15)	2,266.67	502,971.35	503,325.00	353.65
MICROSOFT CORP / BNP PARIBAS															
661576	6/26/13	6/1/14	594918AB0	AA+	Aaa	AAA	13,000,000.00	2.9500	0.3059	13,319,150.00	(90,505.22)	101,201.39	13,228,644.78	13,243,490.00	14,845.22
MICROSOFT CORP / BARCLAYS CAPITAL															
661820	6/27/13	6/1/14	594918AB0	AA+	Aaa	AAA	10,271,000.00	2.9500	0.3055	10,522,434.08	(70,762.88)	79,115.23	10,451,671.20	10,463,375.83	11,704.63
MICROSOFT CORP / BNP PARIBAS															
662200	7/1/13	6/1/14	594918AB0	AAA	Aaa	AA+	10,000,000.00	2.9500	0.3053	10,241,890.00	(65,970.00)	73,750.00	10,175,920.00	10,187,300.00	11,380.00
MICROSOFT CORP / BARCLAYS CAPITAL															
662206	7/1/13	6/1/14	594918AB0	AAA	Aaa	AA+	265,000.00	2.9500	0.3052	271,410.35	(1,748.28)	1,954.37	269,662.07	269,963.45	301.38
MICROSOFT CORP / JEFFERIES & COMPANY															
663448	7/8/13	6/1/14	594918AB0	AAA	Aaa	AA+	349,000.00	2.9500	0.2952	357,295.16	(2,131.57)	2,373.68	355,163.59	355,536.77	373.18
MICROSOFT CORP / MORGAN STANLEY															
665141	7/17/13	6/1/14	594918AB0	AAA	Aaa	AA+	16,620,000.00	2.9500	0.2543	17,010,071.40	(91,927.65)	100,781.83	16,918,143.75	16,931,292.60	13,148.85
Subtotal for MEDIUM TERM NOTE:							84,000,000.00	2.2395	0.2623	85,442,573.04	(422,028.31)	434,017.27	85,020,544.73	85,095,576.15	75,031.42
Subtotal for MEDIUM TERM NOTES:							84,000,000.00	2.2395	0.2623	85,442,573.04	(422,028.31)	434,017.27	85,020,544.73	85,095,576.15	75,031.42
TREASURIES															
TREASURY BILL															
US TREASURY N/B / BARCLAYS CAPITAL															
677622	9/26/13	10/24/13	912796BG3	AA+	Aaa	AAA	25,000,000.00		0.0505	24,999,018.06	0.00	175.35	24,999,018.06	24,999,640.50	622.44
US TREASURY N/B / BARCLAYS CAPITAL															
677623	9/26/13	10/24/13	912796BG3	AA+	Aaa	AAA	30,000,000.00		0.0405	29,999,055.00	0.00	168.75	29,999,055.00	29,999,568.60	513.60
US TREASURY N/B / BARCLAYS CAPITAL															
677624	9/26/13	10/24/13	912796BG3	AA+	Aaa	AAA	25,000,000.00		0.0505	24,999,018.06	0.00	175.35	24,999,018.06	24,999,640.50	622.44
Subtotal for TREASURY BILL:							80,000,000.00	0.0000	0.0467	79,997,091.12	0.00	519.45	79,997,091.12	79,998,849.60	1,758.48

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Issuer / Broker															
UNITED STATES DOLLAR															
County Money Mkt Fnd															
TREASURIES															
TREASURY BOND															
US TREASURY N/B / RBS GREENWICH CAPITAL															
672346	8/27/13	10/31/13	912828RN2	AA+	Aaa	AAA	10,000,000.00	0.2500	0.0519	10,003,515.63	(1,893.03)	2,390.91	10,001,622.60	10,001,562.50	(60.10)
US TREASURY N/B / UBS FINANCE (DE) LLC															
672348	8/27/13	10/31/13	912828RN2	AA+	Aaa	AAA	15,000,000.00	0.2500	0.0519	15,005,273.44	(2,839.54)	3,586.37	15,002,433.90	15,002,343.75	(90.15)
Subtotal for TREASURY BOND:							25,000,000.00	0.2500	0.0519	25,008,789.07	(4,732.57)	5,977.28	25,004,056.50	25,003,906.25	(150.25)
Subtotal for TREASURIES:							105,000,000.00	0.0595	0.0480	105,005,880.19	(4,732.57)	6,496.73	105,001,147.62	105,002,755.85	1,608.23
Total County Money Mkt Fnd :							1,197,203,738.53	0.3289	0.1287	1,198,999,895.41	(650,476.66)	710,921.06	1,198,415,802.07	1,198,565,149.85	149,347.78
Net Asset Value (NAV):														1.000125	
Educational Money Mkt Fnd															
FUNDS															
GOLDMAN SACHS - EDUCATIONAL MMF															
	10/1/13			AAAm	AAA-mf		216,214.01		0.0100	216,214.01	0.00	0.00	216,214.01	216,214.01	0.00
INVESCO STIC GOVERNMENT & AGENCY															
	10/1/13			AAAm	AAA-mf	AAAmmf	448,646.90		0.0200	448,646.90	0.00	0.00	448,646.90	448,646.90	0.00
MORGAN STANLEY GOVT 8302-EDUCATIONAL MMF															
	10/1/13			AAAm	Aaa		129,407,276.20		0.0400	129,407,276.20	0.00	0.00	129,407,276.20	129,407,276.20	0.00
Subtotal for FUNDS:							130,072,137.11		0.0399	130,072,137.11	0.00	0.00	130,072,137.11	130,072,137.11	0.00
CERTIFICATES OF DEPOSIT															
YANKEE CD															
TORONTO DOMINION BANK NY / TDSI															
676376	9/19/13	10/31/13	89112TKR4	A-1+	P-1	F1+	15,000,000.00	0.1200	0.1200	15,000,000.00	0.00	600.00	15,000,000.00	15,000,387.00	387.00
TORONTO DOMINION BANK NY / TDSI															
665638	7/19/13	1/17/14	89112TCQ5	A-1+	P-1	F1+	15,000,000.00	0.2200	0.2200	15,000,000.00	0.00	6,783.33	15,000,000.00	15,003,174.00	3,174.00
Subtotal for YANKEE CD:							30,000,000.00	0.1700	0.1700	30,000,000.00	0.00	7,383.33	30,000,000.00	30,003,561.00	3,561.00
YANKEE CD FLOATER															
TORONTO DOMINION BANK NY / TDSI															
619055	10/19/12	10/21/13	89112WDG9	AA-	Aaa	AA-	10,000,000.00	0.2662	0.2761	10,000,000.00	0.00	5,471.89	10,000,000.00	10,001,100.00	1,100.00
TORONTO DOMINION BANK NY / RBC															
664369	7/12/13	10/21/13	89112WDG9	A-1+	P-1	F1+	10,000,000.00	0.2662	0.1866	10,002,412.80	(1,935.02)	5,471.89	10,000,477.78	10,001,100.00	622.22
ROYAL BANK OF CANADA NY / RBC															
638824	2/19/13	2/19/14	78009NLF1	AA-	Aa3e	NR	20,000,000.00	0.3000	0.3306	20,000,000.00	0.00	7,166.67	20,000,000.00	19,996,058.00	(3,942.00)

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Educational Money Mkt Fnd</u>															
CERTIFICATES OF DEPOSIT															
YANKEE CD FLOATER															
ROYAL BANK OF CANADA NY / RBC															
658622	6/11/13	2/21/14	78009NLH7	AA-	Aa3	N.A	4,500,000.00	0.3000	0.2384	4,502,216.34	(973.45)	1,537.50	4,501,242.89	4,499,280.36	(1,962.53)
ROYAL BANK OF CANADA NY / RBC															
674181	9/4/13	2/21/14	78009NLH7	AA+	Aaa	AAA	5,000,000.00	0.3000	0.2006	5,002,335.00	(370.85)	1,124.52	5,001,964.15	4,999,200.40	(2,763.75)
ROYAL BANK OF CANADA NY / RBC															
645233	3/26/13	3/26/14	78009NMC7	AA-	Aa3e	N.A	25,000,000.00	0.2800	0.3700	25,000,000.00	0.00	1,041.67	25,000,000.00	24,998,766.75	(1,233.25)
ROYAL BANK OF CANADA NY / CRED SUIS SECURITIES															
672682	8/29/13	4/17/14	78009NMK9	AA-	Aa3	AA	10,000,000.00	0.2800	0.2054	10,005,140.00	(734.29)	2,516.16	10,004,405.71	9,998,895.20	(5,510.51)
TORONTO DOMINION / TDSI															
659832	6/17/13	6/17/14	89112WSU7	AA-	Aa1	AA-	10,000,000.00	0.2419	0.2419	10,000,000.00	0.00	940.84	10,000,000.00	9,992,493.40	(7,506.60)
Subtotal for YANKEE CD FLOATER:							94,500,000.00	0.2793	0.2861	94,512,104.14	(4,013.61)	25,271.14	94,508,090.53	94,486,894.11	(21,196.42)
Subtotal for CERTIFICATES OF DEPOSIT:							124,500,000.00	0.2530	0.2581	124,512,104.14	(4,013.61)	32,654.47	124,508,090.53	124,490,455.11	(17,635.42)
GOVERNMENT AGENCY															
DISCOUNT NOTES															
FNMA DISCOUNT / BARCLAYS CAPITAL															
671913	8/23/13	10/1/13	313589MK6	AA+	Aaa	AAA	50,000,000.00		0.0300	49,998,375.00	0.00	1,625.00	50,000,000.00	50,000,000.00	0.00
FNMA DISCOUNT / GOLDMAN SACHS GROUP INC															
672604	8/28/13	10/1/13	313589MK6	AA+	Aaa	AAA	1,300,000.00		0.0600	1,299,926.33	0.00	73.67	1,300,000.00	1,300,000.00	0.00
FNMA DISCOUNT / BANK OF AMERICA															
663452	7/8/13	10/2/13	313589ML4	AA+	Aaa	AAA	8,647,000.00		0.0800	8,645,347.46	0.00	1,633.32	8,646,980.78	8,646,991.35	10.57
FREDDIE DISCOUNT / UBS FINANCIAL SERVICES															
670216	8/15/13	10/7/13	313397MR9	AA+	Aaa	AAA	7,207,000.00		0.0650	7,206,310.33	0.00	611.59	7,206,921.92	7,206,833.23	(88.69)
FREDDIE DISCOUNT / BANK OF AMERICA															
672932	8/30/13	10/8/13	313397MS7	AA+	Aaa	AAA	33,200,000.00		0.0400	33,198,561.33	0.00	1,180.45	33,199,741.78	33,199,103.60	(638.18)
FHLB DISC CORP / BARCLAYS CAPITAL															
673377	9/3/13	10/9/13	313385MT0	AA+	Aaa	AAA	3,600,000.00		0.0400	3,599,856.00	0.00	112.00	3,599,968.00	3,599,985.60	17.60
FHLB DISC CORP / BARCLAYS CAPITAL															
673378	9/3/13	10/9/13	313385MT0	AA+	Aaa	AAA	1,700,000.00		0.0500	1,699,915.00	0.00	66.11	1,699,981.11	1,699,993.20	12.09
FREDDIE DISCOUNT / UBS FINANCIAL SERVICES															
666966	7/29/13	10/10/13	313397MU2	AA+	Aaa	AAA	3,200,000.00		0.0450	3,199,708.00	0.00	256.00	3,199,964.00	3,199,984.00	20.00
FREDDIE DISCOUNT / GOLDMAN SACHS GROUP INC															
660376	6/20/13	10/15/13	313397MZ1	AA+	Aaa	AAA	12,500,000.00		0.1000	12,495,937.50	0.00	3,576.39	12,499,513.89	12,499,900.00	386.11
FREDDIE DISCOUNT / CITIGROUP GLOBAL MARKETS															

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Educational Money Mkt Fnd</u>															
GOVERNMENT AGENCY															
DISCOUNT NOTES															
667133	7/29/13	10/15/13	313397MZ1	AA+	Aaa	AAA	2,000,000.00		0.0450	1,999,805.00	0.00	160.00	1,999,965.00	1,999,984.00	19.00
FREDDIE DISCOUNT / GOLDMAN SACHS GROUP INC															
672603	8/28/13	10/15/13	313397MZ1	AA+	Aaa	AAA	1,000,000.00		0.0600	999,920.00	0.00	56.67	999,976.67	999,992.00	15.33
FREDDIE DISCOUNT / GOLDMAN SACHS															
662451	7/1/13	10/22/13	313397NG2	AA+	Aaa	AAA	5,000,000.00		0.1100	4,998,273.61	0.00	1,405.56	4,999,679.17	4,999,940.00	260.83
FNMA DISCOUNT / JP MORGAN CHASE & CO															
673376	9/3/13	10/23/13	313589NH2	AA+	Aaa	AAA	4,100,000.00		0.0500	4,099,715.28	0.00	159.44	4,099,874.72	4,099,652.12	(222.60)
FREDDIE DISCOUNT / BNP PARIBAS															
667180	7/30/13	10/28/13	313397NN7	AA+	Aaa	AAA	25,000,000.00		0.0450	24,997,187.50	0.00	1,968.75	24,999,156.25	24,997,396.75	(1,759.50)
FREDDIE DISCOUNT / GOLDMAN SACHS															
672143	8/26/13	10/28/13	313397NN7	AA+	Aaa	AAA	19,275,000.00		0.0400	19,273,650.75	0.00	771.00	19,274,421.75	19,272,992.89	(1,428.86)
FHLB DISC CORP / GOLDMAN SACHS GROUP INC															
672605	8/28/13	10/30/13	313385NQ5	AA+	Aaa	AAA	1,000,000.00		0.0600	999,895.00	0.00	56.67	999,951.67	999,984.00	32.33
FREDDIE DISCOUNT / FTN FINANCIAL															
671135	8/20/13	11/6/13	313397NX5	AA+	Aaa	AAA	25,000,000.00		0.0700	24,996,208.33	0.00	2,041.67	24,998,250.00	24,997,354.50	(895.50)
FREDDIE DISCOUNT / CITIGROUP GLOBAL MARKETS															
661567	6/26/13	11/12/13	313397PD7	AA+	Aaa	AAA	15,000,000.00		0.1200	14,993,050.00	0.00	4,850.00	14,997,900.00	14,999,655.00	1,755.00
FNMA DISCOUNT / MORGAN STANLEY															
673379	9/3/13	11/15/13	313589PG2	AA+	Aaa	AAA	4,390,000.00		0.0700	4,389,376.86	0.00	239.01	4,389,615.87	4,389,416.04	(199.83)
FHLB DISC CORP / CITIGROUP GLOBAL MARKETS															
677617	9/26/13	11/27/13	313385PU4	AA+	Aaa	AAA	9,300,000.00		0.0320	9,299,487.47	0.00	41.33	9,299,528.80	9,299,702.40	173.60
Subtotal for DISCOUNT NOTES:							232,419,000.00	0.0000	0.0547	232,390,506.75	0.00	20,884.63	232,411,391.38	232,408,860.68	(2,530.70)
GOVT AGENCY-FIX-30/360															
FANNIE MAE / DEUTSCHE															
667182	7/30/13	10/8/13	31398A4H2	AA+	Aaa	AAA	5,000,000.00	1.1250	0.0767	5,009,875.00	(8,858.46)	9,531.25	5,001,016.54	5,000,975.00	(41.54)
FREDDIE MAC / FTN															
664657	7/15/13	10/15/13	3134G2U42	AA+	Aaa	AAA	10,000,000.00	0.3750	0.0854	10,007,190.00	(6,071.56)	7,916.67	10,001,118.44	10,001,050.00	(68.44)
FANNIE MAE / DEUTSCHE															
665868	7/22/13	10/15/13	31359MTG8	AA+	Aaa	AAA	1,522,000.00	4.6250	0.0705	1,537,970.35	(13,276.56)	13,491.89	1,524,693.79	1,524,716.77	22.98
FANNIE MAE / DEUTSCHE															
667445	7/31/13	10/15/13	31359MTG8	AA+	Aaa	AAA	10,199,000.00	4.6250	0.0509	10,294,840.00	(77,708.11)	78,617.29	10,217,131.89	10,217,205.22	73.33
FREDDIE MAC / CRED SUIS SECURITIES															
667929	8/1/13	10/15/13	3134G23H3	AA+	Aaa	AAA	15,000,000.00	0.5000	0.0602	15,013,530.00	(10,970.27)	12,500.00	15,002,559.73	15,002,775.00	215.27

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Educational Money Mkt Fnd</u>															
GOVERNMENT AGENCY															
GOVT AGENCY-FIX-30/360															
FANNIE MAE / FTN FINANCIAL															
671136	8/20/13	10/15/13	31398A4N9	AA+	Aaa	AAA	5,000,000.00	1.0000	0.0702	5,007,090.00	(5,285.27)	5,694.45	5,001,804.73	5,002,600.00	795.27
FANNIE MAE / BARCLAYS CAPITAL															
672937	8/30/13	10/15/13	31359MTG8	AA+	Aaa	AAA	3,899,000.00	4.6250	0.0499	3,921,286.68	(15,353.05)	15,528.31	3,905,933.63	3,905,959.72	26.09
FANNIE MAE / DEUTSCHE															
673365	9/3/13	10/15/13	31359MTG8	AA+	Aaa	AAA	5,195,000.00	4.6250	0.0719	5,222,585.45	(18,390.30)	18,687.57	5,204,195.15	5,204,273.08	77.93
FREDDIE MAC / FTN FINANCIAL															
673651	9/4/13	10/15/13	3134G2U42	AA+	Aaa	AAA	15,000,000.00	0.3750	0.0404	15,005,715.00	(3,763.54)	4,218.75	15,001,951.46	15,001,575.00	(376.46)
FED HM LN BK BD / RBC															
666905	7/26/13	10/18/13	3133XSAE8	AA+	Aaa	AAA	1,535,000.00	3.6250	0.0800	1,547,382.74	(9,815.59)	10,046.79	1,537,567.15	1,537,763.00	195.85
FED HM LN BK BD / BNP PARIBAS															
675617	9/16/13	10/18/13	3133XSAE8	AA+	Aaa	AAA	3,150,000.00	3.6250	0.0400	3,160,035.90	(4,704.33)	4,757.81	3,155,331.57	3,155,670.00	338.43
FANNIE MAE / CANTOR FITZGERALD															
649745	4/19/13	10/22/13	31398A4S8	AA+	Aaa	AAA	5,000,000.00	1.0500	0.1272	5,023,440.00	(20,750.16)	23,187.50	5,002,689.84	5,002,725.00	35.16
FANNIE MAE / DEUTSCHE															
665867	7/22/13	10/22/13	31398A4S8	AA+	Aaa	AAA	3,055,000.00	1.0500	0.0720	3,062,454.20	(5,714.89)	6,148.18	3,056,739.31	3,056,664.98	(74.34)
FED HM LN BK BD / BNP PARIBAS															
673704	9/5/13	10/25/13	313382VT7	AA+	Aaa	AAA	25,000,000.00	0.1000	0.0453	25,001,900.00	(988.00)	1,805.55	25,000,912.00	25,000,250.00	(662.00)
FED HM LN BK BD / FTN FINANCIAL															
673381	9/3/13	10/29/13	3133XY6S9	AA+	Aaa	AAA	1,000,000.00	2.0000	0.0605	1,003,016.00	(1,508.00)	1,555.56	1,001,508.00	1,002,050.00	542.00
FREDDIE MAC / JEFFERIES & COMPANY															
666405	7/24/13	10/30/13	3137EACKS	AA+	Aaa	AAA	9,000,000.00	0.3750	0.0700	9,007,282.08	(5,082.29)	6,281.25	9,002,199.79	9,002,430.00	230.21
FREDDIE MAC / RBC															
666969	7/29/13	10/30/13	3137EACKS	AA+	Aaa	AAA	6,800,000.00	0.3750	0.0750	6,805,126.11	(3,492.51)	4,391.66	6,801,633.60	6,801,836.00	202.40
FREDDIE MAC / DEUTSCHE															
667443	7/31/13	10/30/13	3137EACKS	AA+	Aaa	AAA	6,664,000.00	0.3750	0.0606	6,669,224.58	(3,541.10)	4,234.42	6,665,683.48	6,665,799.28	115.80
FREDDIE MAC / CASTLE OAK SECURITIES															
670160	8/14/13	10/30/13	3137EACKS	AA+	Aaa	AAA	14,350,000.00	0.3750	0.0755	14,359,040.50	(5,590.84)	7,025.52	14,353,449.66	14,353,874.50	424.84
FREDDIE MAC / BNP PARIBAS															
673655	9/4/13	10/30/13	3137EACKS	AA+	Aaa	AAA	25,000,000.00	0.3750	0.0496	25,012,650.00	(6,099.11)	7,031.25	25,006,550.89	25,006,750.00	199.11
FED HM LN BK BD / MORGAN STANLEY															
640703	2/28/13	11/14/13	313379FB0	AA+	Aaa	AAA	15,030,000.00	0.2900	0.1774	15,042,024.00	(10,004.34)	16,587.28	15,032,019.66	15,033,757.50	1,737.84
FREDDIE MAC / DEUTSCHE															

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Educational Money Mkt Fnd</u>															
GOVERNMENT AGENCY															
GOVT AGENCY-FIX-30/360															
673366	9/3/13	11/15/13	3134A4UK8	AA+	Aaa	AAA	6,218,000.00	4.8750	0.0717	6,277,699.02	(23,216.29)	23,576.58	6,254,482.73	6,254,748.38	265.65
FED HM LN BK BD / GOLDMAN SACHS GROUP INC															
677109	9/24/13	11/20/13	3133833Y5	AA+	Aaa	AAA	30,000,000.00	0.1000	0.0401	30,002,760.00	(345.00)	583.33	30,002,415.00	30,000,810.00	(1,605.00)
FED HM LN BK BD / CASTLE OAK SECURITIES															
628832	12/18/12	11/27/13	3133762C8	AA+	Aaa	AAA	15,000,000.00	0.3750	0.1775	15,027,855.00	(23,253.58)	19,375.00	15,004,601.42	15,007,800.00	3,198.58
FREDDIE MAC / JEFFERIES & COMPANY															
666406	7/24/13	11/27/13	3137EACZ0	AA+	Aaa	AAA	31,000,000.00	0.3750	0.0750	31,031,568.23	(17,195.70)	21,635.42	31,014,372.53	31,016,120.00	1,747.47
FED FARM CR BK / DEUTSCHE															
638556	2/15/13	12/4/13	3133EAT32	AA+	Aaa	AAA	10,000,000.00	0.2300	0.1717	10,004,670.00	(3,651.97)	7,475.00	10,001,018.03	10,002,600.00	1,581.97
FED HM LN BK BD / FTN FINANCIAL															
672344	8/27/13	12/5/13	313382F32	AA+	Aaa	AAA	5,000,000.00	0.1600	0.0902	5,000,925.00	(320.92)	755.56	5,000,604.08	5,000,700.00	95.92
FED HM LN BK BD / GOLDMAN SACHS GROUP INC															
650230	4/23/13	12/11/13	313379T58	AA+	Aaa	N.A	15,000,000.00	0.3200	0.1323	15,017,820.00	(12,348.95)	14,666.67	15,005,471.05	15,007,650.00	2,178.95
FANNIE MAE / BNP PARIBAS															
642729	3/12/13	12/18/13	31398A5W8	AA+	Aaa	AAA	15,000,000.00	0.7500	0.1680	15,066,855.00	(48,203.42)	32,187.50	15,018,651.58	15,023,175.00	4,523.42
FED FARM CR BK / FTN FINANCIAL															
672345	8/27/13	12/26/13	3133ECBZ6	AA+	Aaa	AAA	5,000,000.00	0.1800	0.0952	5,001,375.00	(392.86)	850.00	5,000,982.14	5,001,300.00	317.86
FED HM LN BK BD / FTN FINANCIAL															
673380	9/3/13	12/27/13	313371UC8	AA+	Aaa	AAA	4,200,000.00	0.8750	0.0701	4,210,693.20	(2,626.40)	2,858.33	4,208,066.80	4,208,274.00	207.20
FREDDIE MAC / FTN FINANCIAL															
677854	9/27/13	1/30/14	3128X2TM7	AA+	Aaa	AAA	5,000,000.00	5.0000	0.1002	5,083,635.00	(2,719.84)	2,777.78	5,080,915.16	5,081,650.00	734.84
FANNIE MAE / CRED SUIS SECURITIES															
638554	2/15/13	2/5/14	31398AVD1	AA+	Aaa	AAA	15,500,000.00	2.7500	0.1925	15,884,849.50	(248,502.82)	66,305.56	15,636,346.68	15,642,987.50	6,640.82
FREDDIE MAC / JEFFERIES & COMPANY															
655814	5/23/13	2/25/14	3137EACR8	AA+	Aaa	AAA	10,000,000.00	1.3750	0.1380	10,093,378.70	(43,942.92)	13,750.00	10,049,435.78	10,052,550.00	3,114.22
FANNIE MAE / CANTOR FITZGERALD															
656804	5/30/13	2/27/14	3135G0AP8	AA+	Aaa	AAA	15,000,000.00	1.2500	0.1433	15,123,000.00	(55,741.57)	17,708.33	15,067,258.43	15,072,600.00	5,341.57
FED HM LN BK BD / CRED SUIS SECURITIES															
645745	3/28/13	3/27/14	313382M42	AA+	Aaa	N.A	15,000,000.00	0.1250	0.1876	14,990,655.00	4,763.61	208.33	14,995,418.61	15,003,300.00	7,881.39
FREDDIE MAC / JEFFERIES & COMPANY															
662453	7/1/13	3/27/14	3134G4BB3	AA+	Aaa	AAA	10,000,000.00	0.1450	0.1668	9,998,389.50	544.91	161.11	9,998,934.41	10,002,760.00	3,825.59
FREDDIE MAC / RBC															
660109	6/19/13	4/23/14	3137EACB3	AA+	Aaa	AAA	7,575,000.00	2.5000	0.1609	7,724,454.07	(50,145.77)	53,656.25	7,674,308.30	7,677,451.88	3,143.58

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Educational Money Mkt Fnd</u>															
GOVERNMENT AGENCY															
GOVT AGENCY-FIX-30/360															
FED HM LN BK BD / CRED SUIS SECURITIES															
656343	5/29/13	5/20/14	313383BX8	AA+	Aaa	N.A	10,000,000.00	0.1250	0.1623	9,996,370.00	1,261.71	4,236.11	9,997,631.71	9,999,600.00	1,968.29
FED HM LN BK BD / CRED SUIS SECURITIES															
660058	6/18/13	6/11/14	313383L66	AA+	Aaa	AAA	10,000,000.00	0.1250	0.1724	9,995,360.00	1,353.88	3,576.39	9,996,713.88	9,999,400.00	2,686.12
FED HM LN BK BD / FTN FINANCIAL															
661822	6/27/13	6/12/14	313379TL3	AA+	Aaa	AAA	15,000,000.00	0.3750	0.2130	15,023,250.00	(6,334.78)	14,687.50	15,016,915.22	15,026,100.00	9,184.78
FED HM LN BK BD / BNP PARIBAS															
675129	9/12/13	9/12/14	313383ZP9	AA+	Aaa	AAA	15,000,000.00	0.1700	0.2078	14,994,345.00	298.46	1,345.83	14,994,643.46	15,001,500.00	6,856.54
FANNIE MAE / DEUTSCHE															
671681	8/22/13	9/16/14	31398AYY2	AA+	Aaa	AAA	10,000,000.00	3.0000	0.2096	10,297,150.00	(30,179.30)	12,500.00	10,266,970.70	10,271,350.00	4,379.30
FED HM LN BK BD / CASTLE OAK SECURITIES															
678141	9/30/13	9/16/14	3130A0ZV1	AA+	Aaa	AAA	10,000,000.00	0.1250	0.1674	9,995,930.00	11.76	34.72	9,995,941.76	9,996,400.00	458.24
Subtotal for GOVT AGENCY-FIX-30/360:							470,892,000.00	0.8916	0.1133	472,556,645.81	(797,856.04)	574,150.25	471,758,789.77	471,831,526.79	72,737.02
GOVT AGENCY-FLOAT-ACT/360															
FED FARM CR BK / DEUTSCHE															
619325	10/22/12	10/22/13	3133EA5J3	AA+	Aaa	AAA	15,000,000.00	0.0900	0.1437	14,998,500.00	1,413.70	3,254.17	14,999,913.70	15,000,600.00	686.30
FED FARM CR BK / BNP PARIBAS															
631566	1/4/13	10/28/13	31331KSM3	AA+	Aaa	AAA	25,000,000.00	0.1600	0.1443	25,003,200.00	(2,909.09)	333.33	25,000,290.91	25,005,500.00	5,209.09
FREDDIE MAC / BNP PARIBAS															
626891	12/7/12	11/18/13	3134G3AG5	AA+	Aaa	AAA	9,870,000.00	0.3600	0.1767	9,894,675.00	(21,251.88)	4,584.07	9,873,423.12	9,873,503.85	80.73
FED FARM CR BK / JEFFERIES & COMPANY															
657044	5/31/13	11/18/13	31331KLL2	AA+	Aaa	AAA	7,500,000.00	0.2599	0.1200	7,504,980.68	(3,582.59)	6,659.93	7,501,398.09	7,502,325.00	926.91
FED HM LN BK BD / CASTLE OAK SECURITIES															
666667	7/25/13	11/25/13	313383T43	AA+	Aaa	AAA	20,000,000.00	0.0690	0.0690	20,000,000.00	0.00	230.00	20,000,000.00	20,000,600.00	600.00
FED FARM CR BK / BNP PARIBAS															
626889	12/7/12	12/6/13	3133EC6H2	AA+	Aaa	AAA	15,000,000.00	0.1800	0.1900	14,998,500.00	1,228.02	1,875.00	14,999,728.02	15,003,000.00	3,271.98
FED FARM CR BK / MORGAN STANLEY															
630259	12/27/12	12/27/13	3133ECC51	AA+	Aaa	AAA	25,000,000.00	0.0900	0.1817	24,998,738.00	961.19	305.56	24,999,699.19	25,003,500.00	3,800.81
FED FARM CR BK / MORGAN STANLEY															
630262	12/27/12	12/27/13	3133ECC69	AA+	Aaa	AAA	25,000,000.00	0.1100	0.1959	24,997,475.25	1,922.96	305.56	24,999,398.21	25,002,750.00	3,351.79
FED HM LN BK BD / CASTLE OAK SECURITIES															
668675	8/6/13	2/3/14	313380AS6	AA+	Aaa	AAA	4,000,000.00	0.0760	0.1263	3,999,000.00	309.39	472.89	3,999,309.39	3,999,320.00	10.61
FED FARM CR BK / CASTLE OAK SECURITIES															

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Educational Money Mkt Fnd</u>															
GOVERNMENT AGENCY															
GOVT AGENCY-FLOAT-ACT/360															
656025	5/24/13	2/24/14	3133ECQ72	AA+	Aaa	AAA	15,000,000.00	0.1200	0.1200	15,000,000.00	0.00	1,900.00	15,000,000.00	15,001,800.00	1,800.00
FED FARM CR BK / BNP PARIBAS															
644505	3/21/13	3/11/14	3133ECHM9	AA+	Aaa	N.A	15,000,000.00	0.1500	0.1602	14,998,500.00	819.72	1,250.00	14,999,319.72	15,003,600.00	4,280.28
FED FARM CR BK / RBC															
642209	3/8/13	3/27/14	31331K4R8	AA+	Aaa	AAA	7,240,000.00	0.2800	0.1847	7,253,886.32	(7,485.59)	241.33	7,246,400.73	7,248,470.80	2,070.07
FED FARM CR BK / UBS FINANCIAL SERVICES															
651826	5/1/13	5/1/14	3133ECN26	AA+	Aaa	AAA	20,000,000.00	0.1172	0.1252	19,998,400.00	670.68	0.00	19,999,070.68	20,002,700.00	3,629.32
FED FARM CR BK / CASTLE OAK SECURITIES															
651831	5/1/13	5/1/14	3133ECNM2	AA+	Aaa	AAA	20,000,000.00	0.1200	0.1275	19,998,500.00	628.77	4,066.66	19,999,128.77	20,001,400.00	2,271.23
FED FARM CR BK / UBS FINANCIAL SERVICES															
652085	5/2/13	5/1/14	3133ECN26	AA+	Aaa	AAA	10,000,000.00	0.1172	0.1272	9,999,000.00	417.58	0.00	9,999,417.58	10,001,350.00	1,932.42
Subtotal for GOVT AGENCY-FLOAT-ACT/360:							233,610,000.00	0.1380	0.1475	233,643,355.25	(26,857.14)	25,478.50	233,616,498.11	233,650,419.65	33,921.54
GOVT AGENCY-FLOAT-ACT/ACT															
FED FARM CR BK / GOLDMAN SACHS															
635592	1/29/13	1/22/14	3133ECD5	AA+	Aaa	AAA	50,000,000.00	0.1000	0.1367	50,000,000.00	0.00	9,646.74	50,000,000.00	49,991,000.00	(9,000.00)
FANNIE MAE / CASTLE OAK SECURITIES															
653270	5/9/13	3/4/14	3135G0A26	AA+	Aaa	AAA	15,000,000.00	0.2200	0.0856	15,017,850.00	(8,656.35)	2,559.07	15,009,193.65	15,009,600.00	406.35
FANNIE MAE / CASTLE OAK SECURITIES															
656551	5/29/13	3/4/14	3135G0A26	AA+	Aaa	AAA	8,000,000.00	0.2200	0.2270	8,003,320.00	(1,487.46)	1,364.84	8,001,832.54	8,005,120.00	3,287.46
FED FARM CR BK / JP MORGAN CHASE & CO															
652088	5/2/13	5/2/14	3133ECNH3	AA+	Aaa	AAA	20,000,000.00	0.0800	0.1300	19,998,000.00	832.88	2,608.70	19,998,832.88	19,999,400.00	567.12
Subtotal for GOVT AGENCY-FLOAT-ACT/ACT:							93,000,000.00	0.1254	0.1348	93,019,170.00	(9,310.93)	16,179.35	93,009,859.07	93,005,120.00	(4,739.07)
GOV'T AGY - CALLABLE															
FED HM LN BK BD / MORGAN STANLEY															
668906	8/7/13	8/22/14	313383TA9	AA+	Aaa	AAA	20,000,000.00	0.2000	0.2000	20,000,000.00	0.00	6,000.00	20,000,000.00	20,003,800.00	3,800.00
FED FARM CR BK / BANK OF AMERICA															
677352	9/25/13	9/2/14	3133EA3A4	AA+	Aaa	AAA	12,500,000.00	0.2800	0.2747	12,500,562.50	(482.14)	583.33	12,500,080.36	12,499,375.00	(705.36)
Subtotal for GOV'T AGY - CALLABLE:							32,500,000.00	0.2308	0.2287	32,500,562.50	(482.14)	6,583.33	32,500,080.36	32,503,175.00	3,094.64
Subtotal for GOVERNMENT AGENCY:							1,062,421,000.00	0.4436	0.1134	1,064,110,240.31	(834,506.25)	643,276.06	1,063,296,618.69	1,063,399,102.12	102,483.43
MEDIUM TERM NOTES															
MEDIUM TERM NOTE															

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Educational Money Mkt Fnd</u>															
MEDIUM TERM NOTES															
MEDIUM TERM NOTE															
BERKSHIRE HATHAWAY INC / BARCLAYS CAPITAL															
628077	12/13/12	10/15/13	084664AD3	AA-	Aa2	A+	8,000,000.00	4.6250	0.3041	8,289,360.00	(275,945.96)	170,611.11	8,013,414.04	8,033,360.00	19,945.96
WAL-MART STORES INC / GOLDMAN SACHS															
619996	10/25/12	10/25/13	931142CW1	AA	Aa2	AA	15,000,000.00	0.7500	0.2531	15,074,400.00	(69,440.00)	48,750.00	15,004,960.00	15,015,150.00	10,190.00
WAL-MART STORES INC / BNP PARIBAS															
647927	4/10/13	4/15/14	931142DA8	AA	Aa2	AA	3,000,000.00	1.6250	0.2720	3,041,070.00	(19,241.01)	22,479.17	3,021,828.99	3,025,590.00	3,761.01
WAL-MART STORES INC / BNP PARIBAS															
650773	4/25/13	4/15/14	931142DA8	AA	Aa2	AA	5,000,000.00	1.6250	0.2332	5,067,540.00	(30,103.54)	35,208.34	5,037,436.46	5,042,650.00	5,213.54
WAL-MART STORES INC / BNP PARIBAS															
650774	4/25/13	4/15/14	931142DA8	AA	Aa2	AA	8,670,000.00	1.6250	0.2332	8,787,114.36	(52,199.54)	61,051.25	8,734,914.82	8,743,955.10	9,040.28
WAL-MART STORES INC / BNP PARIBAS															
651215	4/29/13	4/15/14	931142DA8	AA	Aa2	AA	3,470,000.00	1.6250	0.2333	3,516,334.91	(20,355.22)	23,808.05	3,495,979.69	3,499,599.10	3,619.41
WAL-MART STORES INC / BNP PARIBAS															
652280	5/3/13	4/15/14	931142DA8	AA	Aa2	AA	12,831,000.00	1.6250	0.2132	13,002,819.92	(74,354.82)	85,718.21	12,928,465.10	12,940,448.43	11,983.33
WAL-MART STORES INC / BNP PARIBAS															
653746	5/13/13	4/15/14	931142DA8	AA	Aa2	AA	3,040,000.00	1.6250	0.2333	3,078,951.52	(16,190.69)	18,936.67	3,062,760.83	3,065,931.20	3,170.37
WAL-MART STORES INC / BNP PARIBAS															
657043	5/31/13	4/15/14	931142DA8	AA	Aa2	AA	7,350,000.00	1.6250	0.2328	7,439,052.60	(34,032.84)	39,812.50	7,405,019.76	7,412,695.50	7,675.74
WAL-MART STORES INC / CRED SUIS SECURITIES															
675131	9/12/13	4/15/14	931142DA8	AA	Aa2	AA	14,000,000.00	1.6250	0.1818	14,119,420.00	(10,652.49)	12,006.95	14,108,767.51	14,119,420.00	10,652.49
JOHNSON & JOHNSON / BNP PARIBAS															
657331	6/3/13	5/15/14	478160AX2	AAA	Aaa	AAA	10,000,000.00	1.2000	0.2331	10,091,700.00	(31,639.18)	39,333.33	10,060,060.82	10,066,500.00	6,439.18
JOHNSON & JOHNSON / BNP PARIBAS															
657332	6/3/13	5/15/14	478160AX2	AA+	Aaa	AAA	2,955,000.00	1.2000	0.2331	2,982,097.35	(9,349.38)	11,623.00	2,972,747.97	2,974,650.75	1,902.78
JOHNSON & JOHNSON / BNP PARIBAS															
664370	7/12/13	5/15/14	478160AX2	AAA	Aaa	AAA	4,400,000.00	1.2000	0.2537	4,434,980.00	(9,120.20)	11,586.67	4,425,859.80	4,429,260.00	3,400.20
JOHNSON & JOHNSON / BNP PARIBAS															
669139	8/8/13	5/15/14	478160AX2	AAA	Aaa	AAA	10,200,000.00	1.2000	0.2123	10,277,407.80	(14,810.88)	18,020.00	10,262,596.92	10,267,830.00	5,233.08
MICROSOFT CORP / BNP PARIBAS															
661578	6/26/13	6/1/14	594918AB0	AA+	Aaa	AAA	13,150,000.00	2.9500	0.3059	13,472,832.50	(91,549.51)	102,369.09	13,381,282.99	13,396,299.50	15,016.51
MICROSOFT CORP / BNP PARIBAS															
662270	7/1/13	6/1/14	594918AB0	AAA	Aaa	AA+	7,000,000.00	2.9500	0.3053	7,169,323.00	(46,179.00)	51,625.00	7,123,144.00	7,131,110.00	7,966.00
MICROSOFT CORP / MORGAN STANLEY															

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Educational Money Mkt Fnd</u>															
MEDIUM TERM NOTES															
MEDIUM TERM NOTE															
665142	7/17/13	6/1/14	594918AB0	AAA	Aaa	AA+	10,000,000.00	2.9500	0.2543	10,234,700.00	(55,311.46)	60,638.89	10,179,388.54	10,187,300.00	7,911.46
MICROSOFT CORP / CASTLE OAK SECURITIES															
676833	9/23/13	6/1/14	594918AB0	AAA	Aaa	AA+	1,716,000.00	2.9500	0.2030	1,748,430.68	(1,046.15)	1,124.93	1,747,384.53	1,748,140.68	756.15
Subtotal for MEDIUM TERM NOTE:							139,782,000.00	1.9211	0.2439	141,827,534.64	(861,521.87)	814,703.16	140,966,012.77	141,099,890.26	133,877.49
Subtotal for MEDIUM TERM NOTES:							139,782,000.00	1.9211	0.2439	141,827,534.64	(861,521.87)	814,703.16	140,966,012.77	141,099,890.26	133,877.49
TREASURIES															
TREASURY BILL															
US TREASURY N/B / BARCLAYS CAPITAL															
677620	9/26/13	10/24/13	912796BG3	AA+	Aaa	AAA	50,000,000.00		0.0505	49,998,036.11	0.00	350.69	49,998,036.11	49,999,281.00	1,244.89
US TREASURY N/B / BARCLAYS CAPITAL															
677621	9/26/13	10/24/13	912796BG3	AA+	Aaa	AAA	50,000,000.00		0.0510	49,998,016.67	0.00	354.17	49,998,016.67	49,999,281.00	1,264.33
US TREASURY N/B / DEUTSCHE															
677619	9/26/13	10/31/13	912796BH1	AA+	Aaa	AAA	25,000,000.00		0.0330	24,999,197.92	0.00	114.58	24,999,197.92	24,999,375.00	177.08
Subtotal for TREASURY BILL:							125,000,000.00	0.0000	0.0472	124,995,250.70	0.00	819.44	124,995,250.70	124,997,937.00	2,686.30
TREASURY BOND															
US TREASURY N/B / BARCLAYS CAPITAL															
673953	9/6/13	10/4/13	912828TQ3	AA+	Aaa	AAA	5,000,000.00	0.2500	0.1912	5,003,125.00	(200.84)	34.53	5,002,924.16	5,006,835.95	3,911.79
US TREASURY N/B / RBC															
672144	8/26/13	10/31/13	912828RN2	AA+	Aaa	AAA	25,000,000.00	0.2500	0.0333	25,009,765.63	(5,326.71)	6,148.05	25,004,438.92	25,003,906.25	(532.67)
US TREASURY N/B / UBS FINANCE (DE) LLC															
672347	8/27/13	10/31/13	912828RN2	AA+	Aaa	AAA	15,000,000.00	0.2500	0.0519	15,005,273.44	(2,839.54)	3,586.37	15,002,433.90	15,002,343.75	(90.15)
US TREASURY N/B / JEFFERIES & COMPANY															
672349	8/27/13	10/31/13	912828RN2	AA+	Aaa	AAA	10,000,000.00	0.2500	0.0519	10,003,515.63	(1,893.03)	2,390.91	10,001,622.60	10,001,562.50	(60.10)
US TREASURY N/B / UBS FINANCIAL SERVICES															
672611	8/28/13	10/31/13	912828JQ4	AA+	Aaa	AAA	15,000,000.00	2.7500	0.0465	15,070,898.44	(37,664.80)	38,322.96	15,033,233.64	15,032,812.50	(421.14)
US TREASURY N/B / UBS FINANCIAL SERVICES															
676838	9/23/13	10/31/13	912828JQ4	AA+	Aaa	AAA	50,000,000.00	2.7500	0.0461	50,140,350.00	(29,547.37)	30,058.99	50,110,802.63	50,109,375.00	(1,427.63)
US TREASURY N/B / UBS FINANCIAL SERVICES															
676839	9/23/13	10/31/13	912828JQ4	AA+	Aaa	AAA	50,000,000.00	2.7500	0.0461	50,140,350.00	(29,547.37)	30,058.99	50,110,802.63	50,109,375.00	(1,427.63)
US TREASURY N/B / UBS FINANCIAL SERVICES															
676840	9/23/13	10/31/13	912828JQ4	AA+	Aaa	AAA	15,000,000.00	2.7500	0.0461	15,042,105.00	(8,864.21)	9,017.70	15,033,240.79	15,032,812.50	(428.29)
US TREASURY N/B / UBS FINANCIAL SERVICES															

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Issuer / Broker															
UNITED STATES DOLLAR															
Educational Money Mkt Fnd															
TREASURIES															
TREASURY BOND															
677618	9/26/13	10/31/13	912828JQ4	AA+	Aaa	AAA	25,000,000.00	2.7500	0.0536	25,064,453.13	(9,207.59)	9,393.55	25,055,245.54	25,054,687.50	(558.04)
US TREASURY N/B / GOLDMAN SACHS GROUP INC															
677855	9/27/13	10/31/13	912828RN2	AA+	Aaa	AAA	25,000,000.00	0.2500	0.0625	25,004,350.00	(511.76)	683.17	25,003,838.24	25,003,906.25	68.01
US TREASURY N/B / GOLDMAN SACHS GROUP INC															
677856	9/27/13	11/15/13	912828PU8	AA+	Aaa	AAA	15,000,000.00	0.5000	0.0407	15,009,165.00	(748.16)	815.22	15,008,416.84	15,008,789.10	372.26
Subtotal for TREASURY BOND:							250,000,000.00	1.8150	0.0504	250,493,351.27	(126,351.38)	130,510.44	250,366,999.89	250,366,406.30	(593.59)
Subtotal for TREASURIES:							375,000,000.00	1.2100	0.0493	375,488,601.97	(126,351.38)	131,329.88	375,362,250.59	375,364,343.30	2,092.71
Total Educational Money Mkt Fnd :							1,831,775,137.11	0.6688	0.1149	1,836,010,618.17	(1,826,393.11)	1,621,963.57	1,834,205,109.69	1,834,425,927.90	220,818.21
Net Asset Value (NAV):														1.000120	
Extended Fund															
GOVERNMENT AGENCY															
GOVT AGENCY-FIX-30/360															
FREDDIE MAC / CASTLE OAK SECURITIES															
557821	11/18/11	10/15/13	3134G23H3	AA+	Aaa	AAA	3,500,000.00	0.5000	0.5612	3,495,940.00	3,977.26	8,069.44	3,499,917.26	3,500,647.50	730.24
FREDDIE MAC / OPPENHEIMER & CO., INC															
599129	6/28/12	10/15/13	3134G23H3	AA+	Aaa	AAA	25,000,000.00	0.5000	0.3401	25,051,700.00	(50,150.11)	57,638.89	25,001,549.89	25,004,625.00	3,075.11
FANNIE MAE / OPPENHEIMER & CO., INC															
556339	11/10/11	10/22/13	31398A4S8	AA+	Aaa	AAA	14,000,000.00	1.0500	0.4400	14,165,620.00	(160,665.56)	64,925.00	14,004,954.44	14,007,630.00	2,675.56
FANNIE MAE / CASTLE OAK SECURITIES															
557239	11/16/11	10/22/13	31398A4S8	AA+	Aaa	AAA	3,300,000.00	1.0500	0.5320	3,332,835.00	(31,844.29)	15,303.75	3,300,990.71	3,301,798.50	807.79
FANNIE MAE / CASTLE OAK SECURITIES															
581788	3/29/12	10/22/13	31398A4S8	AA+	Aaa	AAA	1,000,000.00	1.0500	0.3823	1,010,400.00	(10,012.08)	4,637.50	1,000,387.92	1,000,545.00	157.08
FED HM LN BK BD / DREXEL HAMILTON LLC															
587240	4/27/12	10/25/13	3133794Z9	AA+	Aaa	AAA	15,000,000.00	0.2800	0.2790	15,000,000.00	0.00	17,966.67	15,000,000.00	15,004,650.00	4,650.00
FREDDIE MAC / JP MORGAN CHASE & CO															
556340	11/10/11	10/30/13	3137EACX5	AA+	Aaa	AAA	25,000,000.00	0.3750	0.4362	24,970,000.00	28,774.65	39,322.92	24,998,774.65	25,006,750.00	7,975.35
FED HM LN BK BD / MORGAN STANLEY															
551227	10/14/11	11/27/13	3133762C8	AA+	Aaa	AAA	40,000,000.00	0.3750	0.4990	39,895,600.00	96,737.61	51,666.67	39,992,337.61	40,020,800.00	28,462.39
FED HM LN BK BD / CASTLE OAK SECURITIES															
581531	3/28/12	12/13/13	3133XSP93	AA+	Aaa	N.A	10,870,000.00	3.1250	0.4099	11,371,867.90	(443,112.63)	101,906.25	10,928,755.27	10,937,122.25	8,366.98
FED HM LN BK BD / BARCLAY CAPITAL															

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Extended Fund</u>															
GOVERNMENT AGENCY															
GOVT AGENCY-FIX-30/360															
581532	3/28/12	12/13/13	3133XHW57	AA+	Aaa	N.A	29,120,000.00	4.8750	0.4082	31,331,955.20	(1,952,994.59)	425,880.00	29,378,960.61	29,403,046.40	24,085.79
FED HM LN BK BD / STERNE AGEE & LEACH															
587739	4/30/12	12/13/13	3133XHW57	AA+	Aaa	AAA	7,770,000.00	4.8750	0.3219	8,340,939.60	(500,429.05)	113,636.25	7,840,510.55	7,845,524.40	5,013.85
FED FARM CR BK / CASTLE OAK SECURITIES															
548263	9/28/11	12/23/13	31331J6A6	AA+	Aaa	AAA	7,575,000.00	1.3000	0.5000	7,709,569.88	(120,862.14)	26,807.08	7,588,707.74	7,596,285.75	7,578.01
FREDDIE MAC / CANTOR FITZGERALD															
576844	3/2/12	12/23/13	3134G3BF6	AA+	Aaa	AAA	25,000,000.00	0.6250	0.3723	25,113,750.00	(99,422.04)	42,534.72	25,014,327.96	25,032,250.00	17,922.04
FREDDIE MAC / DREXEL HAMILTON LLC															
577118	3/6/12	12/23/13	3134G3BF6	AA+	Aaa	AAA	10,000,000.00	0.6250	0.3645	10,046,610.00	(40,702.70)	17,013.89	10,005,907.30	10,012,900.00	6,992.70
FREDDIE MAC / CASTLE OAK SECURITIES															
580025	3/20/12	12/23/13	3134G3BF6	AA+	Aaa	AAA	10,000,000.00	0.6250	0.4649	10,028,000.00	(24,372.83)	17,013.89	10,003,627.17	10,012,900.00	9,272.83
FED HM LN BK BD / JP MORGAN CHASE & CO															
583125	4/5/12	12/27/13	313371UC8	AA+	Aaa	AAA	15,000,000.00	0.8750	0.3855	15,126,300.00	(108,837.30)	34,270.83	15,017,462.70	15,029,550.00	12,087.30
FREDDIE MAC / UBS FINANCIAL SERVICES															
566675	1/9/12	1/9/14	3134G3JR2	AA+	Aaa	N.A	25,000,000.00	0.4500	0.4651	24,992,500.00	6,479.17	25,625.00	24,998,979.17	25,026,500.00	27,520.83
FED HM LN BK BD / JEFFERIES & COMPANY															
576840	3/2/12	2/13/14	3133783D1	AA+	Aaa	AAA	25,000,000.00	0.3000	0.3947	24,954,125.00	37,236.63	10,000.00	24,991,361.63	25,021,000.00	29,638.37
FED HM LN BK BD / CASTLE OAK SECURITIES															
584495	4/12/12	2/13/14	3133783D1	AA+	Aaa	AAA	20,540,000.00	0.3000	0.3580	20,518,227.60	17,424.51	8,216.00	20,535,652.11	20,557,253.60	21,601.49
FED HM LN BK BD / CANTOR FITZGERALD															
583124	4/5/12	3/14/14	3133XWKV0	AA+	Aaa	AAA	15,000,000.00	2.3750	0.4091	15,569,700.00	(436,851.50)	16,822.92	15,132,848.50	15,156,750.00	23,901.50
FED HM LN BK BD / JEFFERIES & COMPANY															
598647	6/27/12	3/14/14	3133XWKV0	AA+	Aaa	AAA	8,000,000.00	2.3750	0.3961	8,270,120.00	(198,759.29)	8,972.22	8,071,360.71	8,083,600.00	12,239.29
FED HM LN BK BD / JEFFERIES & COMPANY															
603538	7/25/12	3/14/14	3133XWKV0	AA+	Aaa	N.A	20,000,000.00	2.3750	0.2990	20,677,114.40	(489,729.60)	22,430.56	20,187,384.80	20,209,000.00	21,615.20
FED HM LN BK BD / BNP PARIBAS															
603539	7/25/12	3/14/14	3133XWKV0	AA+	Aaa	N.A	20,000,000.00	2.3750	0.2993	20,677,000.00	(489,646.86)	22,430.56	20,187,353.14	20,209,000.00	21,646.86
FREDDIE MAC / BNP PARIBAS															
574304	2/17/12	3/21/14	3134G3NSS	AA+	Aaa	AAA	10,000,000.00	0.3000	0.4051	9,978,100.00	16,962.33	833.33	9,995,062.33	10,010,900.00	15,837.67
FREDDIE MAC / STERNE AGEE & LEACH															
575957	2/28/12	3/21/14	3134G3NSS	AA+	Aaa	AAA	10,000,000.00	0.3000	0.3950	9,980,500.00	15,038.36	833.33	9,995,538.36	10,010,900.00	15,361.64
FREDDIE MAC / BNP PARIBAS															
576846	3/2/12	3/21/14	3134G3NSS	AA+	Aaa	AAA	10,000,000.00	0.3000	0.4010	9,979,370.00	15,884.26	833.33	9,995,254.26	10,010,900.00	15,645.74

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Extended Fund</u>															
GOVERNMENT AGENCY															
GOVT AGENCY-FIX-30/360															
FREDDIE MAC / UBS FINANCIAL SERVICES															
577117	3/6/12	3/21/14	3134G3NS5	AA+	Aaa	N.A	10,000,000.00	0.3000	0.3861	9,982,500.00	13,452.38	833.33	9,995,952.38	10,010,900.00	14,947.62
FED FARM CR BK / JEFFERIES & COMPANY															
585001	4/16/12	3/21/14	3133EADJ1	AA+	Aaa	AAA	25,000,000.00	0.4000	0.3340	25,031,700.00	(23,946.04)	2,777.78	25,007,753.96	25,037,000.00	29,246.04
FREDDIE MAC / BARCLAYS CAPITAL															
580024	3/20/12	4/28/14	3134G3SB7	AA+	Aaa	AAA	15,000,000.00	0.3750	0.5011	14,960,400.00	28,785.75	23,906.25	14,989,185.75	15,025,050.00	35,864.25
FREDDIE MAC / OPPENHEIMER & CO., INC															
598648	6/27/12	4/28/14	3134G3SB7	AA+	Aaa	AAA	5,000,000.00	0.3750	0.3970	4,997,985.00	1,383.98	7,968.75	4,999,368.98	5,008,350.00	8,981.02
FREDDIE MAC / RBC															
598646	6/27/12	4/29/14	3134G2CL4	AA+	Aaa	AAA	32,000,000.00	1.3500	0.3920	32,561,108.80	(384,808.75)	182,400.00	32,176,300.05	32,238,080.00	61,779.95
FED HM LN BK BD / STERNE AGEE & LEACH															
584829	4/13/12	5/28/14	313373JR4	AA+	Aaa	AAA	10,000,000.00	1.3750	0.3659	10,213,400.00	(147,287.84)	46,979.17	10,066,112.16	10,083,550.00	17,437.84
FED HM LN BK BD / JEFFERIES & COMPANY															
591841	5/21/12	6/13/14	3133XWE70	AA+	Aaa	N.A	10,000,000.00	2.5000	0.4253	10,425,275.50	(280,842.31)	75,000.00	10,144,433.19	10,164,450.00	20,016.81
FED HM LN BK BD / OPPENHEIMER & CO., INC															
591842	5/21/12	6/13/14	3133XWE70	AA+	Aaa	N.A	10,000,000.00	2.5000	0.4252	10,425,300.00	(280,858.49)	75,000.00	10,144,441.51	10,164,450.00	20,008.49
FED HM LN BK BD / JEFFERIES & COMPANY															
595490	6/11/12	6/13/14	3133XWE70	AA+	Aaa	AAA	10,000,000.00	2.5000	0.4019	10,418,667.20	(272,539.59)	75,000.00	10,146,127.61	10,164,450.00	18,322.39
FED HM LN BK BD / OPPENHEIMER & CO., INC															
596490	6/14/12	6/13/14	3133XWE70	AA+	Aaa	AAA	10,000,000.00	2.5000	0.4301	10,411,200.00	(267,079.83)	75,000.00	10,144,120.17	10,164,450.00	20,329.83
FED HM LN BK BD / DREXEL HAMILTON LLC															
607851	8/16/12	7/2/14	313379SK6	AA+	Aaa	AAA	10,000,000.00	0.4000	0.3413	10,010,981.20	(6,578.97)	9,888.89	10,004,402.23	10,021,000.00	16,597.77
FREDDIE MAC / CANTOR FITZGERALD															
596481	6/14/12	8/20/14	3134G2UA8	AA+	Aaa	AAA	7,605,000.00	1.0000	0.4600	7,694,100.18	(52,938.66)	8,661.25	7,641,161.52	7,662,645.90	21,484.38
FREDDIE MAC / CASTLE OAK SECURITIES															
611985	9/10/12	8/20/14	3134G2UA8	AA+	Aaa	AAA	20,000,000.00	1.0000	0.3121	20,266,500.00	(145,052.14)	22,777.78	20,121,447.86	20,151,600.00	30,152.14
FREDDIE MAC / CANTOR FITZGERALD															
606743	8/10/12	8/28/14	3134G3YW4	AA+	Aaa	AAA	5,000,000.00	0.3750	0.3549	5,002,050.00	(1,141.67)	1,718.75	5,000,908.33	5,009,700.00	8,791.67
FREDDIE MAC / RBC															
606744	8/10/12	8/28/14	3134G3YW4	AA+	Aaa	AAA	5,000,000.00	0.3750	0.3588	5,001,650.00	(918.90)	1,718.75	5,000,731.10	5,009,700.00	8,968.90
FREDDIE MAC / OPPENHEIMER & CO., INC															
607850	8/16/12	8/28/14	3134G3YW4	AA+	Aaa	AAA	10,000,000.00	0.3750	0.3513	10,004,800.00	(2,655.74)	3,437.50	10,002,144.26	10,019,400.00	17,255.74
FREDDIE MAC / BNP PARIBAS															

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Extended Fund</u>															
GOVERNMENT AGENCY															
GOVT AGENCY-FIX-30/360															
610978	8/17/12	8/28/14	3134G3YW4	AA+	Aaa	AAA	10,000,000.00	0.3750	0.3597	10,003,100.00	(1,713.27)	3,437.50	10,001,386.73	10,019,400.00	18,013.27
FREDDIE MAC / BNP PARIBAS															
611984	9/10/12	8/28/14	3134G3YW4	AA+	Aaa	N.A	10,000,000.00	0.3750	0.3321	10,008,400.00	(4,520.34)	3,437.50	10,003,879.66	10,019,400.00	15,520.34
FREDDIE MAC / CASTLE OAK SECURITIES															
547992	9/27/11	9/19/14	3134G2YJ5	AA+	Aaa	AAA	10,000,000.00	0.5000	0.6528	9,955,000.00	30,391.79	1,666.67	9,985,391.79	10,034,850.00	49,458.21
FED FARM CR BK / UBS FINANCIAL SERVICES															
622556	11/9/12	10/16/14	3133EA4S4	AA+	Aaa	AAA	19,300,000.00	0.2500	0.2961	19,282,823.00	7,935.43	22,114.58	19,290,758.43	19,312,159.00	21,400.57
FANNIE MAE / BNP PARIBAS															
582607	4/3/12	10/30/14	3135G0DW0	AA+	Aaa	AAA	10,000,000.00	0.6250	0.5248	10,025,580.00	(14,845.78)	26,215.28	10,010,734.22	10,048,450.00	37,715.78
FED FARM CR BK / RBC															
635326	1/28/13	11/14/14	3133ECCM4	AA+	Aaa	N.A	15,305,000.00	0.2500	0.2987	15,291,684.65	5,008.72	14,561.01	15,296,693.37	15,300,255.45	3,562.08
FED FARM CR BK / CRED SUIS SECURITIES															
624988	11/27/12	11/19/14	3133EC2X1	AA+	Aaa	N.A	25,000,000.00	0.2700	0.3172	24,976,750.00	9,926.97	24,750.00	24,986,676.97	25,024,500.00	37,823.03
FED FARM CR BK / JEFFERIES & COMPANY															
624989	11/27/12	11/19/14	3133EC2X1	AA+	Aaa	N.A	39,500,000.00	0.2700	0.3172	39,463,264.21	15,684.94	39,105.00	39,478,949.15	39,538,710.00	59,760.85
FANNIE MAE / JEFFERIES & COMPANY															
622195	11/7/12	11/20/14	31398AZV7	AA+	Aaa	AAA	25,000,000.00	2.6250	0.3450	26,155,500.00	(510,753.07)	238,802.08	25,644,746.93	25,691,500.00	46,753.07
FED FARM CR BK / RBC															
630583	12/28/12	11/26/14	3133EC5G5	AA+	Aaa	AAA	15,300,000.00	0.2500	0.2970	15,286,306.50	5,433.61	13,281.25	15,291,740.11	15,308,262.00	16,521.89
FED FARM CR BK / CANTOR FITZGERALD															
635328	1/28/13	11/28/14	3133ECDP6	AA+	Aaa	N.A	25,000,000.00	0.2500	0.2990	24,977,625.00	8,238.07	21,354.17	24,985,863.07	25,011,500.00	25,636.93
FED FARM CR BK / CASTLE OAK SECURITIES															
635329	1/28/13	11/28/14	3133ECDP6	AAA	Aaa	N.A	25,000,000.00	0.2500	0.2987	24,977,750.00	8,192.05	21,354.17	24,985,942.05	25,011,500.00	25,557.95
FREDDIE MAC / JEFFERIES & COMPANY															
617568	10/11/12	12/5/14	3134G3H78	AA+	Aaa	AAA	15,000,000.00	0.3500	0.3469	15,000,985.35	(445.57)	16,916.67	15,000,539.78	15,024,075.00	23,535.22
FANNIE MAE / BNP PARIBAS															
617567	10/11/12	12/19/14	3135G0FY4	AA+	Aaa	AAA	25,000,000.00	0.7500	0.3369	25,225,000.00	(99,936.55)	53,125.00	25,125,063.45	25,182,625.00	57,561.55
FANNIE MAE / MORGAN STANLEY															
618640	10/18/12	12/19/14	3135G0FY4	AA+	Aaa	AAA	24,000,000.00	0.7500	0.3462	24,209,280.00	(91,911.70)	51,000.00	24,117,368.30	24,175,320.00	57,951.70
FANNIE MAE / DEUTSCHE															
618775	10/18/12	12/19/14	3135G0FY4	AA+	Aaa	AAA	25,000,000.00	0.7500	0.3481	25,216,930.00	(95,271.43)	53,125.00	25,121,658.57	25,182,625.00	60,966.43
FREDDIE MAC / CRED SUIS SECURITIES															
576839	3/2/12	12/29/14	3137EADA4	AA+	Aaa	AAA	25,000,000.00	0.6250	0.5360	25,062,250.00	(34,828.17)	39,930.56	25,027,421.83	25,128,500.00	101,078.17

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Extended Fund</u>															
GOVERNMENT AGENCY															
GOVT AGENCY-FIX-30/360															
FED HM LN BK BD / CASTLE OAK SECURITIES															
626591	12/5/12	1/16/15	313381H24	AA+	Aaa	N.A	25,000,000.00	0.2500	0.3070	24,970,000.00	11,668.86	13,020.83	24,981,668.86	25,028,125.00	46,456.14
FED HM LN BK BD / BNP PARIBAS															
626592	12/5/12	1/16/15	313381H24	AA+	Aaa	N.A	20,000,000.00	0.2500	0.3070	19,976,000.00	9,335.09	10,416.67	19,985,335.09	20,022,500.00	37,164.91
FREDDIE MAC / CANTOR FITZGERALD															
607852	8/16/12	4/17/15	3137EADD8	AA+	Aaa	AAA	5,000,000.00	0.5000	0.4450	5,007,285.00	(3,070.16)	11,388.89	5,004,214.84	5,017,525.00	13,310.16
FED FARM CR BK / UBS FINANCIAL SERVICES															
661235	6/25/13	6/23/15	3133EARQ3	AA+	Aaa	AAA	30,000,000.00	0.5000	0.4592	30,024,300.00	(3,249.03)	40,000.00	30,021,050.97	30,122,700.00	101,649.03
FED FARM CR BK / JEFFERIES & COMPANY															
643498	3/15/13	7/30/15	3133ECHV9	AA+	Aaa	AAA	25,000,000.00	0.3500	0.3602	24,994,000.00	1,375.44	14,826.39	24,995,375.44	24,981,750.00	(13,625.44)
FED FARM CR BK / RBC															
661819	6/27/13	8/17/15	3133EADW5	AA+	Aaa	AAA	10,000,000.00	0.5500	0.5891	9,991,700.00	1,013.25	6,722.22	9,992,713.25	10,026,000.00	33,286.75
FREDDIE MAC / BNP PARIBAS															
612460	9/12/12	9/4/15	3134G3J76	AA+	Aaa	N.A	10,000,000.00	0.4500	0.4331	10,005,000.00	(1,767.72)	3,375.00	10,003,232.28	10,013,650.00	10,417.72
FREDDIE MAC / BNP PARIBAS															
613215	9/17/12	9/4/15	3134G3J76	AA+	Aaa	N.A	10,000,000.00	0.4500	0.4398	10,003,000.00	(1,051.55)	3,375.00	10,001,948.45	10,013,650.00	11,701.55
FANNIE MAE / JEFFERIES & COMPANY															
618771	10/18/12	9/28/15	3135G0NV1	AA+	Aaa	AAA	15,000,000.00	0.5000	0.4322	15,029,700.00	(9,610.47)	625.00	15,020,089.53	15,043,125.00	23,035.47
FANNIE MAE / JP MORGAN CHASE & CO															
618773	10/18/12	9/28/15	3135G0NV1	AA+	Aaa	AAA	10,000,000.00	0.5000	0.4370	10,018,400.00	(5,953.96)	416.67	10,012,446.04	10,028,750.00	16,303.96
FANNIE MAE / BNP PARIBAS															
619050	10/19/12	9/28/15	3135G0NV1	AA+	Aaa	AAA	15,000,000.00	0.5000	0.4626	15,016,350.00	(5,280.17)	625.00	15,011,069.83	15,043,125.00	32,055.17
FANNIE MAE / DEUTSCHE															
619537	10/23/12	10/26/15	31398A4M1	AA+	Aaa	AAA	15,000,000.00	1.6250	0.4941	15,505,912.50	(157,893.28)	104,947.92	15,348,019.22	15,377,550.00	29,530.78
FED FARM CR BK / JEFFERIES & COMPANY															
630250	12/27/12	11/16/15	3133ECBJ2	AA+	Aaa	AAA	19,575,000.00	0.4300	0.4370	19,571,085.00	1,032.44	31,564.69	19,572,117.44	19,589,485.50	17,368.06
FED FARM CR BK / JEFFERIES & COMPANY															
638821	2/19/13	11/16/15	3133ECBJ2	AA+	Aaa	AAA	10,000,000.00	0.4300	0.4443	9,996,100.00	877.20	16,125.00	9,996,977.20	10,007,400.00	10,422.80
FED HM LN BK BD / MORGAN STANLEY															
638345	2/14/13	11/20/15	313380L96	AA+	Aaa	AAA	10,000,000.00	0.5000	0.4541	10,012,600.00	(2,871.69)	18,194.44	10,009,728.31	10,023,900.00	14,171.69
FED HM LN BK BD / BARCLAYS CAPITAL															
663447	7/8/13	11/20/15	313380L96	AA+	Aaa	N.A	15,000,000.00	0.5000	0.5879	14,969,040.00	3,016.06	17,291.67	14,972,056.06	15,035,850.00	63,793.94
FED HM LN BK BD / CITIGROUP GLOBAL MARKETS															

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Extended Fund</u>															
GOVERNMENT AGENCY															
GOVT AGENCY-FIX-30/360															
666909	7/26/13	11/20/15	313380L96	AA+	Aaa	AAA	10,000,000.00	0.5000	0.4491	10,011,700.00	(911.87)	9,027.78	10,010,788.13	10,023,900.00	13,111.87
FREDDIE MAC / RBC															
632052	1/8/13	11/24/15	3134G3W55	AA+	Aaa	N.A	10,000,000.00	0.4500	0.4489	10,000,300.00	(76.16)	15,875.00	10,000,223.84	10,006,400.00	6,176.16
FREDDIE MAC / BNP PARIBAS															
632053	1/8/13	11/24/15	3134G3W55	AA+	Aaa	N.A	35,000,000.00	0.4500	0.4489	35,001,050.00	(266.55)	55,562.50	35,000,783.45	35,022,400.00	21,616.55
FREDDIE MAC / RBC															
642207	3/8/13	11/24/15	3134G3W55	AA+	Aaa	AAA	20,000,000.00	0.4500	0.4310	20,010,200.00	(2,121.52)	31,750.00	20,008,078.48	20,012,800.00	4,721.52
FREDDIE MAC / RBC															
642730	3/12/13	11/24/15	3134G3W55	AA+	Aaa	AAA	20,000,000.00	0.4500	0.4421	20,004,200.00	(859.88)	31,750.00	20,003,340.12	20,012,800.00	9,459.88
FED HM LN BK BD / JEFFERIES & COMPANY															
630251	12/27/12	12/11/15	313371NW2	AA+	Aaa	AAA	10,000,000.00	1.3750	0.4670	10,273,650.00	(70,470.02)	42,013.89	10,203,179.98	10,188,500.00	(14,679.98)
FED HM LN BK BD / JEFFERIES & COMPANY															
632054	1/8/13	12/11/15	313371NW2	AA+	Aaa	N.A	11,000,000.00	1.3750	0.4494	11,295,526.00	(73,811.34)	46,215.28	11,221,714.66	11,207,350.00	(14,364.66)
FANNIE MAE / CRED SUIS SECURITIES															
656284	5/28/13	12/21/15	3135G0S80	AA+	Aaa	AAA	25,000,000.00	0.3750	0.3921	24,989,125.00	1,449.21	26,041.67	24,990,574.21	24,958,625.00	(31,949.21)
FANNIE MAE / MORGAN STANLEY															
657330	6/3/13	12/21/15	3135G0S80	AA+	Aaa	AAA	25,000,000.00	0.3750	0.4678	24,941,250.00	7,551.74	26,041.67	24,948,801.74	24,958,625.00	9,823.26
FANNIE MAE / CITIGROUP GLOBAL MARKETS															
660955	6/24/13	12/21/15	3135G0S80	AA+	Aaa	AAA	15,000,000.00	0.3750	0.5928	14,919,300.00	8,726.76	15,156.25	14,928,026.76	14,975,175.00	47,148.24
FANNIE MAE / GOLDMAN SACHS GROUP INC															
666910	7/26/13	12/21/15	3135G0S80	AA+	Aaa	AAA	10,000,000.00	0.3750	0.4902	9,972,510.00	2,065.72	6,770.83	9,974,575.72	9,983,450.00	8,874.28
FANNIE MAE / GOLDMAN SACHS GROUP INC															
666911	7/26/13	12/21/15	3135G0S80	AA+	Aaa	AAA	10,000,000.00	0.3750	0.4952	9,971,320.00	2,155.14	6,770.83	9,973,475.14	9,983,450.00	9,974.86
FANNIE MAE / BNP PARIBAS															
666912	7/26/13	12/21/15	3135G0S80	AA+	Aaa	AAA	10,000,000.00	0.3750	0.4924	9,972,000.00	2,104.05	6,770.83	9,974,104.05	9,983,450.00	9,345.95
FANNIE MAE / MORGAN STANLEY															
671912	8/23/13	12/21/15	3135G0S80	AA+	Aaa	AAA	15,000,000.00	0.3750	0.5490	14,939,700.00	2,734.37	5,937.50	14,942,434.37	14,975,175.00	32,740.63
FED HM LN BK BD / BNP PARIBAS															
649011	4/17/13	3/11/16	313375RN9	AA+	Aaa	AAA	30,000,000.00	1.0000	0.4090	30,510,600.00	(80,209.20)	16,666.67	30,430,390.80	30,281,700.00	(148,690.80)
FED HM LN BK BD / CANTOR FITZGERALD															
645483	3/27/13	3/18/16	313382K85	AA+	Aaa	N.A	50,000,000.00	0.4500	0.4530	49,995,550.00	764.52	8,125.00	49,996,314.52	49,857,500.00	(138,814.52)
FED HM LN BK BD / CASTLE OAK SECURITIES															
672940	8/30/13	3/18/16	313382K85	AA+	Aaa	AAA	15,000,000.00	0.4500	0.7180	14,898,585.00	3,424.69	2,437.50	14,902,009.69	14,957,250.00	55,240.31

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Extended Fund</u>															
GOVERNMENT AGENCY															
GOVT AGENCY-FIX-30/360															
FANNIE MAE / BARCLAYS CAPITAL															
675626	9/16/13	3/30/16	3135G0VA8	AA+	Aaa	AAA	15,000,000.00	0.5000	0.7393	14,909,865.00	1,479.24	208.33	14,911,344.24	14,982,300.00	70,955.76
FREDDIE MAC / CRED SUIS SECURITIES															
652290	5/3/13	5/13/16	3137EADQ9	AA+	Aaa	AAA	20,000,000.00	0.5000	0.3924	20,064,700.00	(8,784.95)	38,333.33	20,055,915.05	19,961,400.00	(94,515.05)
FREDDIE MAC / JEFFERIES & COMPANY															
655818	5/23/13	5/13/16	3137EADQ9	AA+	Aaa	AAA	25,000,000.00	0.5000	0.4529	25,034,700.00	(4,151.03)	44,444.45	25,030,548.97	24,951,750.00	(78,798.97)
FREDDIE MAC / CRED SUIS SECURITIES															
656027	5/24/13	5/13/16	3137EADQ9	AA+	Aaa	AAA	25,000,000.00	0.5000	0.4628	25,027,375.00	(3,252.22)	44,097.23	25,024,122.78	24,951,750.00	(72,372.78)
FED HM LN BK BD / BARCLAYS CAPITAL															
653501	5/10/13	6/24/16	3133834R9	AA+	Aaa	AAA	15,000,000.00	0.3750	0.4321	14,973,450.00	3,330.56	15,156.25	14,976,780.56	14,926,650.00	(50,130.56)
FED HM LN BK BD / MORGAN STANLEY															
653744	5/13/13	6/24/16	3133834R9	AA+	Aaa	N.A	10,000,000.00	0.3750	0.4709	9,970,400.00	3,643.89	10,104.17	9,974,043.89	9,951,100.00	(22,943.89)
FED HM LN BK BD / CRED SUIS SECURITIES															
654002	5/14/13	6/24/16	3133834R9	AA+	Aaa	N.A	10,000,000.00	0.3750	0.4700	9,970,700.00	3,584.02	10,104.17	9,974,284.02	9,951,100.00	(23,184.02)
FANNIE MAE / JP MORGAN CHASE & CO															
654742	5/17/13	7/5/16	3135G0XP3	AA+	Aaa	N.A	15,000,000.00	0.3750	0.4861	14,948,250.00	6,147.61	13,437.50	14,954,397.61	14,897,775.00	(56,622.61)
FANNIE MAE / MORGAN STANLEY															
654811	5/20/13	7/5/16	3135G0XP3	AA+	Aaa	N.A	15,000,000.00	0.3750	0.4686	14,956,500.00	5,065.33	13,437.50	14,961,565.33	14,897,775.00	(63,790.33)
FREDDIE MAC / JP MORGAN CHASE & CO															
670678	8/16/13	10/14/16	3137EADSS	AA+	Aaa	AAA	10,000,000.00	0.8750	0.9277	9,983,640.00	646.92	10,937.50	9,984,286.92	10,033,100.00	48,813.08
FREDDIE MAC / CRED SUIS SECURITIES															
644965	3/25/13	3/8/17	3137EADC0	AA+	Aaa	AAA	25,000,000.00	1.0000	0.7027	25,289,250.00	(37,807.80)	15,972.22	25,251,442.20	25,047,875.00	(203,567.20)
FREDDIE MAC / BNP PARIBAS															
644964	3/25/13	6/29/17	3137EADH9	AA+	Aaa	AAA	10,000,000.00	1.0000	0.7641	10,098,700.00	(11,967.54)	25,555.56	10,086,732.46	9,967,700.00	(119,032.46)
Subtotal for GOVT AGENCY-FIX-30/360:							1,704,260,000.00	0.7833	0.4230	1,716,138,259.67	(7,908,564.91)	3,300,452.44	1,708,229,694.76	1,709,245,146.25	1,015,451.49
GOV'T AGY - CALLABLE															
FREDDIE MAC / CASTLE OAK SECURITIES															
636358	2/1/13	10/9/13	3134G3P20	AA+	Aaa	AAA	25,000,000.00	0.5000	0.5000	25,000,000.00	0.00	59,722.22	25,000,000.00	25,002,500.00	2,500.00
FANNIE MAE / JEFFERIES & COMPANY															
500503	1/18/11	10/22/13	31398A4S8	AA+	Aaa	AAA	25,000,000.00	1.0500	1.1846	24,908,750.00	91,250.00	115,937.50	25,000,000.00	25,013,625.00	13,625.00
FANNIE MAE / DEUTSCHE															
507981	2/28/11	10/22/13	31398A4S8	AA+	Aaa	AAA	25,000,000.00	1.0500	1.3102	24,831,000.00	169,000.00	115,937.50	25,000,000.00	25,013,625.00	13,625.00
FREDDIE MAC / DEUTSCHE															

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Extended Fund</u>															
GOVERNMENT AGENCY															
GOV'T AGY - CALLABLE															
573492	2/14/12	2/13/15	3134G3MR8	AA+	Aaa	AAA	15,000,000.00	0.5500	0.5500	15,000,000.00	0.00	11,000.00	15,000,000.00	15,001,500.00	1,500.00
FREDDIE MAC / BNP PARIBAS															
657329	6/3/13	3/18/15	3134G36G0	AA+	Aaa	AAA	5,000,000.00	0.3500	0.3864	4,996,750.00	3,250.00	631.94	5,000,000.00	5,008,250.00	8,250.00
FANNIE MAE / DEUTSCHE															
626593	12/5/12	6/5/15	3135G0SE4	AA+	Aaa	AAA	25,000,000.00	0.4200	0.4200	25,000,000.00	0.00	33,833.33	25,000,000.00	25,008,475.00	8,475.00
FREDDIE MAC / CRED SUIS SECURITIES															
660323	6/19/13	6/19/15	3134G4A40	AA+	Aaa	AAA	15,000,000.00	0.4000	0.4010	14,999,700.00	170.00	17,000.00	14,999,870.00	15,001,800.00	1,930.00
FREDDIE MAC / CRED SUIS SECURITIES															
660324	6/19/13	6/19/15	3134G4A40	AA+	Aaa	AAA	35,000,000.00	0.4000	0.4000	35,000,000.00	0.00	39,666.67	35,000,000.00	35,004,200.00	4,200.00
FREDDIE MAC / BNP PARIBAS															
661584	6/26/13	6/26/15	3134G4B23	AA+	Aaa	AAA	25,000,000.00	0.4500	0.4500	25,000,000.00	0.00	29,687.50	25,000,000.00	25,017,000.00	17,000.00
FREDDIE MAC / BNP PARIBAS															
661585	6/26/13	6/26/15	3134G4B23	AA+	Aaa	AAA	25,000,000.00	0.4500	0.4500	25,000,000.00	0.00	29,687.50	25,000,000.00	25,017,000.00	17,000.00
FREDDIE MAC / UBS FINANCIAL SERVICES															
671413	8/21/13	8/21/15	3134G4EL8	AA+	Aaa	AAA	25,000,000.00	0.4500	0.4500	25,000,000.00	0.00	12,500.00	25,000,000.00	24,957,250.00	(42,750.00)
FREDDIE MAC / CASTLE OAK SECURITIES															
673654	9/4/13	9/4/15	3134G4FN3	AA+	Aaa	AAA	25,000,000.00	0.5500	0.5500	25,000,000.00	0.00	10,312.50	25,000,000.00	25,024,000.00	24,000.00
FREDDIE MAC / CRED SUIS SECURITIES															
646980	4/4/13	9/18/15	3134G36F2	AA+	Aaa	AAA	50,000,000.00	0.4200	0.4241	49,995,000.00	5,000.00	7,583.33	50,000,000.00	49,980,500.00	(19,500.00)
FANNIE MAE / CRED SUIS SECURITIES															
614800	9/25/12	9/25/15	3135G0PB3	AA+	Aaa	N.A	31,800,000.00	0.5000	0.5135	31,787,280.00	12,720.00	2,650.00	31,800,000.00	31,822,260.00	22,260.00
FREDDIE MAC / UBS FINANCIAL SERVICES															
614801	9/25/12	9/25/15	3134G3L24	AA+	Aaa	N.A	10,000,000.00	0.5000	0.5000	10,000,000.00	0.00	833.33	10,000,000.00	10,022,950.00	22,950.00
FREDDIE MAC / CASTLE OAK SECURITIES															
614802	9/25/12	9/25/15	3134G3K25	AA+	Aaa	N.A	5,000,000.00	0.5000	0.5135	4,998,000.00	2,000.00	416.67	5,000,000.00	4,997,850.00	(2,150.00)
FREDDIE MAC / CANTOR FITZGERALD															
670151	8/14/13	9/25/15	3134G3L24	AA+	Aaa	AAA	25,000,000.00	0.5000	0.4928	25,003,750.00	(3,750.00)	2,083.33	25,000,000.00	25,057,375.00	57,375.00
FANNIE MAE / CRED SUIS SECURITIES															
645486	3/27/13	11/6/15	3135G0RS4	AA+	Aaa	AAA	20,000,000.00	0.5000	0.4200	20,041,440.00	(13,169.19)	40,277.78	20,028,270.81	19,990,000.00	(38,270.81)
FANNIE MAE / CASTLE OAK SECURITIES															
634551	1/23/13	1/15/16	3135G0TR4	AA+	Aaa	N.A	25,000,000.00	0.6500	0.4976	25,112,500.00	(39,185.39)	34,305.56	25,073,314.61	24,950,000.00	(123,314.61)
FANNIE MAE / RBC															
637074	2/6/13	1/29/16	3135G0TZ6	AA+	Aaa	AAA	18,050,000.00	0.4500	0.5245	18,010,290.00	26,435.84	13,988.75	18,036,725.84	18,013,719.50	46 (23,006.34)

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Extended Fund</u>															
GOVERNMENT AGENCY															
GOV'T AGY - CALLABLE															
FANNIE MAE / CRED SUIS SECURITIES															
637830	2/11/13	1/29/16	3135G0T26	AA+	Aaa	AAA	40,800,000.00	0.4500	0.5095	40,728,600.00	47,189.66	31,620.00	40,775,789.66	40,717,992.00	(57,797.66)
FANNIE MAE / GOLDMAN SACHS GROUP INC															
676590	9/20/13	1/29/16	3135G0TT0	AA+	Aaa	AAA	10,000,000.00	0.5000	0.5855	9,980,000.00	1,705.43	1,527.78	9,981,705.43	10,009,300.00	27,594.57
FREDDIE MAC / BNP PARIBAS															
601195	7/11/12	3/14/16	3134G3RK8	AA+	Aaa	N.A	20,000,000.00	1.0000	0.7974	20,146,400.00	(106,825.87)	9,444.44	20,039,574.13	20,068,400.00	28,825.87
FREDDIE MAC / JEFFERIES & COMPANY															
616638	10/4/12	4/4/16	3134G3L40	AA+	Aaa	AAA	25,000,000.00	0.6000	0.6087	24,992,500.00	7,437.50	73,750.00	24,999,937.50	24,955,000.00	(44,937.50)
FANNIE MAE / CASTLE OAK SECURITIES															
653743	5/13/13	4/18/16	3135G0WC3	AA+	Aaa	N.A	15,000,000.00	0.5700	0.5460	15,010,440.00	(9,294.97)	32,775.00	15,001,145.03	14,940,000.00	(61,145.03)
FANNIE MAE / CASTLE OAK SECURITIES															
654003	5/14/13	4/18/16	3135G0WC3	AA+	Aaa	N.A	33,000,000.00	0.5700	0.5462	33,022,803.00	(20,285.79)	71,582.50	33,002,517.21	32,868,000.00	(134,517.21)
FANNIE MAE / JEFFERIES & COMPANY															
617566	10/11/12	6/27/16	3136G0K26	AA+	Aaa	AAA	22,250,000.00	0.6200	0.6200	22,250,000.00	0.00	36,020.28	22,250,000.00	22,205,500.00	(44,500.00)
FED HM LN BK BD / RBC															
659073	6/12/13	7/29/16	313381VK8	AA+	Aaa	AAA	16,435,000.00	0.5750	0.8507	16,295,302.50	139,697.50	16,275.22	16,435,000.00	16,332,445.60	(102,554.40)
FANNIE MAE / CANTOR FITZGERALD															
671412	8/21/13	8/21/17	3136G1SV2	AA+	Aaa	AAA	25,000,000.00	2.0000	1.6465	25,340,750.00	(37,861.11)	55,555.56	25,302,888.89	25,362,250.00	59,361.11
FANNIE MAE / RBC															
633778	1/17/13	9/20/17	3135G0PP2	AA+	Aaa	N.A	50,000,000.00	1.0000	0.9441	50,127,500.00	(127,500.00)	15,277.78	50,000,000.00	50,631,000.00	631,000.00
FANNIE MAE / RBC															
637076	2/6/13	9/20/17	3135G0PP2	AA+	Aaa	AAA	22,597,000.00	1.0000	1.0133	22,583,441.80	13,558.20	6,904.64	22,597,000.00	22,882,174.14	285,174.14
Subtotal for GOV'T AGY - CALLABLE:							734,932,000.00	0.6475	0.6448	735,162,197.30	161,541.81	928,788.61	735,323,739.11	735,875,941.24	552,202.13
GOV'T AGY - STEP UP															
FANNIE MAE / BNP PARIBAS															
572409	2/8/12	2/7/17	3136FTL31	AA+	Aaa	AAA	15,000,000.00	0.7500	0.7500	15,000,000.00	0.00	16,875.00	15,000,000.00	15,023,400.00	23,400.00
FANNIE MAE / RBC															
592169	5/23/12	5/23/17	3136G0GW5	AA+	Aaa	AAA	50,000,000.00	0.8500	0.7893	50,148,500.00	(100,650.00)	151,111.11	50,047,850.00	50,206,900.00	159,050.00
FANNIE MAE / JEFFERIES & COMPANY															
648221	4/11/13	4/11/18	3136G1JT7	AA+	Aaa	AAA	50,000,000.00	0.7500	0.7541	49,990,000.00	9,444.44	177,083.33	49,999,444.44	49,373,500.00	(625,944.44)
FED HM LN BK BD / CANTOR FITZGERALD															
655520	5/22/13	5/22/18	313382Z63	AA+	Aaa	N.A	50,000,000.00	0.5000	0.5000	50,000,000.00	0.00	89,583.33	50,000,000.00	48,994,000.00	(1,006,000.00)
Subtotal for GOV'T AGY - STEP UP:							165,000,000.00	0.7045	0.6874	165,138,500.00	(91,205.56)	434,652.77	165,047,294.44	163,597,800.00	(1,449,494.44)

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Issuer / Broker															
UNITED STATES DOLLAR															
Extended Fund															
Subtotal for GOVERNMENT AGENCY:							2,604,192,000.00	0.7400	0.5023	2,616,438,956.97	(7,838,228.66)	4,663,893.82	2,608,600,728.31	2,608,718,887.49	118,159.18
MEDIUM TERM NOTES															
MEDIUM TERM NOTE															
MICROSOFT CORP / WELLS FARGO BANK															
455034	5/13/10	6/1/14	594918AB0	AAA	Aaa	AA+	10,000,000.00	2.9500	2.1560	10,306,300.00	(255,880.25)	98,333.33	10,050,419.75	10,187,300.00	136,880.25
MICROSOFT CORP / WELLS FARGO BANK															
458212	6/1/10	6/1/14	594918AB0	AAA	Aaa	AA+	15,000,000.00	2.9500	2.0000	15,545,182.04	(454,318.37)	147,500.00	15,090,863.67	15,280,950.00	190,086.33
MICROSOFT CORP / CRED SUIS SECURITIES															
588559	5/3/12	6/1/14	594918AB0	AA+	Aaa	AAA	15,339,000.00	2.9500	0.4581	16,128,498.33	(536,183.36)	150,833.50	15,592,314.97	15,626,299.47	33,984.50
WAL-MART STORES INC / BNP PARIBAS															
662547	7/2/13	7/8/15	931142CT8	AA	Aa2	AA	19,341,000.00	2.2500	0.6693	19,952,369.01	(74,947.44)	100,331.44	19,877,421.57	19,968,228.63	90,807.06
MICROSOFT CORP / BNP PARIBAS															
667181	7/30/13	9/25/15	594918AG9	AAA	Aaa	AA+	7,347,000.00	1.6250	0.4890	7,525,495.37	(14,049.31)	1,989.81	7,511,446.06	7,500,552.30	(10,893.76)
WAL-MART STORES INC / BNP PARIBAS															
661817	6/27/13	10/25/15	931142CK9	AA	Aa2	AA	12,000,000.00	1.5000	0.7540	12,206,136.00	(23,122.65)	47,000.00	12,183,013.35	12,222,600.00	39,586.65
WAL-MART STORES INC / BNP PARIBAS															
661818	6/27/13	10/25/15	931142CK9	AA	Aa2	AA	22,450,000.00	1.5000	0.7540	22,835,646.10	(43,258.63)	87,929.17	22,792,387.47	22,866,447.50	74,060.03
WAL-MART STORES INC / CRED SUIS SECURITIES															
668434	8/5/13	10/25/15	931142CK9	AA	Aa2	AA	10,000,000.00	1.5000	0.5425	10,211,200.00	(14,784.00)	23,333.33	10,196,416.00	10,185,500.00	(10,916.00)
WAL-MART STORES INC / MORGAN STANLEY															
647978	4/11/13	4/11/16	931142DE0	AA	Aa2	AA	7,000,000.00	0.6000	0.6239	6,995,030.00	782.31	19,833.33	6,995,812.31	6,975,150.00	(20,662.31)
JOHNSON & JOHNSON / UBS FINANCIAL SERVICES															
647696	4/9/13	5/15/16	478160AY0	AAA	Aaa	AAA	10,000,000.00	2.1500	0.5218	10,500,000.00	(77,060.93)	81,222.22	10,422,939.07	10,331,200.00	(91,739.07)
JOHNSON & JOHNSON / BNP PARIBAS															
652282	5/3/13	5/15/16	478160AY0	AAA	Aaa	AAA	16,600,000.00	2.1500	0.4861	17,430,664.00	(112,580.84)	134,828.89	17,318,083.16	17,149,792.00	(168,291.16)
JOHNSON & JOHNSON / BNP PARIBAS															
653503	5/10/13	5/15/16	478160AY0	AAA	Aaa	AAA	10,000,000.00	2.1500	0.5204	10,486,680.00	(63,245.97)	81,222.22	10,423,434.03	10,331,200.00	(92,234.03)
JOHNSON & JOHNSON / BNP PARIBAS															
654001	5/14/13	5/15/16	478160AY0	AAA	Aaa	AAA	10,000,000.00	2.1500	0.5212	10,484,670.00	(61,424.41)	81,222.22	10,423,245.59	10,331,200.00	(92,045.59)
JOHNSON & JOHNSON / BNP PARIBAS															
654750	5/17/13	5/15/16	478160AY0	AAA	Aaa	AAA	10,000,000.00	2.1500	0.5665	10,469,500.00	(58,360.85)	80,027.78	10,411,139.15	10,331,200.00	(79,939.15)
JOHNSON & JOHNSON / BNP PARIBAS															
655819	5/23/13	5/15/16	478160AY0	AAA	Aaa	AAA	2,497,000.00	2.1500	0.5725	2,613,135.47	(13,866.92)	19,088.18	2,599,268.55	2,579,700.64	(19,567.91)
JOHNSON & JOHNSON / BNP PARIBAS															
657328	6/3/13	5/15/16	478160AY0	AAA	Aaa	AAA	10,000,000.00	2.1500	0.6532	10,436,600.00	(48,511.11)	70,472.22	10,388,088.89	10,331,200.00	(56,888.89)

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Extended Fund</u>															
MEDIUM TERM NOTES															
MEDIUM TERM NOTE															
CHEVRON CORP / BARCLAYS CAPITAL															
660960	6/24/13	6/24/16	166764AC4	AA	Aa1	AA	5,000,000.00	0.8890	0.8890	5,000,000.00	0.00	11,976.81	5,000,000.00	4,993,050.00	(6,950.00)
CHEVRON CORP / RBC															
661236	6/25/13	6/24/16	166764AC4	AA	Aa1	AA	5,000,000.00	0.8890	0.8318	5,008,450.00	(751.81)	11,853.33	5,007,698.19	4,993,050.00	(14,648.19)
Subtotal for MEDIUM TERM NOTE:							197,574,000.00	2.0386	0.7938	204,135,556.32	(1,851,564.54)	1,248,997.78	202,283,991.78	202,184,620.54	(99,371.24)
Subtotal for MEDIUM TERM NOTES:							197,574,000.00	2.0386	0.7938	204,135,556.32	(1,851,564.54)	1,248,997.78	202,283,991.78	202,184,620.54	(99,371.24)
TREASURIES															
TREASURY BOND															
US TREASURY N/B / JP MORGAN CHASE & CO															
582621	4/3/12	10/31/13	912828RN2	AA+	Aaa	AAA	28,625,000.00	0.2500	0.3022	28,601,518.56	22,258.45	30,111.90	28,623,777.01	28,629,472.66	5,695.65
US TREASURY N/B / BNP PARIBAS															
565531	1/3/12	12/31/13	912828RW2	AA+	Aaa	AAA	25,000,000.00	0.1250	0.2903	24,917,968.75	71,777.34	7,940.81	24,989,746.09	25,006,836.00	17,089.91
US TREASURY N/B / UBS FINANCIAL SERVICES															
573778	2/15/12	1/31/14	912828SB7	AA+	Aaa	AAA	25,000,000.00	0.2500	0.2840	24,983,398.44	13,772.80	10,529.89	24,997,171.24	25,018,554.75	21,383.51
US TREASURY N/B / BARCLAY CAPITAL															
611983	9/10/12	8/31/14	912828TL4	AA+	Aaa	AAA	15,000,000.00	0.2500	0.2719	14,993,554.69	3,455.40	3,211.33	14,997,010.09	15,019,335.90	22,325.81
US TREASURY N/B / JEFFERIES & COMPANY															
607916	8/17/12	2/15/15	912828SE1	AA+	Aaa	AAA	10,000,000.00	0.2500	0.3634	9,971,875.00	12,643.91	3,192.93	9,984,518.91	10,008,398.40	23,879.49
US TREASURY N/B / DEUTSCHE															
676837	9/23/13	2/15/15	912828SE1	AA+	Aaa	AAA	12,000,000.00	0.2500	0.2051	12,007,500.00	(117.65)	652.17	12,007,382.35	12,010,078.08	2,695.73
US TREASURY N/B / CRED SUIS SECURITIES															
656550	5/29/13	9/15/15	912828TP5	AA+	Aaa	AAA	13,000,000.00	0.2500	0.3491	12,970,546.88	4,388.13	1,436.46	12,974,935.01	12,983,750.00	8,814.99
US TREASURY N/B / BARCLAYS CAPITAL															
662139	6/28/13	9/15/15	912828TP5	AA+	Aaa	AAA	15,000,000.00	0.2500	0.4416	14,936,718.75	7,431.05	1,657.46	14,944,149.80	14,981,250.00	37,100.20
US TREASURY N/B / DEUTSCHE															
675627	9/16/13	9/15/15	912828TP5	AA+	Aaa	AAA	20,000,000.00	0.2500	0.4092	19,936,718.75	1,302.08	2,071.82	19,938,020.83	19,975,000.00	36,979.17
US TREASURY N/B / CITIGROUP GLOBAL MARKETS															
667931	8/1/13	12/15/15	912828UC2	AA+	Aaa	AAA	10,000,000.00	0.2500	0.4522	9,952,343.75	3,356.85	4,166.67	9,955,700.60	9,972,656.30	16,955.70
US TREASURY N/B / BARCLAYS CAPITAL															
673705	9/5/13	12/15/15	912828UC2	AA+	Aaa	AAA	25,000,000.00	0.2500	0.5891	24,808,593.75	5,988.64	4,439.89	24,814,582.39	24,931,640.75	117,058.36
US TREASURY N/B / BARCLAYS CAPITAL															
675628	9/16/13	12/15/15	912828UC2	AA+	Aaa	AAA	15,000,000.00	0.2500	0.5602	14,896,289.06	1,897.15	1,536.89	14,898,186.21	14,958,984.45	60,798.24

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>Extended Fund</u>															
TREASURIES															
Subtotal for TREASURY BOND:							213,625,000.00	0.2354	0.3753	212,977,026.38	148,154.15	70,948.22	213,125,180.53	213,495,957.29	370,776.76
Subtotal for TREASURIES:							213,625,000.00	0.2354	0.3753	212,977,026.38	148,154.15	70,948.22	213,125,180.53	213,495,957.29	370,776.76
Total Extended Fund :							3,015,391,000.00	0.7893	0.5124	3,033,551,539.67	(9,541,639.05)	5,983,839.82	3,024,009,900.62	3,024,399,465.32	389,564.70
Net Asset Value (NAV):														<u><u>1.000129</u></u>	
Total Investments:							6,044,369,875.64	0.6616	0.3159	6,068,562,053.25	(12,018,508.82)	8,316,724.45	6,056,630,812.38	6,057,390,543.06	759,730.68

Report Parameters

Deals [Settlement Date] <= 30-Sep-2013

Deals [Entity Name] include list County Money Mkt Fnd, Educational Money Mkt Fnd, Extended Fund

Bank Accounts [Account Name] include list GOLDMAN SACHS - COUNTY MMF, GOLDMAN SACHS - EDUCATION...

Market values provided by Bloomberg & Northern Trust.

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Investment Inventory with Market Value by Entity & Instrument John Wayne Airport Investment Pool/Non-Pooled Investments As of 30-Sep-2013

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Issuer / Broker															
UNITED STATES DOLLAR															
15B-Housing 99A & 99B															
GOVERNMENT AGENCY															
MBS PASSTHROUGH															
GINNIE MAE /															
383458	9/20/13	9/20/29	36210RYF1	AA+	Aaa	AAA	109,003.21	6.2500	6.2500	109,003.21	0.00	208.17	109,003.21	120,177.13	11,173.92
Subtotal for MBS PASSTHROUGH:							109,003.21	6.2500	6.2500	109,003.21	0.00	208.17	109,003.21	120,177.13	11,173.92
Subtotal for GOVERNMENT AGENCY:							109,003.21	6.2500	6.2500	109,003.21	0.00	208.17	109,003.21	120,177.13	11,173.92
Total 15B-Housing 99A & 99B :							109,003.21	6.2500	6.2500	109,003.21	0.00	208.17	109,003.21	120,177.13	11,173.92
Net Asset Value (NAV):														1.102510	
283-John Wayne Airport															
FUNDS															
GOLDMAN SACHS - JWA MMF															
	10/1/13			AAAm	AAA-mf		226.62		0.0100	226.62	0.00	0.00	226.62	226.62	0.00
INVESCO STIC GOVERNMENT & AGENCY															
	10/1/13			AAAm	AAA-mf	AAAmmf	711.34		0.0200	711.34	0.00	0.00	711.34	711.34	0.00
MORGAN STANLEY GOVT 8302-JWA MMF															
	10/1/13			AAAm	Aaa		1,148,264.02		0.0400	1,148,264.02	0.00	0.00	1,148,264.02	1,148,264.02	0.00
Subtotal for FUNDS:							1,149,201.98		0.0400	1,149,201.98	0.00	0.00	1,149,201.98	1,149,201.98	0.00
CERTIFICATES OF DEPOSIT															
YANKEE CD															
TORONTO DOMINION BANK NY / TDSI															
676591	9/20/13	10/21/13	89112TKS2	A-1+	P-1	F1+	1,000,000.00	0.1200	0.1200	1,000,000.00	0.00	36.67	1,000,000.00	1,000,017.50	17.50
TORONTO DOMINION BANK NY / TDSI															
677356	9/25/13	1/27/14	89112TLM4	A-1+	P-1	F1+	1,000,000.00	0.1500	0.1500	1,000,000.00	0.00	25.00	1,000,000.00	1,000,000.00	0.00
Subtotal for YANKEE CD:							2,000,000.00	0.1350	0.1350	2,000,000.00	0.00	61.67	2,000,000.00	2,000,017.50	17.50
YANKEE CD FLOATER															
ROYAL BANK OF CANADA NY / RBC															
638822	2/19/13	2/19/14	78009NLF1	AA-	Aa3e	NR	1,000,000.00	0.3000	0.3306	1,000,000.00	0.00	358.33	1,000,000.00	999,802.90	(197.10)
ROYAL BANK OF CANADA NY / RBC															
660957	6/24/13	6/24/14	78009NNK8	AA-	Aa3	F1+	1,000,000.00	0.2895	0.2895	1,000,000.00	0.00	56.29	1,000,000.00	999,926.35	(73.65)
Subtotal for YANKEE CD FLOATER:							2,000,000.00	0.2948	0.3100	2,000,000.00	0.00	414.62	2,000,000.00	1,999,729.25	(270.75)
Subtotal for CERTIFICATES OF DEPOSIT:							4,000,000.00	0.2149	0.2225	4,000,000.00	0.00	476.29	4,000,000.00	3,999,746.75	(253.25)
GOVERNMENT AGENCY															

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>283-John Wayne Airport</u>															
GOVERNMENT AGENCY															
DISCOUNT NOTES															
FHLB DISC CORP / UBS FINANCIAL SERVICES															
675615	9/16/13	10/4/13	313385MN3	AA+	Aaa	AAA	300,000.00		0.0450	299,993.25	0.00	5.63	299,998.88	299,999.40	0.52
Subtotal for DISCOUNT NOTES:							300,000.00	0.0000	0.0450	299,993.25	0.00	5.63	299,998.88	299,999.40	0.52
GOVT AGENCY-FIX-30/360															
FED FARM CR BK / MORGAN STANLEY															
666665	7/25/13	10/3/13	3133EAE46	AA+	Aaa	AAA	700,000.00	0.2300	0.0896	700,182.00	(176.65)	295.17	700,005.35	700,035.00	29.65
FED HM LN BK BD / MORGAN STANLEY															
666965	7/29/13	10/4/13	313380X44	AA+	Aaa	AAA	550,000.00	0.2000	0.0811	550,115.50	(110.17)	189.44	550,005.33	550,115.50	110.17
FED FARM CR BK / CRED SUIS SECURITIES															
654243	5/15/13	10/7/13	31331GCS6	AA+	Aaa	AAA	665,000.00	3.8750	0.1101	674,863.95	(9,447.16)	9,734.87	665,416.79	665,415.63	(1.17)
FED FARM CR BK / DEUTSCHE															
671137	8/20/13	10/7/13	31331GCS6	AA+	Aaa	AAA	367,000.00	3.8750	0.0802	368,816.65	(1,584.74)	1,619.65	367,231.91	367,229.38	(2.54)
FED FARM CR BK / DEUTSCHE															
675373	9/13/13	10/7/13	31331GCS6	AA+	Aaa	AAA	155,000.00	3.8750	0.0505	155,395.10	(296.33)	300.32	155,098.77	155,096.88	(1.90)
FREDDIE MAC / DEUTSCHE															
671138	8/20/13	10/15/13	3134G23H3	AA+	Aaa	AAA	1,075,000.00	0.5000	0.0801	1,075,686.93	(512.08)	612.15	1,075,174.85	1,075,198.88	24.03
FANNIE MAE / BNP PARIBAS															
673709	9/5/13	10/15/13	31398A4N9	AA+	Aaa	AAA	375,000.00	1.0000	0.0503	375,395.63	(257.16)	270.84	375,138.47	375,195.00	56.53
FED FARM CR BK / BNP PARIBAS															
673711	9/5/13	10/15/13	31331JS20	AA+	Aaa	AAA	350,000.00	0.6500	0.0504	350,233.10	(151.51)	164.31	350,081.59	350,234.50	152.91
FREDDIE MAC / DEUTSCHE															
675372	9/13/13	10/15/13	3134G2U42	AA+	Aaa	AAA	300,000.00	0.3750	0.0509	300,086.40	(48.60)	56.25	300,037.80	300,031.50	(6.30)
FED FARM CR BK / CASTLE OAK SECURITIES															
614355	9/24/12	10/18/13	31331VCR5	AA+	Aaa	AAA	500,000.00	4.7100	0.2340	523,827.50	(22,772.64)	10,662.92	501,054.86	500,385.00	(669.86)
FREDDIE MAC / BNP PARIBAS															
673710	9/5/13	10/28/13	3137EACL1	AA+	Aaa	AAA	300,000.00	0.8750	0.0502	300,364.20	(178.66)	189.58	300,185.54	300,171.00	(14.54)
FREDDIE MAC / BNP PARIBAS															
676826	9/23/13	10/28/13	3137EACL1	AA+	Aaa	AAA	280,000.00	0.8750	0.0427	280,226.52	(51.78)	54.44	280,174.74	280,159.60	(15.14)
FREDDIE MAC / BARCLAYS CAPITAL															
676827	9/23/13	10/28/13	3137EACL1	AA+	Aaa	AAA	2,452,000.00	0.8750	0.0488	2,453,968.96	(450.05)	476.78	2,453,518.91	2,453,397.64	(121.27)
FREDDIE MAC / BNP PARIBAS															
672606	8/28/13	10/30/13	3137EACX5	AA+	Aaa	AAA	3,000,000.00	0.3750	0.0604	3,001,620.00	(862.26)	1,031.25	3,000,757.74	3,000,810.00	52.26
FED FARM CR BK / JP MORGAN CHASE & CO															

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>283-John Wayne Airport</u>															
GOVERNMENT AGENCY															
GOVT AGENCY-FIX-30/360															
620943	10/31/12	11/5/13	31331TKG5	AA+	Aaa	AAA	400,000.00	4.8000	0.2434	418,392.00	(16,674.07)	7,786.67	401,717.93	402,308.00	590.07
FED HM LN BK BD / MORGAN STANLEY															
666967	7/29/13	11/8/13	313381AU9	AA+	Aaa	AAA	700,000.00	0.1250	0.1002	700,041.73	(26.13)	150.69	700,015.60	700,056.00	40.40
FREDDIE MAC / CRED SUIS SECURITIES															
658559	6/10/13	11/15/13	3134A4UK8	AA+	Aaa	AAA	160,000.00	4.8750	0.1501	163,250.40	(2,327.71)	2,405.00	160,922.69	160,945.60	22.91
FREDDIE MAC / CRED SUIS SECURITIES															
661568	6/26/13	11/15/13	3134A4UK8	AA+	Aaa	AAA	1,400,000.00	4.8750	0.1500	1,425,503.80	(17,430.65)	18,010.41	1,408,073.15	1,408,274.00	200.85
FREDDIE MAC / BNP PARIBAS															
676831	9/23/13	11/15/13	3134A4UK8	AA+	Aaa	AAA	273,000.00	4.8750	0.0515	274,901.17	(292.49)	295.75	274,608.68	274,613.43	4.75
FREDDIE MAC / DEUTSCHE															
676832	9/23/13	11/15/13	3134A4UK8	AA+	Aaa	AAA	2,548,000.00	4.8750	0.0734	2,565,660.19	(2,716.95)	2,760.33	2,562,943.24	2,563,058.68	115.44
FED FARM CR BK / MORGAN STANLEY															
678143	9/30/13	11/25/13	31331XB50	AA+	Aaa	AAA	2,000,000.00	5.0500	0.0409	2,015,300.00	(278.18)	280.56	2,015,021.82	2,015,300.00	278.18
FED HM LN BK BD / BNP PARIBAS															
671682	8/22/13	11/27/13	3133762C8	AA+	Aaa	AAA	975,000.00	0.3750	0.1043	975,690.30	(283.39)	396.10	975,406.91	975,507.00	100.09
FANNIE MAE / CRED SUIS SECURITIES															
658623	6/11/13	12/11/13	31398AUJ9	AA+	Aaa	AAA	625,000.00	2.8750	0.1601	633,468.75	(5,175.35)	5,490.45	628,293.40	628,431.25	137.85
FED HM LN BK BD / DEUTSCHE															
666099	7/23/13	12/13/13	3133XHW57	AA+	Aaa	AAA	605,000.00	4.8750	0.1626	616,069.69	(5,376.71)	5,571.05	610,692.98	610,880.60	187.62
FED HM LN BK BD / CRED SUIS SECURITIES															
659570	6/14/13	12/18/13	3133X2X26	AA+	Aaa	AAA	400,000.00	4.8000	0.1602	409,480.00	(5,512.83)	693.33	403,967.17	404,116.00	148.83
FED FARM CR BK / CRED SUIS SECURITIES															
659831	6/17/13	12/23/13	3133EAKT4	AA+	Aaa	AAA	300,000.00	0.3000	0.1627	300,212.70	(118.93)	245.00	300,093.77	300,150.00	56.23
FED HM LN BK BD / BNP PARIBAS															
676825	9/23/13	12/27/13	313371UC8	AA+	Aaa	AAA	340,000.00	0.8750	0.0622	340,720.80	(61.34)	66.11	340,659.46	340,669.80	10.34
FREDDIE MAC / BNP PARIBAS															
676824	9/23/13	1/7/14	3137EABX6	AA+	Aaa	AAA	228,000.00	2.5000	0.0712	229,598.28	(122.94)	126.67	229,475.34	229,501.38	26.04
FED HM LN BK BD / CRED SUIS SECURITIES															
664365	7/12/13	3/14/14	313382JL8	AA+	Aaa	N.A	150,000.00	0.1250	0.1697	149,955.00	14.69	8.85	149,969.69	150,027.00	57.31
FANNIE MAE / CRED SUIS SECURITIES															
663446	7/8/13	3/21/14	31398A3R1	AA+	Aaa	AAA	430,000.00	1.3500	0.1726	433,553.95	(1,165.92)	161.25	432,388.03	432,696.10	308.07
FANNIE MAE / CRED SUIS SECURITIES															
664366	7/12/13	3/21/14	31398A3R1	AA+	Aaa	AAA	100,000.00	1.3500	0.1700	100,815.30	(258.67)	37.50	100,556.63	100,627.00	70.37

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>283-John Wayne Airport</u>															
GOVERNMENT AGENCY															
GOVT AGENCY-FIX-30/360															
FED FARM CR BK / CRED SUIS SECURITIES															
662834	7/3/13	4/17/14	31331GTJ8	AA+	Aaa	AAA	350,000.00	2.6250	0.1826	356,735.40	(2,087.03)	2,245.84	354,648.37	354,831.75	183.38
FREDDIE MAC / CRED SUIS SECURITIES															
664367	7/12/13	4/28/14	3134G3S87	AAA	Aaa	N.A	150,000.00	0.3750	0.1775	150,235.05	(64.93)	123.43	150,170.12	150,250.50	80.38
FREDDIE MAC / JP MORGAN CHASE & CO															
665866	7/22/13	8/20/14	3134G2UA8	AA+	Aaa	AAA	250,000.00	1.0000	0.1928	252,171.50	(386.17)	284.72	251,785.33	251,895.00	109.67
FANNIE MAE / DEUTSCHE															
669676	8/12/13	9/8/14	31398A3G5	AA+	Aaa	AAA	405,000.00	1.5000	0.2013	410,630.72	(714.78)	388.13	409,915.94	410,186.03	270.09
Subtotal for GOVT AGENCY-FIX-30/360:							23,858,000.00	2.3588	0.0953	24,033,169.17	(97,960.27)	73,185.81	23,935,208.90	23,937,800.61	2,591.71
GOVT AGENCY-FLOAT-ACT/360															
FED FARM CR BK / MORGAN STANLEY															
648263	4/11/13	10/15/13	31331KHB9	AA+	Aaa	AAA	285,000.00	0.2000	0.1373	285,162.45	(150.29)	135.85	285,012.16	285,037.05	24.89
FREDDIE MAC / MORGAN STANLEY															
652744	5/7/13	11/4/13	3134G25F5	AA+	Aaa	AAA	300,000.00	0.1525	0.1120	300,060.00	(48.73)	34.32	300,011.27	299,986.50	(24.77)
FED FARM CR BK / CASTLE OAK SECURITIES															
630590	12/28/12	11/18/13	31331KLJ7	AA+	Aaa	AAA	1,000,000.00	0.1693	0.1541	1,000,135.00	(115.06)	61.12	1,000,019.94	1,000,200.00	180.06
FED HM LN BK BD / GOLDMAN SACHS GROUP INC															
664899	7/16/13	1/16/14	313383QX2	AA+	Aaa	N.A	1,000,000.00	0.1000	0.1100	999,950.00	20.92	41.67	999,970.92	1,000,110.00	139.08
FED HM LN BK BD / CASTLE OAK SECURITIES															
668674	8/6/13	2/3/14	313380AS6	AA+	Aaa	AAA	1,000,000.00	0.0760	0.1263	999,750.00	77.35	118.22	999,827.35	999,830.00	2.65
FED FARM CR BK / BNP PARIBAS															
644506	3/21/13	3/11/14	3133ECHM9	AA+	Aaa	N.A	2,000,000.00	0.1500	0.1602	1,999,800.00	109.30	166.67	1,999,909.30	2,000,480.00	570.70
FED FARM CR BK / DEUTSCHE															
667933	8/1/13	6/13/14	31331K2T6	AA+	Aaa	AAA	2,000,000.00	0.3200	0.0975	2,004,220.00	(814.62)	340.00	2,003,405.38	2,004,220.00	814.62
FANNIE MAE / BARCLAYS CAPITAL															
670153	8/14/13	6/23/14	3135G0BQ5	AA+	Aaa	AAA	700,000.00	0.3600	0.1509	701,262.80	(193.66)	56.00	701,069.14	701,309.00	239.86
Subtotal for GOVT AGENCY-FLOAT-ACT/360:							8,285,000.00	0.1979	0.1309	8,290,340.25	(1,114.79)	953.85	8,289,225.46	8,291,172.55	1,947.09
GOVT AGENCY-FLOAT-ACT/ACT															
FED FARM CR BK / GOLDMAN SACHS															
635593	1/29/13	1/22/14	3133ECD5	AA+	Aaa	AAA	1,400,000.00	0.1000	0.1367	1,400,000.00	0.00	270.11	1,400,000.00	1,399,748.00	(252.00)
FANNIE MAE / BARCLAYS CAPITAL															
645750	3/28/13	3/4/14	3135G0AZ6	AA+	Aaa	AAA	2,000,000.00	0.2200	0.1055	2,002,339.68	(1,283.05)	341.21	2,001,056.63	2,001,280.00	223.37

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Issuer / Broker															
UNITED STATES DOLLAR															
<u>283-John Wayne Airport</u>															
GOVERNMENT AGENCY															
GOVT AGENCY-FLOAT-ACT/ACT															
FED FARM CR BK / MORGAN STANLEY															
670154	8/14/13	3/24/14	3133EARP5	AA+	Aaa	AAA	2,000,000.00	0.1700	0.0916	2,001,350.00	(291.89)	65.38	2,001,058.11	2,001,340.00	281.89
Subtotal for GOVT AGENCY-FLOAT-ACT/ACT:							5,400,000.00	0.1704	0.1085	5,403,689.68	(1,574.94)	676.70	5,402,114.74	5,402,368.00	253.26
GOVT AGENCY-ZERO COUPON															
FANNIE MAE / CASTLE OAK SECURITIES															
673656	9/4/13	7/5/14	313586QR3	AA+	Aaa	AAA	1,305,000.00		0.1832	1,303,003.35	179.10	0.00	1,303,182.45	1,303,355.70	173.25
Subtotal for GOVT AGENCY-ZERO COUPON:							1,305,000.00	0.0000	0.1832	1,303,003.35	179.10	0.00	1,303,182.45	1,303,355.70	173.25
Subtotal for GOVERNMENT AGENCY:							39,148,000.00	1.5029	0.1072	39,330,195.70	(100,470.90)	74,821.99	39,229,730.43	39,234,696.26	4,965.83
MEDIUM TERM NOTES															
MEDIUM TERM NOTE															
WAL-MART STORES INC / GOLDMAN SACHS GROUP INC															
631803	1/7/13	10/25/13	931142CW1	AA	Aa2	AA	850,000.00	0.7500	0.2329	853,510.50	(3,217.96)	2,762.50	850,292.54	850,858.50	565.96
WAL-MART STORES INC / BARCLAY CAPITAL															
631806	1/7/13	10/25/13	931142CW1	AA	Aa2	AA	150,000.00	0.7500	0.2525	150,595.95	(546.29)	487.50	150,049.66	150,151.50	101.84
WAL-MART STORES INC / GOLDMAN SACHS GROUP INC															
641202	3/4/13	10/25/13	931142CW1	AA	Aa2	AA	900,000.00	0.7500	0.2474	902,898.00	(2,596.91)	2,925.00	900,301.09	900,909.00	607.91
JOHNSON & JOHNSON / BNP PARIBAS															
652739	5/7/13	5/15/14	478160AX2	AAA	Aaa	AAA	2,000,000.00	1.2000	0.2431	2,019,526.00	(7,640.61)	9,066.67	2,011,885.39	2,013,300.00	1,414.61
WAL-MART STORES INC / MORGAN STANLEY															
664660	7/15/13	5/15/14	931142CQ4	AA	Aa2	AA	326,000.00	3.2000	0.2630	333,964.18	(2,017.59)	2,202.31	331,946.59	331,916.90	(29.69)
MICROSOFT CORP / BNP PARIBAS															
660061	6/18/13	6/1/14	594918AB0	AA+	Aaa	AAA	300,000.00	2.9500	0.2755	307,629.00	(2,290.92)	2,532.08	305,338.08	305,619.00	280.92
MICROSOFT CORP / BARCLAYS CAPITAL															
662337	7/1/13	6/1/14	594918AB0	AAA	Aaa	AA+	800,000.00	2.9500	0.3052	819,352.00	(5,277.82)	5,900.00	814,074.18	814,984.00	909.82
Subtotal for MEDIUM TERM NOTE:							5,326,000.00	1.5233	0.2549	5,387,475.63	(23,588.10)	25,876.06	5,363,887.53	5,367,738.90	3,851.37
Subtotal for MEDIUM TERM NOTES:							5,326,000.00	1.5233	0.2549	5,387,475.63	(23,588.10)	25,876.06	5,363,887.53	5,367,738.90	3,851.37
Total 283-John Wayne Airport :							49,623,201.98	1.3665	0.1308	49,866,873.31	(124,059.00)	101,174.34	49,742,819.94	49,751,383.89	8,563.95
Net Asset Value (NAV):														1.000172	

505-Foothill Rnch 87-4 DS

REPURCHASE AGREEMENTS

ORANGE COUNTY TREASURER-TAX COLLECTOR

Investment Inventory with Market Value by Entity & Instrument John Wayne Airport Investment Pool/Non-Pooled Investments As of 30-Sep-2013

Run Date: 07-Oct-2013 08:04 am
Print Date: 07-Oct-2013
As at date: 07-Oct-2013

Page 6 of 6
User: uphan

Deal No.	Settle Date	Maturity Date	CUSIP	S&P	Moodys	Fitch	Face Value	Coupon	Purchase Yield	Capital	Capital Accrual	Interest Accrual	Book Value	Market Value *	Unrealized Gain/(Loss)
Issuer / Broker															
UNITED STATES DOLLAR															
<u>505-Foothill Rnch 87-4 DS</u>															
REPURCHASE AGREEMENTS															
BAYERISCHE LANDESBK-REPO															
BAYERISCHE LANDESBK GIRO /															
26091	7/24/97	8/15/19		AA+	Aaa	AAA	1,081,500.00	6.2000	0.0000	1,081,500.00	0.00	8,567.88	1,081,500.00	1,081,500.00	0.00
Subtotal for BAYERISCHE LANDESBK-REPO:							1,081,500.00	6.2000	0.0000	1,081,500.00	0.00	8,567.88	1,081,500.00	1,081,500.00	0.00
Subtotal for REPURCHASE AGREEMENTS:							1,081,500.00	6.2000	0.0000	1,081,500.00	0.00	8,567.88	1,081,500.00	1,081,500.00	0.00
Total 505-Foothill Rnch 87-4 DS :							1,081,500.00	6.2000	0.0000	1,081,500.00	0.00	8,567.88	1,081,500.00	1,081,500.00	0.00
Net Asset Value (NAV):														1.000000	
Total Investments:							50,813,705.19	1.4798	0.1411	51,057,376.52	(124,059.00)	109,950.39	50,933,323.15	50,953,061.01	19,737.86

Report Parameters

Deals [Settlement Date] <= 30-Sep-2013
Deals [Entity Name] include list 15B-Housing 99A & 99B, 283-John Wayne Airport, 505-Foothill Rnch 87-4 DS
Market values provided by Bloomberg & Northern Trust.

COUNTY OF ORANGE
CEO / PUBLIC FINANCE DEPARTMENT
INVENTORY OF INVESTMENTS WITH TRUSTEES
September 30, 2013

	TRUSTEE	INVESTMENT TYPE	PURCHASE DATE	MATURITY DATE	DESCRIPTION	CUSIP #	INTEREST RATE	BOOK VALUE	MARKET VALUE	ACCRUED INTEREST
CERTIFICATES OF PARTICIPATION										
1991 CIVIC CENTER PARKING	U.S. BANK	MONEY MARKET			FEDERATED US TREASURY CASH SS	60934N674	0.00%	\$ 101,216.15	\$ 101,216.15	\$ 0.41
1991 CIVIC CENTER PARKING	U.S. BANK	US TR STRPD BOND	11/15/96	11/15/18	U.S. TREASURY STRIPPED	912803AP8	0.00%	10,458.00	64,980.30	-
1991 CIVIC CENTER PARKING	U.S. BANK	US TR STRPD BOND	06/01/95	11/15/18	U.S. TREASURY STRIPPED	912833KT6	0.00%	43,923.12	272,737.92	-
1991 CIVIC CENTER PARKING	U.S. BANK	US TREASURY BOND	06/01/95	11/15/18	US TREASURY BOND	912810EB0	9.00%	2,558,237.10	3,097,997.50	75,903.75
REDEVELOPMENT AGENCIES										
2003 SANTA ANA HEIGHTS	U.S. BANK	MONEY MARKET			FIRST AMERICAN PRIME OBLIGATION FUND CL D	31846V708	0.02%	2,995,607.22	2,995,607.22	41.54
COMMUNITY FACILITIES DISTRICTS										
1994 SOCPFA SERIES A	U.S. BANK	MONEY MARKET			FIRST AMERICAN PRIME OBLIGATION FUND CL D	31846V708	0.02%	28.33	28.33	91.86
1994C/2004A SOCPFA	U.S. BANK	MONEY MARKET			FIRST AMERICAN PRIME OBLIGATION FUND CL D	31846V708	0.01%	13,256,402.26	13,256,402.26	174.60
1999 SOCPFA SERIES A	U.S. BANK	MONEY MARKET			FIRST AMERICAN GOVT OBLIGATION FUND CL D	31846V401	0.01%	3,610,307.70	3,610,307.70	17.87
1999 SOCPFA SERIES B	U.S. BANK	MONEY MARKET			FIRST AMERICAN GOVT OBLIGATION FUND CL D	31846V401	0.02%	241,849.72	241,849.72	1.20
2003 SOCPFA SERIES A	U.S. BANK	MONEY MARKET			FIRST AMERICAN PRIME OBLIGATION FUND CL D	31846V708	0.02%	-	-	16.85
2005 SOCPFA SERIES A	U.S. BANK	MONEY MARKET			FIRST AMERICAN GOVT OBLIGATION FUND CL D	31846V401	0.01%	12.74	12.74	12.71
ASSESSMENT DISTRICTS										
IRVINE COAST	U.S. BANK	MONEY MARKET			FIRST AMERICAN GOVT OBLIGATION FUND CL D	31846V401	0.01%	4,137,490.31	4,137,490.31	21.09
IRVINE COAST	U.S. BANK	MONEY MARKET			INVESCO STIT TREASURY PORTFOLIO	825252570	0.02%	620,529.79	620,529.79	-
NEWPORT COAST - 2003B	U.S. BANK	MONEY MARKET			FIRST AMERICAN GOVT OBLIGATION FUND CL D	31846V401	0.01%	477,021.16	477,021.16	2.45
NEWPORT COAST - Group 1	U.S. BANK	MONEY MARKET			FIRST AMERICAN GOVT OBLIGATION FUND CL D	31846V401	0.01%	1,087,744.19	1,087,744.19	5.63
NEWPORT COAST - Group 2	U.S. BANK	MONEY MARKET			FIRST AMERICAN GOVT OBLIGATION FUND CL D	31846V401	0.01%	695,671.13	695,671.13	3.60
NEWPORT COAST - Group 3	U.S. BANK	MONEY MARKET			FIRST AMERICAN GOVT OBLIGATION FUND CL D	31846V401	0.01%	886,237.80	886,237.80	4.58
NEWPORT COAST - Group 4	U.S. BANK	MONEY MARKET			FIRST AMERICAN TREAS OBLIGATION FUND CL D	31846V302	0.00%	451,121.42	451,121.42	-
1999 REASSESSMENT BONDS (RAD 99-1R)	U.S. BANK	MONEY MARKET			FIRST AMERICAN PRIME OBLIGATION FUND CL D	31846V708	0.02%	117,716.59	117,716.59	3.31
1999 REASSESSMENT BONDS (RAD 99-1R)	U.S. BANK	MONEY MARKET			FIRST AMERICAN GOVT OBLIGATION FUND CL D	31846V401	0.01%	812,108.06	812,108.06	3.93
2001 REASSESSMENT BONDS (RAD 01-1R)	U.S. BANK	MONEY MARKET			FIRST AMERICAN PRIME OBLIGATION FUND CL D	31846V708	0.02%	16,286.73	16,286.73	1.10
2001 REASSESSMENT BONDS (RAD 01-1R)	U.S. BANK	MONEY MARKET			FIRST AMERICAN GOVT OBLIGATION FUND CL D	31846V401	0.01%	428,334.37	428,334.37	2.07
REVENUE BONDS										
2012 JUVENILE JUSTICE CENTER	U.S. BANK	MONEY MARKET			US BANK MMKT 5 - CT	9AMMF05B2	0.04%	3,741,977.12	3,741,977.12	94.32
1997 SOLID WASTE MANAGEMENT	U.S. BANK	MONEY MARKET			FIRST AMERICAN GOVT OBLIGATION FUND CL D	31846V401	0.01%	6,182,994.58	6,182,994.58	27.96
1997 SOLID WASTE MANAGEMENT	U.S. BANK	GOVT SECURITY	06/03/13	11/29/13	FHLB DISCOUNT NOTE	313385PW0	5.77%	7,205,305.40	7,414,777.55	142,529.84
2005 LEASE REVENUE REFUNDING BONDS	BNY MELLON	MONEY MARKET			WELLS FARGO ADVANTAGE TREASURY PLUS		0.01%	6,393,104.00	6,393,104.00	46.28
2005 LEASE REVENUE REFUNDING BONDS	BNY MELLON	INVEST AGREEMENT	11/22/05	01/17/17	NATIXIS FUNDING CORP.		5.005%	14,906,098.44	14,906,098.44	192,789.54

COUNTY OF ORANGE
CEO / PUBLIC FINANCE DEPARTMENT
INVENTORY OF INVESTMENTS WITH TRUSTEES
September 30, 2013

	TRUSTEE	INVESTMENT TYPE	PURCHASE DATE	MATURITY DATE	DESCRIPTION	CUSIP #	INTEREST RATE	BOOK VALUE	MARKET VALUE	ACCRUED INTEREST
2006 LEASE REVENUE BONDS (COGEN)	U.S. BANK	MONEY MARKET			GOLDMAN SACHS FIN SQ MMKT	38143H209	0.01%	1,742,038.01	1,742,038.01	8.71
PENSION OBLIGATION BONDS (POBs)										
1996A TAXABLE REFUNDING POBs	BNY MELLON	MONEY MARKET			FEDERATED AUTOMATED GOVT CASH RESERVES		0.01%	2,280.52	2,280.52	-
1996A TAXABLE REFUNDING POBs	BNY MELLON	GOVT SECURITY	06/22/00	09/01/16	FED NATL MTG ASSN DISC NTS	31364KQG8	0.00%	30,470,780.77	63,282,103.70	454,170.82
1997A TAXABLE REFUNDING POBs	BNY MELLON	MONEY MARKET			FEDERATED AUTOMATED GOVT CASH RESERVES		0.01%	2,324.93	2,324.93	-
1997A TAXABLE REFUNDING POBs	BNY MELLON	GOVT SECURITY	06/22/00	09/01/21	FED NATL MTG ASSN DISC NTS	31364KQH6	0.00%	42,335,324.12	76,026,256.44	465,473.37
PENSION OBLIGATION BONDS (POBs)										
2013-14 PREPAID POBs	U.S. BANK	MONEY MARKET			FIRST AMERICAN GOVT OBLIGATION FUND CL Y	31846V203	0.01%	38,752.04	38,752.04	0.19
RECOVERY BONDS										
2005A REFUNDING RECOVERY BONDS	BNY MELLON	MONEY MARKET			WELLS FARGO ADVANTAGE TREASURY PLUS		0.01%	20.01	20.01	0.84
2005A REFUNDING RECOVERY BONDS	BNY MELLON	INVEST AGREEMENT	10/25/05	06/01/15	NATIXIS FUNDING CORP.		0.11%	6,142,839.75	6,142,839.75	1,015.97
TEETER PLAN										
OBLIGATION NOTES	U.S. BANK	MONEY MARKET			FIRST AMERICAN GOVT OBLIGATION FUND CL Y	31846V203	0.01%	43,566.61	43,566.61	0.02
TOTAL OF INVESTMENTS WITH TRUSTEES								<u>\$ 151,755,710.19</u>	<u>\$ 219,290,535.09</u>	<u>\$ 1,332,466.41</u>

COUNTY OF ORANGE
CEO / PUBLIC FINANCE DEPARTMENT
INVENTORY OF INVESTMENTS WITH TRUSTEES
SUMMARY BY INVESTMENT TYPE
September 30, 2013

DESCRIPTION	PURCHASE DATE	MATURITY DATE	CUSIP #	INTEREST RATE	BOOK VALUE	MARKET VALUE	ACCRUED INTEREST
MONEY MARKET FUNDS							
FEDERATED AUTOMATED GOVT CASH RESERVES				0.01%	\$ 4,605.45	\$ 4,605.45	\$ -
FEDERATED US TREASURY CASH SS				0.00%	101,216.15	101,216.15	0.41
FIRST AMERICAN GOVT OBLIGATION FUND CL D				0.01%	18,559,771.76	18,559,771.76	103.09
FIRST AMERICAN GOVT OBLIGATION FUND CL Y				0.01%	82,318.65	82,318.65	0.21
FIRST AMERICAN PRIME OBLIGATION FUND CL D				0.02%	16,386,041.13	16,386,041.13	329.26
FIRST AMERICAN TREAS OBLIGATION FUND CL D				0.00%	451,121.42	451,121.42	-
GOLDMAN SACHS FIN SQ MMKT				0.01%	1,742,038.01	1,742,038.01	8.71
INVESCO STIT TREASURY PORTFOLIO				0.02%	620,529.79	620,529.79	-
US BANK MMKT 5 -CT				0.04%	3,741,977.12	3,741,977.12	94.32
WELLS FARGO ADVANTAGE TREASURY PLUS				0.01%	6,393,124.01	6,393,124.01	47.12
SUB-TOTAL MONEY MARKET FUNDS					<u>48,082,743.49</u>	<u>48,082,743.49</u>	<u>583.12</u>
INVESTMENT AGREEMENTS							
NATIXIS FUNDING CORP.	10/25/05	06/01/15		0.11%	6,142,839.75	6,142,839.75	1,015.97
NATIXIS FUNDING CORP.	11/22/05	01/17/17		5.005%	14,906,098.44	14,906,098.44	192,789.54
SUB-TOTAL INVESTMENT AGREEMENTS					<u>21,048,938.19</u>	<u>21,048,938.19</u>	<u>193,805.51</u>
US TREASURIES							
U.S. TREASURY BOND	06/01/95	11/15/18	912810EB0	9.00%	2,558,237.10	3,097,997.50	75,903.75
U.S. TREASURY STRIPPED	11/15/96	11/15/18	912803AP8	0.00%	10,458.00	64,980.30	-
U.S. TREASURY STRIPPED	06/01/95	11/15/18	912833KT6	0.00%	43,923.12	272,737.92	-
SUB-TOTAL US TREASURIES					<u>2,612,618.22</u>	<u>3,435,715.72</u>	<u>75,903.75</u>
GOVERNMENT SECURITIES							
FED NATL MTG ASSN DISC NTS	06/22/00	09/01/16	31364KQG8	0.00%	30,470,780.77	63,282,103.70	454,170.82
FED NATL MTG ASSN DISC NTS	06/22/00	09/01/21	31364KQH6	0.00%	42,335,324.12	76,026,256.44	465,473.37
SUB-TOTAL US GOVERNMENT SECURITIES					<u>80,011,410.29</u>	<u>146,723,137.69</u>	<u>1,062,174.03</u>
TOTAL OF INVESTMENTS WITH TRUSTEES					<u>\$ 151,755,710.19</u>	<u>\$ 219,290,535.09</u>	<u>\$ 1,332,466.41</u>

JOHN WAYNE AIRPORT
INVESTMENT WITH TRUSTEE
FOR THE MONTH ENDED **SEPTEMBER 2013**

Prepared by: **Katherine Buranday**
Date: **10/8/2013**

Trust Officer: Linda Verstuyft
Phone No. (213) 533-8747

Type of Investment / Interest Rate	Maturity	Reserve Fund Market	Cost	Principal Acct. Market	Cost	Interest Acct. Market	Cost	Cost of Issuance Acct. Market	Cost
<u>2009A AIRPORT REVENUE BONDS</u>									
Principal Cash	N/A			0.00	0.00	0.00	0.00		
US Bk Mmkt 5 - Ct (Note 1) Interest Rate: 0.04% 9AMMF05B2		0.00	0.00	373,757.24	373,757.24	798,309.22	798,309.22	0.00	0.00
First American Gov't Obligation Fund - Y (Note 2) Interest Rate: 0.02% 31846V203		48,064.41	48,064.41						
U.S. Treasury Note - Rate: 0.25% - Yield: 0.186% Dated: 10/31/2012 Par Value: \$4,330,000.00 Premium: \$2,767.35 Accrued Int: \$4,501.26 CUSIP: 912828RN2	10/31/2013	4,330,519.60	4,332,767.35						
		\$4,378,584.01	\$4,380,831.76	\$373,757.24	\$373,757.24	\$798,309.22	\$798,309.22	\$0.00	\$0.00
<u>2009B AIRPORT REVENUE BONDS</u>									
US Bk Mmkt 5 - Ct (Note 1) Interest Rate: 0.04% 9AMMF05B2		0.00	0.00	1,375,026.65	1,375,026.65	1,878,742.64	1,878,742.64	0.00	0.00
First American Gov't Obligation Fund - Y (Note 2) Interest Rate: 0.02% 31846V203		110,283.76	110,283.76						
U.S. Treasury Note - Rate: 0.25% - Yield: 0.160% Dated: 5/30/2013 Par Value: \$9,399,000.00 Premium: \$11,284.25 Accrued Int: \$0.00 CUSIP: 912828TQ3	9/30/2014	9,411,500.67	9,410,284.25						
		\$9,521,784.43	\$9,520,568.01	\$1,375,026.65	\$1,375,026.65	\$1,878,742.64	\$1,878,742.64	\$0.00	\$0.00
<u>WELLS FARGO BANK</u>									
Cash - Market Rate and Checking Account (Interest rate is variable)	N/A	\$7,016,452.51	\$7,016,452.51						

(Note 1): US Bank money market deposit account - Interest rate is variable.
(Note 2): 1st American Government Obligation Fund - Y - Interest rate is variable.

Orange County Treasurer-Tax Collector
Temporary Transfers to School Districts**
Fiscal Year 2011/2012 through September 30, 2013

Temporary Transfer-from OCEIP	Transfer Date	Maturity	Int Rate*	Original Amount		Principal Paydown	Principal Outstanding
Anaheim Union High	4/30/2012	10/31/2012	n/a	\$ 55,000,000	Educational Money Market Fund	\$ 55,000,000	\$ -
Anaheim Union High	10/10/2012	1/31/2013	n/a	15,000,000	Educational Money Market Fund	15,000,000	-
Anaheim Union High	2/28/2013	8/31/2013	n/a	47,000,000	Educational Money Market Fund	47,000,000	-
Anaheim City	4/29/2013	8/31/2013	n/a	14,700,000	Educational Money Market Fund	14,700,000	-
Anaheim City	9/9/2013	12/31/2013	0.31%	12,000,000	Educational Money Market Fund		12,000,000
Brea Olinda	4/30/2012	10/31/2012	n/a	3,000,000	Educational Money Market Fund	3,000,000	-
Capistrano Unified	4/29/2013	7/31/2013	n/a	15,000,000	Educational Money Market Fund	15,000,000	-
Capistrano Unified	8/9/2013	12/31/2013	0.31%	55,000,000	Educational Money Market Fund		55,000,000
Fullerton Joint Union High	4/30/2012	10/31/2012	n/a	15,000,000	Educational Money Market Fund	15,000,000	-
Fullerton Joint Union High	4/29/2013	8/31/2013	n/a	15,000,000	Educational Money Market Fund	15,000,000	-
La Habra City	4/30/2012	10/31/2012	n/a	4,000,000	Educational Money Market Fund	4,000,000	-
La Habra City	4/10/2013	8/31/2013	n/a	4,000,000	Educational Money Market Fund	4,000,000	-
Ocean View	4/29/2013	8/31/2013	n/a	3,000,000	Educational Money Market Fund	3,000,000	-
Placentia Yorba-Linda	10/10/2012	1/31/2013	n/a	20,000,000	Educational Money Market Fund	20,000,000	-
Placentia Yorba-Linda	2/28/2013	8/31/2013	n/a	40,000,000	Educational Money Market Fund	40,000,000	-
Santa Ana Unified	4/30/2012	10/31/2012	n/a	70,000,000	Educational Money Market Fund	70,000,000	-
Santa Ana Unified	4/15/2013	8/31/2013	n/a	45,000,000	Educational Money Market Fund	45,000,000	-
Total Temporary Transfers				\$ 432,700,000		\$ 365,700,000	\$ 67,000,000

* Int Rate is the Educational Investment Pool monthly gross apportionment rate of return for August plus 5 basis points.

** Temporary Transfers are authorized by California Constitution Article XVI, and OC Board of Supervisors Resolutions 11-195 and 13-016.
These transactions are reported in the County's books as Due to/From other Governmental Entities within the School Fund #650.

County of Orange

Treasurer-Tax Collector's Office

Detail Transaction Report

Transaction Date From 01-Sep-2013 To 30-Sep-2013

Deal	Issuer	Broker	Inst Type	Maturity Date	Trans Date	Trans Type	Coupon/ Yield(%)	Par Value	Cost	Purchased Interest	(Interest) Amort / (Accret) (Gain) / Loss	Settlement
Fund: County Money Mkt Fnd												
652089	FED FARM CR BK	/UBS FINANCIAL SERVICES	AGN FLOAT1				0.1282 / 0.1382					
				5/1/2014	9/1/2013	INT		0.00	0.00	0.00	(1,009.48)	1,009.48
661838	FREDDIE MAC	/BARCLAYS CAPITAL	AGN FLOAT1				0.3271 / 0.0871					
				9/3/2013	9/3/2013	INT		0.00	0.00	0.00	(40,861.11)	40,861.11
				9/3/2013	9/3/2013	MAT		(50,000,000.00)	(50,033,564.28)	0.00	33,564.28	50,000,000.00
673373	FREDDIE MAC	/BNP PARIBAS	AGNF1				0.3750 / 0.0686					
				10/30/2013	9/3/2013	PURC		5,625,000.00	5,634,935.16	0.00	0.00	(5,634,935.16)
673374	FED HM LN BK BD	/BNP PARIBAS	AGNF1				0.3750 / 0.0774					
				11/27/2013	9/3/2013	PURC		2,340,000.00	2,343,959.28	0.00	0.00	(2,343,959.28)
673375	FANNIE MAE	/DEUTSCHE	AGNF1				1.0000 / 0.0494					
				9/23/2013	9/3/2013	PURC		11,572,000.00	11,629,541.13	0.00	0.00	(11,629,541.13)
664662	FED HM LN BK BD	/UBS FINANCIAL SERVICES	AGN FLOAT1				0.1459 / 0.0710					
				9/4/2013	9/4/2013	INT		0.00	0.00	0.00	(57.66)	57.66
				9/4/2013	9/4/2013	INT		0.00	0.00	0.00	(6,189.56)	6,189.56
				9/4/2013	9/4/2013	MAT		(17,300,000.00)	(17,304,707.52)	0.00	4,707.52	17,300,000.00
645748	FED FARM CR BK	/BARCLAYS CAPITAL	AGN FLOAT3				0.1473 / 0.1823					
				3/5/2014	9/5/2013	INT		0.00	0.00	0.00	(9,614.25)	9,614.25
669680	FNMA DISCOUNT	/JP MORGAN CHASE & CO	AGDN				0.0550 / 0.0550					
				9/5/2013	9/5/2013	MAT		(5,000,000.00)	(4,999,816.67)	0.00	(183.33)	5,000,000.00
669913	US TREASURY N/B	/BNP PARIBAS	TBILL				0.0530 / 0.0530					
				9/5/2013	9/5/2013	MAT		(20,000,000.00)	(19,999,322.78)	0.00	(677.22)	20,000,000.00
673701	FED HM LN BK BD	/BNP PARIBAS	AGNF1				2.6250 / 0.0415					
				9/13/2013	9/5/2013	PURC		20,000,000.00	20,262,313.32	0.00	0.00	(20,262,313.33)
673703	FED HM LN BK BD	/BNP PARIBAS	AGNF1				0.1000 / 0.0453					
				10/25/2013	9/5/2013	PURC		25,000,000.00	25,010,927.78	0.00	0.00	(25,010,927.78)
626888	FED FARM CR BK	/BNP PARIBAS	AGN FLOAT1				0.1800 / 0.1900					
				12/6/2013	9/6/2013	INT		0.00	0.00	0.00	(4,600.00)	4,600.00
627304	FED FARM CR BK	/JP MORGAN CHASE & CO	AGN FLOAT1				0.1630 / 0.1781					
				12/6/2013	9/6/2013	INT		0.00	0.00	0.00	(5,852.54)	5,852.54

County of Orange

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Fund: County Money Mkt Fnd												
649974	FNMA DISCOUNT	/UBS FINANCIAL SERVICES	AGDN				0.0900 / 0.0900					
				9/16/2013	9/6/2013	SALE		(23,000,000.00)	(22,991,547.50)	0.00	(8,324.72)	22,999,872.22
650488	FREDDIE DISCOUNT	/BARCLAYS CAPITAL	AGDN				0.0850 / 0.0850					
				9/23/2013	9/6/2013	SALE		(42,000,000.00)	(41,984,926.67)	0.00	7,985,247.78	33,999,678.89
673948	FANNIE MAE	/BARCLAYS CAPITAL	AGNFI				1.0000 / 0.0500					
				9/23/2013	9/6/2013	PURC		50,000,000.00	50,248,813.40	0.00	0.00	(50,248,813.39)
673951	FED FARM CR BK	/BARCLAYS CAPITAL	AGNFI				0.1900 / 0.0400					
				9/25/2013	9/6/2013	PURC		30,725,000.00	30,753,539.59	0.00	0.00	(30,753,539.60)
673952	US TREASURY N/B	/RBS GREENWICH CAPITAL	TRBD				0.2500 / 0.1912					
				9/30/2014	9/6/2013	INT		0.00	0.00	0.00	(4.45)	4.45
				9/30/2014	9/6/2013	PURC		5,000,000.00	5,003,125.00	5,434.78	0.00	(5,008,559.78)
666408	FANNIE MAE	/RBC	AGN FLOATI				0.1648 / 0.0798					
				11/8/2013	9/8/2013	INT		0.00	0.00	0.00	(3,337.45)	3,337.45
639376	FHLB DISC CORP	/MORGAN STANLEY	AGDN				0.1500 / 0.1501					
				9/9/2013	9/9/2013	MAT		(10,965,000.00)	(10,955,862.50)	0.00	(9,137.50)	10,965,000.00
669679	FNMA DISCOUNT	/RBS GREENWICH CAPITAL	AGDN				0.0550 / 0.0550					
				9/11/2013	9/11/2013	MAT		(15,600,000.00)	(15,599,285.00)	0.00	(715.00)	15,600,000.00
636853	US TREASURY N/B	/DEUTSCHE	TRBD				0.2500 / 0.1727					
				2/28/2014	9/12/2013	SALE		(20,000,000.00)	(20,016,406.24)	0.00	15,011,304.38	5,005,101.86
640118	US TREASURY N/B	/MORGAN STANLEY	TRBD				1.2500 / 0.1814					
				3/15/2014	9/12/2013	SALE		(20,000,000.00)	(20,223,437.50)	0.00	10,101,800.27	10,121,637.23
675128	FED HM LN BK BD	/BNP PARIBAS	AGNFI				0.1700 / 0.2078					
				9/12/2014	9/12/2013	PURC		15,000,000.00	14,994,345.00	0.00	0.00	(14,994,345.00)
675132	WAL-MART STORES INC	/CRED SUIS SECURITIES	MTN				1.6250 / 0.1818					
				4/15/2014	9/12/2013	PURC		14,560,000.00	14,684,196.80	96,611.67	0.00	(14,780,808.47)
636853	US TREASURY N/B	/DEUTSCHE	TRBD				0.2500 / 0.1727					

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Fund: County Money Mkt Fnd												
649973	FHLB DISC CORP	/CITIGROUP GLOBAL MARKETS	AGDN	2/28/2014	9/13/2013	SALE	0.1000 / 0.1000	(20,000,000.00)	(20,016,406.24)	0.00	15,011,269.84	5,005,136.40
666908	FED HM LN BK BD	/JP MORGAN CHASE & CO	AGNF1	9/13/2013	9/13/2013	MAT	1.0000 / 0.0604	(6,000,000.00)	(5,997,600.00)	0.00	2,997,600.00	3,000,000.00
669140	FED HM LN BK BD	/BNP PARIBAS	AGNF1	9/13/2013	9/13/2013	INT		0.00	0.00	0.00	(10,000.00)	10,000.00
				9/13/2013	9/13/2013	MAT		(2,000,000.00)	(2,009,834.89)	0.00	9,834.89	2,000,000.00
				9/13/2013	9/13/2013	INT		0.00	0.00	0.00	(16,250.00)	16,250.00
				9/13/2013	9/13/2013	MAT		(25,000,000.00)	(25,014,740.28)	0.00	14,740.28	25,000,000.00
673701	FED HM LN BK BD	/BNP PARIBAS	AGNF1				2.6250 / 0.0415					
				9/13/2013	9/13/2013	INT		0.00	0.00	0.00	(262,500.00)	262,500.00
				9/13/2013	9/13/2013	MAT		(20,000,000.00)	(20,262,313.33)	0.00	262,313.33	20,000,000.00
666895	FREDDIE DISCOUNT	/RBS GREENWICH CAPITAL	AGDN				0.0350 / 0.0350					
675620	FANNIE MAE	/MORGAN STANLEY	AGNF1	9/16/2013	9/16/2013	MAT	1.1250 / 0.0447	(27,564,000.00)	(27,562,606.49)	0.00	(1,393.51)	27,564,000.00
675621	FED HM LN BK BD	/BARCLAYS CAPITAL	AGNF1	9/17/2013	9/16/2013	PURC	0.1900 / 0.0340	1,850,000.00	1,860,403.94	0.00	0.00	(1,860,403.94)
675622	FREDDIE MAC	/BARCLAYS CAPITAL	AGNF1	9/19/2013	9/16/2013	PURC	0.3750 / 0.0354	4,000,000.00	4,003,788.67	0.00	0.00	(4,003,788.67)
675625	FANNIE MAE	/MORGAN STANLEY	AGNF1	10/30/2013	9/16/2013	PURC	1.0000 / 0.0400	1,572,000.00	1,574,879.38	0.00	0.00	(1,574,879.38)
675620	FANNIE MAE	/MORGAN STANLEY	AGNF1	9/23/2013	9/16/2013	PURC	1.1250 / 0.0447	3,116,000.00	3,131,555.64	0.00	0.00	(3,131,555.64)
630589	FED FARM CR BK	/CASTLE OAK SECURITIES	AGN FLOAT1	9/17/2013	9/17/2013	INT		0.00	0.00	0.00	(10,406.25)	10,406.25
				9/17/2013	9/17/2013	MAT		(1,850,000.00)	(1,860,403.94)	0.00	10,403.94	1,850,000.00
							0.1990 / 0.1839					
				11/18/2013	9/18/2013	INT		0.00	0.00	0.00	(2,098.39)	2,098.39

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Fund: County Money Mkt Fnd												
660322	TORONTO DOMINION BANK NY	/TDSI	YCDF				0.2416 / 0.2416					
				6/19/2014	9/19/2013	INT		0.00	0.00	0.00	(2,015.52)	2,015.52
675621	FED HM LN BK BD	/BARCLAYS CAPITAL	AGNF1				0.1900 / 0.0340					
				9/19/2013	9/19/2013	INT		0.00	0.00	0.00	(3,800.00)	3,800.00
				9/19/2013	9/19/2013	MAT		(4,000,000.00)	(4,003,788.67)	0.00	3,788.67	4,000,000.00
676360	TORONTO DOMINION BANK NY	/TDSI	YCD				0.1300 / 0.1300					
				11/19/2013	9/19/2013	PURC		20,000,000.00	20,000,000.00	0.00	0.00	(20,000,000.00)
650488	FREDDIE DISCOUNT	/BARCLAYS CAPITAL	AGDN				0.0850 / 0.0850					
				9/23/2013	9/23/2013	MAT		(42,000,000.00)	(41,984,926.67)	0.00	33,984,926.67	8,000,000.00
673375	FANNIE MAE	/DEUTSCHE	AGNF1				1.0000 / 0.0494					
				9/23/2013	9/23/2013	INT		0.00	0.00	0.00	(57,860.00)	57,860.00
				9/23/2013	9/23/2013	MAT		(11,572,000.00)	(11,629,541.13)	0.00	57,541.13	11,572,000.00
673948	FANNIE MAE	/BARCLAYS CAPITAL	AGNF1				1.0000 / 0.0500					
				9/23/2013	9/23/2013	INT		0.00	0.00	0.00	(250,000.00)	250,000.00
				9/23/2013	9/23/2013	MAT		(50,000,000.00)	(50,248,813.39)	0.00	248,813.39	50,000,000.00
675625	FANNIE MAE	/MORGAN STANLEY	AGNF1				1.0000 / 0.0400					
				9/23/2013	9/23/2013	INT		0.00	0.00	0.00	(15,580.00)	15,580.00
				9/23/2013	9/23/2013	MAT		(3,116,000.00)	(3,131,555.64)	0.00	15,555.64	3,116,000.00
676834	FREDDIE MAC	/DEUTSCHE	AGNF1				0.8750 / 0.0601					
				10/28/2013	9/23/2013	PURC		2,414,000.00	2,424,419.56	0.00	0.00	(2,424,419.56)
676835	FED HM LN BK BD	/GOLDMAN SACHS GROUP INC	AGNF1				0.1000 / 0.0399					
				11/20/2013	9/23/2013	PURC		50,000,000.00	50,023,172.20	0.00	0.00	(50,023,172.22)
676836	FED HM LN BK BD	/GOLDMAN SACHS GROUP INC	AGNF1				0.1000 / 0.0399					
				11/20/2013	9/23/2013	PURC		10,000,000.00	10,004,634.44	0.00	0.00	(10,004,634.44)
614353	FED HM LN BK BD	/JP MORGAN CHASE & CO	AGNF1				0.2100 / 0.2230					
				9/24/2013	9/24/2013	INT		0.00	0.00	0.00	(10,500.00)	10,500.00

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Fund: County Money Mkt Fnd												
660956	ROYAL BANK OF CANADA NY	/RBC	YCDF	9/24/2013	9/24/2013	MAT	0.3030 / 0.3030	(10,000,000.00)	(9,998,700.00)	0.00	(1,300.00)	10,000,000.00
666668	FED HM LN BK BD	/CASTLE OAK SECURITIES	AGN FLOAT1	6/24/2014	9/24/2013	INT	0.0800 / 0.0800	0.00	0.00	0.00	(2,058.42)	2,058.42
671411	FHLB DISC CORP	/JP MORGAN CHASE & CO	AGDN	11/25/2013	9/25/2013	INT	0.0600 / 0.0600	0.00	0.00	0.00	(1,911.66)	1,911.66
673951	FED FARM CR BK	/BARCLAYS CAPITAL	AGNF1	9/25/2013	9/25/2013	MAT	0.1900 / 0.0400	(22,650,000.00)	(22,648,678.75)	0.00	(1,321.25)	22,650,000.00
677353	FED FARM CR BK	/BANK OF AMERICA	CALLGOVAGY	9/25/2013	9/25/2013	INT		0.00	0.00	0.00	(29,188.75)	29,188.75
				9/25/2013	9/25/2013	MAT		(30,725,000.00)	(30,753,539.60)	0.00	28,539.60	30,725,000.00
							0.2800 / 0.2747					
645232	ROYAL BANK OF CANADA NY	/RBC	YCDF	9/2/2014	9/25/2013	PURC	0.3700 / 0.3700	12,500,000.00	12,502,798.61	0.00	0.00	(12,502,798.61)
673952	US TREASURY N/B	/RBS GREENWICH CAPITAL	TRBD	3/26/2014	9/26/2013	INT	0.2500 / 0.1912	0.00	0.00	0.00	(15,844.45)	15,844.45
677622	US TREASURY N/B	/BARCLAYS CAPITAL	TBILL	9/30/2014	9/26/2013	SALE	0.0505 / 0.0505	(5,000,000.00)	(5,003,125.00)	0.00	(9,433.70)	5,012,558.70
677623	US TREASURY N/B	/BARCLAYS CAPITAL	TBILL	10/24/2013	9/26/2013	PURC	0.0405 / 0.0405	25,000,000.00	24,999,018.05	0.00	0.00	(24,999,018.06)
677624	US TREASURY N/B	/BARCLAYS CAPITAL	TBILL	10/24/2013	9/26/2013	PURC	0.0505 / 0.0505	30,000,000.00	29,999,055.00	0.00	0.00	(29,999,055.00)
630260	FED FARM CR BK	/MORGAN STANLEY	AGN FLOAT1	10/24/2013	9/26/2013	PURC	0.2000 / 0.2051	25,000,000.00	24,999,018.05	0.00	0.00	(24,999,018.06)
630261	FED FARM CR BK	/MORGAN STANLEY	AGN FLOAT1	12/27/2013	9/27/2013	INT	0.1860 / 0.1961	0.00	0.00	0.00	(7,354.17)	7,354.17
				12/27/2013	9/27/2013	INT		0.00	0.00	0.00	(8,705.48)	8,705.48

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Fund: County Money Mkt Fnd												
645232	ROYAL BANK OF CANADA NY	/RBC	YCDF				0.3700 / 0.3700					
				3/26/2014	9/27/2013	INT		0.00	0.00	0.00	(183.35)	183.35
645746	FED HM LN BK BD	/CRED SUIS SECURITIES	AGNF1				0.1250 / 0.1876					
				3/27/2014	9/27/2013	INT		0.00	0.00	0.00	(12,500.00)	12,500.00
670680	FED HM LN BK BD	/BNP PARIBAS	AGYMAT				0.1500 / 0.0621					
				9/27/2013	9/27/2013	INT		0.00	0.00	0.00	(45,000.00)	45,000.00
				9/27/2013	9/27/2013	MAT		(40,000,000.00)	(40,042,166.67)	0.00	42,166.67	40,000,000.00
677853	FHLB DISC CORP	/RBS GREENWICH CAPITAL	AGDN				0.0400 / 0.0400					
				12/27/2013	9/27/2013	PURC		25,000,000.00	24,997,472.23	0.00	0.00	(24,997,472.22)
678142	FED FARM CR BK	/MORGAN STANLEY	AGNF1				5.0500 / 0.0409					
				11/25/2013	9/30/2013	PURC		1,000,000.00	1,025,184.72	0.00	0.00	(1,025,184.72)
Sub Total:								<u>(154,068,000.00)</u>	<u>(154,166,520.40)</u>	<u>102,046.45</u>	<u>84,956,349.11</u>	<u>69,108,124.80</u>
Fund: Extended Fund												
551228	FREDDIE MAC	/CANTOR FITZGERALD	AGNF1				0.3750 / 0.5230					
				10/15/2013	9/4/2013	SALE		(15,000,000.00)	(14,958,481.25)	0.00	(68,922.50)	15,027,403.75
612460	FREDDIE MAC	/BNP PARIBAS	AGNF1				0.4500 / 0.4331					
				9/4/2015	9/4/2013	INT		0.00	0.00	0.00	(22,500.00)	22,500.00
613215	FREDDIE MAC	/BNP PARIBAS	AGNF1				0.4500 / 0.4398					
				9/4/2015	9/4/2013	INT		0.00	0.00	0.00	(22,500.00)	22,500.00
669143	FED HM LN BK BD	/BNP PARIBAS	AGNF1				0.1300 / 0.0604					
				9/13/2013	9/4/2013	SALE		(50,000,000.00)	(50,029,480.56)	0.00	40,023,055.56	10,006,425.00
673654	FREDDIE MAC	/CASTLE OAK SECURITIES	CALLGOVAGY				0.5500 / 0.5500					
				9/4/2015	9/4/2013	PURC		25,000,000.00	25,000,000.00	0.00	0.00	(25,000,000.00)
669143	FED HM LN BK BD	/BNP PARIBAS	AGNF1				0.1300 / 0.0604					
				9/13/2013	9/5/2013	SALE		(50,000,000.00)	(50,029,480.56)	0.00	25,013,453.03	25,016,027.53
673705	US TREASURY N/B	/BARCLAYS CAPITAL	TRBD				0.2500 / 0.5891					
				12/15/2015	9/5/2013	PURC		25,000,000.00	24,808,593.75	14,002.73	0.00	(24,822,596.48)
644965	FREDDIE MAC	/CRED SUIS SECURITIES	AGNF1				1.0000 / 0.7027					
				3/8/2017	9/8/2013	INT		0.00	0.00	0.00	(125,000.00)	125,000.00

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Fund: Extended Fund												
649011	FED HM LN BK BD	/BNP PARIBAS	AGNF1	3/11/2016	9/11/2013	INT	1.0000 / 0.4090	0.00	0.00	0.00	(150,000.00)	150,000.00
583124	FED HM LN BK BD	/CANTOR FITZGERALD	AGNF1	3/14/2014	9/14/2013	INT	2.3750 / 0.4091	0.00	0.00	0.00	(178,125.00)	178,125.00
598647	FED HM LN BK BD	/JEFFERIES & COMPANY	AGNF1	3/14/2014	9/14/2013	INT	2.3750 / 0.3961	0.00	0.00	0.00	(95,000.00)	95,000.00
601195	FREDDIE MAC	/BNP PARIBAS	CALLGOVAGY	3/14/2016	9/14/2013	INT	1.0000 / 0.7974	0.00	0.00	0.00	(100,000.00)	100,000.00
603538	FED HM LN BK BD	/JEFFERIES & COMPANY	AGNF1	3/14/2014	9/14/2013	INT	2.3750 / 0.2990	0.00	0.00	0.00	(237,500.00)	237,500.00
603539	FED HM LN BK BD	/BNP PARIBAS	AGNF1	3/14/2014	9/14/2013	INT	2.3750 / 0.2993	0.00	0.00	0.00	(237,500.00)	237,500.00
656550	US TREASURY N/B	/CRED SUIS SECURITIES	TRBD	9/15/2015	9/15/2013	INT	0.2500 / 0.3491	0.00	0.00	0.00	(16,250.00)	16,250.00
662139	US TREASURY N/B	/BARCLAYS CAPITAL	TRBD	9/15/2015	9/15/2013	INT	0.2500 / 0.4416	0.00	0.00	0.00	(18,750.00)	18,750.00
584192	FED HM LN BK BD	/RBC	AGNF1	9/16/2013	9/16/2013	INT	0.2800 / 0.3143	0.00	0.00	0.00	(70,000.00)	70,000.00
				9/16/2013	9/16/2013	MAT		(50,000,000.00)	(49,985,611.11)	0.00	(14,388.89)	50,000,000.00
675626	FANNIE MAE	/BARCLAYS CAPITAL	AGNF1	3/30/2016	9/16/2013	PURC	0.5000 / 0.7393	15,000,000.00	14,944,448.33	0.00	0.00	(14,944,448.33)
675627	US TREASURY N/B	/DEUTSCHE	TRBD	9/15/2015	9/16/2013	PURC	0.2500 / 0.4092	20,000,000.00	19,936,718.74	138.13	0.00	(19,936,856.87)
675628	US TREASURY N/B	/BARCLAYS CAPITAL	TRBD	12/15/2015	9/16/2013	PURC	0.2500 / 0.5602	15,000,000.00	14,896,289.06	9,528.69	0.00	(14,905,817.75)
645483	FED HM LN BK BD	/CANTOR FITZGERALD	AGNF1	3/18/2016	9/18/2013	INT	0.4500 / 0.4530	0.00	0.00	0.00	(112,500.00)	112,500.00
646980	FREDDIE MAC	/CRED SUIS SECURITIES	CALLGOVAGY	9/18/2015	9/18/2013	INT	0.4200 / 0.4241	0.00	0.00	0.00	(105,000.00)	105,000.00

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Fund:	Extended Fund											
657329	FREDDIE MAC	/BNP PARIBAS	CALLGOVAGY				0.3500 / 0.3864					
				3/18/2015	9/18/2013	INT		0.00	0.00	0.00	(8,750.00)	8,750.00
672940	FED HM LN BK BD	/CASTLE OAK SECURITIES	AGNF1				0.4500 / 0.7180					
				3/18/2016	9/18/2013	INT		0.00	0.00	0.00	(33,750.00)	33,750.00
547992	FREDDIE MAC	/CASTLE OAK SECURITIES	AGNF1				0.5000 / 0.6528					
				9/19/2014	9/19/2013	INT		0.00	0.00	0.00	(25,000.00)	25,000.00
474363	GENERAL ELEC CAP CORP	/JEFFERIES & COMPANY	MTN				5.4000 / 1.8527					
				9/20/2013	9/20/2013	INT		0.00	0.00	0.00	(256,905.00)	256,905.00
				9/20/2013	9/20/2013	MAT		(9,515,000.00)	(10,516,596.48)	0.00	1,001,596.48	9,515,000.00
633778	FANNIE MAE	/RBC	CALLGOVAGY				1.0000 / 0.9441					
				9/20/2017	9/20/2013	INT		0.00	0.00	0.00	(250,000.00)	250,000.00
637076	FANNIE MAE	/RBC	CALLGOVAGY				1.0000 / 1.0133					
				9/20/2017	9/20/2013	INT		0.00	0.00	0.00	(112,985.00)	112,985.00
676590	FANNIE MAE	/GOLDMAN SACHS GROUP INC	CALLGOVAGY				0.5000 / 0.5855					
				1/29/2016	9/20/2013	PURC		10,000,000.00	9,987,083.33	0.00	0.00	(9,987,083.33)
574304	FREDDIE MAC	/BNP PARIBAS	AGNF1				0.3000 / 0.4051					
				3/21/2014	9/21/2013	INT		0.00	0.00	0.00	(15,000.00)	15,000.00
575957	FREDDIE MAC	/STERNE AGEE & LEACH	AGNF1				0.3000 / 0.3950					
				3/21/2014	9/21/2013	INT		0.00	0.00	0.00	(15,000.00)	15,000.00
576846	FREDDIE MAC	/BNP PARIBAS	AGNF1				0.3000 / 0.4010					
				3/21/2014	9/21/2013	INT		0.00	0.00	0.00	(15,000.00)	15,000.00
577117	FREDDIE MAC	/UBS FINANCIAL SERVICES	AGNF1				0.3000 / 0.3861					
				3/21/2014	9/21/2013	INT		0.00	0.00	0.00	(15,000.00)	15,000.00
585001	FED FARM CR BK	/JEFFERIES & COMPANY	AGNF1				0.4000 / 0.3340					
				3/21/2014	9/21/2013	INT		0.00	0.00	0.00	(50,000.00)	50,000.00
547753	FED FARM CR BK	/CANTOR FITZGERALD	AGNF1				0.3500 / 0.4141					
				9/23/2013	9/23/2013	INT		0.00	0.00	0.00	(17,500.00)	17,500.00
				9/23/2013	9/23/2013	MAT		(10,000,000.00)	(9,987,591.67)	0.00	(12,408.33)	10,000,000.00

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Fund:												
676837	US TREASURY N/B	/DEUTSCHE	TRBD				0.2500 / 0.2051					
				2/15/2015	9/23/2013	PURC		12,000,000.00	12,007,500.00	3,179.35	0.00	(12,010,679.35)
614800	FANNIE MAE	/CRED SUIS SECURITIES	CALLGOVAGY				0.5000 / 0.5135					
				9/25/2015	9/25/2013	INT		0.00	0.00	0.00	(79,500.00)	79,500.00
614801	FREDDIE MAC	/UBS FINANCIAL SERVICES	CALLGOVAGY				0.5000 / 0.5000					
				9/25/2015	9/25/2013	INT		0.00	0.00	0.00	(25,000.00)	25,000.00
614802	FREDDIE MAC	/CASTLE OAK SECURITIES	CALLGOVAGY				0.5000 / 0.5135					
				9/25/2015	9/25/2013	INT		0.00	0.00	0.00	(12,500.00)	12,500.00
667181	MICROSOFT CORP	/BNP PARIBAS	MTN				1.6250 / 0.4890					
				9/25/2015	9/25/2013	INT		0.00	0.00	0.00	(59,694.38)	59,694.38
670151	FREDDIE MAC	/CANTOR FITZGERALD	CALLGOVAGY				0.5000 / 0.4928					
				9/25/2015	9/25/2013	INT		0.00	0.00	0.00	(62,500.00)	62,500.00
618771	FANNIE MAE	/JEFFERIES & COMPANY	AGNF1				0.5000 / 0.4322					
				9/28/2015	9/28/2013	INT		0.00	0.00	0.00	(37,500.00)	37,500.00
618773	FANNIE MAE	/JP MORGAN CHASE & CO	AGNF1				0.5000 / 0.4370					
				9/28/2015	9/28/2013	INT		0.00	0.00	0.00	(25,000.00)	25,000.00
619050	FANNIE MAE	/BNP PARIBAS	AGNF1				0.5000 / 0.4626					
				9/28/2015	9/28/2013	INT		0.00	0.00	0.00	(37,500.00)	37,500.00
675626	FANNIE MAE	/BARCLAYS CAPITAL	AGNF1				0.5000 / 0.7393					
				3/30/2016	9/30/2013	INT		0.00	0.00	0.00	(37,500.00)	37,500.00

Sub Total:

(62,515,000.00)

(63,926,608.43)

26,848.90

63,260,175.97

639,583.55

Fund:												
Educational Money Mkt Fnd												
651826	FED FARM CR BK	/UBS FINANCIAL SERVICES	AGN FLOAT1				0.1282 / 0.1362					
				5/1/2014	9/1/2013	INT		0.00	0.00	0.00	(2,018.96)	2,018.96
652085	FED FARM CR BK	/UBS FINANCIAL SERVICES	AGN FLOAT1				0.1282 / 0.1382					
				5/1/2014	9/1/2013	INT		0.00	0.00	0.00	(1,009.48)	1,009.48

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Fund: Educational Money Mkt Fnd												
612212	ROYAL BANK OF CANADA NY	/RBC	YCDF				0.6183 / 0.4616					
				9/3/2013	9/3/2013	INT		0.00	0.00	0.00	(3,638.98)	3,638.98
				9/3/2013	9/3/2013	MAT		(3,000,000.00)	(3,005,013.65)	0.00	5,013.65	3,000,000.00
661837	FREDDIE MAC	/BARCLAYS CAPITAL	AGN FLOAT1				0.3271 / 0.0871					
				9/3/2013	9/3/2013	INT		0.00	0.00	0.00	(40,861.11)	40,861.11
				9/3/2013	9/3/2013	MAT		(50,000,000.00)	(50,033,564.28)	0.00	33,564.28	50,000,000.00
663723	FREDDIE MAC	/CASTLE OAK SECURITIES	AGN FLOAT1				0.3253 / 0.0758					
				9/3/2013	9/3/2013	INT		0.00	0.00	0.00	(9,806.67)	9,806.67
				9/3/2013	9/3/2013	MAT		(12,000,000.00)	(12,008,559.33)	0.00	8,559.33	12,000,000.00
673365	FANNIE MAE	/DEUTSCHE	AGNF1				4.6250 / 0.0719					
				10/15/2013	9/3/2013	PURC		5,195,000.00	5,314,688.47	0.00	0.00	(5,314,688.47)
673366	FREDDIE MAC	/DEUTSCHE	AGNF1				4.8750 / 0.0717					
				11/15/2013	9/3/2013	PURC		6,218,000.00	6,368,637.27	0.00	0.00	(6,368,637.27)
673376	FNMA DISCOUNT	/JP MORGAN CHASE & CO	AGDN				0.0500 / 0.0500					
				10/23/2013	9/3/2013	PURC		4,100,000.00	4,099,715.28	0.00	0.00	(4,099,715.28)
673377	FHLB DISC CORP	/BARCLAYS CAPITAL	AGDN				0.0400 / 0.0400					
				10/9/2013	9/3/2013	PURC		3,600,000.00	3,599,856.00	0.00	0.00	(3,599,856.00)
673378	FHLB DISC CORP	/BARCLAYS CAPITAL	AGDN				0.0500 / 0.0500					
				10/9/2013	9/3/2013	PURC		1,700,000.00	1,699,915.00	0.00	0.00	(1,699,915.00)
673379	FNMA DISCOUNT	/MORGAN STANLEY	AGDN				0.0700 / 0.0700					
				11/15/2013	9/3/2013	PURC		4,390,000.00	4,389,376.86	0.00	0.00	(4,389,376.86)
673380	FED HM LN BK BD	/FTN FINANCIAL	AGNF1				0.8750 / 0.0701					
				12/27/2013	9/3/2013	PURC		4,200,000.00	4,217,430.70	0.00	0.00	(4,217,430.70)
673381	FED HM LN BK BD	/FTN FINANCIAL	AGNF1				2.0000 / 0.0605					
				10/29/2013	9/3/2013	PURC		1,000,000.00	1,009,904.89	0.00	0.00	(1,009,904.89)
653270	FANNIE MAE	/CASTLE OAK SECURITIES	AGN FLOAT3				0.2876 / 0.1432					
				3/4/2014	9/4/2013	INT		0.00	0.00	0.00	(9,634.22)	9,634.22
656551	FANNIE MAE	/CASTLE OAK SECURITIES	AGN FLOAT3				0.2804 / 0.2270					
				3/4/2014	9/4/2013	INT		0.00	0.00	0.00	(5,220.00)	5,220.00

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Fund: Educational Money Mkt Fnd												
663722	FHLB DISC CORP	/JP MORGAN CHASE & CO	AGDN				0.0600 / 0.0600					
				9/4/2013	9/4/2013	MAT		(5,669,000.00)	(5,668,461.45)	0.00	(538.55)	5,669,000.00
666913	FED HM LN BK BD	/BARCLAYS CAPITAL	AGN FLOATI				0.1446 / 0.0681					
				9/4/2013	9/4/2013	INT		0.00	0.00	0.00	(8,872.89)	8,872.89
				9/4/2013	9/4/2013	MAT		(24,800,000.00)	(24,807,288.44)	0.00	7,288.44	24,800,000.00
672671	FED HM LN BK BD	/BARCLAYS CAPITAL	AGN FLOATI				0.1414 / 0.0914					
				9/4/2013	9/4/2013	INT		0.00	0.00	0.00	(88.00)	88.00
				9/4/2013	9/4/2013	INT		0.00	0.00	0.00	(572.44)	572.44
				9/4/2013	9/4/2013	MAT		(1,600,000.00)	(1,600,553.77)	0.00	553.77	1,600,000.00
673651	FREDDIE MAC	/FTN FINANCIAL	AGNF1				0.3750 / 0.0404					
				10/15/2013	9/4/2013	PURC		15,000,000.00	15,027,433.76	0.00	0.00	(15,027,433.75)
673655	FREDDIE MAC	/BNP PARIBAS	AGNF1				0.3750 / 0.0496					
				10/30/2013	9/4/2013	PURC		25,000,000.00	25,044,941.68	0.00	0.00	(25,044,941.67)
674181	ROYAL BANK OF CANADA NY	/RBC	YCDF				0.3007 / 0.2006					
				2/21/2014	9/4/2013	PURC		5,000,000.00	5,002,919.72	0.00	0.00	(5,002,919.72)
669915	US TREASURY N/B	/BARCLAYS CAPITAL	TBILL				0.0505 / 0.0505					
				9/5/2013	9/5/2013	MAT		(45,000,000.00)	(44,998,548.13)	0.00	(1,451.87)	45,000,000.00
673702	FED HM LN BK BD	/BNP PARIBAS	AGNF1				2.6250 / 0.0415					
				9/13/2013	9/5/2013	PURC		20,000,000.00	20,262,313.32	0.00	0.00	(20,262,313.33)
673704	FED HM LN BK BD	/BNP PARIBAS	AGNF1				0.1000 / 0.0453					
				10/25/2013	9/5/2013	PURC		25,000,000.00	25,010,927.78	0.00	0.00	(25,010,927.78)
626889	FED FARM CR BK	/BNP PARIBAS	AGN FLOATI				0.1800 / 0.1900					
				12/6/2013	9/6/2013	INT		0.00	0.00	0.00	(6,900.00)	6,900.00
650247	FED HM LN BK BD	/JP MORGAN CHASE & CO	AGN FLOATI				0.1977 / 0.1472					
				9/6/2013	9/6/2013	INT		0.00	0.00	0.00	(3,322.16)	3,322.16
				9/6/2013	9/6/2013	MAT		(9,300,000.00)	(9,304,198.12)	0.00	4,198.12	9,300,000.00
664656	FED HM LN BK BD	/DEUTSCHE	AGNF1				4.0000 / 0.0650					
				9/6/2013	9/6/2013	INT		0.00	0.00	0.00	(293,800.00)	293,800.00
				9/6/2013	9/6/2013	MAT		(14,690,000.00)	(14,982,365.28)	0.00	292,365.28	14,690,000.00
673947	FANNIE MAE	/BARCLAYS CAPITAL	AGNF1				1.0000 / 0.0500					
				9/23/2013	9/6/2013	PURC		50,000,000.00	50,248,813.40	0.00	0.00	(50,248,813.39)

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Fund: Educational Money Mkt Fnd												
673949	FANNIE MAE	/BARCLAYS CAPITAL	AGNF1				1.0000 / 0.0500					
				9/23/2013	9/6/2013	PURC		25,000.00	25,124.40	0.00	0.00	(25,124.40)
673950	FED FARM CR BK	/BARCLAYS CAPITAL	AGNF1				0.1900 / 0.0400					
				9/25/2013	9/6/2013	PURC		30,725,000.00	30,753,539.59	0.00	0.00	(30,753,539.60)
673953	US TREASURY N/B	/BARCLAYS CAPITAL	TRBD				0.2500 / 0.1912					
				9/30/2014	9/6/2013	INT		0.00	0.00	0.00	(4.45)	4.45
				9/30/2014	9/6/2013	PURC		5,000,000.00	5,003,125.00	5,434.78	0.00	(5,008,559.78)
673954	US TREASURY N/B	/BARCLAYS CAPITAL	TRBD				3.1250 / 0.0437					
				9/30/2013	9/6/2013	PURC		42,000,000.00	42,084,840.00	570,184.43	0.00	(42,655,024.43)
665869	FED HM LN BK BD	/FTN	AGNF1				0.3000 / 0.0504					
				9/9/2013	9/9/2013	INT		0.00	0.00	0.00	(7,500.00)	7,500.00
				9/9/2013	9/9/2013	MAT		(5,000,000.00)	(5,007,156.67)	0.00	7,156.67	5,000,000.00
669677	FREDDIE DISCOUNT	/RBS GREENWICH CAPITAL	AGDN				0.0550 / 0.0550					
				9/16/2013	9/9/2013	SALE		(20,000,000.00)	(19,998,930.56)	0.00	(991.66)	19,999,922.22
670155	US TREASURY N/B	/GOLDMAN SACHS GROUP INC	TBILL				0.0475 / 0.0475					
				9/12/2013	9/9/2013	SALE		(30,000,000.00)	(29,998,852.08)	0.00	14,998,877.08	14,999,975.00
674194	US TREASURY N/B	/BARCLAYS CAPITAL	TRBD				0.1250 / 0.0399					
				9/30/2013	9/9/2013	PURC		19,000,000.00	19,000,926.44	10,512.30	0.00	(19,011,438.74)
674195	US TREASURY N/B	/BARCLAYS CAPITAL	TRBD				0.1250 / 0.0399					
				9/30/2013	9/9/2013	PURC		25,000,000.00	25,001,219.00	13,831.97	0.00	(25,015,050.97)
630592	FED HM LN BK BD	/CASTLE OAK SECURITIES	AGN FLOAT1				0.1410 / 0.1617					
				9/10/2013	9/10/2013	INT		0.00	0.00	0.00	(4,385.34)	4,385.34
				9/10/2013	9/10/2013	MAT		(16,500,000.00)	(16,498,770.75)	0.00	(1,229.25)	16,500,000.00
644505	FED FARM CR BK	/BNP PARIBAS	AGN FLOAT1				0.1500 / 0.1602					
				3/11/2014	9/11/2013	INT		0.00	0.00	0.00	(5,750.00)	5,750.00
661570	FHLB DISC CORP	/BANK OF AMERICA	AGDN				0.0900 / 0.0900					
				9/11/2013	9/11/2013	MAT		(15,000,000.00)	(14,997,112.50)	0.00	(2,887.50)	15,000,000.00

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Fund: Educational Money Mkt Fnd												
665870	FED HM LN BK BD	/FTN	AGNF1				0.2800 / 0.0504					
				9/12/2013	9/12/2013	INT		0.00	0.00	0.00	(7,000.00)	7,000.00
				9/12/2013	9/12/2013	MAT		(5,000,000.00)	(5,006,635.56)	0.00	6,635.56	5,000,000.00
670155	US TREASURY N/B	/GOLDMAN SACHS GROUP INC	TBILL				0.0475 / 0.0475					
				9/12/2013	9/12/2013	MAT		(30,000,000.00)	(29,998,852.08)	0.00	14,998,852.08	15,000,000.00
675129	FED HM LN BK BD	/BNP PARIBAS	AGNF1				0.1700 / 0.2078					
				9/12/2014	9/12/2013	PURC		15,000,000.00	14,994,345.00	0.00	0.00	(14,994,345.00)
675130	US TREASURY N/B	/BARCLAYS CAPITAL	TBILL				0.0450 / 0.0450					
				9/17/2013	9/12/2013	PURC		30,000,000.00	29,999,812.50	0.00	0.00	(29,999,812.50)
675131	WAL-MART STORES INC	/CRED SUIS SECURITIES	MTN				1.6250 / 0.1818					
				4/15/2014	9/12/2013	PURC		14,000,000.00	14,119,420.00	92,895.83	0.00	(14,212,315.83)
650497	FED HM LN BK BD	/CASTLE OAK SECURITIES	AGNF1				1.0000 / 0.0952					
				9/13/2013	9/13/2013	INT		0.00	0.00	0.00	(55,000.00)	55,000.00
				9/13/2013	9/13/2013	MAT		(11,000,000.00)	(11,050,851.78)	0.00	50,851.78	11,000,000.00
661835	FREDDIE MAC	/BARCLAYS CAPITAL	AGN FLOAT1				0.1325 / 0.0987					
				9/13/2013	9/13/2013	INT		0.00	0.00	0.00	(536.30)	536.30
				9/13/2013	9/13/2013	MAT		(5,000,000.00)	(5,000,614.34)	0.00	614.34	5,000,000.00
668435	FED HM LN BK BD	/DEUTSCHE	AGNF1				5.2500 / 0.0618					
				9/13/2013	9/13/2013	INT		0.00	0.00	0.00	(131,250.00)	131,250.00
				9/13/2013	9/13/2013	MAT		(5,000,000.00)	(5,130,906.67)	0.00	130,906.67	5,000,000.00
673702	FED HM LN BK BD	/BNP PARIBAS	AGNF1				2.6250 / 0.0415					
				9/13/2013	9/13/2013	INT		0.00	0.00	0.00	(262,500.00)	262,500.00
				9/13/2013	9/13/2013	MAT		(20,000,000.00)	(20,262,313.33)	0.00	262,313.33	20,000,000.00
675371	FREDDIE MAC	/CASTLE OAK SECURITIES	CALLGOVAGY				2.2500 / 2.2385					
				6/20/2017	9/13/2013	PURC		15,000,000.00	15,084,228.00	0.00	0.00	(15,084,228.00)
675374	MICROSOFT CORP	/BNP PARIBAS	MTN				0.8750 / 0.1518					
				9/27/2013	9/13/2013	PURC		8,600,000.00	8,602,416.60	34,698.61	0.00	(8,637,115.21)
664368	FED HM LN BK BD	/MORGAN STANLEY	AGNF1				4.5000 / 0.0854					
				9/16/2013	9/16/2013	INT		0.00	0.00	0.00	(225,000.00)	225,000.00
				9/16/2013	9/16/2013	MAT		(10,000,000.00)	(10,223,400.00)	0.00	223,400.00	10,000,000.00

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Fund: Educational Money Mkt Fnd												
668433	FNMA DISCOUNT	/GOLDMAN SACHS GROUP INC	AGDN				0.0400 / 0.0400					
				9/16/2013	9/16/2013	MAT		(12,925,000.00)	(12,924,396.83)	0.00	(603.17)	12,925,000.00
671681	FANNIE MAE	/DEUTSCHE	AGNF1				3.0000 / 0.2096					
				9/16/2014	9/16/2013	INT		0.00	0.00	0.00	(150,000.00)	150,000.00
672672	FED HM LN BK BD	/DEUTSCHE	AGNF1				4.5000 / 0.0548					
				9/16/2013	9/16/2013	INT		0.00	0.00	0.00	(94,162.50)	94,162.50
				9/16/2013	9/16/2013	MAT		(4,185,000.00)	(4,279,045.33)	0.00	94,045.33	4,185,000.00
675617	FED HM LN BK BD	/BNP PARIBAS	AGNF1				3.6250 / 0.0400					
				10/18/2013	9/16/2013	PURC		3,150,000.00	3,206,979.65	0.00	0.00	(3,206,979.65)
675618	FANNIE MAE	/BNP PARIBAS	AGNF1				1.1250 / 0.0447					
				9/17/2013	9/16/2013	PURC		10,000,000.00	10,056,237.50	0.00	0.00	(10,056,237.50)
675619	FANNIE MAE	/BNP PARIBAS	AGNF1				1.0000 / 0.0432					
				9/23/2013	9/16/2013	PURC		1,130,000.00	1,135,640.46	0.00	0.00	(1,135,640.46)
659832	TORONTO DOMINION	/TDSI	YCDF				0.2425 / 0.2425					
				6/17/2014	9/17/2013	INT		0.00	0.00	0.00	(1,885.48)	1,885.48
665400	FANNIE MAE	/DEUTSCHE	AGNF1				1.1250 / 0.0826					
				9/17/2013	9/17/2013	INT		0.00	0.00	0.00	(23,737.50)	23,737.50
				9/17/2013	9/17/2013	MAT		(4,220,000.00)	(4,243,143.54)	0.00	23,143.54	4,220,000.00
675130	US TREASURY N/B	/BARCLAYS CAPITAL	TBILL				0.0450 / 0.0450					
				9/17/2013	9/17/2013	MAT		(30,000,000.00)	(29,999,812.50)	0.00	(187.50)	30,000,000.00
675618	FANNIE MAE	/BNP PARIBAS	AGNF1				1.1250 / 0.0447					
				9/17/2013	9/17/2013	INT		0.00	0.00	0.00	(56,250.00)	56,250.00
				9/17/2013	9/17/2013	MAT		(10,000,000.00)	(10,056,237.50)	0.00	56,237.50	10,000,000.00
671680	US TREASURY N/B	/JP MORGAN CHASE & CO	TBILL				0.0500 / 0.0500					
				9/19/2013	9/19/2013	MAT		(25,000,000.00)	(24,999,027.78)	0.00	(972.22)	25,000,000.00
676376	TORONTO DOMINION BANK NY	/TDSI	YCD				0.1200 / 0.1200					
				10/31/2013	9/19/2013	PURC		15,000,000.00	15,000,000.00	0.00	0.00	(15,000,000.00)
675371	FREDDIE MAC	/CASTLE OAK SECURITIES	CALLGOVAGY				2.2500 / 2.2385					
				6/20/2017	9/20/2013	EPAY PRI		(15,000,000.00)	(15,084,228.00)	0.00	0.00	15,084,228.00
				6/20/2017	9/20/2013	INT		0.00	0.00	0.00	(84,375.00)	84,375.00

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Fund: Educational Money Mkt Fnd												
615340	FED FARM CR BK	/CASTLE OAK SECURITIES	AGN FLOAT3				0.2949 / 0.1873					
				9/23/2013	9/23/2013	INT		0.00	0.00	0.00	(1,449.59)	1,449.59
				9/23/2013	9/23/2013	MAT		(2,500,000.00)	(2,502,731.01)	0.00	2,731.01	2,500,000.00
649474	FANNIE MAE	/CRED SUIS SECURITIES	AGNF1				1.0000 / 0.1101					
				9/23/2013	9/23/2013	INT		0.00	0.00	0.00	(48,865.00)	48,865.00
				9/23/2013	9/23/2013	MAT		(9,773,000.00)	(9,817,119.67)	0.00	44,119.67	9,773,000.00
651008	FANNIE MAE	/JEFFERIES & COMPANY	AGNF1				1.0000 / 0.0900					
				9/23/2013	9/23/2013	INT		0.00	0.00	0.00	(57,650.00)	57,650.00
				9/23/2013	9/23/2013	MAT		(11,530,000.00)	(11,583,306.27)	0.00	53,306.27	11,530,000.00
666407	FANNIE MAE	/FTN	AGNF1				1.0000 / 0.0499					
				9/23/2013	9/23/2013	INT		0.00	0.00	0.00	(100,000.00)	100,000.00
				9/23/2013	9/23/2013	MAT		(20,000,000.00)	(20,098,302.22)	0.00	98,302.22	20,000,000.00
673947	FANNIE MAE	/BARCLAYS CAPITAL	AGNF1				1.0000 / 0.0500					
				9/23/2013	9/23/2013	INT		0.00	0.00	0.00	(250,000.00)	250,000.00
				9/23/2013	9/23/2013	MAT		(50,000,000.00)	(50,248,813.39)	0.00	248,813.39	50,000,000.00
673949	FANNIE MAE	/BARCLAYS CAPITAL	AGNF1				1.0000 / 0.0500					
				9/23/2013	9/23/2013	INT		0.00	0.00	0.00	(125.00)	125.00
				9/23/2013	9/23/2013	MAT		(25,000.00)	(25,124.40)	0.00	124.40	25,000.00
675619	FANNIE MAE	/BNP PARIBAS	AGNF1				1.0000 / 0.0432					
				9/23/2013	9/23/2013	INT		0.00	0.00	0.00	(5,650.00)	5,650.00
				9/23/2013	9/23/2013	MAT		(1,130,000.00)	(1,135,640.46)	0.00	5,640.46	1,130,000.00
676833	MICROSOFT CORP	/CASTLE OAK SECURITIES	MTN				2.9500 / 0.2030					
				6/1/2014	9/23/2013	PURC		1,716,000.00	1,748,430.68	15,749.07	0.00	(1,764,179.75)
676838	US TREASURY N/B	/UBS FINANCIAL SERVICES	TRBD				2.7500 / 0.0461					
				10/31/2013	9/23/2013	INT		0.00	0.00	0.00	(2,980.97)	2,980.97
				10/31/2013	9/23/2013	PURC		50,000,000.00	50,140,350.00	548,497.27	0.00	(50,688,847.27)
676839	US TREASURY N/B	/UBS FINANCIAL SERVICES	TRBD				2.7500 / 0.0461					
				10/31/2013	9/23/2013	INT		0.00	0.00	0.00	(2,980.97)	2,980.97

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Fund: Educational Money Mkt Fnd												
676840	US TREASURY N/B	/UBS FINANCIAL SERVICES	TRBD	10/31/2013	9/23/2013	PURC	2.7500 / 0.0461	50,000,000.00	50,140,350.00	548,497.27	0.00	(50,688,847.27)
				10/31/2013	9/23/2013	INT		0.00	0.00	0.00	(894.29)	894.29
677124	U.S. TREAS MMF - NT - EDU MMF	/	NTSWEEPS	10/31/2013	9/23/2013	PURC	0.0100 / 0.0100	15,000,000.00	15,042,105.00	164,549.18	0.00	(15,206,654.18)
				9/24/2013	9/23/2013	PURC		15,205,759.89	15,205,759.89	0.00	0.00	(15,205,759.89)
614354	FED HM LN BK BD	/JP MORGAN CHASE & CO	AGNF1	9/24/2013	9/24/2013	INT	0.2100 / 0.2230	0.00	0.00	0.00	(15,750.00)	15,750.00
				9/24/2013	9/24/2013	MAT		(15,000,000.00)	(14,998,050.00)	0.00	(1,950.00)	15,000,000.00
655517	FREDDIE DISCOUNT	/MORGAN STANLEY	AGDN	9/24/2013	9/24/2013	MAT	0.0750 / 0.0750	(5,702,000.00)	(5,700,515.10)	0.00	(1,484.90)	5,702,000.00
677109	FED HM LN BK BD	/GOLDMAN SACHS GROUP INC	AGNF1	9/24/2013	9/24/2013	MAT	0.1000 / 0.0401					
				11/20/2013	9/24/2013	PURC	0.0100 / 0.0100	30,000,000.00	30,013,926.66	0.00	0.00	(30,013,926.67)
677124	U.S. TREAS MMF - NT - EDU MMF	/	NTSWEEPS	9/24/2013	9/24/2013	INT		0.00	0.00	0.00	(4.17)	4.17
				9/24/2013	9/24/2013	MAT		(15,205,759.89)	(15,205,759.89)	0.00	0.00	15,205,759.89
661571	FNMA DISCOUNT	/JP MORGAN CHASE & CO	AGDN				0.0900 / 0.0900					
				9/25/2013	9/25/2013	MAT		(15,000,000.00)	(14,996,587.50)	0.00	(3,412.50)	15,000,000.00
666667	FED HM LN BK BD	/CASTLE OAK SECURITIES	AGN FLOAT1				0.0800 / 0.0800					
				11/25/2013	9/25/2013	INT		0.00	0.00	0.00	(1,274.44)	1,274.44
673950	FED FARM CR BK	/BARCLAYS CAPITAL	AGNF1				0.1900 / 0.0400					
				9/25/2013	9/25/2013	INT		0.00	0.00	0.00	(29,188.75)	29,188.75
				9/25/2013	9/25/2013	MAT		(30,725,000.00)	(30,753,539.60)	0.00	28,539.60	30,725,000.00
677352	FED FARM CR BK	/BANK OF AMERICA	CALLGOVAGY				0.2800 / 0.2747					
				9/2/2014	9/25/2013	PURC		12,500,000.00	12,502,798.61	0.00	0.00	(12,502,798.61)
645233	ROYAL BANK OF CANADA NY	/RBC	YCDF				0.3700 / 0.3700					

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Fund: Educational Money Mkt Fnd												
677617	FHLB DISC CORP	/CITIGROUP GLOBAL MARKETS	AGDN	3/26/2014	9/26/2013	INT	0.0320 / 0.0320	0.00	0.00	0.00	(19,805.56)	19,805.56
677618	US TREASURY N/B	/UBS FINANCIAL SERVICES	TRBD	11/27/2013	9/26/2013	PURC	2.7500 / 0.0536	9,300,000.00	9,299,487.47	0.00	0.00	(9,299,487.47)
677619	US TREASURY N/B	/DEUTSCHE	TBILL	10/31/2013	9/26/2013	INT		0.00	0.00	0.00	(1,521.11)	1,521.11
				10/31/2013	9/26/2013	PURC		25,000,000.00	25,064,453.13	279,883.88	0.00	(25,344,337.01)
677619	US TREASURY N/B	/DEUTSCHE	TBILL	10/31/2013	9/26/2013	PURC	0.0330 / 0.0330	25,000,000.00	24,999,197.93	0.00	0.00	(24,999,197.92)
677620	US TREASURY N/B	/BARCLAYS CAPITAL	TBILL	10/31/2013	9/26/2013	PURC	0.0505 / 0.0505	25,000,000.00	24,999,197.93	0.00	0.00	(24,999,197.92)
677621	US TREASURY N/B	/BARCLAYS CAPITAL	TBILL	10/24/2013	9/26/2013	PURC	0.0510 / 0.0510	50,000,000.00	49,998,036.10	0.00	0.00	(49,998,036.11)
630259	FED FARM CR BK	/MORGAN STANLEY	AGN FLOAT1	10/24/2013	9/26/2013	PURC	0.2000 / 0.2051	50,000,000.00	49,998,016.65	0.00	0.00	(49,998,016.67)
630262	FED FARM CR BK	/MORGAN STANLEY	AGN FLOAT1	12/27/2013	9/27/2013	INT	0.1860 / 0.1961	0.00	0.00	0.00	(7,354.17)	7,354.17
641969	MICROSOFT CORP	/BNP PARIBAS	MTN	12/27/2013	9/27/2013	INT	0.8750 / 0.1989	0.00	0.00	0.00	(8,705.48)	8,705.48
642209	FED FARM CR BK	/RBC	AGN FLOAT1	9/27/2013	9/27/2013	INT		0.00	0.00	0.00	(43,750.00)	43,750.00
				9/27/2013	9/27/2013	MAT		(10,000,000.00)	(10,037,520.00)	0.00	37,520.00	10,000,000.00
642209	FED FARM CR BK	/RBC	AGN FLOAT1	3/27/2014	9/27/2013	INT	0.3659 / 0.1839	0.00	0.00	0.00	(5,645.19)	5,645.19
645233	ROYAL BANK OF CANADA NY	/RBC	YCDF	3/27/2014	9/27/2013	INT	0.3700 / 0.3700	0.00	0.00	0.00	(5,645.19)	5,645.19
645745	FED HM LN BK BD	/CRED SUIS SECURITIES	AGNF1	3/26/2014	9/27/2013	INT	0.1250 / 0.1876	0.00	0.00	0.00	(229.18)	229.18
662453	FREDDIE MAC	/JEFFERIES & COMPANY	AGNF1	3/27/2014	9/27/2013	INT	0.1450 / 0.1668	0.00	0.00	0.00	(9,375.00)	9,375.00
				3/27/2014	9/27/2013	INT		0.00	0.00	0.00	(3,584.72)	3,584.72

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Fund: Educational Money Mkt Fnd												
665404	FED HM LN BK BD	/DEUTSCHE	AGNFI				4.1100 / 0.0529					
				9/27/2013	9/27/2013	INT		0.00	0.00	0.00	(105,216.00)	105,216.00
				9/27/2013	9/27/2013	MAT		(5,120,000.00)	(5,224,670.72)	0.00	104,670.72	5,120,000.00
667134	FHLB DISC CORP	/BARCLAYS CAPITAL	AGDN				0.0400 / 0.0400					
				9/27/2013	9/27/2013	MAT		(25,000,000.00)	(24,998,333.33)	0.00	(1,666.67)	25,000,000.00
668223	FREDDIE MAC	/BARCLAYS CAPITAL	AGNFI				4.1250 / 0.0498					
				9/27/2013	9/27/2013	INT		0.00	0.00	0.00	(670,931.25)	670,931.25
				9/27/2013	9/27/2013	MAT		(32,530,000.00)	(33,198,358.67)	0.00	668,358.67	32,530,000.00
670676	FREDDIE MAC	/BARCLAYS CAPITAL	AGNFI				4.1250 / 0.0646					
				9/27/2013	9/27/2013	INT		0.00	0.00	0.00	(206,559.38)	206,559.38
				9/27/2013	9/27/2013	MAT		(10,015,000.00)	(10,220,789.06)	0.00	205,789.06	10,015,000.00
670681	FED HM LN BK BD	/BNP PARIBAS	AGYMAT				0.1500 / 0.0621					
				9/27/2013	9/27/2013	INT		0.00	0.00	0.00	(33,750.00)	33,750.00
				9/27/2013	9/27/2013	MAT		(30,000,000.00)	(30,031,625.00)	0.00	31,625.00	30,000,000.00
671909	MICROSOFT CORP	/RBC	MTN				0.8750 / 0.1192					
				9/27/2013	9/27/2013	INT		0.00	0.00	0.00	(1,312.50)	1,312.50
				9/27/2013	9/27/2013	MAT		(300,000.00)	(300,213.00)	0.00	213.00	300,000.00
672343	FED HM LN BK BD	/FTN FINANCIAL	AGNFI				0.1300 / 0.0503					
				9/27/2013	9/27/2013	INT		0.00	0.00	0.00	(3,250.00)	3,250.00
				9/27/2013	9/27/2013	MAT		(5,000,000.00)	(5,003,033.33)	0.00	3,033.33	5,000,000.00
675374	MICROSOFT CORP	/BNP PARIBAS	MTN				0.8750 / 0.1518					
				9/27/2013	9/27/2013	INT		0.00	0.00	0.00	(37,625.00)	37,625.00
				9/27/2013	9/27/2013	MAT		(8,600,000.00)	(8,602,416.60)	0.00	2,416.60	8,600,000.00
677854	FREDDIE MAC	/FTN FINANCIAL	AGNFI				5.0000 / 0.1002					
				1/30/2014	9/27/2013	PURC		5,000,000.00	5,123,218.33	0.00	0.00	(5,123,218.33)
677855	US TREASURY N/B	/GOLDMAN SACHS GROUP INC	TRBD				0.2500 / 0.0625					
				10/31/2013	9/27/2013	INT		0.00	0.00	0.00	(139.21)	139.21
				10/31/2013	9/27/2013	PURC		25,000,000.00	25,004,350.00	25,614.75	0.00	(25,029,964.75)
677856	US TREASURY N/B	/GOLDMAN SACHS GROUP INC	TRBD				0.5000 / 0.0407					
				11/15/2013	9/27/2013	PURC		15,000,000.00	15,009,165.00	27,513.59	0.00	(15,036,678.59)
631566	FED FARM CR BK	/BNP PARIBAS	AGN FLOATI				0.1897 / 0.1740					

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Fund: Educational Money Mkt Fnd												
665403	FANNIE MAE	/BARCLAYS CAPITAL	AGNF1	10/28/2013	9/28/2013	INT	1.1250 / 0.0702	0.00	0.00	0.00	(3,531.85)	3,531.85
				9/30/2013	9/30/2013	INT		0.00	0.00	0.00	(10,687.50)	10,687.50
667918	TORONTO DOMINION BANK NY	/TDSI	YCD	9/30/2013	9/30/2013	MAT	0.0900 / 0.0900	(1,900,000.00)	(1,910,412.00)	0.00	10,412.00	1,900,000.00
				9/30/2013	9/30/2013	INT		0.00	0.00	0.00	(2,250.00)	2,250.00
				9/30/2013	9/30/2013	MAT		(15,000,000.00)	(15,000,000.00)	0.00	0.00	15,000,000.00
673953	US TREASURY N/B	/BARCLAYS CAPITAL	TRBD				0.2500 / 0.1912					
				9/30/2014	9/30/2013	INT		0.00	0.00	0.00	(6,250.00)	6,250.00
673954	US TREASURY N/B	/BARCLAYS CAPITAL	TRBD				3.1250 / 0.0437					
				9/30/2013	9/30/2013	INT		0.00	0.00	0.00	(656,250.00)	656,250.00
				9/30/2013	9/30/2013	MAT		(42,000,000.00)	(42,084,840.00)	0.00	84,840.00	42,000,000.00
674194	US TREASURY N/B	/BARCLAYS CAPITAL	TRBD				0.1250 / 0.0399					
				9/30/2013	9/30/2013	INT		0.00	0.00	0.00	(11,875.00)	11,875.00
				9/30/2013	9/30/2013	MAT		(19,000,000.00)	(19,000,926.44)	0.00	926.44	19,000,000.00
674195	US TREASURY N/B	/BARCLAYS CAPITAL	TRBD				0.1250 / 0.0399					
				9/30/2013	9/30/2013	INT		0.00	0.00	0.00	(15,625.00)	15,625.00
				9/30/2013	9/30/2013	MAT		(25,000,000.00)	(25,001,219.00)	0.00	1,219.00	25,000,000.00
678141	FED HM LN BK BD	/CASTLE OAK SECURITIES	AGNF1				0.1250 / 0.1674					
				9/16/2014	9/30/2013	PURC		10,000,000.00	9,996,416.11	0.00	0.00	(9,996,416.11)
Sub Total:								(53,190,000.00)	(54,197,897.09)	2,337,862.93	28,942,684.04	22,917,350.09
Fund: 283-John Wayne Airport												
649744	FREDDIE MAC	/BARCLAYS CAPITAL	AGN FLOAT1				0.3762 / 0.1189					
				9/3/2013	9/3/2013	INT		0.00	0.00	0.00	(449.47)	449.47
				9/3/2013	9/3/2013	MAT		(550,000.00)	(550,800.92)	0.00	800.92	550,000.00
670226	FHLB DISC CORP	/BNP PARIBAS	AGDN				0.0600 / 0.0600					
				9/3/2013	9/3/2013	MAT		(600,000.00)	(599,981.00)	0.00	(19.00)	600,000.00
645750	FANNIE MAE	/BARCLAYS CAPITAL	AGN FLOAT3				0.3112 / 0.1868					

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Fund: 283-John Wayne Airport												
652744	FREDDIE MAC	/MORGAN STANLEY	AGN FLOAT1	3/4/2014	9/4/2013	INT	0.1682 / 0.1277	0.00	0.00	0.00	(1,291.67)	1,291.67
670907	FHLB DISC CORP	/RBC	AGDN	11/4/2013	9/4/2013	INT	0.0800 / 0.0800	0.00	0.00	0.00	(40.28)	40.28
673656	FANNIE MAE	/CASTLE OAK SECURITIES	AGNZERO	10/9/2013	9/4/2013	SALE	0.1832 / 0.1832	(700,000.00)	(699,920.67)	0.00	(62.32)	699,982.99
673922	U.S. TREAS MMF - NT - JWA	/	NTSWEEPJWA	7/5/2014	9/4/2013	PURC	0.0100 / 0.0100	1,305,000.00	1,303,003.35	0.00	0.00	(1,303,003.35)
669914	US TREASURY N/B	/GOLDMAN SACHS GROUP INC	TBILL	9/5/2013	9/4/2013	PURC	0.0505 / 0.0505	1,303,003.35	1,303,003.35	0.00	0.00	(1,303,003.35)
673706	FED HM LN BK BD	/DEUTSCHE	AGNF1	9/5/2013	9/5/2013	MAT	4.0000 / 0.0745	(2,000,000.00)	(1,999,935.47)	0.00	(64.53)	2,000,000.00
673707	FED HM LN BK BD	/BNP PARIBAS	AGNF1	9/6/2013	9/5/2013	PURC	0.2000 / 0.0508	505,000.00	515,098.94	0.00	0.00	(515,098.94)
673708	FED HM LN BK BD	/BNP PARIBAS	AGNF1	9/12/2013	9/5/2013	PURC	0.1250 / 0.0512	500,000.00	500,495.06	0.00	0.00	(500,495.06)
673709	FANNIE MAE	/BNP PARIBAS	AGNF1	9/25/2013	9/5/2013	PURC	1.0000 / 0.0503	250,000.00	250,149.14	0.00	0.00	(250,149.14)
673710	FREDDIE MAC	/BNP PARIBAS	AGNF1	10/15/2013	9/5/2013	PURC	0.8750 / 0.0502	375,000.00	376,853.96	0.00	0.00	(376,853.96)
673711	FED FARM CR BK	/BNP PARIBAS	AGNF1	10/28/2013	9/5/2013	PURC	0.6500 / 0.0504	300,000.00	301,290.24	0.00	0.00	(301,290.24)
673922	U.S. TREAS MMF - NT - JWA	/	NTSWEEPJWA	10/15/2013	9/5/2013	PURC	0.0100 / 0.0100	350,000.00	351,117.82	0.00	0.00	(351,117.82)
644496	FED FARM CR BK	/BNP PARIBAS	AGNF1	9/5/2013	9/5/2013	INT		0.00	0.00	0.00	(0.36)	0.36
				9/5/2013	9/5/2013	MAT		(1,303,003.35)	(1,303,003.35)	0.00	0.00	1,303,003.35
				9/6/2013	9/6/2013	INT	0.3750 / 0.1552	0.00	0.00	0.00	(656.25)	656.25
669408	FED HM LN BK BD	/BNP PARIBAS	AGNF1	9/6/2013	9/6/2013	MAT		(350,000.00)	(350,400.90)	0.00	400.90	350,000.00
							0.2500 / 0.0610					
				9/6/2013	9/6/2013	INT		0.00	0.00	0.00	(1,236.11)	1,236.11

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Fund: 283-John Wayne Airport												
673706	FED HM LN BK BD	/DEUTSCHE	AGNF1	9/6/2013	9/6/2013	MAT	4.0000 / 0.0745	(2,000,000.00)	(2,001,141.11)	0.00	1,141.11	2,000,000.00
				9/6/2013	9/6/2013	INT		0.00	0.00	0.00	(10,100.00)	10,100.00
				9/6/2013	9/6/2013	MAT		(505,000.00)	(515,098.94)	0.00	10,098.94	505,000.00
673955	US TREASURY N/B	/BARCLAYS CAPITAL	TRBD				0.1250 / 0.0399					
				9/30/2013	9/6/2013	PURC		1,000,000.00	1,000,055.72	543.03	0.00	(1,000,598.75)
669676	FANNIE MAE	/DEUTSCHE	AGNF1				1.5000 / 0.2013					
				9/8/2014	9/8/2013	INT		0.00	0.00	0.00	(3,037.50)	3,037.50
644506	FED FARM CR BK	/BNP PARIBAS	AGN FLOAT1				0.1500 / 0.1602					
				3/11/2014	9/11/2013	INT		0.00	0.00	0.00	(766.67)	766.67
673707	FED HM LN BK BD	/BNP PARIBAS	AGNF1				0.2000 / 0.0508					
				9/12/2013	9/12/2013	INT		0.00	0.00	0.00	(500.00)	500.00
				9/12/2013	9/12/2013	MAT		(500,000.00)	(500,495.06)	0.00	495.06	500,000.00
667933	FED FARM CR BK	/DEUTSCHE	AGN FLOAT1				0.3535 / 0.1110					
				6/13/2014	9/13/2013	INT		0.00	0.00	0.00	(1,778.33)	1,778.33
670227	FED HM LN BK BD	/DEUTSCHE	AGNF1				2.6250 / 0.0841					
				9/13/2013	9/13/2013	INT		0.00	0.00	0.00	(7,350.00)	7,350.00
				9/13/2013	9/13/2013	MAT		(560,000.00)	(567,311.55)	0.00	7,311.55	560,000.00
675370	FREDDIE MAC	/CASTLE OAK SECURITIES	CALLGOVAGY				2.2500 / 2.2385					
				6/20/2017	9/13/2013	PURC		3,000,000.00	3,016,845.60	0.00	0.00	(3,016,845.60)
675372	FREDDIE MAC	/DEUTSCHE	AGNF1				0.3750 / 0.0509					
				10/15/2013	9/13/2013	PURC		300,000.00	300,548.90	0.00	0.00	(300,548.90)
675373	FED FARM CR BK	/DEUTSCHE	AGNF1				3.8750 / 0.0505					
				10/7/2013	9/13/2013	PURC		155,000.00	157,997.81	0.00	0.00	(157,997.81)
664365	FED HM LN BK BD	/CRED SUIS SECURITIES	AGNF1				0.1250 / 0.1697					
				3/14/2014	9/14/2013	INT		0.00	0.00	0.00	(93.75)	93.75
664899	FED HM LN BK BD	/GOLDMAN SACHS GROUP INC	AGN FLOAT1				0.1119 / 0.1219					
				1/16/2014	9/16/2013	INT		0.00	0.00	0.00	(89.56)	89.56
667452	FED HM LN BK BD	/BNP PARIBAS	AGNF1				4.5000 / 0.0704					
				9/16/2013	9/16/2013	INT		0.00	0.00	0.00	(5,850.00)	5,850.00
				9/16/2013	9/16/2013	MAT		(260,000.00)	(265,825.56)	0.00	5,825.56	260,000.00

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Fund: 283-John Wayne Airport												
669678	FREDDIE DISCOUNT	/JEFFERIES & COMPANY	AGDN				0.0800 / 0.0800					
				9/16/2013	9/16/2013	MAT		(436,000.00)	(435,966.09)	0.00	(33.91)	436,000.00
675615	FHLB DISC CORP	/UBS FINANCIAL SERVICES	AGDN				0.0450 / 0.0450					
				10/4/2013	9/16/2013	PURC		300,000.00	299,993.25	0.00	0.00	(299,993.25)
675616	FHLB DISC CORP	/UBS FINANCIAL SERVICES	AGDN				0.0449 / 0.0449					
				9/25/2013	9/16/2013	PURC		300,000.00	299,996.63	0.00	0.00	(299,996.63)
670159	FANNIE MAE	/MORGAN STANLEY	AGNF1				1.1250 / 0.0750					
				9/17/2013	9/17/2013	INT		0.00	0.00	0.00	(2,851.88)	2,851.88
				9/17/2013	9/17/2013	MAT		(507,000.00)	(509,815.75)	0.00	2,815.75	507,000.00
630590	FED FARM CR BK	/CASTLE OAK SECURITIES	AGN FLOAT1				0.1990 / 0.1839					
				11/18/2013	9/18/2013	INT		0.00	0.00	0.00	(149.89)	149.89
659570	FED HM LN BK BD	/CRED SUIS SECURITIES	AGNF1				4.8000 / 0.1602					
				12/18/2013	9/18/2013	INT		0.00	0.00	0.00	(4,800.00)	4,800.00
643897	TORONTO DOMINION BANK NY	/TDSI	YCD				0.2500 / 0.2500					
				9/20/2013	9/20/2013	INT		0.00	0.00	0.00	(1,284.72)	1,284.72
				9/20/2013	9/20/2013	MAT		(1,000,000.00)	(1,000,000.00)	0.00	0.00	1,000,000.00
675370	FREDDIE MAC	/CASTLE OAK SECURITIES	CALLGOVAGY				2.2500 / 2.2385					
				6/20/2017	9/20/2013	EPAY PRI		(3,000,000.00)	(3,016,845.60)	0.00	0.00	3,016,845.60
				6/20/2017	9/20/2013	INT		0.00	0.00	0.00	(16,875.00)	16,875.00
676591	TORONTO DOMINION BANK NY	/TDSI	YCD				0.1200 / 0.1200					
				10/21/2013	9/20/2013	PURC		1,000,000.00	1,000,000.00	0.00	0.00	(1,000,000.00)
663446	FANNIE MAE	/CRED SUIS SECURITIES	AGNF1				1.3500 / 0.1726					
				3/21/2014	9/21/2013	INT		0.00	0.00	0.00	(2,902.50)	2,902.50
664366	FANNIE MAE	/CRED SUIS SECURITIES	AGNF1				1.3500 / 0.1700					

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Fund: 283-John Wayne Airport												
				3/21/2014	9/21/2013	INT		0.00	0.00	0.00	(675.00)	675.00
644503	FANNIE MAE	/CRED SUIS SECURITIES	AGNF1				1.0000 / 0.1533					
				9/23/2013	9/23/2013	INT		0.00	0.00	0.00	(4,250.00)	4,250.00
				9/23/2013	9/23/2013	MAT		(850,000.00)	(857,838.23)	0.00	7,838.23	850,000.00
667451	FANNIE MAE	/BNP PARIBAS	AGNF1				1.0000 / 0.0706					
				9/23/2013	9/23/2013	INT		0.00	0.00	0.00	(1,625.00)	1,625.00
				9/23/2013	9/23/2013	MAT		(325,000.00)	(326,590.41)	0.00	1,590.41	325,000.00
670153	FANNIE MAE	/BARCLAYS CAPITAL	AGN FLOAT1				0.3600 / 0.1509					
				6/23/2014	9/23/2013	INT		0.00	0.00	0.00	(644.00)	644.00
671685	FANNIE MAE	/BNP PARIBAS	AGNF1				1.0000 / 0.0606					
				9/23/2013	9/23/2013	INT		0.00	0.00	0.00	(1,275.00)	1,275.00
				9/23/2013	9/23/2013	MAT		(255,000.00)	(256,261.21)	0.00	1,261.21	255,000.00
672602	FED FARM CR BK	/CITIGROUP GLOBAL MARKETS	AGN FLOAT3				0.2310 / 0.0836					
				9/23/2013	9/23/2013	INT		0.00	0.00	0.00	(724.79)	724.79
				9/23/2013	9/23/2013	MAT		(1,250,000.00)	(1,250,653.93)	0.00	653.93	1,250,000.00
672607	FANNIE MAE	/BARCLAYS CAPITAL	AGNF1				1.0000 / 0.0502					
				9/23/2013	9/23/2013	INT		0.00	0.00	0.00	(1,385.00)	1,385.00
				9/23/2013	9/23/2013	MAT		(277,000.00)	(278,374.91)	0.00	1,374.91	277,000.00
676824	FREDDIE MAC	/BNP PARIBAS	AGNF1				2.5000 / 0.0712					
				1/7/2014	9/23/2013	PURC		228,000.00	230,801.61	0.00	0.00	(230,801.61)
676825	FED HM LN BK BD	/BNP PARIBAS	AGNF1				0.8750 / 0.0622					
				12/27/2013	9/23/2013	PURC		340,000.00	341,431.49	0.00	0.00	(341,431.49)
676826	FREDDIE MAC	/BNP PARIBAS	AGNF1				0.8750 / 0.0427					
				10/28/2013	9/23/2013	PURC		280,000.00	281,213.33	0.00	0.00	(281,213.33)
676827	FREDDIE MAC	/BARCLAYS CAPITAL	AGNF1				0.8750 / 0.0488					
				10/28/2013	9/23/2013	PURC		2,452,000.00	2,462,610.56	0.00	0.00	(2,462,610.56)
676831	FREDDIE MAC	/BNP PARIBAS	AGNF1				4.8750 / 0.0515					
				11/15/2013	9/23/2013	PURC		273,000.00	279,633.17	0.00	0.00	(279,633.17)
676832	FREDDIE MAC	/DEUTSCHE	AGNF1				4.8750 / 0.0734					
				11/15/2013	9/23/2013	PURC		2,548,000.00	2,609,825.52	0.00	0.00	(2,609,825.52)
660957	ROYAL BANK OF CANADA NY	/RBC	YCDF				0.3030 / 0.3030					

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Fund: 283-John Wayne Airport												
670154	FED FARM CR BK	/MORGAN STANLEY	AGN FLOAT3	6/24/2014	9/24/2013	INT	0.2160 / 0.1063	0.00	0.00	0.00	(228.72)	228.72
673708	FED HM LN BK BD	/BNP PARIBAS	AGNF1	3/24/2014	9/24/2013	INT	0.1250 / 0.0512	0.00	0.00	0.00	(1,006.47)	1,006.47
675616	FHLB DISC CORP	/UBS FINANCIAL SERVICES	AGDN	9/25/2013	9/25/2013	INT		0.00	0.00	0.00	(156.25)	156.25
				9/25/2013	9/25/2013	MAT	0.0449 / 0.0449	(250,000.00)	(250,149.14)	0.00	149.14	250,000.00
677356	TORONTO DOMINION BANK NY	/TDSI	YCD	9/25/2013	9/25/2013	MAT		(300,000.00)	(299,996.63)	0.00	(3.37)	300,000.00
							0.1500 / 0.1500					
628555	MICROSOFT CORP	/CITIGROUP GLOBAL MARKETS	MTN	1/27/2014	9/25/2013	PURC	0.8750 / 0.2119	1,000,000.00	1,000,000.00	0.00	0.00	(1,000,000.00)
655519	FANNIE MAE	/MORGAN STANLEY	AGNF1	9/27/2013	9/27/2013	INT		0.00	0.00	0.00	(4,375.00)	4,375.00
				9/27/2013	9/27/2013	MAT		(1,000,000.00)	(1,005,150.00)	0.00	5,150.00	1,000,000.00
							1.1250 / 0.1001					
				9/30/2013	9/30/2013	INT		0.00	0.00	0.00	(5,625.00)	5,625.00
673955	US TREASURY N/B	/BARCLAYS CAPITAL	TRBD	9/30/2013	9/30/2013	MAT		(1,000,000.00)	(1,005,259.00)	0.00	5,259.00	1,000,000.00
							0.1250 / 0.0399					
678143	FED FARM CR BK	/MORGAN STANLEY	AGNF1	9/30/2013	9/30/2013	INT		0.00	0.00	0.00	(625.00)	625.00
				9/30/2013	9/30/2013	MAT		(1,000,000.00)	(1,000,055.72)	0.00	55.72	1,000,000.00
				11/25/2013	9/30/2013	PURC		2,000,000.00	2,050,369.44	0.00	0.00	(2,050,369.44)
Sub Total:								(714,000.00)	(614,536.26)	543.03	(32,659.96)	646,653.19
Fund: 15B-Housing 99A & 99B												
383458	GINNIE MAE	/	MBS				6.2500 / 1,160.9307					
				9/20/2029	9/20/2013	INT		0.00	0.00	0.00	(39.64)	39.64
				9/20/2029	9/20/2013	SALE		(134,943.41)	(135,060.55)	0.00	0.00	445.04
				9/20/2029	9/20/2013	INT		0.00	0.00	0.00	(570.04)	570.04

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					Sub Total:			(134,943.41)	(135,060.55)	0.00	(609.68)	1,054.72

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MONEY MARKET MUTUAL FUNDS TRANSACTIONS REPORT

For 01-Sep-2013 to 30-Sep-2013

Cashflow Date	Transaction Description	D.O. No.	Instrument	Amount	Deposit Slip Code	Counterparty	Deal No
AIM#51102-692		INVESCO STIC GOVERNMENT & AGENCY					
9/4/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	27,292,937.00	3643	INVESCO STIC PRIME PORTFOLIO	673642
9/5/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(4,831,523.00)	3695	INVESCO STIC PRIME PORTFOLIO	673694
9/6/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(18,310,561.00)	4147	INVESCO STIC PRIME PORTFOLIO	674146
9/9/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	15,759,652.00	4187	INVESCO STIC PRIME PORTFOLIO	674186
9/10/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(20,000,000.00)	4624	INVESCO STIC PRIME PORTFOLIO	674623
9/24/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	16,314,621.00	7102	INVESCO STIC PRIME PORTFOLIO	677101
9/25/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	41,043,573.00	7343	INVESCO STIC PRIME PORTFOLIO	677342
9/26/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(57,000,000.00)	7602	INVESCO STIC PRIME PORTFOLIO	677601
9/27/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	15,509,890.00	7844	INVESCO STIC PRIME PORTFOLIO	677843
9/30/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(7,984,370.00)	8134	INVESCO STIC PRIME PORTFOLIO	678133
Sub Total Amount Per Account:				7,794,219.00			
AIM#51103-694		INVESCO STIC GOVERNMENT & AGENCY					
9/27/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	29,357,903.00	7846	INVESCO STIC PRIME PORTFOLIO	677845
9/30/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(29,000,000.00)	8136	INVESCO STIC PRIME PORTFOLIO	678135
Sub Total Amount Per Account:				357,903.00			
MORGAN 283		MORGAN STANLEY GOVT 8302-JWA MMF					
9/3/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	1,150,449.78	3368	MORGAN STANLEY INST LIQUIDITY FUN	673367
9/4/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(601,688.31)	3645	MORGAN STANLEY INST LIQUIDITY FUN	673644
9/5/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(295,005.57)	3697	MORGAN STANLEY INST LIQUIDITY FUN	673696
9/6/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	1,866,393.61	4149	MORGAN STANLEY INST LIQUIDITY FUN	674148
9/9/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	3,037.50	4189	MORGAN STANLEY INST LIQUIDITY FUN	674188
9/11/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	766.67	4887	MORGAN STANLEY INST LIQUIDITY FUN	674886
9/12/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	500,500.00	5120	MORGAN STANLEY INST LIQUIDITY FUN	675119
9/13/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(2,906,264.31)	5365	MORGAN STANLEY INST LIQUIDITY FUN	675364
9/16/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	102,084.31	5610	MORGAN STANLEY INST LIQUIDITY FUN	675609
9/17/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	509,811.33	5829	MORGAN STANLEY INST LIQUIDITY FUN	675828
9/18/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	4,949.89	6102	MORGAN STANLEY INST LIQUIDITY FUN	676101
9/20/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	3,018,159.72	6413	MORGAN STANLEY INST LIQUIDITY FUN	676412
9/23/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(3,235,034.39)	6819	MORGAN STANLEY INST LIQUIDITY FUN	676818
9/24/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	1,235.19	7104	MORGAN STANLEY INST LIQUIDITY FUN	677103
9/25/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(449,843.75)	7345	MORGAN STANLEY INST LIQUIDITY FUN	677344
9/27/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	1,004,375.00	7848	MORGAN STANLEY INST LIQUIDITY FUN	677847
9/30/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(44,119.44)	8138	MORGAN STANLEY INST LIQUIDITY FUN	678137
Sub Total Amount Per Account:				629,807.23			
MORGAN 692		MORGAN STANLEY GOVT 8302-COUNTY MMF					
9/3/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	32,544,885.00	3372	MORGAN STANLEY INST LIQUIDITY FUN	673371

**County of Orange
Treasurer-Tax Collector's Office**

MONEY MARKET MUTUAL FUNDS TRANSACTIONS REPORT

For 01-Sep-2013 to 30-Sep-2013

Cashflow Date	Transaction Description	D.O. No.	Instrument	Amount	Deposit Slip Code	Counterparty	Deal No
9/4/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	10,000,000.00	3649	MORGAN STANLEY INST LIQUIDITY FUN	673648
9/9/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	4,000,000.00	4193	MORGAN STANLEY INST LIQUIDITY FUN	674192
9/10/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(23,637,713.00)	4628	MORGAN STANLEY INST LIQUIDITY FUN	674627
9/11/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	1,440,915.00	4891	MORGAN STANLEY INST LIQUIDITY FUN	674890
9/12/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(41,256,655.00)	5124	MORGAN STANLEY INST LIQUIDITY FUN	675123
9/13/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	28,262,841.00	5369	MORGAN STANLEY INST LIQUIDITY FUN	675368
9/16/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	20,705,386.00	5614	MORGAN STANLEY INST LIQUIDITY FUN	675613
9/17/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	1,324,635.00	5833	MORGAN STANLEY INST LIQUIDITY FUN	675832
9/18/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(3,204,664.00)	6107	MORGAN STANLEY INST LIQUIDITY FUN	676106
9/19/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(9,376,312.00)	6389	MORGAN STANLEY INST LIQUIDITY FUN	676388
9/20/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	1,833,819.00	6417	MORGAN STANLEY INST LIQUIDITY FUN	676416
9/23/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	5,863,532.00	6823	MORGAN STANLEY INST LIQUIDITY FUN	676822
9/24/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	8,000,000.00	7108	MORGAN STANLEY INST LIQUIDITY FUN	677107
9/26/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(21,009,120.00)	7606	MORGAN STANLEY INST LIQUIDITY FUN	677605
9/27/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	23,000,000.00	7852	MORGAN STANLEY INST LIQUIDITY FUN	677851
Sub Total Amount Per Account:				38,491,549.00			
MORGAN 694	MORGAN STANLEY GOVT 8302-EDUCATIONAL MMF						
9/3/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	40,246,376.00	3370	MORGAN STANLEY INST LIQUIDITY FUN	673369
9/4/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(20,706,552.00)	3647	MORGAN STANLEY INST LIQUIDITY FUN	673646
9/5/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	41,683,984.00	3699	MORGAN STANLEY INST LIQUIDITY FUN	673698
9/6/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(109,363,542.00)	4151	MORGAN STANLEY INST LIQUIDITY FUN	674150
9/9/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(25,648,276.00)	4191	MORGAN STANLEY INST LIQUIDITY FUN	674190
9/10/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	8,617,534.00	4626	MORGAN STANLEY INST LIQUIDITY FUN	674625
9/11/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	22,209,364.00	4889	MORGAN STANLEY INST LIQUIDITY FUN	674888
9/12/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(45,483,070.00)	5122	MORGAN STANLEY INST LIQUIDITY FUN	675121
9/13/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	2,845,451.00	5367	MORGAN STANLEY INST LIQUIDITY FUN	675366
9/16/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	18,029,468.00	5612	MORGAN STANLEY INST LIQUIDITY FUN	675611
9/17/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	36,700,089.00	5831	MORGAN STANLEY INST LIQUIDITY FUN	675830
9/18/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(5,414,379.00)	6105	MORGAN STANLEY INST LIQUIDITY FUN	676103
9/19/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	8,591,924.00	6387	MORGAN STANLEY INST LIQUIDITY FUN	676386
9/20/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	4,971,920.00	6415	MORGAN STANLEY INST LIQUIDITY FUN	676414
9/23/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(31,734,867.00)	6821	MORGAN STANLEY INST LIQUIDITY FUN	676820
9/24/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	103,375,201.00	7106	MORGAN STANLEY INST LIQUIDITY FUN	677105
9/25/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	4,161,894.00	7347	MORGAN STANLEY INST LIQUIDITY FUN	677346
9/26/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(19,648,597.00)	7604	MORGAN STANLEY INST LIQUIDITY FUN	677603
9/27/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	48,000,000.00	7850	MORGAN STANLEY INST LIQUIDITY FUN	677849
9/30/2013	ACTUAL CASHFLOW		Money Market Mutual Fund	(62,167,698.00)	8140	MORGAN STANLEY INST LIQUIDITY FUN	678139
Sub Total Amount Per Account:				19,266,224.00			

County of Orange
Treasurer-Tax Collector's Office

MONEY MARKET MUTUAL FUNDS TRANSACTIONS REPORT

For 01-Sep-2013 to 30-Sep-2013

Cashflow Date	Transaction Description	D.O. No.	Instrument	Amount	Deposit Slip Code	Counterparty	Deal No
Total Amount :				<u>66,539,702.23</u>			



ORANGE COUNTY
AUDITOR-CONTROLLER
INTERNAL AUDIT

**REPORT ON AUDIT
OF THE
STATEMENT OF ASSETS
HELD BY THE
COUNTY TREASURY**

**AS OF
DECEMBER 31, 2012**



**Job Number 1116
Report Date: September 9, 2013**



O R A N G E C O U N T Y
AUDITOR-CONTROLLER
I N T E R N A L A U D I T

Jan E. Grimes
Orange County Auditor-Controller



Nancy N. Ishida, CPA	Audit Manager
Dat Thomas	Senior Auditor
Richard Son	Staff Auditor

Hall of Finance and Records
12 Civic Center Plaza, Room 200
Santa Ana, CA 92701

Orange County Web Site
<http://ocgov.com/gov/>

**Audit of the Statement of Assets Held by the County Treasury
As of December 31, 2012**

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JAN E. GRIMES, CPA
AUDITOR-CONTROLLER

**ORANGE COUNTY
AUDITOR-CONTROLLER**

HALL OF RECORDS
12 CIVIC CENTER PLAZA, ROOM 200
POST OFFICE BOX 567
SANTA ANA, CALIFORNIA 92702-0567

(714) 834-2450 FAX: (714) 834-2569

www.ac.ocgov.com

DENISE STECKLER
CHIEF DEPUTY
AUDITOR-CONTROLLER

TONYA BURNETT, CPA
DIRECTOR
SATELLITE ACCOUNTING OPERATIONS

PHILLIP T. DAIGNEAU
DIRECTOR
INFORMATION TECHNOLOGY

FRANK DAVIES, CPA
DIRECTOR
PROPERTY TAX

VICTORIA ROSS, CPA
DIRECTOR
CENTRAL ACCOUNTING OPERATIONS

TRANSMITTAL LETTER

SEPTEMBER 9, 2013

Audit No. 1116

TO: Members, Board of Supervisors
Chair Shawn Nelson, 4th District Supervisor
Vice Chair Patricia C. Bates, 5th District Supervisor
Supervisor Janet Nguyen, 1st District
Supervisor John M. W. Moorlach, 2nd District
Supervisor Todd Spitzer, 3rd District

SUBJECT: Report on Audit of the Statement of Assets Held by the County Treasury, as of
December 31, 2012 and related Independent Auditor's Report

We have completed our Audit of the Statement of Assets held by the County Treasury as of December 31, 2012. We have also completed our Internal Auditor's Report on Internal Control over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with *Government Auditing Standards* issued by the Comptroller General of the United States. Attached are copies of these reports.

Jan E. Grimes
Auditor-Controller

Enclosure

Other recipients of this report:

Shari L. Freidenrich, Treasurer-Tax Collector
Michael B. Giancola, County Executive Officer
Frank Kim, Chief Financial Officer
Paul C. Gorman, Chief Assistant Treasurer-Tax Collector
Denise Steckler, Chief Deputy Auditor-Controller
Victoria Ross, Director, Central Accounting Operations Auditor-Controller
Members, Audit Oversight Committee
Members, Treasury Oversight Committee
Susan Novak, Clerk of the Board of Supervisors
Foreperson, Grand Jury
Peter Hughes, Director, Internal Audit Department



JAN E. GRIMES, CPA
AUDITOR-CONTROLLER

ORANGE COUNTY
AUDITOR-CONTROLLER

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12 CIVIC CENTER PLAZA, ROOM 200
POST OFFICE BOX 567
SANTA ANA, CALIFORNIA 92702-0567

(714) 834-2450 FAX: (714) 834-2569

www.ac.ocgov.com

DENISE STECKLER
CHIEF DEPUTY
AUDITOR-CONTROLLER

TONYA BURNETT, CPA
DIRECTOR
SATELLITE ACCOUNTING OPERATIONS

PHILLIP T. DAIGNEAU
DIRECTOR
INFORMATION TECHNOLOGY

FRANK DAVIES, CPA
DIRECTOR
PROPERTY TAX

VICTORIA ROSS, CPA
DIRECTOR
CENTRAL ACCOUNTING OPERATIONS

INDEPENDENT AUDITOR'S REPORT

September 9, 2013

Audit No. 1116

Members, Board of Supervisors
Hall of Administration Building
333 W. Santa Ana Blvd., 5th Floor
Santa Ana, CA 92701

Report on the Statement of Assets

Pursuant to Government Codes §26920(b) and §26922, we have audited the accompanying Statement of Assets (statement) Held by the County Treasury as of December 31, 2012, and the related notes to the financial statement as noted at **Appendices A and B**, respectively.

Management's Responsibility for the Statement of Assets

The Treasurer's management is responsible for the preparation and fair presentation of this financial statement that was prepared on the cash basis, as modified, which is a comprehensive basis of accounting other than the United States generally accepted accounting principles. Management is responsible for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of the financial statement that is free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on this statement based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the statement is free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting principles used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall statement presentation.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the Statement of Assets Held by the County Treasury presents fairly, in all material respects, the amount and type of assets in the County Treasury as of December 31, 2012, on the basis of accounting described in Notes 1 and 2 (**Appendix B**).

Other Reporting Required by *Government Auditing Standards*

In accordance with *Government Auditing Standards*, we have also issued a report dated September 9, 2013, on our consideration of the Treasurer's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, and the County's investment policy. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* and important for assessing the results of our audit. We noted certain matters that we will report to Treasury management in a separate Management Letter.

This report is intended for the information of the management of the County of Orange Auditor-Controller. However, this report is a matter of public record and its distribution is not limited.



Nancy N. Ishida, CPA
Audit Manager
Santa Ana, CA
September 9, 2013

Appendix A: Statement of Assets

Appendix B: Notes to Financial Statements

Members, Board of Supervisors

September 9, 2013

Page 3

Other recipients of this report:

Shari L. Freidenrich, Treasurer-Tax Collector

Jan E. Grimes, Auditor-Controller

Denise Steckler, Chief Deputy Auditor-Controller

Victoria Ross, Director, Central Accounting Operations Auditor-Controller

Michael B. Giancola, County Executive Officer

Frank Kim, Chief Financial Officer

Paul C. Gorman, Chief Assistant Treasurer-Tax Collector

Members, Audit Oversight Committee

Members, Treasury Oversight Committee

Susan Novak, Clerk of the Board of Supervisors

Foreperson, Grand Jury

Peter Hughes, Director, Internal Audit Department

APPENDIX A: STATEMENT OF ASSETS



SHARI L. FREIDENRICH, CPA
TREASURER-TAX COLLECTOR

OFFICE OF THE TREASURER-TAX COLLECTOR

HALL OF FINANCE AND RECORDS
P.O. BOX 4515
625 N. ROSS STREET, BUILDING 11
SANTA ANA, CALIFORNIA 92702-4515

(714) 834-7625 FAX: (714) 834-2912

ttc.ocgov.com
Treasurer@ttc.ocgov.com

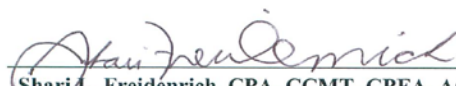
PAUL C. GORMAN, CPA
CHIEF ASSISTANT
TREASURER-TAX COLLECTOR
TREASURY

JENNIFER BURKHART, CFA
ASSISTANT TREASURER-TAX COLLECTOR
TAX COLLECTION

PAUL COCKING
ASSISTANT TREASURER-TAX COLLECTOR
INVESTMENTS

ROBIN RUSSELL
ASSISTANT TREASURER-TAX COLLECTOR
ADMINISTRATION

September 5, 2013				
STATEMENT OF ASSETS HELD BY THE COUNTY TREASURY AS OF DECEMBER 31, 2012				
ASSETS				
	County Pool	Educational Pool	Non-Pooled	Total
CASH				
Cash on Hand	\$ 44,064	\$ -	\$ -	\$ 44,064
Demand Accounts	17,821,681	40,000	-	17,861,681
Total Cash	\$ 17,865,745	\$ 40,000	-	\$ 17,905,745
INVESTMENTS, stated at fair value				
<i>Orange County Investment Pool:</i>				
Money Market Fund	2,026,132,533			2,026,132,533
Extended Fund	1,677,220,887			1,677,220,887
<i>Orange County Educational Investment Pool:</i>				
Money Market Fund		2,115,131,168		2,115,131,168
Extended Fund		1,349,713,350		1,349,713,350
<i>Non-Pooled</i>			56,960,610	56,960,610
Total Investments	\$ 3,703,353,420	\$ 3,464,844,518	\$ 56,960,610	\$ 7,225,158,548
TOTAL ASSETS	\$ 3,721,219,165	\$ 3,464,884,518	\$ 56,960,610	\$ 7,243,064,293


Shari L. Freidenrich, CPA, CCMT, CPFA, ACPFIM
Treasurer-Tax Collector

APPENDIX B: NOTES TO FINANCIAL STATEMENTS

ORANGE COUNTY TREASURER-TAX COLLECTOR NOTES TO FINANCIAL STATEMENT DECEMBER 31, 2012

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

A. Cash and Investments

The accompanying Statement of Assets Held by The County Treasury is intended to separately report deposits and investments included in the Comprehensive Annual Financial Report of the County of Orange, State of California (County). For additional disclosure information and contingencies, please refer to the latest publication of the County Comprehensive Annual Financial Report.

The statement includes the cash balances of all funds that the County Treasurer (Treasurer) pools for investing surplus funds according to California Government Code and certain other non-pooled specific investments. The County maintains two external investment pools: the Orange County Investment Pool (the OCIP), and the Orange County Educational Investment Pool (the OCEIP), the latter of which is utilized exclusively by Orange County's public school and community college districts. These pools are maintained for the County and other non-County entities for the purpose of benefiting from economies of scale through pooled investment activities. In addition, the County maintains certain other non-pooled specific investments. The statement does not include cash and investments for the Orange County Employees Retirement System, certain deferred compensation funds and other debt reserve funds held in trust outside, and independent of, the County Treasury. The pools are not registered with the Securities and Exchange Commission ("SEC") as an investment company. The pools do not have any legally binding guarantees of share value.

Unless otherwise required in a trust agreement or other financing document, assessment districts and public school and community college districts are required by legal provisions to deposit their funds with the County Treasurer. The OCEIP consists entirely of public school and community college districts' funds and therefore includes 100% involuntary participants. At December 31, 2012, the OCIP includes approximately 13.63% of involuntary participant deposits including funds for the Superior Court, certain assessment districts, redevelopment property tax trust funds, and certain bond related funds for public school districts.

This statement is presented on the cash basis, as modified, which is a comprehensive basis of accounting other than United States generally accepted accounting principles. Receipts are recognized when received by the Treasurer; disbursements are recognized when paid by the bank.

The Treasurer has stated required investments at fair value in the accompanying financial statement. Management contracts with an outside service and its custodian bank to provide pricing for the fair value of investments in the portfolio. Securities listed or traded on a national securities exchange are valued at the last quoted sales price. Repurchase agreements and institutional money market funds are carried at book value (carrying cost). The remaining investments are marked to market, and the net asset values are calculated each business day.

The Pools value participant's shares in the pools using amortized cost. Specifically, the pool distributes income to participants based on their relative participation during the period. Income is calculated based on (1) realized investment gains and losses calculated on an amortized cost basis, (2) interest income based on stated rates (both paid and accrued), (3) amortization of discounts and premiums on a straight-line basis, and reduced by (4) estimated investment and administrative expenses. This method differs from the fair value method used to value investments in this financial statement because the amortized cost method does not distribute to participants all unrealized gains and losses in the fair values of the Pools' investment.

2. DEPOSITS AND INVESTMENTS

The Treasurer is responsible for authorizing all County bank accounts and pursuant to Government Code Sections 27000.1-27000.5, 27130-27137 and 53600-53686 is responsible for conducting County investment activities of the County's investment pooled funds in addition to various individual investment accounts outside of the pooled funds. These public funds are called the Orange County Investment Fund (OCIF). Within the OCIF, the Treasurer maintains an Orange County Investment Pool (OCIP) and an Orange County Educational Investment Pool (OCEIP) which are "external investment pools" wherein moneys of the County and other legally separate external entities, which are not part of the County

**ORANGE COUNTY TREASURER-TAX COLLECTOR
NOTES TO FINANCIAL STATEMENT
DECEMBER 31, 2012**

2. DEPOSITS AND INVESTMENTS (Continued)

reporting entity, are commingled (pooled) and invested on the participants' behalf. In addition the Treasurer maintains the John Wayne Airport Investment Pool, and other separately managed investments. The County's Investment Pools are not registered with the Securities and Exchange Commission (SEC) as an investment company.

The Treasurer invests pooled funds from the OCIP and OCEIP into three funds, the Orange County Money Market Fund (OCMMF), the Orange County Educational Money Market Fund (OCEMMF) and the Extended Fund. On February 13, 2013, Standard & Poor's affirmed an AAA Principal Stability Fund Rating (AAAm) for the Orange County Money Market Fund and Orange County Educational Money Market Fund. The two money market funds are required to maintain a Net Asset Value (NAV) of between \$0.995 and \$1.005 to maintain an AAAm rating. Neither of the Money Market Funds nor the Extended Fund has any legally binding guarantees of share values.

The maximum maturity of investments for the two Money Market Funds is 13 months with a maximum weighted average maturity of 60 days. The maximum maturity of the Extended Fund is five years per Government Code. The County's Investment Policy Statement (IPS) provides that the Extended Fund duration should not exceed a leading 1-3 Year index +25%.

Pursuant to Sections 27130-27137 of the California Government Code, the Board of Supervisors has established a Treasury Oversight Committee (TOC) that monitors and reviews the IPS annually and also ensures that the Treasurer has an audit annually which includes limited tests of compliance with laws and regulations. The TOC consists of the County Executive Officer, the County Auditor-Controller, the County Superintendent of Schools, or their respective designees, and two public members.

The investment practices and policies of the Treasurer are based on compliance with State law and prudent money management. The primary goal is to invest public funds in a manner which will provide maximum security of principal invested with secondary emphasis on providing adequate liquidity to Pool Participants and lastly to achieve a market rate of return within the parameters of prudent risk management while conforming to all applicable statutes and resolutions governing the investment of public funds.

Interest is allocated to individual funds monthly based on the average daily balances on deposit with the Treasurer. Interest assigned to another fund due to a management decision is recognized in the fund that reports the investments and reported as a transfer to the recipient fund.

A. Deposits

As of December 31, 2012, the carrying amount of non interest-bearing deposits was \$17.86 million which consisted of demand accounts in financial institutions plus a small amount in cash in the Treasury's vault. The Treasurer has established a separate bank and investment custody account for the OCEIP on behalf of the County's school and community college participants.

Government Code Section 53652 et. seq. and the IPS prescribe the amount of collateral that is required to secure the deposit of public funds. The pledge to secure deposits is administered by the California Superintendent of Banks. Collateral is required for demand deposits at 110 % of all deposits not covered by federal depository insurance if obligations of the United States and its agencies, or obligations of the State or its municipalities, school districts, and district corporations are pledged. Collateral of 150% is required if a deposit is secured by first mortgages or first trust deeds upon improved residential real property located in California. All such collateral is considered to be held by the pledging financial institutions' trust departments or agents in the name of the County. Obligations pledged to secure deposits must be delivered to an institution other than the institution in which the deposit is made; however, the trust department of the same institution may hold them. Written custodial agreements are required that provide, among other things, that the collateral securities are held separate from the assets of the custodial institution.

**ORANGE COUNTY TREASURER-TAX COLLECTOR
NOTES TO FINANCIAL STATEMENT
DECEMBER 31, 2012**

2. DEPOSITS AND INVESTMENTS (Continued)

A. Deposits (Continued)

Federal depository insurance (FDIC) is available for interest-bearing funds deposited at any one financial institution up to a maximum of \$250,000. From January 1, 2012 through December 31, 2012, the FDIC insurance provided unlimited coverage for noninterest-bearing transaction accounts in accordance with Section 343 of the Dodd-Frank Act. Of the total deposits, 100% of deposits totaling \$14.80 million was covered by federal deposit insurance.

Custodial Credit Risk – Deposits

The custodial credit risk for deposits is that the County will not be able to recover deposits that are in the possession of an outside party. Deposits are exposed to custodial credit risk if they are not insured or collateralized. The County's deposits are not exposed to custodial credit risk since all of its deposits are either covered by FDIC or collateralized with securities held by the County or its agent in the County's name, in accordance with California Government Code Section 53562.

B. Investments

Government Code Section 53601, 53635, Board of Supervisors' ordinances and resolutions, the County's IPS, the bond indenture documents, trust agreements, and other contractual agreements govern the investments that may be purchased and may include certain restrictions on investment maturity, maximum portfolio percentages, term, value, credit quality and timing to minimize the risk of loss. The IPS adds further restrictions to permitted investments from the Government Code. As of December 31, 2012, the Treasurer was in full compliance with the more restrictive IPS and therefore, was also in compliance with California Government Code.

Table A provides a summary listing of the authorized investments as of December 31, 2012.

Table A

<u>Type of Investment</u>	<u>CA Gov Code % of Funds Permitted</u>	<u>Orange County IPS (%)</u>	<u>CA Gov Code Maximum Final Maturity</u>	<u>Orange County IPS Maximum Final Maturity (Long-Term- Extended Fund)</u>	<u>Orange County IPS Maximum Final Maturity (Short-Term - Money Market Fund)</u>
U.S. Treasury Securities	100%	100%	5 Years	5 Years	397 Days
U.S. Government Agency Securities	100%	100% Total, no more than 30% in one issuer	5 Years	5 Years	397 Days
Municipal Debt	100%	30% Total, no more than 5% in one issuer except 10%- County of Orange	5 Years	5 Years	397 Days
Medium -Term Notes	30%	30% Total, no more than 5% in one issuer	5 Years	5 Years	397 Days
Bankers Acceptances	40%, 30% of a single issuer	40% Total, no more than 5% in one issuer	180 Days	180 Days	180 Days
Commercial Paper	40%, 10% of a single issuer	40% Total, no more than 5% in one issuer	270 Days	270 Days	270 Days
Negotiable Certificates of Deposits	30%	30% Total, no more than 5% in one issuer	5 Years	5 Years	397 Days
Repurchase Agreements	20%	20% Total, no more than 5% in one issuer	1 Year	1 Year	1 Year
Money Market Mutual Funds	20%, 10% in a single fund	20% Total, no more than 10% in one fund	N/A	5 Years	397 Days

**ORANGE COUNTY TREASURER-TAX COLLECTOR
NOTES TO FINANCIAL STATEMENT
DECEMBER 31, 2012**

2. DEPOSITS AND INVESTMENTS (Continued)

B. Investments (Continued)

The current IPS expressly prohibits leverage, reverse repurchase agreements as defined by California Government Code, structured notes, structured investment vehicles, and derivatives. All investments must be United States dollar denominated. No investment may be purchased from an issuer that has been placed on credit watch-negative by any of NRSROs, or whose credit rating by any of the NRSROs is less than the minimum rating required by the IPS for that class of security unless the issuer has a short-term rating of "A-1+" or "F1+" or a long-term rating of at least a "AA" or "Aa2" by Standard and Poor's (S&P) and Fitch or Moody's.

Investments by the Treasurer are stated at fair value. Investments in the OCIP and OCEIP are marked to market on a daily basis. If the net asset value (NAV) of the OCMMF or OCEMMF is less than \$0.995 or greater than \$1.005, portfolio holdings may be sold as necessary to maintain the ratio between \$0.995 and \$1.005.

Investment Disclosures:

Table B presents a summary of the County's investments and the credit quality distribution and concentration of credit risk by investment type as a percentage of each pool's fair value at December 31, 2012 (Dollar amounts in thousands).

Table B

	Fair Value (in thousands)	Principal (in thousands)	Interest Rate Range (%)	Maturity Range	Weighted Average Maturity (Years)(3)	Rating (1)	% of Portfolio
County Investment Pool (2)							
U.S. Government Agencies							
FNMA Discount Notes	\$ 25,000	\$ 25,000	0.12%	1/23/2013	0.0630	A-1	0.68%
FNMA Bonds	606,585	604,218	0.08 - 1.53%	1/10/13 - 5/23/17	1.5161	AA	16.38%
Federal Farm Credit Bank	468,412	468,184	0.17 - 1.55%	1/7/13 - 11/16/15	0.4107	AA	12.65%
FHLB Discount Notes	255,343	255,349	0.07 - 0.09%	1/4/13 - 2/8/13	0.0290	A-1	6.89%
FHLB Bonds	779,088	775,296	0.08 - 0.75%	1/23/13 - 12/11/15	0.6872	AA	21.04%
FHLMC Discount Notes	240,265	240,307	0.07 - 0.10%	2/4/13 - 4/23/13	0.1841	A-1	6.49%
FHLMC Bonds	662,211	660,770	0.10 - 1.67%	3/21/13 - 4/4/16	0.8451	AA	17.88%
Commercial Paper	9,994	10,000	0.27%	4/13/2013	0.2877	A-1	0.27%
Negotiable Certificates of Deposit	113,132	113,000	0.20 - 0.60%	3/15/13 - 7/26/13	0.2917	A-1	3.05%
Medium-Term Corporate Notes							
Corporate Notes	158,162	156,535	0.25 - 2.14%	1/8/13 - 6/1/14	0.4038	AA	4.27%
Corporate Notes	34,068	34,000	0.20 - 2.16%	5/15/13	0.3699	AAA	0.92%
Municipal Debt	87,684	87,304	0.65 - 1.55%	2/1/13 - 8/15/13	0.4985	A	2.37%
U.S. Treasuries	97,033	96,967	0.27 - 0.83%	3/31/13 - 2/15/15	0.8702	AA	2.62%
Money Market Mutual Funds	166,376	166,376	0.03 - 0.05%	1/2/2013	0.0055	AAA	4.49%
	\$3,703,353	\$3,693,306			0.6755		100.00%

**ORANGE COUNTY TREASURER-TAX COLLECTOR
NOTES TO FINANCIAL STATEMENT
DECEMBER 31, 2012**

2. DEPOSITS AND INVESTMENTS (Continued)

B. Investments (Continued)

Table B (continued)

	Fair Value (in thousands)	Principal (in thousands)	Interest Rate Range (%)	Maturity Range	Weighted Average Maturity (Years)(3)	Rating (1)	% of Portfolio
<u>Educational Investment Pool</u>							
<u>(2)</u>							
U.S. Government Agencies							
FNMA Discount Notes	\$ 124,984	\$ 125,000	0.06 - 0.09%	3/20/13 - 3/27/13	0.2203	A-1	3.61%
FNMA Bonds	447,839	445,919	0.10 - 1.54%	1/10/13 - 5/23/17	1.6568	AA	12.92%
Federal Farm Credit Bank	434,761	434,416	0.11 - 1.55%	1/7/13 - 11/16/15	0.3549	AA	12.55%
FHLB Discount Notes	9,071	9,072	0.07%	3/15/2013	0.2027	A-1	0.26%
FHLB Bonds	914,598	910,924	0.08 - 0.75%	1/23/13 - 12/11/15	0.5687	AA	26.39%
FHLMC Discount Notes	139,966	140,000	0.08 - 0.10%	3/25/13 - 4/29/13	0.2626	A-1	4.04%
FHLMC Bonds	553,912	552,639	0.05 - 1.67%	1/9/13 - 4/4/16	0.7921	AA	15.99%
Commercial Paper	14,990	15,000	0.27%	4/22/2013	0.3068	A-1	0.43%
Negotiable Certificates of Deposit	103,316	103,200	0.20 - 0.59%	3/11/13 - 10/21/13	0.3169	A-1	2.98%
Medium-Term Corporate Notes							
Corporate Notes	131,510	129,936	0.25 - 2.14%	1/8/13 - 6/1/14	0.4505	AA	3.80%
Municipal Debt	73,004	72,697	0.65 - 1.55%	02/1/13 - 08/15/13	0.4848	A	2.11%
U.S. Treasuries	128,109	128,033	0.03 - 0.83%	1/15/13 - 2/15/15	0.5465	AA	3.70%
Money Market Mutual Funds	388,785	388,785	0.03 - 0.05%	1/2/2013	0.0055	AAA	11.22%
	<u>\$3,464,845</u>	<u>\$3,455,621</u>			<u>0.6134</u>		<u>100.00%</u>
<u>Specific Investments (2)</u>							
U.S. Government Agencies							
FNMA Bonds	\$ 12,209	\$ 12,185	0.10 - 0.37%	1/28/13 - 5/15/13	0.1183	AA	21.43%
Federal Farm Credit Bank	6,831	6,795	0.10 - 0.24%	1/10/13 - 11/18/13	0.1621	AA	11.99%
FHLB Bonds	14,061	14,045	0.08 - 0.25%	1/16/13 - 7/17/13	0.1430	AA	24.70%
FHLMC Bonds	7,405	7,402	0.07 - 0.39%	1/9/13 - 7/15/13	0.1101	AA	13.00%
GNMA Bonds	128	114	6.25%	9/20/2029	16.7315	AA	0.22%
Negotiable Certificates of Deposit	4,006	4,000	0.18 - 0.59%	1/7/13 - 6/14/13	0.1946	A-1	7.03%
Medium-Term Corporate Notes							
Corporate Notes	3,619	3,600	0.18 - 0.33%	2/11/13 - 9/27/13	0.3141	AA	6.35%
Corporate Notes	1,002	1,000	0.21%	5/15/2013	0.3699	AAA	1.76%
U.S. Treasuries	603	600	0.19%	5/15/2013	0.3699	AA	1.06%
Repurchase Agreements	1,081	1,081	6.20%	8/15/2019	6.6247	AA	1.90%
Money Market Mutual Funds	6,016	6,016	0.03 - 0.05%	1/2/2013	0.0055	AAA	10.56%
	<u>\$56,961</u>	<u>\$56,838</u>			<u>0.3024</u>		<u>100.00%</u>

(1) The County obtains credit ratings from S&P, Moody's, and Fitch. The ratings indicative of the greatest degree of risk have been disclosed at a minimum

(2) Legend

- a) FNMA is an abbreviation for the Federal National Mortgage Association
- a) FHLB is an abbreviation for the Federal Home Loan Bank
- b) FHLMC is an abbreviation for the Federal Home Loan Mortgage Corporation
- c) GNMA is an abbreviation for the Government National Mortgage Association

(3) Portfolio weighted average maturity

**ORANGE COUNTY TREASURER-TAX COLLECTOR
NOTES TO FINANCIAL STATEMENT
DECEMBER 31, 2012**

2. DEPOSITS AND INVESTMENTS (Continued)

B. Investments (Continued)

Portfolio Holdings of Debt Issued by OCIP Pool Participants:

County of Orange Taxable Pension Obligation Bonds, 2012 Series A:

On January 18, 2012, the OCMMF, OCEMMF, Extended Fund and John Wayne Airport Investment Pool purchased a portion of the County issued Taxable Pension Obligation Bonds 2012, Series A (2012 POBs) in the principal amount of \$61,500,000. The POBs were issued with fixed coupon rates from .75% to .95% and maturities from August 2012 through June 2013. The obligation of the County to pay principal and interest on the 2012 POBs is an obligation imposed by law and is absolute and unconditional. As of December 31, 2012, the outstanding principal amount of the 2012 POBs is \$60,000,000. The bonds are rated A+ by S&P. The County's investment in the 2012 POBs is disclosed herein as Municipal Debt.

Orange County Transportation Authority (OCTA) Toll Road Revenue Bonds, 2003 Series B:

As of December 31, 2012, the Extended Fund holdings include \$100,000,000 OCTA Toll Road Revenue Bonds, 2003 Series B. The bonds pay interest at a fixed coupon rate of 1.55% with a mandatory put of August 15, 2013. The bonds are rated A by S&P. The County's investment in the OCTA bonds is disclosed herein as Municipal Debt.

Temporary Transfers by OCEIP to Orange County School Districts:

In October 2012, the County Treasurer received requests from two Orange County school districts to make temporary transfers as required by State Law and authorized by the Board of Supervisor's Resolutions 11-195 for Temporary Transfers. The Resolutions authorize the County Treasurer to make temporary transfers to school districts to address their short-term cash flow needs. The loans are secured by tax receipts to be received by the County Treasurer as the banker for the school districts and will be repaid no later than January 31, 2013. At December 31, 2012 the outstanding Temporary Transfers from the OCEIP totaled \$35 million.

Refunds of Treasury Administrative Fees to OCIP and OCEIP Participants:

During the fiscal year ended June 30, 2012, the County Treasurer finalized the review of the fiscal years (FY) 2007/2008 through FY 2010/2011 treasury administrative fee cost studies and processed a net treasury administrative fee refund of \$2.7 million to the pool participants for these years based on their balances during each period.

Interest Rate Risk – Investments:

This is the risk that changes in interest rates will adversely affect the fair value of an investment. Generally, investments of longer maturities are more sensitive to changes in market interest rates. Declines in the fair value of investments are managed by limiting the length of the maturity of the securities. In general, the maximum maturity allowed is five years unless the Board has granted express authority. Actual weighted average days to maturity by investment type for the funds invested in the Pools are presented in Table B.

The OCIP funds of \$3,703,353,420 and the OCEIP funds of \$3,464,844,518 at December 31, 2012, have over 57.04% and 61.49%, respectively, of the investments maturing in six months or less, 40.30% and 36.22% respectively, maturing between six months and three years and 2.66% and 2.29% respectively, maturing from three to five years.

As of December 31, 2012, variable-rate notes comprised 20.13% and 17.57% of the OCIP and OCEIP respectively. The notes are tied to the Federal Funds rate, 90-day Treasury Bill rate, one-month and three-month London Interbank Offered Rate (LIBOR) with daily, weekly, monthly, and quarterly coupon resets. The fair value of variable-rate securities is generally less susceptible to changes in value because the variable-rate coupon resets back to the market rate on a periodic basis. Effectively, at each reset date, a variable-rate investment reprices back to par value, eliminating interest rate risk at each periodic reset. For purposes of computing weighted average maturity (WAM), the maturity date of variable-rate notes is the length of time until the next reset date rather than the stated maturity.

**ORANGE COUNTY TREASURER-TAX COLLECTOR
NOTES TO FINANCIAL STATEMENT
DECEMBER 31, 2012**

2. DEPOSITS AND INVESTMENTS (Continued)

B. Investments (Continued)

The quarterly average daily investment balance of the OCIP and the OCEIP was \$3,361,922,545 and \$2,753,804,042 respectively with an average gross effective yield of 0.41% and 0.43%, respectively, for the quarter ended December 31, 2012.

Interest Rate Risk - Weighted Average Maturity (Money Market Funds):

At December 31, 2012 the OCMMF and OCEMMF amounted to \$2,026,132,533 and \$2,115,131,168 respectively. In accordance with the Board of Supervisor formally approved IPS, the Treasurer manages the Pool's exposure to declines in fair value for deposits and investments by limiting the weighted average maturity to 60 days in the Money Market Funds. At December 31, 2012, the weighted average maturity of the OCMMF was 46 days and the OCEMMF was 55 days. At the same date, the NAV of the Money Market Funds for both pools was \$1.00 (in absolute dollar amounts).

Interest Rate Risk-Duration (Extended Fund):

At December 31, 2012 the Extended Fund (which includes funds from both OCIP and OCEIP) balance was \$3,026,934,236. Of this amount, the OCIP owned 55.41% and the OCEIP owned 44.59%. In accordance with the IPS, the Treasurer manages investment related risk for deposits and investments by limiting duration to +25% of a leading 1-3 Year index (2.34). The portfolio duration for the Extended Fund as of December 31, 2012 was 1.35 years. This was computed using the Macaulay duration method, which calculates the maturity date of callable bonds using their final maturity date.

As of December 31, 2012, the Extended Fund had the following duration by investment type:

Table C

Investment Type	Fair Value	Macaulay Duration (In Years)
Medium-Term Corporate Notes	\$ 156,163,398	0.50
Municipal Debt	135,682,190	0.56
U.S Treasuries	175,118,262	0.87
U.S. Government Agencies	2,559,970,386	1.47
Total Fair Value	<u>\$3,026,934,236</u>	
Portfolio Duration		1.35

Custodial Credit Risk:

For an investment, custodial credit risk is the risk that, in the event of the failure of the counterparty, the County will not be able to recover the value of its investments or collateral securities that are in possession of an outside party. The IPS does not permit investments in uninsured and unregistered securities not held by the County. The Treasurer utilizes third party delivery versus payment (DVP) which mitigates any custodial credit risk. Securities purchased by the Treasurer are held by third party custodians in their trust departments to mitigate custodial credit risk. At year-end, in accordance with the IPS, the County's external investment pools and specific investments did not have any securities exposed to custodial credit risk, and the Treasurer did not have any securities lending during the year (or at year end).

Credit Risk:

This is the risk that an issuer or other counterparty to an investment may not fulfill its obligations. The IPS sets forth the minimum acceptable credit ratings for investments from any two of the NRSROs as explained above. For an issuer of short-term debt, the rating must be no less than "A-1" or "SP-1" (S & P), "P-1" or "MIG 1/VMIG 1" (Moody's), or "F1" (Fitch), while an issuer of long-term debt shall be rated no less than an "A" in the Money Market Funds and "AA" in the Extended Fund. Municipal debts issued by the County and U.S. Government obligations are exempt from the above credit rating requirements. As of December 31, 2012, the County's investments were in compliance with the IPS limits.

**ORANGE COUNTY TREASURER-TAX COLLECTOR
NOTES TO FINANCIAL STATEMENT
DECEMBER 31, 2012**

2. DEPOSITS AND INVESTMENTS (Continued)

B. Investments (Continued)

Concentration of Credit Risk:

This is the risk of loss attributed to the magnitude of a government's investment in a single issuer. As of December 31, 2012, all investments were in compliance with State law and with the IPS. See Table B above for concentrations of holdings including U.S. government agencies.

Foreign Currency Risk:

The IPS requires all securities to be U.S. dollar denominated. The County Pools are not exposed to foreign currency risk.

3. SUBSEQUENT EVENTS

Annual IPS Change:

The Board of Supervisors approved the 2013 IPS on January 8, 2013 which made some changes to allowed investments including changes to conform to California Government Code. The following substantive changes to the IPS were approved by the Board of Supervisors: concentration limit calculations were exempted for US Treasury and US government agency collateral backing Repurchase Agreements (REPO); REPO counterparty limits were increased from 5% to 10%; credit ratings were restricted to "no less than A" for short-term pools and "no less than AA" for long-term pools; the issuer limit for purchases of commercial paper was increased from 5% to 10% for a period not to exceed three business days. These changes are not considered a significant change to the overall structure for the prudent investment of the balances entrusted to the Treasurer.

Standard and Poor's Ratings Reaffirmation:

On February 13, 2013, Standard & Poor's reaffirmed their highest rating of AAAM on the OCMMF and OCEMMF.

Temporary Transfers by OCEIP to Orange County School Districts:

On March 12, 2013, the Orange County Board of Supervisors, per Resolution 13-016, authorized the County Treasurer to make Temporary Transfers to Orange County school districts and community college districts from the OCEIP for fiscal years June 2013-2014 and 2014-2015.

As of April 30, 2013, the County Treasurer as required by California Constitution Article XVI, Section 6, and per the Board of Supervisor's Resolution 11-195, made Temporary Transfers of \$183.7 million to eight Orange County school districts from the OCEIP. These loans and the related interest were repaid by August 31, 2013.

Orange County Transportation Authority (OCTA) Toll Road Revenue Bonds, 2003 Series B:

On August 8, 2013, \$100,000,000 OCTA Toll Road Revenue Bonds, 2003 Series B were called early.



JAN E. GRIMES, CPA
AUDITOR-CONTROLLER

**ORANGE COUNTY
AUDITOR-CONTROLLER**

HALL OF RECORDS
12 CIVIC CENTER PLAZA, ROOM 200
POST OFFICE BOX 567
SANTA ANA, CALIFORNIA 92702-0567

(714) 834-2450 FAX: (714) 834-2569

www.ac.ocgov.com

DENISE STECKLER
CHIEF DEPUTY
AUDITOR-CONTROLLER

TONYA BURNETT, CPA
DIRECTOR
SATELLITE ACCOUNTING OPERATIONS

PHILLIP T. DAIGNEAU
DIRECTOR
INFORMATION TECHNOLOGY

FRANK DAVIES, CPA
DIRECTOR
PROPERTY TAX

VICTORIA ROSS, CPA
DIRECTOR
CENTRAL ACCOUNTING OPERATIONS

**Independent Auditor's Report on Internal Control over Financial Reporting
and on Compliance and Other Matters Based on an Audit of Financial
Statements Performed in Accordance with *Government Auditing Standards***

September 9, 2013

Audit No. 1116

Members, Board of Supervisors
Hall of Administration Building
333 W. Santa Ana Blvd., 5th Floor
Santa Ana, CA 92701

Pursuant to Government Codes §26920(b) and §26922, we have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the Statement of Assets (statement) Held by the County Treasury as of December 31, 2012, and the related notes to the statement, and have issued our report thereon dated September 9, 2013.

Internal Control over Financial Reporting

In planning and performing our audit, we considered the Treasurer's internal control over financial reporting as a basis for designing our audit procedures for the purpose of expressing our opinion on the financial statement, but not for the purpose of expressing an opinion on the effectiveness of the Treasurer's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the Treasurer's internal control over financial reporting.

A *control deficiency* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *significant deficiency* is a control deficiency, or combination of control deficiencies that adversely affects the Treasurer's ability to initiate, authorize, record, process, or report financial data reliably in accordance with its applicable accounting basis such that there is more than a remote likelihood that a misstatement of the

Treasurer's Statement of Assets that is more than inconsequential will not be prevented or detected by the Treasurer's internal control.

A *material weakness* is a significant deficiency, or combination of significant deficiencies, that results in more than a remote likelihood that a material misstatement of the financial statement will not be prevented or detected by the Treasurer's internal control.

Our consideration of internal control over financial reporting was for the limited purpose described in the first paragraph of this section and would not necessarily identify all deficiencies in internal control that might be significant deficiencies or material weaknesses. Given these limitations, during our audit we did not identify any deficiencies in internal control over financial reporting that we consider to be material weaknesses, as defined above. However, we noted certain matters not requiring inclusion in this report that we will report to Treasury management in a separate Management Letter.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Treasurer's financial statement is free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, and the Orange County Treasurer Investment Policy Statement, noncompliance with which could have a direct and material effect on the determination of the financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Treasurer's internal control and compliance. This report is intended solely for the information and use of management of the County of Orange Auditor-Controller. However, this report is a matter of public record and its distribution is not limited.



Nancy N. Ishida, CPA
Audit Manager
Santa Ana, CA
September 9, 2013

Members, Board of Supervisors

September 9, 2013

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Other recipients of this report:

Shari L. Freidenrich, Treasurer-Tax Collector

Jan E. Grimes, Auditor-Controller

Denise Steckler, Chief Deputy Auditor-Controller

Victoria Ross, Director, Central Accounting Operations Auditor-Controller

Michael B. Giancola, County Executive Officer

Frank Kim, Chief Financial Officer

Paul C. Gorman, Chief Assistant Treasurer-Tax Collector

Members, Audit Oversight Committee

Members, Treasury Oversight Committee

Susan Novak, Clerk of the Board of Supervisors

Foreperson, Grand Jury

Peter Hughes, Director, Internal Audit Department

MONTHLY TREASURER'S INVESTMENT REPORT

Distribution List

Orange County Elected Officials

Honorable Webster J. Guillory, Assessor
Honorable Sandra Hutchens, Sheriff-Coroner
Honorable Anthony J. Rackauckas, District Attorney

Treasury Oversight Committee

William "Andy" Dunn
Mike Giancola
Jan Grimes
Al Mijares
Laura Parisi

County of Orange Departments

Assessor
Auditor-Controller
Child Support Services
Clerk of the Board
Clerk-Recorder
County Counsel
County Executive Office
District Attorney
Health Care Agency
Human Resources
Internal Audit
John Wayne Airport
OC Community Resources
OC Dana Point Harbor
OC Public Works
OC Waste & Recycling
Office of Independent Review
Performance Audit
Probation
Public Administrator/Public Guardian
Public Defender
Registrar of Voters
Sheriff-Coroner
Social Services Agency

County Agencies

Children & Families Commission
Civic Center Commission
Law Library
Orange County Employees Retirement System
Orange County Cemetery District
Orange County Fire Authority
Orange County Transportation Authority
Transportation Corridor Agencies

State of California

Mark Campbell, CDIAC
Superior Court

Orange County School Districts

Orange County Department of Education
Anaheim City School District
Anaheim Union High School District
Brea-Olinda Unified School District
Buena Park School District
Capistrano Unified School District
Centralia School District
Cypress School District
Fountain Valley School District
Fullerton School District
Fullerton Joint Union High School District
Garden Grove Unified School District
Huntington Beach City School District
Huntington Beach Union High School District
Irvine Unified School District
Laguna Beach Unified School District
La Habra City School District
Los Alamitos Unified School District
Lowell Joint School District
Magnolia School District
Newport-Mesa Unified School District
Ocean View School District
Orange Unified School District
Placentia-Yorba Linda Unified School District
Saddleback Valley Unified School District
Santa Ana Unified School District
Savanna School District
Tustin Unified School District
Westminster School District

Orange County Community College Districts (CCD)

Coast Community CCD
North Orange County CCD
Rancho-Santiago CCD
South Orange County CCD

Orange County Regional Occupational Programs (ROP)

Capistrano-Laguna Beach ROP
Coastline ROP
North Orange County ROP

Voluntary Pool Participants (date approved)

Serrano Water District (6-22-99)
City of Villa Park (10-2-01)
City of Tustin (5-21-02)
Mesa Water District (8-9-02)
Orange County Water District (3-30-04)
Municipal Water District of OC (7-27-04)
Orange County Vector Control District (11-14-06)
Buena Park Library District (2-9-10)
Local Agency Formation Commission (10-5-10)
Villa Park Community Services Foundation (4-5-11)